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STATE OF CALIFORNIA

DEPARTMENT OF TRANSPORTATION

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# NOTICE TO CONTRACTORS

# AND

# SPECIAL PROVISIONS

## FOR CONSTRUCTION ON STATE HIGHWAY IN

**PLACER COUNTY FROM MAGRA OVERHEAD TO 0.5 KM**

**WEST OF CARPENTER FLAT UNDERCROSSING**

**DISTRICT 03, ROUTE 80**

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**For Use in Connection with Standard Specifications Dated JULY 1999, Standard Plans Dated JULY 1999, and Labor Surcharge and Equipment Rental Rates.**

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**CONTRACT NO. 03-1A8014**

**03-Pla-80-62.8/R89.7**

**Federal Aid Project**

**ACIM-080-4(175)145E**

**Bids Open: April 7, 2004**
**Dated: February 17, 2004**

**OSD**

**********

# IMPORTANT SPECIAL NOTICES

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- Effective September 2, 2003, Triaxial Management Services will no longer provide lists of certified DBEs to contractors bidding on projects or provide DBEs with assistance in preparing bids for subcontracting or supplying materials. Triaxial provided these services for contracts in Districts 01, 02, 03, 04, 05 (except San Luis Obispo and Santa Barbara Counties), 06 (except Kern County), 09 and 10.

  Contractors bidding on projects in these Districts may obtain lists of certified DBEs from the Department's Website at http://www.dot.ca.gov/hq/bep. The Department also publishes a quarterly directory of certified firms that may be ordered from the Publications Unit at (916) 445-3520.

  Contractors bidding on projects with DBE goals in Districts 05 (San Luis Obispo and Santa Barbara Counties), 06 (Kern County), 07, 08, 11 and 12 may continue to call Padilla & Associates at the telephone numbers listed in the Special Provisions.

- Attention is directed to "Exclusion of Retention" of these special provisions.

- Attention is directed to "Unsatisfactory Progress" of these special provisions.

# TABLE OF CONTENTS

NOTICE TO CONTRACTORS ..... 1
COPY OF ENGINEER'S ESTIMATE ..... 3
SPECIAL PROVISIONS ..... 12
SECTION 1. SPECIFICATIONS AND PLANS ..... 12
AMENDMENTS TO JULY 1999 STANDARD SPECIFICATIONS ..... 12
SECTION 2. PROPOSAL REQUIREMENTS AND CONDITIONS ..... 65
2-1.01 GENERAL ..... 65
2-1.015 FEDERAL LOBBYING RESTRICTIONS ..... 66
2-1.02 DISADVANTAGED BUSINESS ENTERPRISE (DBE) ..... 66
2-1.02A DBE GOAL FOR THIS PROJECT ..... 68
2-1.02B SUBMISSION OF DBE INFORMATION ..... 68
SECTION 3. AWARD AND EXECUTION OF CONTRACT ..... 69
SECTION 4. BEGINNING OF WORK, TIME OF COMPLETION AND LIQUIDATED DAMAGES ..... 70
SECTION 5. GENERAL ..... 70
SECTION 5-1. MISCELLANEOUS ..... 70
5-1.01 PLANS AND WORKING DRAWINGS ..... 70
5-1.011 EXAMINATION OF PLANS, SPECIFICATIONS, CONTRACT, AND SITE OF WORK ..... 70
5-1.012 DIFFERING SITE CONDITIONS ..... 70
5-1.013 LINES AND GRADES ..... 71
5-1.015 LABORATORY ..... 71
5-1.017 CONTRACT BONDS ..... 71
5-1.019 COST REDUCTION INCENTIVE ..... 71
5-1.02 LABOR NONDISCRIMINATION ..... 71
5-1.022 EXCLUSION OF RETENTION ..... 72
5-1.023 UNSATISFACTORY PROGRESS ..... 72
5-1.03 INTEREST ON PAYMENTS ..... 72
5-1.04 PUBLIC SAFETY ..... 72
5-1.05 TESTING ..... 73
5-1.06 REMOVAL OF ASBESTOS AND HAZARDOUS SUBSTANCES ..... 74
5-1.07 YEAR 2000 COMPLIANCE ..... 74
5-1.075 BUY AMERICA REQUIREMENTS ..... 74
5-1.08 SUBCONTRACTOR AND DBE RECORDS ..... 74
5-1.083 DBE CERTIFICATION STATUS ..... 75
5-1.086 PERFORMANCE OF DBE SUBCONTRACTORS AND SUPPLIERS ..... 75
5-1.09 SUBCONTRACTING ..... 75
5-1.10 PROMPT PROGRESS PAYMENT TO SUBCONTRACTORS ..... 76
5-1.103 RECORDS ..... 76
5-1.11 PARTNERING ..... 76
5-1.114 VALUE ANALYSIS ..... 77
5-1.12 DISPUTE REVIEW BOARD ..... 77
5-1.13 FORCE ACCOUNT PAYMENT ..... 88
5-1.14 COMPENSATION ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS ..... 89
5-1.15 AREAS FOR CONTRACTOR'S USE ..... 89
5-1.16 PAYMENTS ..... 90
5-1.17 RELATIONS WITH CALIFORNIA DEPARTMENT OF FISH AND GAME ..... 91
5-1.18 RELATIONS WITH CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD ..... 91
5-1.19 RELATIONS WITH U.S. ARMY CORPS OF ENGINEERS ..... 91
5-1.20 ENVIRONMENTALLY SENSITIVE AREA ..... 92
SECTION 6. (BLANK) ..... 92
SECTION 7. (BLANK) ..... 92
SECTION 8. MATERIALS ..... 92
SECTION 8-1. MISCELLANEOUS ..... 92
8-1.01 SUBSTITUTION OF NON-METRIC MATERIALS AND PRODUCTS ..... 92
8-1.02 PREQUALIFIED AND TESTED SIGNING AND DELINEATION MATERIALS ..... 98

8-1.03 STATE-FURNISHED MATERIALS ..... 104
8-1.04 ENGINEERING FABRICS ..... 106
SECTION 8-2. CONCRETE ..... 106
8-2.01 PORTLAND CEMENT CONCRETE ..... 106
8-2.02 FREEZING CONDITION REQUIREMENTS ..... 107
SECTION 8-3. WELDING ..... 107
8-3.01 WELDING ..... 107
GENERAL ..... 107
WELDING QUALITY CONTROL ..... 109
WELDING FOR OVERHEAD SIGN AND POLE STRUCTURES ..... 111
PAYMENT ..... 112
SECTION 9. DESCRIPTION OF BRIDGE WORK ..... 112
SECTION 10. CONSTRUCTION DETAILS ..... 112
SECTION 10-1. GENERAL ..... 112
10-1.00 CONSTRUCTION PROJECT INFORMATION SIGNS ..... 112
10-1.01 ORDER OF WORK ..... 113
10-1.02 PROGRESS SCHEDULE (CRITICAL PATH METHOD) ..... 115
DEFINITIONS ..... 115
GENERAL REQUIREMENTS ..... 116
COMPUTER SOFTWARE ..... 117
NETWORK DIAGRAMS, REPORTS AND DATA ..... 117
PRE-CONSTRUCTION SCHEDULING CONFERENCE ..... 118
BASELINE SCHEDULE ..... 119
UPDATE SCHEDULE ..... 119
TIME IMPACT ANALYSIS ..... 119
FINAL UPDATE SCHEDULE ..... 120
RETENTION ..... 120
PAYMENT ..... 120
10-1.03 TIME-RELATED OVERHEAD ..... 121
10-1.04 TEMPORARY FENCE (TYPE ESA) ..... 123
MATERIALS ..... 123
INSTALLATION ..... 123
MAINTENANCE ..... 123
MEASUREMENT AND PAYMENT ..... 123
10-1.05 WATER POLLUTION CONTROL ..... 124
RETENTION OF FUNDS ..... 125
STORM WATER POLLUTION PREVENTION PLAN PREPARATION, APPROVAL AND AMENDMENTS ..... 125
COST BREAK-DOWN ..... 126
SWPPP IMPLEMENTATION ..... 130
MAINTENANCE ..... 131
REPORTING REQUIREMENTS ..... 131
PAYMENT ..... 132
10-1.06 PRESERVATION OF PROPERTY ..... 133
10-1.07 OBSTRUCTIONS ..... 133
10-1.08 MOBILIZATION ..... 133
10-1.09 CONSTRUCTION AREA TRAFFIC CONTROL DEVICES ..... 134
10-1.10 CONSTRUCTION AREA SIGNS ..... 134
10-1.11 MAINTAINING TRAFFIC ..... 135
10-1.12 CLOSURE REQUIREMENTS AND CONDITIONS ..... 143
CLOSURE SCHEDULE ..... 143
CONTINGENCY PLAN ..... 144
LATE REOPENING OF CLOSURES ..... 144
COMPENSATION ..... 144
10-1.13 CONSTRUCTION ZONE ENHANCED ENFORCEMENT ..... 144
10-1.14 TRAFFIC CONTROL SYSTEM FOR LANE CLOSURE ..... 145
10-1.15 TRAFFIC CONTROL SURVEILLANCE ..... 146
10-1.16 TEMPORARY PAVEMENT DELINEATION ..... 146
GENERAL ..... 146

TEMPORARY CENTERLINE AND LANELINE DELINEATION ..... 147
TEMPORARY EDGELINE DELINEATION ..... 147
TEMPORARY TRAFFIC STRIPE (TAPE) ..... 148
TEMPORARY TRAFFIC STRIPE (PAINT) ..... 149
TEMPORARY PAVEMENT MARKERS ..... 149
MEASUREMENT AND PAYMENT ..... 149
10-1.17 TRAFFIC PLASTIC DRUMS ..... 150
10-1.18 TEMPORARY OBJECT MARKER ..... 150
TEMPORARY TERMINAL SYSTEM (TYPE SRT) ..... 151
10-1.19 TEMPORARY DELINEATORS ..... 151
10-1.20 PORTABLE CHANGEABLE MESSAGE SIGN ..... 152
10-1.21 TEMPORARY RAILING ..... 152
10-1.22 TEMPORARY CRASH CUSHION MODULE ..... 152
10-1.23 EXISTING HIGHWAY FACILITIES ..... 154
REMOVE DELINEATORS, OBJECT MARKERS AND HIGHWAY POST MARKERS ..... 154
OBLITERATE SURFACING ..... 154
REMOVE FENCE ..... 154
REMOVE GATE ..... 154
REMOVE METAL BEAM GUARD RAILING ..... 154
REMOVE DOUBLE METAL BEAM BARRIER ..... 154
REMOVE FLARED END SECTION ..... 154
REMOVE TRAFFIC STRIPE ..... 154
REMOVE ROADSIDE SIGN ..... 154
REMOVE SIGN STRUCTURE ..... 155
REMOVE ASPHALT CONCRETE DIKE ..... 155
REMOVE DRAINAGE FACILITY ..... 155
REMOVE ASPHALT CONCRETE SURFACING ..... 155
REMOVE ASPHALT CONCRETE OVERSIDE DRAIN ..... 155
REMOVE CONCRETE DECK SURFACE ..... 155
MODIFY WRECKER ANCHOR ..... 156
RECONSTRUCT METAL BEAM GUARD RAILING ..... 156
RECONSTRUCT TERMINAL SYSTEM (TYPE SRT) ..... 157
RESET ROADSIDE SIGN ..... 157
RELOCATE SIGN STRUCTURE ..... 157
ADJUST INLET ..... 157
10-1.24 SCREEN ARRESTOR BED AGGREGATE ..... 157
PLASTIC PIPELINER ..... 158
CURED-IN-PLACE PIPE (CIPP) LINER ..... 159
COLD PLANE ASPHALT CONCRETE PAVEMENT ..... 161
REMOVE CONCRETE ..... 162
CAP INLET ..... 162
REMOVE UNSOUND CONCRETE ..... 162
10-1.25 CLEARING AND GRUBBING ..... 162
10-1.26 EARTHWORK ..... 163
10-1.27 CONTROLLED LOW STRENGTH MATERIAL ..... 163
10-1.28 ARRESTOR BED AGGREGATE ..... 164
10-1.29 SHOULDER BACKING ..... 165
10-1.30 DUFF ..... 166
10-1.31 MOVE-IN/MOVE-OUT (EROSION CONTROL) ..... 166
10-1.32 EROSION CONTROL (TYPE D) ..... 166
MATERIALS ..... 167
APPLICATION ..... 168
MEASUREMENT AND PAYMENT ..... 169
10-1.33 WILLOW CUTTINGS (PLANT GROUP W) ..... 169
10-1.34 AGGREGATE BASE ..... 170
10-1.35 LEAN CONCRETE BASE ..... 170
10-1.36 SLURRY SEAL ..... 170
10-1.37 REPLACE ASPHALT CONCRETE SURFACING ..... 171
10-1.38 ASPHALT CONCRETE ..... 172

SURFACE TOLERANCE FOR AC PLACED UNDER PORTALND CEMENT CONCRETE PAVEMENT ..... 174
10-1.39 TEMPORARY ASPHALT CONCRETE TAPERS ..... 174
10-1. 40 CONCRETE PAVEMENT ..... 175
GENERAL ..... 175
PREPAVING CONFERENCE ..... 175
JUST-IN-TIME TRAINING ..... 175
TEST STRIP ..... 176
MATERIALS ..... 177
SUBMITTALS ..... 180
PAVEMENT CONCRETE MIX PROPORTIONS ..... 180
INSTALLING TIE BARS ..... 181
DOWEL PLACEMENT ..... 181
CORE DRILLING FOR DOWEL PLACEMENT ALIGNMENT ASSURANCE TESTING ..... 181
LIQUID JOINT SEALANT INSTALLATION ..... 182
CONSTRUCTING TRANSVERSE CONTACT JOINTS ..... 183
CONSTRUCTING LONGITUDINAL ISOLATION JOINTS ..... 183
PROFILE INDEX ..... 183
MEASUREMENT AND PAYMENT ..... 183
10-1.41 INTERMEDIATE PAVEMENT ANCHOR ..... 184
10-1.42 SHOULDER RUMBLE STRIP (PORTLAND CEMENT CONCRETE, GROUND-IN INDENTATIONS) ..... 185
10-1.43 PILING ..... 185
GENERAL ..... 185
CAST-IN-DRILLED-HOLE CONCRETE PILES ..... 185
10-1.44 CONCRETE STRUCTURES ..... 195
GENERAL ..... 195
MEASUREMENT AND PAYMENT ..... 195
10-1.45 STRUCTURE APPROACH SLABS (TYPE R) ..... 195
GENERAL ..... 195
TEMPORARY ROADWAY STRUCTURAL SECTION ..... 195
REMOVING PORTIONS OF EXISTING STRUCTURES ..... 196
REMOVING EXISTING PAVEMENT AND BASE MATERIALS ..... 196
AGGREGATE BASE (APPROACH SLAB) ..... 196
STRUCTURE APPROACH SLAB ..... 196
JOINTS ..... 198
MEASUREMENT AND PAYMENT ..... 198
10-1.46 PAVING NOTCH EXTENSION ..... 198
10-1.47 DRILL AND BOND DOWELS ..... 199
10-1.48 REINFORCED CONCRETE CRIB WALL ..... 200
10-1.49 POLYESTER CONCRETE OVERLAY ..... 200
GENERAL ..... 200
MATERIALS ..... 201
CONSTRUCTION ..... 203
MEASUREMENT AND PAYMENT ..... 204
10-1.50 SEALING JOINTS ..... 204
10-1.51 RAPID SETTING CONCRETE PATCHES ..... 205
10-1.52 REINFORCEMENT ..... 206
EPOXY-COATED REINFORCEMENT ..... 206
10-1.53 STEEL STRUCTURES ..... 206
MATERIALS ..... 206
ROTATIONAL CAPACITY TESTING PRIOR TO SHIPMENT TO JOB SITE ..... 206
INSTALLATION TENSION TESTING AND ROTATIONAL CAPACITY TESTING AFTER ARRIVAL ON THE JOB SITE ..... 211
SURFACE PREPARATION ..... 211
SEALING ..... 211
WELDING ..... 211
MEASUREMENT AND PAYMENT ..... 211
10-1.54 SIGN STRUCTURES ..... 211

10-1.55 ROADSIDE SIGNS ..... 213
10-1.56 INSTALL SIGN PANEL ON EXISTING FRAME ..... 213
10-1.57 ALTERNATIVE PIPE ..... 213
10-1.58 PERMEABLE MATERIAL ..... 213
10-1.59 OVERSIDE DRAIN ..... 214
10-1.60 MISCELLANEOUS FACILITIES ..... 214
10-1.61 SLOPE PROTECTION ..... 214
10-1.62 MISCELLANEOUS CONCRETE CONSTRUCTION ..... 214
10-1.63 MISCELLANEOUS IRON AND STEEL ..... 214
10-1.64 SNOW PLOW DEFLECTOR ..... 214
10-1.65 CHAIN LINK FENCE ..... 215
10-1.66 MARKERS AND DELINEATORS ..... 215
10-1.67 METAL BEAM GUARD RAILING ..... 215
TERMINAL SYSTEM (TYPE SRT) ..... 215
10-1.68 DOUBLE METAL BEAM BARRIER ..... 216
10-1.69 CONCRETE BARRIER ..... 216
10-1.70 THERMOPLASTIC TRAFFIC STRIPE RECESSED) ..... 216
10-1.71 TWO-COMPONENT PAINT TRAFFIC STRIPE AND PAVEMENT MARKING ..... 217
SECTION 10-2. (BLANK) ..... 218
SECTION 10-3. SIGNALS, LIGHTING AND ELECTRICAL SYSTEMS ..... 218
10-3.01 DESCRIPTION ..... 218
10-3.02 COST BREAK-DOWN ..... 218
10-3.03 EQUIPMENT LIST AND DRAWINGS ..... 219
10-3.04 MAINTAINING EXISTING AND TEMPORARY ELECTRICAL SYSTEMS ..... 219
10-3.05 FOUNDATIONS ..... 220
10-3.06 STANDARDS, STEEL PEDESTALS AND POSTS ..... 220
10-3.07 CONDUIT ..... 220
FIBER OPTIC CONDUIT ..... 220
TRENCH SIZE AND DEPTH ..... 220
INTERNAL TRENCH MARKERS ..... 221
EXTERNAL TRENCH MARKERS ..... 221
TRENCH TRACER WIRE ..... 221
CONDUIT MATERIAL ..... 221
CONDUIT TIES ..... 221
CONDUIT BENDS ..... 221
EXPANSION JOINTS ..... 221
ATTACHMENT TO STRUCTURES ..... 221
CONDUIT TESTING ..... 221
SEALING CONDUITS ..... 222
CONDUIT SPLICING ..... 222
10-3.08 PULL BOXES ..... 222
VAULTS ..... 222
10-3.09 CONDUCTORS AND WIRING ..... 223
TELEPHONE CABLE ..... 223
RS-232 SERIAL CABLE. ..... 224
10-3.10 FIBER OPTIC SYSTEM ..... 224
FIBER OPTICS GLOSSARY ..... 224
FIBER OPTIC OUTSIDE PLANT CABLE ..... 225
GENERAL ..... 225
FIBER CHARACTERISTICS ..... 226
COLOR CODING ..... 226
CABLE CONSTRUCTION ..... 227
GENERAL CABLE PERFORMANCE SPECIFICATIONS ..... 228
PACKAGING AND SHIPPING REQUIREMENTS ..... 228
FIBER OPTIC LABELING ..... 229
GENERAL ..... 229
LABEL IDENTIFICATION ..... 229
LABEL PLACEMENT ..... 230
CABLE INSTALLATION ..... 231

SPLICING ..... 232
SPLICE CLOSURES ..... 232
SPLICE TRAYS ..... 233
PASSIVE CABLE ASSEMBLIES AND COMPONENTS ..... 233
FIBER OPTIC CABLE TERMINATIONS ..... 233
GENERAL ..... 233
DISTRIBUTION BREAKOUT ..... 233
DISTRIBUTION INTERCONNECT PACKAGE ..... 234
FIBER OPTIC CABLE ASSEMBLIES AND PIGTAILS ..... 234
FIBER DISTRIBUTION FRAME (FDF) ..... 234
FIBER OPTIC TESTING ..... 235
GENERAL ..... 235
FACTORY TESTING ..... 235
ARRIVAL ON SITE ..... 235
AFTER CABLE INSTALLATION ..... 235
PASSIVE (DISTRIBUTION INTERCONNECT PACKAGE) TESTING AND DOCUMENTATION ..... 235
FIBER OPTIC SYSTEM DESIGN CRITERIA ..... 236
ACTIVE COMPONENT TESTING ..... 236
SYSTEM VERIFICATION AT COMPLETION ..... 236
10-3.11 BONDING AND GROUNDING ..... 240
10-3.12 SERVICE ..... 240
10-3.13 NUMBERING ELECTRICAL EQUIPMENT ..... 240
10-3.14 CONTROLLER CABINETS ..... 240
10-3.15 DETECTORS ..... 241
10-3.16 LUMINAIRES ..... 241
10-3.17 SIGN LIGHTING FIXTURES-INDUCTION ..... 241
MATERIALS ..... 241
10-3.18 EXTINGUISHABLE MESSAGE SIGN (LED) ..... 242
CONSTRUCTION ..... 242
OPERATION ..... 243
PHOTOMETRIC REQUIREMENTS ..... 243
TERMINAL BLOCKS ..... 243
DOCUMENTATION ..... 243
GUARANTEE AND WARRANTY ..... 243
10-3.19 HIGHWAY ADVISORY RADIO ..... 243
HAR TRANSIENT/LIGHTNING PROTECTION ..... 244
ANTENNA ..... 244
GROUND SYSTEM ..... 244
HAR INSTALLATION ..... 245
ARRESTOR ENCLOSURE ..... 245
ANTENNA COAXIAL CABLE ..... 245
ANTENNA FEEDING CABLE (AFC) ..... 245
COAXIAL CABLE CONNECTORS ..... 246
HIGHWAY ADVISORY RADIO TESTING ..... 246
10-3.20 CLOSED CIRCUIT TELEVISION SYSTEM ..... 247
GENERAL REQUIREMENTS ..... 247
FUNCTIONAL REQUIREMENTS ..... 247
CAMERA ..... 247
CAMERA CONTROL UNIT ..... 248
POSITIONING UNIT ..... 248
ENVIRONMENTAL ENCLOSURE ..... 248
ENVIRONMENTAL SPECIFICATIONS ..... 249
CONNECTORS AND HARNESSES ..... 249
CCTV SYSTEM TESTING ..... 250
IRIS AUTO/MANUAL OPERATION ..... 250
FOCUS AUTO/MANUAL OPERATION ..... 250
ZOOM TELEPHOTO/WIDE OPERATION ..... 250
TILT OPERATION ..... 251
PAN RIGHT / LEFT OPERATION ..... 251

CAMERA PRESET OPERATION ..... 251
ID GENERATION ..... 251
TRAINING ..... 251
ID GENERATOR ..... 251
VIDEO TIME INSERTER (VTI) ..... 251
LOGO INSERTER ..... 251
10-3.21 VIDEO ENCODER ..... 251
SYSTEM CONFIGURATION ..... 251
INPUT REQUIREMENTS ..... 252
OUTPUT REQUIREMENTS ..... 252
SERIAL PORT INTERFACE ..... 252
PERFORMANCE ..... 252
ACCEPTANCE TEST PROCEDURE ..... 253
10-3.22 CCTV UNINTERRUPTABLE POWER SUPPLY ..... 253
UPS SPECIFICATIONS ..... 253
10-3.23 MULTIPLE OUTLET STRIP-RACK MOUNT ..... 253
10-3.24 COMMUNICATIONS EQUIPMENT GLOSSARY ..... 254
GENERAL ..... 255
10-3.25 COMMUNICATION HUB ..... 255
482 mm EIA RACK AND CABINET SYSTEM ..... 255
CABLE LADDER SYSTEM ..... 256
UNINTERRUPTIBLE POWER SUPPLY ..... 256
10/100 ETHERNET ROUTER. ..... 256
24 PORT 10/100 ETHERNET SWITCH. ..... 256
MEDIA CONVERTER CHASSIS ASSEMBLY ..... 256
UTP TO SMFO MEDIA CONVERTER MODULE. ..... 257
CAT5e UTP CABLE ..... 257
10-3.26 PORTABLE AIR COOLING UNIT. ..... 257
10-3.27 NETWORK MANAGEMENT WORKSTATION ..... 257
10-3.28 TRANSPORTATION MANAGEMENT CENTER (TMC) EQUIPMENT ..... 258
DEVICE SERVER. ..... 258
ETHERNET TELEPHONE EXTENDER. ..... 258
10-3.29 CHANGEABLE MESSAGE SIGN/TRAFFIC MONITORING STATION INTERCONNECT ..... 259
170 INTERFACE CABLE. ..... 259
REMOTE SITE MEDIA CONVERTER CHASSIS ASSEMBLY ..... 260
REMOTE UTP TO SMFO MEDIA CONVERTER ..... 260
POWER STRIP. ..... 260
INTERCONNECT/TERMINATION UNIT (ITU) ..... 261
10-3.30 EXTINGUISHABLE MESSAGE SIGN INTERCONNECT ..... 261
ETHERNET POWER SWITCH. ..... 261
10-3.31 HIGHWAY ADVISORY RADIO INTERCONNECT ..... 262
RJ-11 TELEPHONE CABLE. ..... 262
10-3.32 ROADWAY WEATHER INFORMATION SYSTEM INTERCONNECT ..... 262
ROADWAY WEATHER INFORMATION SYSTEM INTERCONNECT CABINET ..... 263
ROADWAY WEATHER INFORMATION SYSTEM INTERFACE CABLE ..... 263
10-3.33 DISPOSING OF ELECTRICAL EQUIPMENT ..... 263
10-3.34 SYSTEM TESTING AND DOCUMENTATION ..... 264
PRE-INSTALLATION TESTING ..... 264
PHYSICAL ..... 264
SUBSYSTEM TESTING ..... 264
ACCEPTANCE TESTING ..... 265
FUNCTIONAL TESTS ..... 265
FINAL ACCEPTANCE ..... 266
10-3.35 SYSTEM DOCUMENTATION ..... 266
MASTER ITEMS INDEX ..... 266
SYSTEM DECSCRIPTION AND TECHICAL DATA ..... 266
THEORY OF OPERATION ..... 266
SOFTWARE DOCUMENTATION ..... 266
OPERATIONS ..... 267

CORRECTIVE MAINTENANCE ..... 267
PREVENTIVE MAINTENANCE ..... 267
PARTS LIST ..... 267
TEST RESULTS ..... 267
10-3.36 TRAINING FOR FIBER OPTIC OPERATION AND MAINTENANCE ..... 267
10-3.37 TEMPORARY LIGHTING SYSTEM ..... 268
OPERATION ..... 268
MAINTAINING TEMPORARY LIGHTING SYSTEM ..... 268
CONDUIT ..... 268
CONDUCTORS AND WIRING ..... 268
BONDING AND GROUNDING ..... 269
SERVICE ..... 269
COMMERCIAL POWER ..... 269
PAYMENT ..... 269
10-3.38 PAYMENT ..... 269
SECTION 10-4. HIGHWAY ADVISORY RADIO SYSTEM ..... 270
10-4.01 DESCRIPTION ..... 270
10-4.02 HAR TRANSIENT/LIGHTNING PROTECTION ..... 270
10-4.03 ANTENNA ..... 270
10-4.04 GROUND SYSTEM ..... 270
10-4.05 HAR INSTALLATION ..... 271
10-4.06 ARRESTOR ENCLOSURE ..... 271
10-4.07 ANTENNA COAXIAL CABLE ..... 271
10-4.08 ANTENNA FEEDING CABLE (AFC) ..... 272
10-4.09 COAXIAL CABLE CONNECTORS ..... 272
10-4.10 SYSTEM TESTING ..... 272
10-4.11 PAYMENT ..... 273
SECTION 10-5. FIBER OPTIC SYSTEM ..... 273
10-5.01 DESCRIPTION ..... 273
10-5.02 FIBER OPTICS GLOSSARY ..... 273
10-5.03 FIBER OPTIC OUTSIDE PLANT CABLE ..... 275
GENERAL ..... 275
FIBER CHARACTERISTICS ..... 275
COLOR CODING ..... 276
CABLE CONSTRUCTION ..... 276
GENERAL CABLE PERFORMANCE SPECIFICATIONS ..... 277
PACKAGING AND SHIPPING REQUIREMENTS ..... 278
10-5.04 FIBER OPTIC LABELING ..... 278
GENERAL ..... 278
LABEL IDENTIFICATION ..... 278
LABEL PLACEMENT ..... 281
10-5.05 CABLE INSTALLATION ..... 282
10-5.06 SPLICING ..... 283
10-5.07 SPLICE CLOSURES ..... 283
10-5.08 SPLICE TRAYS ..... 283
10-5.09 PASSIVE CABLE ASSEMBLIES AND COMPONENTS ..... 284
10-5.10 FIBER OPTIC CABLE TERMINATIONS ..... 284
GENERAL ..... 284
DISTRIBUTION BREAKOUT ..... 284
10-5.11 DISTRIBUTION INTERCONNECT PACKAGE ..... 284
FIBER OPTIC CABLE ASSEMBLIES AND PIGTAILS ..... 284
FIBER DISTRIBUTION FRAME (FDF) ..... 285
10-5.12 FIBER OPTIC TESTING ..... 285
GENERAL ..... 285
FACTORY TESTING ..... 285
ARRIVAL ON SITE ..... 286
AFTER CABLE INSTALLATION ..... 286
OUTDOOR SPLICES ..... 286
PASSIVE (DISTRIBUTION INTERCONNECT PACKAGE) TESTING AND DOCUMENTATION ..... 286

FIBER OPTIC SYSTEM DESIGN CRITERIA....287
ACTIVE COMPONENT TESTING....287
SYSTEM VERIFICATION AT COMPLETION....287
10-5.13 PAYMENT....290
VAULTS....290
VAULT LOCATION AND QUANTITY....290
VAULTS IN PAVEMENT....290
VAULT CONSTRUCTION....290
VAULT SIZE....290
VAULT SPACER RINGS....290
VAULT INTERIOR SURFACES....290
VAULT BOTTOMS....291
VAULT CABLE RACKS....291
VAULT BACKFILL....291
TRENCHES....291
INTERNAL TRENCH MARKERS....291
EXTERNAL TRENCH MARKERS....291
TRENCHES IN PAVEMENT TO BE OVERLAID WITH NEW SURFACES....291
SECTION 10-6. CLOSED CIRCUIT TELEVISION SYSTEM....291
10-6.01 CCTV CAMERA SYSTEM....291
10-6.02 GENERAL REQUIREMENTS....291
10-6.03 FUNCTIONAL REQUIREMENTS....292
10-6.04 CAMERA....292
10-6.05 CAMERA CONTROL UNIT....292
10-6.06 POSITIONING UNIT....293
10-6.07 ENVIRONMENTAL ENCLOSURE....293
ENVIRONMENTAL SPECIFICATIONS....294
10-6.08 CONNECTORS AND HARNESSES....294
10-6.09 CCTV CAMERA SYSTEM TESTING....295
IRIS AUTO/MANUAL OPERATION....295
FOCUS AUTO/MANUAL OPERATION....295
ZOOM TELEPHOTO/WIDE OPERATION....295
TILT OPERATION....296
PAN RIGHT / LEFT OPERATION....296
10-6.10 CAMERA PRESET OPERATION....296
10-6.11 ID GENERATION....296
10-6.12 TRAINING....296
10-6.13 ID GENERATOR....296
10-6.14 VIDEO TIME INSERTER (VTI)....296
10-6.15 LOGO INSERTER....296
10-6.16 VIDEO ENCODER....296
SYSTEM REQUIREMENTS....297
UPS CONTROL....297
VIDEO CAPTURE AND OUTPUT PERFORMANCE....297
PHYSICAL REQUIREMENTS....297
10-6.17 CCTV UNINTERRUPTABLE POWER SUPPLY....298
UPS SPECIFICATIONS....298
10-6.18 MULTIPLE OUTLET STRIP-RACK MOUNT....298
10-6.19 PAYMENT....298
SECTION 10-7. COMMUNICATION EQUIPMENT....299
10-7.01 GLOSSARY....299
10-7.02 GENERAL....300
10-7.03 COMMUNICATION HUB....300
482mm (19”) EIA RACK AND CABINET SYSTEM....300
CABLE LADDER SYSTEM....301
UNINTERRUPTIBLE POWER SUPPLY....301
10/100 ETHERNET ROUTER....301
10/100 24 PORT ETHERNET SWITCH....302
10-7.04 NETWORK MANAGEMENT WORKSTATION....304

10-7.05 TRANSPORTATION MANAGEMENT CENTER (TMC) EQUIPMENT ..... 304
10-7.05A DEVICE SERVER ..... 304
10-7.05B RS-232 SERIAL CABLE ..... 305
10-7.05C ETHERNET TELEPHONE EXTENDER ..... 305
10-7.06 CMS/TMS INTERCONNECT ..... 306
10-7.06A 170 INTERFACE CABLE ..... 306
10-7.06B POWER STRIP ..... 306
10-7.06C INTERCONNECT/TERMINATION UNIT (ITU) ..... 307
10-7.07 EMS INTERCONNECT ..... 307
10-7.07A ETHERNET POWER SWITCH ..... 307
10-7.08 HAR INTERCONNECT ..... 308
10-7.08A RJ-11 TELEPHONE CABLE ..... 308
10-7.09 RWIS INTERCONNECT ..... 308
10-7.09A RWIS INTERCONNECT CABINET ..... 309
10-7.09B RWIS INTERFACE CABLE ..... 309
10-7.10 PAYMENT ..... 309
SECTION 10-8. SYSTEM TESTING AND DOCUMENTATION ..... 310
10-8.01 DESCRIPTION ..... 310
10-8.02 PRE-INSTALLATION TESTING ..... 310
10-8.03 PHYSICAL ..... 310
10-8.04 SUBSYSTEM TESTING ..... 310
10-8.05 ACCEPTANCE TESTING ..... 311
10-8.06 FUNCTIONAL TESTS ..... 311
10-8.07 FINAL ACCEPTANCE ..... 312
10-8.08 SYSTEM DOCUMENTATION ..... 312
10-8.09 PAYMENT ..... 313
SECTION 10-9. TRAINING FOR FIBER OPTIC OPERATION AND MAINTENANCE ..... 313
10-9.01 DESCRIPTION ..... 313
10-9.02 PAYMENT ..... 314
SECTION 11. (BLANK) ..... 314
SECTION 12. (BLANK) ..... 314
SECTION 13. (BLANK) ..... 314
SECTION 14 FEDERAL REQUIREMENTS FOR FEDERAL-AID CONSTRUCTION PROJECTS ..... 315

# STANDARD PLANS LIST

The Standard Plan sheets applicable to this contract include, but are not limited to those indicated below. The Revised Standard Plans (RSP) and New Standard Plans (NSP) which apply to this contract are included as individual sheets of the project plans.

| | |
|---|---|
| A10A | Abbreviations |
| A10B | Symbols |
| A20A | Pavement Markers and Traffic Lines, Typical Details |
| A20B | Pavement Markers and Traffic Lines, Typical Details |
| A20C | Pavement Markers and Traffic Lines, Typical Details |
| A20D | Pavement Markers and Traffic Lines, Typical Details |
| A24A | Pavement Markings - Arrows |
| A24B | Pavement Markings - Arrows |
| A24D | Pavement Markings - Words |
| A24E | Pavement Markings - Words and Crosswalks |
| RSP A35A | Portland Cement Concrete Pavement (Undoweled Transverse Joints) |
| RSP A35B | Portland Cement Concrete Pavement (Doweled Transverse Joints) |
| A35C | Portland Cement Concrete Pavement Joint and End Anchor Details |
| NSP A35D | Dowel Bar Retrofit In Existing Concrete Pavement (Longitudinal Joints Coincide With Laneline Pavement Delineation) |

| | |
|---|---|
| RNSP A40B | Shoulder Rumble Strip Details Rolled-Ground-In Indentations |
| A62F | Excavation and Backfill - Metal and Plastic Culverts |
| A73A | Object Markers |
| A73B | Markers |
| RSP A73C | Delineators, Channelizers and Barricades |
| A76G | Concrete Barrier Type 60S |
| A76H | Concrete Barrier Type 60S |
| A77A | Metal Beam Guard Railing – Typical Wood Post With Wood Block |
| A77B | Metal Beam Guard Railing - Standard Hardware |
| A77C | Metal Beam Guard Railing – Wood Post and Wood Block Details |
| A77D | Metal Beam Guard Railing – Typical Layouts |
| A77E | Metal Beam Guard Railing – Typical Layouts |
| A77F | Metal Beam Guard Railing – Typical Embankment Widening for End Treatments |
| A77FA | Metal Beam Guard Railing – Typical Line Post Installation |
| RSP A77G | Metal Beam Guard Railing – End Treatment, Terminal Anchor Assembly (Type SFT) |
| A77H | Metal Beam Guard Railing - Anchor Cable and Anchor Plate Details |
| A77J | Metal Beam Guard Railing Connections to Bridge Railings, Retaining Walls and Abutments |
| RSP A77L | Metal Beam Guard Railing and Single Faced Barrier Railing Terminal System - End Treatments |
| A85 | Chain Link Fence |
| A87 | Curbs, Dikes and Driveways |
| C7A | Reinforced Concrete Crib Wall - Battered Wall - Types A, B and C |
| D73 | Drainage Inlets |
| D74A | Drainage Inlets |
| D74B | Drainage Inlets |
| D74C | Drainage Inlet Details |
| D77A | Grate Details |
| D78 | Gutter Depressions |
| D87A | Corrugated Metal Pipe Downdrain Details |
| D87D | Overside Drains |
| D88 | Construction Loads On Culverts |
| D93B | Drainage Inlet Riser Connections |
| D94A | Metal and Plastic Flared End Sections |
| D97B | Corrugated Metal Pipe Coupling Details No. 2 - Hat Band Coupler and Flange Details |
| D97C | Corrugated Metal Pipe Coupling Details No. 3 - Helical and Universal Couplers |
| D97D | Corrugated Metal Pipe Coupling Details No. 4 - Hugger Coupling Bands |
| D97E | Corrugated Metal Pipe Coupling Details No. 5 - Standard Joint |
| D97F | Corrugated Metal Pipe Coupling Details No. 6 - Positive Joint |
| D97G | Corrugated Metal Pipe Coupling Details No. 7 - Positive Joints and Downdrains |
| D102 | Underdrains |
| T1A | Temporary Crash Cushion, Sand Filled (Unidirectional) |
| T1B | Temporary Crash Cushion, Sand Filled (Bidirectional) |
| RSP T2 | Temporary Crash Cushion, Sand Filled (Shoulder Installations) |
| T3 | Temporary Railing (Type K) |
| T7 | Construction Project Funding Identification Signs |
| T10 | Traffic Control System for Lane Closure On Freeways and Expressways |
| T14 | Traffic Control System for Ramp Closure |
| T15 | Traffic Control System for Moving Lane Closure On Multilane Highways |
| T16 | Traffic Control System for Moving Lane Closure On Multilane Highways |
| T17 | Traffic Control System for Moving Lane Closure On Two Lane Highways |
| B0-1 | Bridge Details |
| RSP B0-3 | Bridge Details |
| B6-21 | Joint Seals (Maximum Movement Rating = 50 mm) |
| RSP B11-55 | Concrete Barrier Type 732 |
| RS1 | Roadside Signs, Typical Installation Details No. 1 |

| | |
|---|---|
| RS2 | Roadside Signs - Wood Post, Typical Installation Details No. 2 |
| RS4 | Roadside Signs, Typical Installation Details No. 4 |
| RSP S1 | Overhead Signs - Truss, Instructions and Examples |
| RSP S2 | Overhead Signs - Truss, Single Post Type - Post Types II Thru VII |
| S4 | Overhead Signs - Truss, Single Post Type - Structural Frame Members |
| RSP S6 | Overhead Signs - Truss, Structural Frame Details |
| RSP S7 | Overhead Signs -Truss, Frame Juncture Details |
| RSP S8C | Overhead Signs - Truss, Sign Mounting Details, Laminated Panel - Type A |
| S9 | Overhead Signs - Walkway Details No. 1 |
| S10 | Overhead Signs - Walkway Details No. 2 |
| RSP S11 | Overhead Signs - Walkway Safety Railing Details |
| RSP S13 | Overhead Signs - Truss, Pile Foundation |
| ES-1A | Signal, Lighting and Electrical Systems - Symbols and Abbreviations |
| ES-1B | Signal, Lighting and Electrical Systems - Symbols and Abbreviations |
| ES-2A | Signal, Lighting and Electrical Systems - Service Equipment |
| ES-2C | Signal, Lighting and Electrical Systems - Service Equipment Notes, Type III Series |
| ES-2D | Signal, Lighting and Electrical Systems - Service Equipment and Typical Wiring Diagram Type III-A Series |
| ES-3C | Signal, Lighting and Electrical Systems - Controller Cabinet Details |
| RSP ES-6A | Lighting Standards - Types 15, 21 and 22 |
| ES-6E | Lighting Standards - Types 30 and 31 |
| RSP ES-6F | Lighting Standards - Type 30 and 31 Base Plate Details |
| ES-8 | Signal, Lighting and Electrical Systems - Pull Box Details |
| ES-9A | Signal, Lighting and Electrical Systems - Electrical Details, Structure Installations |
| ES-9B | Signal, Lighting and Electrical Systems - Electrical Details, Structure Installations |
| ES-9C | Signal, Lighting and Electrical Systems - Electrical Details, Structure Installations |
| ES-9D | Signal, Lighting and Electrical Systems - Electrical Details, Structure Installations |
| ES-9E | Signal, Lighting and Electrical Systems - Electrical Details, Structure Installations |
| ES-10 | Signal, Lighting and Electrical Systems - Isolux Diagrams |
| ES-11 | Signal, Lighting and Electrical Systems - Foundation Installations |
| ES-13A | Signal, Lighting and Electrical Systems - Splicing Details |
| ES-13B | Signal, Lighting and Electrical Systems - Wiring Details and Fuse Ratings |
| ES-14A | Signal, Lighting and Electrical Systems - Extinguishable Message Sign, 250 mm Letters |
| ES-14B | Signal, Lighting and Electrical Systems - Extinguishable Message Sign, 250 mm Letters |
| ES-14C | Signal, Lighting and Electrical Systems - Extinguishable Message Sign and Flashing Beacons |
| ES-15C | Sign Illumination - Sign Illumination Equipment |
| ES-15D | Sign Illumination - Sign Illumination Control |
| ES-16A | Closed Circuit Television Pole Details |
| RSP ES-16C | Closed Circuit Television – 18.2 m TO 27.4 m High Mast Pole, Foundation Details |

**DEPARTMENT OF TRANSPORTATION**

# NOTICE TO CONTRACTORS

**CONTRACT NO. 03-1A8014**

**03-Pla-80-62.8/R89.7**

Sealed proposals for the work shown on the plans entitled:

**STATE OF CALIFORNIA; DEPARTMENT OF TRANSPORTATION; PROJECT PLANS FOR CONSTRUCTION ON STATE HIGHWAY IN PLACER COUNTY FROM MAGRA OVERHEAD TO 0.5 KM WEST OF CARPENTER FLAT UNDERCROSSING**

will be received at the Department of Transportation, 1120 N Street, Room 0200, MS #26, Sacramento, CA 95814, until 2 o'clock p.m. on April 7, 2004, at which time they will be publicly opened and read in Room 0100 at the same address.

Proposal forms for this work are included in a separate book entitled:

**STATE OF CALIFORNIA; DEPARTMENT OF TRANSPORTATION; PROPOSAL AND CONTRACT FOR CONSTRUCTION ON STATE HIGHWAY IN PLACER COUNTY FROM MAGRA OVERHEAD TO 0.5 KM WEST OF CARPENTER FLAT UNDERCROSSING**

General work description: To Rehabilitate the pavement along Route 80 located in Placer County.

This project has a goal of 15 percent disadvantaged business enterprise (DBE) participation.

No prebid meeting is scheduled for this project.

**THIS PROJECT IS SUBJECT TO THE "BUY AMERICA" PROVISIONS OF THE SURFACE TRANSPORTATION ASSISTANCE ACT OF 1982 AS AMENDED BY THE INTERMODAL SURFACE TRANSPORTATION EFFICIENCY ACT OF 1991.**

Bids are required for the entire work described herein.

At the time this contract is awarded, the Contractor shall possess either a Class A license or a combination of Class C licenses which constitutes a majority of the work.

This contract is subject to state contract nondiscrimination and compliance requirements pursuant to Government Code, Section 12990.

The District in which the work for this project is located has been incorporated into the Department's Northern Region. References in the Standard Specifications or in the special provisions to the district shall be deemed to mean the Northern Region. The office of the District Director for the Northern Region is located at Marysville.

Inquiries or questions based on alleged patent ambiguity of the plans, specifications or estimate must be communicated as a bidder inquiry prior to bid opening. Any such inquiries or questions, submitted after bid opening, will not be treated as a bid protest.

The Department will consider bidder inquiries only when made in writing and shall be submitted to CALTRANS North Region Construction Office by either E-mail or Fax:

E-mail: inquiry_nr_bid@dot.ca.gov
FAX Number: (530) 822-4324

Responses to the bidder will be posted on the Internet at:

www.dot.ca.gov/dist3/departments/construction/bidders/find_res.htm

Project plans, special provisions, and proposal forms for bidding this project can only be obtained at the Department of Transportation, Plans and Bid Documents, Room 0200, MS #26, Transportation Building, 1120 N Street, Sacramento, California 95814, FAX No. (916) 654-7028, Telephone No. (916) 654-4490. Use FAX orders to expedite orders for project plans, special provisions and proposal forms. FAX orders must include credit card charge number, card expiration date and authorizing signature. Project plans, special provisions, and proposal forms may be seen at the above Department of Transportation office and at the offices of the District Directors of Transportation at Irvine, Oakland, and the district in which the work is situated. Standard Specifications and Standard Plans are available through the State of California, Department of Transportation, Publications Unit, 1900 Royal Oaks Drive, Sacramento, CA 95815, Telephone No. (916) 445-3520.

The successful bidder shall furnish a payment bond and a performance bond.

The Department of Transportation hereby notifies all bidders that it will affirmatively ensure that in any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full opportunity to submit bids in response to this invitation.

The U.S. Department of Transportation (DOT) provides a toll-free "hotline" service to report bid rigging activities. Bid rigging activities can be reported Mondays through Fridays, between 8:00 a.m. and 5:00 p.m., eastern time, Telephone No. 1-800-424-9071. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report these activities. The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

Pursuant to Section 1773 of the Labor Code, the general prevailing wage rates in the county, or counties, in which the work is to be done have been determined by the Director of the California Department of Industrial Relations. These wages are set forth in the General Prevailing Wage Rates for this project, available at the Labor Compliance Office at the offices of the District Director of Transportation for the district in which the work is situated, and available from the California Department of Industrial Relations' internet web site at: http://www.dir.ca.gov. The Federal minimum wage rates for this project as predetermined by the United States Secretary of Labor are available through the California Department of Transportation's Electronic Project Document Distribution Site on the internet at http://hqidoc1.dot.ca.gov/. Addenda to modify the Federal minimum wage rates, if necessary, will be issued to holders of "Proposal and Contract" books. Future effective general prevailing wage rates which have been predetermined and are on file with the California Department of Industrial Relations are referenced but not printed in the general prevailing wage rates.

If there is a difference between the minimum wage rates predetermined by the United States Secretary of Labor and the general prevailing wage rates determined by the Director of the California Department of Industrial Relations for similar classifications of labor, the Contractor and subcontractors shall pay not less than the higher wage rate. The Department will not accept lower State wage rates not specifically included in the Federal minimum wage determinations. This includes "helper" (or other classifications based on hours of experience) or any other classification not appearing in the Federal wage determinations. Where Federal wage determinations do not contain the State wage rate determination otherwise available for use by the Contractor and subcontractors, the Contractor and subcontractors shall pay not less than the Federal minimum wage rate which most closely approximates the duties of the employees in question.

DEPARTMENT OF TRANSPORTATION

Deputy Director Transportation Engineering

Dated February 17, 2004

REG

**COPY OF ENGINEER'S ESTIMATE**

**(NOT TO BE USED FOR BIDDING PURPOSES)**

**03-**

| Item | Item Code | Item | Unit of Measure | Estimated Quantity |
|---|---|---|---|---|
| 1 | 070012 | PROGRESS SCHEDULE (CRITICAL PATH METHOD) | LS | LUMP SUM |
| 2 | 070018 | TIME-RELATED OVERHEAD | WDAY | 280 |
| 3 | 071325 | TEMPORARY FENCE (TYPE ESA) | M | 3570 |
| 4 | 074019 | PREPARE STORM WATER POLLUTION PREVENTION PLAN | LS | LUMP SUM |
| 5 | 074020 | WATER POLLUTION CONTROL | LS | LUMP SUM |
| 6 (S) | 120090 | CONSTRUCTION AREA SIGNS | LS | LUMP SUM |
| 7 (S) | 120100 | TRAFFIC CONTROL SYSTEM | LS | LUMP SUM |
| 8 (S) | 032297 | TRAFFIC CONTROL SURVEILLANCE | LS | LUMP SUM |
| 9 | 120151 | TEMPORARY TRAFFIC STRIPE (TAPE) | M | 9940 |
| 10 | 120159 | TEMPORARY TRAFFIC STRIPE (PAINT) | M | 127 000 |
| 11 (S) | 032298 | TRAFFIC PLASTIC DRUM | EA | 2850 |
| 12 | 120300 | TEMPORARY PAVEMENT MARKER | EA | 1040 |
| 13 | 032299 | TEMPORARY OBJECT MARKER | EA | 6 |
| 14 (S) | 032300 | TEMPORARY TERMINAL SYSTEM (TYPE SRT) | EA | 7 |
| 15 | 128202 | TEMPORARY DELINEATOR (CLASS 2) | EA | 93 |
| 16 (S) | 032301 | TEMPORARY LIGHTING SYSTEM | LS | LUMP SUM |
| 17 (S) | 128650 | PORTABLE CHANGEABLE MESSAGE SIGN | LS | LUMP SUM |
| 18 (S) | 129000 | TEMPORARY RAILING (TYPE K) | M | 19 800 |
| 19 (S) | 129100 | TEMPORARY CRASH CUSHION MODULE | EA | 70 |
| 20 | 150305 | OBLITERATE SURFACING | M2 | 580 |

| Item | Item Code | Item | Unit of Measure | Estimated Quantity |
|---|---|---|---|---|
| 21 | 150608 | REMOVE CHAIN LINK FENCE | M | 1210 |
| 22 | 150620 | REMOVE GATE | EA | 27 |
| 23 (S) | 150662 | REMOVE METAL BEAM GUARD RAILING | M | 1260 |
| 24 (S) | 150667 | REMOVE DOUBLE METAL BEAM BARRIER | M | 1390 |
| 25 (S) | 150668 | REMOVE FLARED END SECTION | EA | 40 |
| 26 (S) | 150701 | REMOVE YELLOW PAINTED TRAFFIC STRIPE | M | 13 100 |
| 27 (S) | 150710 | REMOVE TRAFFIC STRIPE | M | 76 700 |
| 28 | 150742 | REMOVE ROADSIDE SIGN | EA | 49 |
| 29 | 032303 | REMOVE SIGN (STRAP AND SADDLE BRACKET METHOD) | EA | 36 |
| 30 | 150760 | REMOVE SIGN STRUCTURE | EA | 5 |
| 31 | 150771 | REMOVE ASPHALT CONCRETE DIKE | M | 3760 |
| 32 | 150805 | REMOVE CULVERT | EA | 2 |
| 33 | 150820 | REMOVE INLET | EA | 64 |
| 34 | 150823 | REMOVE DOWNDRAIN | EA | 40 |
| 35 | 150857 | REMOVE ASPHALT CONCRETE SURFACING | M2 | 6700 |
| 36 | 150859 | REMOVE ASPHALT CONCRETE OVERSIDE DRAIN | EA | 2 |
| 37 | 150870 | REMOVE CONCRETE DECK SURFACE | M2 | 3260 |
| 38 | 032304 | MODIFY WRECKER ANCHOR | EA | 9 |
| 39 (S) | 151572 | RECONSTRUCT METAL BEAM GUARD RAILING | M | 4160 |
| 40 (S) | 032305 | RECONSTRUCT TERMINAL SYSTEM (TYPE SRT) | EA | 17 |

| Item | Item Code | Item | Unit of Measure | Estimated Quantity |
|---|---|---|---|---|
| 41 | 152320 | RESET ROADSIDE SIGN | EA | 1 |
| 42 | 152394 | RELOCATE SIGN STRUCTURE | EA | 3 |
| 43 | 152430 | ADJUST INLET | EA | 23 |
| 44 | 032306 | SCREEN ARRESTOR BED AGGREGATE | M3 | 1550 |
| 45 | 032307 | 200 MM PLASTIC PIPE-LINER | M | 96 |
| 46 | 152666 | 400 MM PLASTIC PIPE-LINER | M | 650 |
| 47 | 152668 | 525 MM PLASTIC PIPE-LINER | M | 2400 |
| 48 | 152669 | 675 MM PLASTIC PIPE-LINER | M | 320 |
| 49 | 032308 | 800 MM PLASTIC PIPE LINER | M | 380 |
| 50 | 032309 | 900 MM PLASTIC PIPE-LINER | M | 300 |
| 51 | 032310 | 1200 MM PLASTIC PIPE-LINER | M | 54 |
| 52 | 032311 | 300 MM IMPREGNATED CURED-IN-PLACE PIPE LINER | M | 330 |
| 53 | 032312 | 450 MM IMPREGNATED CURED-IN-PLACE PIPE LINER | M | 170 |
| 54 | 032313 | 600 MM IMPREGNATED CURED-IN-PLACE PIPE LINER | M | 440 |
| 55 | 032314 | 900 MM IMPREGNATED CURED-IN-PLACE PIPE LINER | M | 80 |
| 56 | 032315 | 1050 MM IMPREGNATED CURED-IN-PLACE PIPE LINER | M | 120 |
| 57 (S) | 153103 | COLD PLANE ASPHALT CONCRETE PAVEMENT | M2 | 2540 |
| 58 | 153215 | REMOVE CONCRETE (CURB AND GUTTER) | M | 250 |
| 59 | 153221 | REMOVE CONCRETE BARRIER | M | 270 |
| 60 | 155003 | CAP INLET | EA | 2 |

| Item | Item Code | Item | Unit of Measure | Estimated Quantity |
|---|---|---|---|---|
| 61 | 160101 | CLEARING AND GRUBBING | LS | LUMP SUM |
| 62 | 190101 | ROADWAY EXCAVATION | M3 | 13 900 |
| 63 | 192036 | STRUCTURE EXCAVATION (CRIB WALL) | M3 | 210 |
| 64 | 193012 | STRUCTURE BACKFILL (CRIB WALL) | M3 | 270 |
| 65 | 032316 | ARRESTOR BED AGGREGATE | M3 | 580 |
| 66 | 194001 | DITCH EXCAVATION | M3 | 2450 |
| 67 | 198001 | IMPORTED BORROW | M3 | 10 800 |
| 68 | 198007 | IMPORTED MATERIAL (SHOULDER BACKING) | M3 | 370 |
| 69 (S) | 202007 | DUFF | M2 | 20 000 |
| 70 (S) | 203003 | STRAW (EROSION CONTROL) | TONN | 20 |
| 71 (S) | 203014 | FIBER (EROSION CONTROL) | KG | 4000 |
| 72 (S) | 203024 | COMPOST (EROSION CONTROL) | KG | 10 000 |
| 73 (S) | 203026 | MOVE-IN/MOVE-OUT (EROSION CONTROL) | EA | 6 |
| 74 (S) | 203045 | PURE LIVE SEED (EROSION CONTROL) | KG | 225 |
| 75 (S) | 203056 | COMMERCIAL FERTILIZER (EROSION CONTROL) | KG | 6000 |
| 76 (S) | 203061 | STABILIZING EMULSION (EROSION CONTROL) | KG | 1000 |
| 77 (S) | 204017 | PLANT (GROUP W) | EA | 1000 |
| 78 | 260201 | CLASS 2 AGGREGATE BASE | M3 | 13 300 |
| 79 | 260210 | AGGREGATE BASE (APPROACH SLAB) | M3 | 85 |
| 80 | 280000 | LEAN CONCRETE BASE | M3 | 2430 |

| Item | Item Code | Item | Unit of Measure | Estimated Quantity |
|---|---|---|---|---|
| 81 | 377501 | SLURRY SEAL | TONN | 320 |
| 82 | 390095 | REPLACE ASPHALT CONCRETE SURFACING | M3 | 850 |
| 83 | 390155 | ASPHALT CONCRETE (TYPE A) | TONN | 15 400 |
| 84 | 394002 | PLACE ASPHALT CONCRETE (MISCELLANEOUS AREA) | M2 | 620 |
| 85 | 394040 | PLACE ASPHALT CONCRETE DIKE (TYPE A) | M | 2650 |
| 86 | 394044 | PLACE ASPHALT CONCRETE DIKE (TYPE C) | M | 1860 |
| 87 | 394048 | PLACE ASPHALT CONCRETE DIKE (TYPE E) | M | 1750 |
| 88 | 394049 | PLACE ASPHALT CONCRETE DIKE (TYPE F) | M | 1890 |
| 89 | 397001 | ASPHALTIC EMULSION (PAINT BINDER) | TONN | 14 |
| 90 | 401000 | CONCRETE PAVEMENT | M3 | 74 800 |
| 91 | 032317 | INTERMEDIATE PAVEMENT ANCHOR | EA | 12 |
| 92 | 401082 | SHOULDER RUMBLE STRIP (PCC, GROUND-IN INDENTATIONS) | STA | 18 |
| 93 | 404092 | SEAL PAVEMENT JOINT | M | 65 500 |
| 94 | 404094 | SEAL LONGITUDINAL ISOLATION JOINT | M | 59 500 |
| 95 (F) | 510053 | STRUCTURAL CONCRETE, BRIDGE | M3 | 3 |
| 96 | 049597 | STRUCTURE CONCRETE APPROACH SLAB (TYPE R(9D) | M3 | 217 |
| 97 | 049598 | STRUCTURE CONCRETE APPROACH SLAB (TYPE(9S)) | M3 | 153 |
| 98 | 032318 | MINOR CONCRETE (SHOULDER BARRIER SLAB AND FOOTING) | M3 | 920 |
| 99 (F) | 510502 | MINOR CONCRETE (MINOR STRUCTURE) | M3 | 304 |
| 100 | 510800 | PAVING NOTCH EXTENSION | M3 | 5.5 |

| Item | Item Code | Item | Unit of Measure | Estimated Quantity |
|---|---|---|---|---|
| 101 | 511106 | DRILL AND BOND DOWEL | M | 48 |
| 102 | 513601 | REINFORCED CONCRETE CRIB WALL (TYPE A) | M2 | 90 |
| 103 | 515041 | FURNISH POLYESTER CONCRETE OVERLAY | M3 | 66 |
| 104 (F) | 515042 | PLACE POLYESTER CONCRETE OVERLAY | M2 | 3260 |
| 105 (S) | 519117 | JOINT SEAL (MR 30 MM) | M | 138 |
| 106 (S-F) | 520106 | BAR REINFORCING STEEL (EPOXY COATED) | KG | 520 |
| 107 (S-F) | 560218 | FURNISH SIGN STRUCTURE (TRUSS) | KG | 19 600 |
| 108 (S-F) | 560219 | INSTALL SIGN STRUCTURE (TRUSS) | KG | 19 600 |
| 109 (S) | 561008 | 760 MM CAST-IN-DRILLED-HOLE CONCRETE PILE (SIGN FOUNDATION) | M | 14 |
| 110 (S) | 561009 | 920 MM CAST-IN-DRILLED-HOLE CONCRETE PILE (SIGN FOUNDATION) | M | 22 |
| 111 | 566011 | ROADSIDE SIGN - ONE POST | EA | 29 |
| 112 | 566012 | ROADSIDE SIGN - TWO POST | EA | 17 |
| 113 | 568001 | INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD) | EA | 80 |
| 114 | 568016 | INSTALL SIGN PANEL ON EXISTING FRAME | M2 | 61 |
| 115 | 620909 | 450 MM ALTERNATIVE PIPE CULVERT | M | 9 |
| 116 | 620913 | 600 MM ALTERNATIVE PIPE CULVERT | M | 220 |
| 117 | 681990 | FILTER FABRIC | M2 | 670 |
| 118 | 682001 | PERMEABLE MATERIAL | M3 | 90 |
| 119 | 690160 | 300 MM CORRUGATED STEEL PIPE DOWNDRAIN (2.01 MM THICK) | M | 280 |
| 120 | 690166 | 450 MM CORRUGATED STEEL PIPE DOWNDRAIN (2.01 MM THICK) | M | 71 |

| Item | Item Code | Item | Unit of Measure | Estimated Quantity |
|---|---|---|---|---|
| 121 | 690170 | 600 MM CORRUGATED STEEL PIPE DOWNDRAIN (2.01 MM THICK) | M | 360 |
| 122 | 690175 | 750 MM CORRUGATED STEEL PIPE DOWNDRAIN (2.01 MM THICK) | M | 35 |
| 123 | 692088 | 300 MM ENTRANCE TAPER | EA | 18 |
| 124 | 692245 | 300 MM DOWNDRAIN SLIP JOINT | EA | 18 |
| 125 | 692383 | 300 MM ANCHOR ASSEMBLY | EA | 68 |
| 126 | 692385 | 450 MM ANCHOR ASSEMBLY | EA | 19 |
| 127 | 692386 | 600 MM ANCHOR ASSEMBLY | EA | 98 |
| 128 | 692387 | 750 MM ANCHOR ASSEMBLY | EA | 4 |
| 129 | 705044 | 450 MM STEEL FLARED END SECTION | EA | 8 |
| 130 | 705045 | 600 MM STEEL FLARED END SECTION | EA | 25 |
| 131 | 705047 | 750 MM STEEL FLARED END SECTION | EA | 4 |
| 132 | 705048 | 900 MM STEEL FLARED END SECTION | EA | 5 |
| 133 | 705049 | 1050 MM STEEL FLARED END SECTION | EA | 4 |
| 134 | 705051 | 1350 MM STEEL FLARED END SECTION | EA | 1 |
| 135 | 720119 | ROCK SLOPE PROTECTION (1T, METHOD A) | M3 | 210 |
| 136 | 720120 | ROCK SLOPE PROTECTION (1/2T, METHOD A) | M3 | 460 |
| 137 | 721009 | ROCK SLOPE PROTECTION (FACING, METHOD B) | M3 | 9510 |
| 138 | 721012 | ROCK SLOPE PROTECTION (BACKING NO. 3, METHOD B) | M3 | 170 |
| 139 | 729010 | ROCK SLOPE PROTECTION FABRIC | M2 | 37 900 |
| 140 | 731502 | MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION) | M3 | 90 |

| Item | Item Code | Item | Unit of Measure | Estimated Quantity |
|---|---|---|---|---|
| 141 (F) | 750001 | MISCELLANEOUS IRON AND STEEL | KG | 21 543 |
| 142 | 049599 | SNOW PLOW DEFLECTORS | EA | 92 |
| 143 (S) | 800391 | CHAIN LINK FENCE (TYPE CL-1.8) | M | 1270 |
| 144 (S) | 802592 | 2.4 M CHAIN LINK GATE (TYPE CL-1.8) | EA | 23 |
| 145 (S) | 802596 | 3.7 M CHAIN LINK GATE (TYPE CL-1.8) | EA | 7 |
| 146 | 032319 | CONCRETE BARRIER DELINEATOR (400MM) | EA | 10 |
| 147 | 820108 | DELINEATOR (CLASS 2) | EA | 590 |
| 148 | 032320 | HIGHWAY POST MARKER | EA | 19 |
| 149 | 820151 | OBJECT MARKER (TYPE L-1) | EA | 28 |
| 150 | 032321 | CONCRETE BARRIER MARKER (NON-IMPACTABLE) | EA | 490 |
| 151 (S) | 832001 | METAL BEAM GUARD RAILING | M | 1950 |
| 152 (S) | 839201 | DOUBLE METAL BEAM BARRIER | M | 150 |
| 153 (S) | 839551 | TERMINAL SECTION (TYPE B) | EA | 8 |
| 154 (S) | 839553 | END SECTION | EA | 4 |
| 155 (S) | 839565 | TERMINAL SYSTEM (TYPE SRT) | EA | 18 |
| 156 (S) | 839568 | TERMINAL ANCHOR ASSEMBLY (TYPE SFT) | EA | 28 |
| 157 | 032322 | CONCRETE BARRIER (TRANSITION) | M | 15 |
| 158 | 032323 | CONCRETE BARRIER (TYPE 60R) | M | 120 |
| 159 | 839710 | CONCRETE BARRIER (TYPE 60S) | M | 890 |
| 160 | 839712 | CONCRETE BARRIER (TYPE 60SC) | M | 6040 |

| Item | Item Code | Item | Unit of Measure | Estimated Quantity |
|---|---|---|---|---|
| 161 | 839720 | CONCRETE BARRIER (TYPE 732) | M | 400 |
| 162 (S) | 840572 | 100 MM THERMOPLASTIC TRAFFIC STRIPE (RECESSED) | M | 7320 |
| 163 (S) | 032324 | 200 MM THERMOPLASTIC TRAFFIC STRIPE (RECESSED) | M | 3720 |
| 164 (S) | 840564 | 200 MM THERMOPLASTIC TRAFFIC STRIPE (BROKEN 3.66 M - 0.92 M) | M | 970 |
| 165 (S) | 032325 | 100 MM THERMOPLASTIC TRAFFIC STRIPE (RECESSED, BROKEN 5.18 M - 2.14 M) | M | 440 |
| 166 (S) | 840573 | 100 MM THERMOPLASTIC TRAFFIC STRIPE (RECESSED, BROKEN 10.98 M - 3.66 M) | M | 40 800 |
| 167 (S) | 840575 | 100 MM TWO-COMPONENT PAINT TRAFFIC STRIPE | M | 68 700 |
| 168 (S) | 840661 | TWO-COMPONENT PAINT PAVEMENT MARKING | M2 | 150 |
| 169 (S) | 860504 | EXTINGUISHABLE MESSAGE SIGN SYSTEM | LS | LUMP SUM |
| 170 (S) | 860520 | HIGHWAY ADVISORY RADIO SYSTEM | LS | LUMP SUM |
| 171 (S) | 032326 | ROADWAY INFORMATION SYSTEM | LS | LUMP SUM |
| 172 (S) | 860530 | CHANGEABLE MESSAGE SIGN SYSTEM | LS | LUMP SUM |
| 173 (S) | 860990 | CLOSED CIRCUIT TELEVISION SYSTEM | LS | LUMP SUM |
| 174 (S) | 861504 | MODIFY LIGHTING AND SIGN ILLUMINATION | LS | LUMP SUM |
| 175 (S) | 032327 | FIBER OPTIC SYSTEM | LS | LUMP SUM |
| 176 | 999990 | MOBILIZATION | LS | LUMP SUM |

**STATE OF CALIFORNIA**

**DEPARTMENT OF TRANSPORTATION**

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# SPECIAL PROVISIONS

**Annexed to Contract No. 03-1A8014**

**SECTION 1. SPECIFICATIONS AND PLANS**

The work embraced herein shall conform to the provisions in the Standard Specifications dated July 1999, and the Standard Plans dated July 1999, of the Department of Transportation insofar as the same may apply, and these special provisions.

In case of conflict between the Standard Specifications and these special provisions, the special provisions shall take precedence over and shall be used in lieu of the conflicting portions.

# AMENDMENTS TO JULY 1999 STANDARD SPECIFICATIONS

**UPDATED December 23, 2003**

Amendments to the Standard Specifications set forth in these special provisions shall be considered as part of the Standard Specifications for the purposes set forth in Section 5-1.04, "Coordination and Interpretation of Plans, Standard Specifications and Special Provisions," of the Standard Specifications. Whenever either the term "Standard Specifications is amended" or the term "Standard Specifications are amended" is used in the special provisions, the text or table following the term shall be considered an amendment to the Standard Specifications. In case of conflict between such amendments and the Standard Specifications, the amendments shall take precedence over and be used in lieu of the conflicting portions.

**SECTION 2: PROPOSAL REQUIREMENTS AND CONDITIONS**

Issue Date: June 19, 2003

Section 2-1.03, "Examination of Plans, Specifications, Contract, and Site of Work," of the Standard Specifications is amended to read:

**2-1.03 Examination of Plans, Specifications, Contract, and Site of Work**

- The bidder shall examine carefully the site of the work contemplated, the plans and specifications, and the proposal and contract forms therefor. The submission of a bid shall be conclusive evidence that the bidder has investigated and is satisfied as to the general and local conditions to be encountered, as to the character, quality and scope of work to be performed, the quantities of materials to be furnished and as to the requirements of the proposal, plans, specifications and the contract.
- The submission of a bid shall also be conclusive evidence that the bidder is satisfied as to the character, quality and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information was reasonably ascertainable from an inspection of the site and the records of exploratory work done by the Department as shown in the bid documents, as well as from the plans and specifications made a part of the contract.
- Where the Department has made investigations of site conditions including subsurface conditions in areas where work is to be performed under the contract, or in other areas, some of which may constitute possible local material sources, bidders or contractors may, upon written request, inspect the records of the Department as to those investigations subject to and upon the conditions hereinafter set forth.
- Where there has been prior construction by the Department or other public agencies within the project limits, records of the prior construction that are currently in the possession of the Department and which have been used by, or are

known to, the designers and administrators of the project will be made available for inspection by bidders or contractors, upon written request, subject to the conditions hereinafter set forth. The records may include, but are not limited to, as-built drawings, design calculations, foundation and site studies, project reports and other data assembled in connection with the investigation, design, construction and maintenance of the prior projects.

- Inspection of the records of investigations and project records may be made at the office of the district in which the work is situated, or in the case of records of investigations related to structure work, at the Transportation Laboratory in Sacramento, California.
- When a log of test borings or other record of geotechnical data obtained by the Department's investigation of surface and subsurface conditions is included with the contract plans, it is furnished for the bidders' or Contractor's information and its use shall be subject to the conditions and limitations set forth in this Section 2-1.03.
- In some instances, information considered by the Department to be of possible interest to bidders or contractors has been compiled as "Materials Information." The use of the "Materials Information" shall be subject to the conditions and limitations set forth in this Section 2-1.03 and Section 6-2, "Local Materials."
- When cross sections are not included with the plans, but are available, bidders or contractors may inspect the cross sections and obtain copies for their use, at their expense.
- When cross sections are included with the contract plans, it is expressly understood and agreed that the cross sections do not constitute part of the contract, do not necessarily represent actual site conditions or show location, character, dimensions and details of work to be performed, and are included in the plans only for the convenience of bidders and their use is subject to the conditions and limitations set forth in this Section 2-1.03.
- When contour maps were used in the design of the project, the bidders may inspect those maps, and if available, they may obtain copies for their use.
- The availability or use of information described in this Section 2-1.03 is not to be construed in any way as a waiver of the provisions of the first paragraph in this Section 2-1.03 and bidders and contractors are cautioned to make independent investigations and examinations as they deem necessary to be satisfied as to conditions to be encountered in the performance of the work and, with respect to possible local material sources, the quality and quantity of material available from the property and the type and extent of processing that may be required in order to produce material conforming to the requirements of the specifications.
- The Department assumes no responsibility for conclusions or interpretations made by a bidder or contractor based on the information or data made available by the Department. The Department does not assume responsibility for representation made by its officers or agents before the execution of the contract concerning surface or subsurface conditions, unless that representation is expressly stated in the contract.
- No conclusions or interpretations made by a bidder or contractor from the information and data made available by the Department will relieve a bidder or contractor from properly fulfilling the terms of the contract.

## SECTION 5: CONTROL OF WORK

Issue Date: December 31, 2001

Section 5-1.02A, "Trench Excavation Safety Plans," of the Standard Specifications is amended to read:

### 5-1.02A Excavation Safety Plans

- The Construction Safety Orders of the Division of Occupational Safety and Health shall apply to all excavations. For all excavations 1.5 m or more in depth, the Contractor shall submit to the Engineer a detailed plan showing the design and details of the protective systems to be provided for worker protection from the hazard of caving ground during excavation. The detailed plan shall include any tabulated data and any design calculations used in the preparation of the plan. Excavation shall not begin until the detailed plan has been reviewed and approved by the Engineer.
- Detailed plans of protective systems for which the Construction Safety Orders require design by a registered professional engineer shall be prepared and signed by an engineer who is registered as a Civil Engineer in the State of California, and shall include the soil classification, soil properties, soil design calculations that demonstrate adequate stability of the protective system, and any other design calculations used in the preparation of the plan.
- No plan shall allow the use of a protective system less effective than that required by the Construction Safety Orders.
- If the detailed plan includes designs of protective systems developed only from the allowable configurations and slopes, or Appendices, contained in the Construction Safety Orders, the plan shall be submitted at least 5 days before the Contractor intends to begin excavation. If the detailed plan includes designs of protective systems developed from tabulated data, or designs for which design by a registered professional engineer is required, the plan shall be submitted at least 3 weeks before the Contractor intends to begin excavation.
- Attention is directed to Section 7-1.01E, "Trench Safety."

## SECTION 9: MEASUREMENT AND PAYMENT

Issue Date: November 18, 2002

Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications is amended to read:

### 9-1.04 NOTICE OF POTENTIAL CLAIM

- It is the intention of this section that disputes between the parties arising under and by virtue of the contract be brought to the attention of the Engineer at the earliest possible time in order that the matters may be resolved, if possible, or other appropriate action promptly taken.
- Disputes will not be considered unless the Contractor has first complied with specified notice or protest requirements, including Section 4-1.03, "Changes," Section 5-1.116, "Differing Site Conditions," Section 8-1.06, "Time of Completion," Section 8-1.07, "Liquidated Damages," and Section 8-1.10, "Utility and Non-Highway Facilities."
- For disputes arising under and by virtue of the contract, including an act or failure to act by the Engineer, the Contractor shall provide a signed written initial notice of potential claim to the Engineer within 5 days from the date the dispute first arose. The initial notice of potential claim shall provide the nature and circumstances involved in the dispute which shall remain consistent through the dispute. The initial notice of potential claim shall be submitted on Form CEM-6201A furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655. The Contractor shall assign an exclusive identification number for each dispute, determined by chronological sequencing, based on the date of the dispute.
- The exclusive identification number for each dispute shall be used on the following corresponding documents:

A. Initial notice of potential claim.
B. Supplemental notice of potential claim.
C. Full and final documentation of potential claim.
D. Corresponding claim included in the Contractor's written statement of claims.

- The Contractor shall provide the Engineer the opportunity to examine the site of work within 5 days from the date of the initial notice of potential claim. The Contractor shall proceed with the performance of contract work unless otherwise specified or directed by the Engineer.
- Throughout the disputed work, the Contractor shall maintain records that provide a clear distinction between the incurred direct costs of disputed work and that of undisputed work. The Contractor shall allow the Engineer access to the Contractor's project records deemed necessary by the Engineer to evaluate the potential claim within 20 days of the date of the Engineer's written request.
- Within 15 days of submitting the initial notice of potential claim, the Contractor shall provide a signed supplemental notice of potential claim to the Engineer that provides the following information:

A. The complete nature and circumstances of the dispute which caused the potential claim.
B. The contract provisions that provide the basis of claim.
C. The estimated cost of the potential claim, including an itemized breakdown of individual costs and how the estimate was determined.
D. A time impact analysis of the project schedule that illustrates the effect on the scheduled completion date due to schedule changes or disruptions where a request for adjustment of contract time is made.

- The information provided in items A and B above shall provide the Contractor's complete reasoning for additional compensation or adjustments.
- The supplemental notice of potential claim shall be submitted on Form CEM-6201B furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655. The Engineer will evaluate the information presented in the supplemental notice of potential claim and provide a written response to the Contractor within 20 days of its receipt. If the estimated cost or effect on the scheduled completion date changes, the Contractor shall update information in items C and D above as soon as the change is recognized and submit this information to the Engineer.
- Within 30 days of the completion of work related to the potential claim, the Contractor shall provide the full and final documentation of potential claim to the Engineer that provides the following information:

A. A detailed factual narration of events fully describing the nature and circumstances that caused the dispute, including, but not limited to, necessary dates, locations, and items of work affected by the dispute.

B. The specific provisions of the contract that support the potential claim and a statement of the reasons these provisions support and provide a basis for entitlement of the potential claim.

C. When additional monetary compensation is requested, the exact amount requested calculated in conformance with Section 9-1.03, "Force Account Payment," or Section 8-1.09, "Right of Way Delays," including an itemized breakdown of individual costs. These costs shall be segregated into the following cost categories:

1. Labor – A listing of individuals, classifications, regular hours and overtime hours worked, dates worked, and other pertinent information related to the requested reimbursement of labor costs.
2. Materials – Invoices, purchase orders, location of materials either stored or incorporated into the work, dates materials were transported to the project or incorporated into the work, and other pertinent information related to the requested reimbursement of material costs.
3. Equipment – Listing of detailed description (make, model, and serial number), hours of use, dates of use and equipment rates. Equipment rates shall be at the applicable State rental rate as listed in the Department of Transportation publication entitled "Labor Surcharge and Equipment Rental Rates," in effect when the affected work related to the dispute was performed.
4. Other categories as specified by the Contractor or the Engineer.

A. When an adjustment of contract time is requested the following information shall be provided:

1. The specific dates for which contract time is being requested.
2. The specific reasons for entitlement to a contract time adjustment.
3. The specific provisions of the contract that provide the basis for the requested contract time adjustment.
4. A detailed time impact analysis of the project schedule. The time impact analysis shall show the effect of changes or disruptions on the scheduled completion date to demonstrate entitlement to a contract time adjustment.

B. The identification and copies of the Contractor's documents and the substance of oral communications that support the potential claim.

- The full and final documentation of the potential claim shall be submitted on Form CEM-6201C furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655.
- Pertinent information, references, arguments, and data to support the potential claim shall be included in the full and final documentation of potential claim. Information submitted subsequent to the full and final documentation submittal will not be considered. Information required in the full and final documentation of potential claim, as listed in items A to E above, that is not applicable to the dispute may be exempted as determined by the Engineer. No full and final documentation of potential claim will be considered that does not have the same nature and circumstances, and basis of claim as those specified on the initial and supplemental notices of potential claim.
- The Engineer will evaluate the information presented in the full and final documentation of potential claim and provide a written response to the Contractor within 30 days of its receipt unless otherwise specified. The Engineer's receipt of the full and final documentation of potential claim shall be evidenced by postal receipt or the Engineer's written receipt if delivered by hand. If the full and final documentation of potential claim is submitted by the Contractor after acceptance of the work by the Director, the Engineer need not provide a written response.
- Provisions in this section shall not apply to those claims for overhead costs and administrative disputes that occur after issuance of the proposed final estimate. Administrative disputes are disputes of administrative deductions or retentions, contract item quantities, contract item adjustments, interest payments, protests of contract change orders as provided in Section 4-1.03A, "Procedure and Protest," and protests of the weekly statement of working days as provided in Section 8-1.06, "Time of Completion." Administrative disputes that occur prior to issuance of the proposed final estimate shall follow applicable requirements of this section. Information listed in the supplemental notice and full and final documentation of potential claim that is not applicable to the administrative dispute may be exempted as determined by the Engineer.
- Unless otherwise specified in the special provisions, the Contractor may pursue the administrative claim process pursuant to Section 9-1.07B, "Final Payment and Claims," for any potential claim found by the Engineer to be without merit.
- Failure of the Contractor to conform to specified dispute procedures shall constitute a failure to pursue diligently and exhaust the administrative procedures in the contract, and is deemed as the Contractor's waiver of the potential claim and a waiver of the right to a corresponding claim for the disputed work in the administrative claim process in conformance with Section 9-1.07B, "Final Payment of Claims," and shall operate as a bar to arbitration pursuant to Section 10240.2 of the California Public Contract Code.

Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications is amended to read:

**9-1.07B Final Payment and Claims**

• After acceptance by the Director, the Engineer will make a proposed final estimate in writing of the total amount payable to the Contractor, including an itemization of the total amount, segregated by contract item quantities, extra work and other bases for payment, and shall also show each deduction made or to be made for prior payments and amounts to be kept or retained under the provisions of the contract. Prior estimates and payments shall be subject to correction in the proposed final estimate. The Contractor shall submit written approval of the proposed final estimate or a written statement of claims arising under or by virtue of the contract so that the Engineer receives the written approval or statement of claims no later than close of business of the thirtieth day after receiving the proposed final estimate. If the thirtieth day falls on a Saturday, Sunday or legal holiday, then receipt of the written approval or statement of claims by the Engineer shall not be later than close of business of the next business day. The Contractor's receipt of the proposed final estimate shall be evidenced by postal receipt. The Engineer's receipt of the Contractor's written approval or statement of claims shall be evidenced by postal receipt or the Engineer's written receipt if delivered by hand.

• On the Contractor's approval, or if the Contractor files no claim within the specified period of 30 days, the Engineer will issue a final estimate in writing in conformance with the proposed final estimate submitted to the Contractor, and within 30 days thereafter the State will pay the entire sum so found to be due. That final estimate and payment thereon shall be conclusive and binding against both parties to the contract on all questions relating to the amount of work done and the compensation payable therefor, except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

• If the Contractor within the specified period of 30 days files claims, the Engineer will issue a semifinal estimate in conformance with the proposed final estimate submitted to the Contractor and within 30 days thereafter the State will pay the sum found to be due. The semifinal estimate and corresponding payment shall be conclusive and binding against both parties to the contract on each question relating to the amount of work done and the compensation payable therefor, except insofar as affected by the claims filed within the time and in the manner required hereunder and except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

• Except for claims for overhead costs and administrative disputes that occur after issuance of the proposed final estimate, the Contractor shall only provide the following two items of information for each claim:

A. The exclusive identification number that corresponds to the supporting full and final documentation of potential claim.
B. The final amount of requested additional compensation.

• If the final amount of requested additional compensation is different than the amount of requested compensation included in the full and final documentation of potential claim, the Contractor shall provide in the written statement of claims the reasons for the changed amount, the specific provisions of the contract which support the changed amount, and a statement of the reasons the provisions support and provide a basis for the changed amount. If the Contractor's claim fails to provide an exclusive identification number or if there is a disparity in the provided exclusive identification number, the Engineer will notify the Contractor of the omission or disparity. The Contractor shall have 15 days after receiving notification from the Engineer to correct the omission or disparity. If after the 15 days has elapsed, there is still an omission or disparity of the exclusive identification number assigned to the claim, the Engineer will assign the number. No claim will be considered that has any of the following deficiencies:

A. The claim does not have the same nature, circumstances, and basis as the corresponding full and final documentation of potential claim.
B. The claim does not have a corresponding full and final documentation of potential claim.
C. The claim was not included in the written statement of claims.
D. The Contractor did not comply with applicable notice or protest requirements of Sections 4-1.03, "Changes," 5-1.116, "Differing Site Condition," 8-1.06, "Time of Completion," 8-1.07, "Liquidated Damages," 8-1.10, "Utility and Non-Highway Facilities," and 9-1.04, "Notice of Potential Claim."

• Administrative disputes that occur after issuance of the proposed final estimate shall be included in the Contractor's written statement of claims in sufficient detail to enable the Engineer to ascertain the basis and amounts of those claims.

• The Contractor shall keep full and complete records of the costs and additional time incurred for work for which a claim for additional compensation is made. The Engineer or designated claim investigators or auditors shall have access to those records and any other records as may be required by the Engineer to determine the facts or contentions involved in the claims. Failure to permit access to those records shall be sufficient cause for denying the claims.

- The written statement of claims submitted by the Contractor shall be accompanied by a notarized certificate containing the following language:

> Under the penalty of law for perjury or falsification and with specific reference to the California False Claims Act, Government Code Section 12650 et. seq., the undersigned,
>
> _____ .
> *(name)*
>
> _____ *of*
> *(title)*
>
> _____ .
> *(company)*
>
> hereby certifies that the claim for the additional compensation and time, if any, made herein for the work on this contract is a true statement of the actual costs incurred and time sought, and is fully documented and supported under the contract between parties.
>
> Dated _____
>
> /s/ _____
>
> Subscribed and sworn before me this _____ day
>
> of _____ .
>
> _____
> *(Notary Public)*
> My Commission
> Expires _____

- Failure to submit the notarized certificate will be sufficient cause for denying the claim.
- Claims for overhead type expenses or costs, in addition to being certified as stated above, shall be supported and accompanied by an audit report of an independent Certified Public Accountant. Omission of a supporting audit report of an independent Certified Public Accountant shall result in denial of the claim and shall operate as a bar to arbitration, as to the claim, in conformance with the requirements in Section 10240.2 of the California Public Contract Code. Claims for overhead type expenses or costs shall be subject to audit by the State at its discretion. The costs of performing an audit examination and submitting the report shall be borne by the Contractor. The Certified Public Accountant's audit examination shall be performed in conformance with the requirements of the American Institute of Certified Public Accountants Attestation Standards. The audit examination and report shall depict the Contractor's project and company-wide financial records and shall specify the actual overall average daily rates for both field and home office overhead for the entire duration of the project, and whether the costs have been properly allocated. The rates of field and home office overhead shall exclude unallowable costs as determined in Title 48 of the Federal Acquisition Regulations, Chapter 1, Part 31. The audit examination and report shall determine if the rates of field and home office overhead are:

A. Allowable in conformance with the requirements in Title 48 of the Federal Acquisition Regulations, Chapter 1, Part 31.
B. Adequately supported by reliable documentation.
C. Related solely to the project under examination.

- Costs or expenses incurred by the State in reviewing or auditing claims that are not supported by the Contractor's cost accounting or other records shall be deemed to be damages incurred by the State within the meaning of the California False Claims Act.
- If the Contractor files a timely written statement of claims in response to the proposed final estimate, the District that administers the contract will submit a claim position letter to the Contractor by hand delivery or deposit in the U.S. mail within 135 days of acceptance of the contract. The claim position letter will delineate the District's position on the Contractor's claims. If the Contractor disagrees with the claim position letter, the Contractor shall submit a written notification of its disagreement and a written request to meet with the board of review, to be received by the District not later than 15 days after the Contractor's receipt of the claim position letter. The written notification of disagreement shall set forth the basis for the Contractor's disagreement and be submitted to the office designated in the claim position letter. The Contractor's failure to provide a timely written notification of disagreement or timely written request to meet with the board

of review shall constitute the Contractor's acceptance and agreement with the determinations provided in the claim position letter and with final payment pursuant to the claim position letter.

- If the Contractor files a timely notification of disagreement with the District claim position letter and a timely request to meet with the board of review, then the board of review, designated by the District Director to review claims that remain in dispute, will meet with the Contractor within 45 days after receipt by the District of the notification of disagreement.
- If the District fails to submit a claim position letter to the Contractor within 135 days after the acceptance of the contract and the Contractor has claims that remain in dispute, the Contractor may request a meeting with the board of review designated by the District Director to review claims that remain in dispute. The Contractor's request for a meeting shall identify the claims that remain in dispute. If the Contractor files a request for a meeting, the board of review will meet with the Contractor within 45 days after the District receives the request for the meeting.
- Attendance by the Contractor at the board of review meeting shall be mandatory. The board of review will review those claims and make a written recommendation thereon to the District Director. The final determination of claims, made by the District Director, will be sent to the Contractor by hand delivery or deposit in the U.S. mail. The Engineer will then make and issue the Engineer's final estimate in writing and within 30 days thereafter the State will pay the entire sum, if any, found due thereon. That final estimate shall be conclusive and binding against both parties to the contract on all questions relating to the amount of work done and the compensation payable therefor, except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."
- Failure of the Contractor to conform to the specified dispute procedures shall constitute a failure to pursue diligently and exhaust the administrative procedures in the contract and shall operate as a bar to arbitration in conformance with the requirements in Section 10240.2 of the California Public Contract Code.

## SECTION 19: EARTHWORK

Issue Date: December 31, 2001

The third paragraph of Section 19-1.02, "Preservation of Property," of the Standard Specifications is amended to read:

- In addition to the provisions in Sections 5-1.02, "Plans and Working Drawings," and 5-1.02A, "Excavation Safety Plans," detailed plans of the protective systems for excavations on or affecting railroad property will be reviewed for adequacy of protection provided for railroad facilities, property, and traffic. These plans shall be submitted at least 9 weeks before the Contractor intends to begin excavation requiring the protective systems. Approval by the Engineer of the detailed plans for the protective systems will be contingent upon the plans being satisfactory to the railroad company involved.

## SECTION 42: GROOVE AND GRIND PAVEMENT

Issue Date: December 31, 2001

The last sentence of the first subparagraph of the third paragraph in Section 42-2.02, "Construction," of the Standard Specifications is amended to read:

- After grinding has been completed, the pavement shall conform to the straightedge and profile requirements specified in Section 40-1.10, "Final Finishing."

## SECTION 49: PILING

Issue Date: November 06, 2003

The first paragraph in Section 49-1.03, "Determination of Length," of the Standard Specifications is amended to read:

- Foundation piles of any material shall be of such length as is required to develop the nominal resistance, to obtain the specified penetration, and to extend into the cap or footing block as shown on the plans, or specified in the special provisions.

The fourth paragraph in Section 49-1.03, "Determination of Length," of the Standard Specifications is amended to read:

• Modification to the specified installation methods and specified pile tip elevation will not be considered at locations where tension or lateral load demands control design pile tip elevations or when the plans state that specified pile tip elevation shall not be revised.

The sixth and seventh paragraphs in Section 49-1.03, "Determination of Length," of the Standard Specifications are amended to read:

• Indicator compression pile load testing shall conform to the requirements in ASTM Designation: D 1143. The pile shall sustain the first compression test load applied which is equal to the nominal resistance in compression, as shown on the plans, with no more than 13 mm total vertical movement at the top of the pile measured relative to the top of the pile prior to the start of compression load testing.

• Indicator tension pile load testing shall conform to the requirements in ASTM Designation: D 3689. The loading apparatus described as "Load Applied to Pile by Hydraulic Jack(s) Acting at One End of Test Beam(s) Anchored to the Pile" shall not be used. The pile shall sustain the first tension test load applied which is equal to the nominal resistance in tension, as shown on the plans, with no more than 13 mm total vertical movement at the top of the pile measured relative to the top of the pile prior to the start of tension load testing.

The ninth paragraph in Section 49-1.03, "Determination of Length," of the Standard Specifications is amended to read:

• For driven piling, the Contractor shall furnish piling of sufficient length to obtain both the specified tip elevation and nominal resistance shown on the plans or specified in the special provisions. For cast-in-drilled-hole concrete piling, the Contractor shall construct piling of such length to develop the nominal resistance in compression and to obtain the specified tip elevation shown on the plans or specified in the special provisions.

The tenth paragraph in Section 49-1.03, "Determination of Length," of the Standard Specifications is deleted.

The fourth paragraph in Section 49-1.04, "Load Test Piles," of the Standard Specifications is amended to read:

• Load test piles and anchor piles which are not to be incorporated in the completed structure shall be removed in conformance with the provisions in Section 15-4.02, "Removal Methods," and the remaining holes shall be backfilled with earth or other suitable material approved by the Engineer.

The first paragraph in Section 49-1.05, "Driving Equipment," of the Standard Specifications is amended to read:

• Driven piles shall be installed with impact hammers that are approved in writing by the Engineer. Impact hammers shall be steam, hydraulic, air or diesel hammers. Impact hammers shall develop sufficient energy to drive the piles at a penetration rate of not less than 3 mm per blow at the specified nominal resistance.

The seventh paragraph in Section 49-1.05, "Driving Equipment," of the Standard Specifications is amended to read:

• When necessary to obtain the specified penetration and when authorized by the Engineer, the Contractor may supply and operate one or more water jets and pumps, or furnish the necessary drilling apparatus and drill holes not greater than the least dimension of the pile to the proper depth and drive the piles therein. Jets shall not be used at locations where the stability of embankments or other improvements would be endangered. In addition, for steel piles, steel shells, or steel casings, when necessary to obtain the specified penetration or to prevent damage to the pile during installation, the Contractor shall provide special driving tips or heavier pile sections or take other measures as approved by the Engineer.

• The use of followers or underwater hammers for driving piles will be permitted if authorized in writing by the Engineer. When a follower or underwater hammer is used, its efficiency shall be verified by furnishing the first pile in each bent or footing sufficiently long and driving the pile without the use of a follower or underwater hammer.

The second paragraph in Section 49-1.07, "Driving," of the Standard Specifications is amended to read:

• Timber piles shall be fresh-headed and square and when permitted by the Engineer, the heads of the piles may be protected by means of heavy steel or wrought iron rings. During driving operations timber piling shall be restrained from lateral movement at intervals not to exceed 6 m over the length between the driving head and the ground surface. During driving operations, the timber pile shall be kept moving by continuous operation of the hammer. When the blow count exceeds either 2 times the blow count required in 300 mm, or 3 times the blow count required in 75 mm for the nominal resistance as shown on the plans, computed in conformance with the provisions in Section 49-1.08, "Pile Driving Acceptance

Criteria," additional aids shall be used to obtain the specified penetration. These aids may include the use of water jets or drilling, where permitted, or the use of a larger hammer employing a heavy ram striking with a low velocity.

Section 49-1.08, "Bearing Value and Penetration," of the Standard Specifications is amended to read:

**49-1.08 PILE DRIVING ACCEPTANCE CRITERIA**

- Except for piles to be load tested, driven piles shall be driven to a value of not less than the nominal resistance shown on the plans unless otherwise specified in the special provisions or permitted in writing by the Engineer. In addition, when a pile tip elevation is specified, driven piles shall penetrate at least to the specified tip elevation, unless otherwise permitted in writing by the Engineer. Piles to be load tested shall be driven to the specified tip elevation.
- When the pile nominal resistance is omitted from the plans or the special provisions, timber piles shall be driven to a nominal resistance of 800 kN, and steel and concrete piles shall be driven to a nominal resistance of 1250 kN.
- The nominal resistance for driven piles shall be determined from the following formula in which "$R_u$" is the nominal resistance in kilonewtons, "$E_r$" is the manufacturer's rating for joules of energy developed by the hammer at the observed field drop height, and "N" is the number of hammer blows in the last 300 millimeters. (maximum value to be used for N is 100):

$R_u = (7 * (E_r)^{1/2} * \log_{10} (0.83 * N)) - 550$

Section 49-3.01, "Description," of the Standard Specifications is amended by deleting the fifth paragraph.

The sixth paragraph in Section 49-4.01, "Description," of the Standard Specifications is amended to read:

- Lifting anchors used in precast prestressed concrete piles without a class designation ending in "C" (corrosion resistant) shall be removed, and the holes filled in conformance with the provisions in Section 51-1.18A, "Ordinary Surface Finish."

The first and second paragraphs in Section 49-4.01, "Description," of the Standard Specifications are amended to read:

- Cast-in-place concrete piles shall consist of one of the following:

  A. Steel shells driven permanently to the required nominal resistance and penetration and filled with concrete.
  B. Steel casings installed permanently to the required penetration and filled with concrete.
  C. Drilled holes filled with concrete.
  D. Rock sockets filled with concrete.

- The drilling of holes shall conform to the provisions in these specifications. Concrete filling for cast-in-place concrete piles is designated by compressive strength and shall have a minimum 28-day compressive strength of 25 MPa. At the option of the Contractor, the combined aggregate grading for the concrete shall be either the 25-mm maximum grading, the 12.5-mm maximum grading, or the 9.5-mm maximum grading. Concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," and Section 51, "Concrete Structures." Reinforcement shall conform to the provisions in Section 52, "Reinforcement."

The fourth paragraph in Section 49-4.03, "Drilled Holes," of the Standard Specifications is amended to read:

- After placing reinforcement and prior to placing concrete in the drilled hole, if caving occurs or deteriorated foundation material accumulates on the bottom of the hole, the bottom of the drilled hole shall be cleaned. The Contractor shall verify that the bottom of the drilled hole is clean.

The first and second paragraphs in Section 49-4.04, "Steel Shells," of the Standard Specifications are amended to read:

- Steel shells shall be sufficiently watertight to exclude water during the placing of concrete. The shells may be cylindrical or tapered, step-tapered, or a combination of either, with cylindrical sections.

The first paragraph in Section 49-4.05, "Inspection," of the Standard Specifications is amended to read:

- After being driven and prior to placing reinforcement and concrete therein, the steel shells shall be examined for collapse or reduced diameter at any point. Any shell which is improperly driven or broken or shows partial collapse to such an extent as to materially decrease its nominal resistance will be rejected. Rejected shells shall be removed and replaced, or a

new shell shall be driven adjacent to the rejected shell. Rejected shells which cannot be removed shall be filled with concrete by the Contractor at the Contractor's expense. When a new shell is driven to replace a rejected shell, the Contractor, at the Contractor's expense, shall enlarge the footing as determined necessary by the Engineer.

The third paragraph in Section 49-5.01, "Description," of the Standard Specifications is amended to read:

- Steel pipe piles shall conform to the following requirements:

    1. Steel pipe piles less than 360 mm in diameter shall conform to the requirements in ASTM Designation: A 252, Grade 2 or 3.
    2. Steel pipe piles 360 mm and greater in diameter shall conform to the requirements in ASTM Designation: A 252, Grade 3.
    3. Steel pipe piles shall be of the nominal diameter and nominal wall thickness shown on the plans or specified in the special provisions.
    4. The carbon equivalency (CE) of steel for steel pipe piles, as defined in AWS D 1.1, Section XI5.1, shall not exceed 0.45.
    5. The sulfur content of steel for steel pipe piles shall not exceed 0.05-percent.
    6. Seams in steel pipe piles shall be complete penetration welds.

The third paragraph in Section 49-6.02, "Payment," of the Standard Specifications is amended to read:

- The contract price paid per meter for cast-in-drilled-hole concrete piling shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in drilling holes, disposing of material resulting from drilling holes, temporarily casing holes and removing water when necessary, furnishing and placing concrete and reinforcement, and constructing reinforced concrete extensions, complete in place, to the required penetration, as shown on the plans, as specified in these specifications and in the special provisions, and as directed by the Engineer.

The seventh paragraph in Section 49-6.02, "Payment," of the Standard Specifications is amended to read

- The contract unit price paid for drive pile shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in driving timber, concrete and steel piles, driving steel shells for cast-in-place concrete piles, placing filling materials for cast-in-place concrete piles and cutting off piles, all complete in place to the required nominal resistance and penetration as shown on the plans and as specified in these specifications and the special provisions, and as directed by the Engineer.

The ninth paragraph in Section 49-6.02, "Payment," of the Standard Specifications is amended to read:

- Full compensation for all jetting, drilling, providing special driving tips or heavier sections for steel piles or shells, or other work necessary to obtain the specified penetration and nominal resistance of the piles, for predrilling holes through embankment and filling the space remaining around the pile with sand or pea gravel, for disposing of material resulting from jetting, drilling or predrilling holes, and for all excavation and backfill involved in constructing concrete extensions as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer shall be considered as included in the contract unit price paid for drive pile or in the contract price paid per meter for cast-in-drilled-hole concrete piling, and no additional compensation will be allowed therefor.

Section 49-6.02, "Payment," of the Standard Specifications is amended by adding the following paragraphs:

Full compensation for furnishing and placing additional testing reinforcement, for load test anchorages, and for cutting off test piles, shall be considered as included in the contract price paid for piling of the type or class shown in the Engineer's Estimate, and no additional compensation will be allowed.

No additional compensation or extension of time will be made for additional foundation investigation, installation and testing of indicator piling, cutting off piling and restoring the foundation investigation and indicator pile sites, and review of request by the Engineer

## SECTION 50: PRESTRESSING CONCRETE

Issue Date: November 18, 2002

Section 50-1.02, "Drawings," of the Standard Specifications is amended by adding the following paragraph after the second paragraph:

- Each working drawing submittal shall consist of plans for a single bridge or portion thereof. For multi-frame bridges, each frame shall require a separate working drawing submittal.

Section 50-1.05, "Prestressing Steel," of the Standard Specifications is amended to read:

- Prestressing steel shall be high-tensile wire conforming to the requirements in ASTM Designation: A 421, including Supplement I; high-tensile seven-wire strand conforming to the requirements in ASTM Designation: A 416; or uncoated high-strength steel bars conforming to the requirements in ASTM Designation: A 722, including all supplementary requirements. The maximum mass requirement of ASTM Designation: A 722 will not apply.
- In addition to the requirements of ASTM Designation: A 722, for deformed bars, the reduction of area shall be determined from a bar from which the deformations have been removed. The bar shall be machined no more than necessary to remove the deformations over a length of 300 mm, and reduction will be based on the area of the machined portion.
- In addition to the requirements specified herein, epoxy-coated seven-wire prestressing steel strand shall be grit impregnated and filled in conformance with the requirements in ASTM Designation: A 882/A 882M, including Supplement I, and the following:

A. The coating material shall be on the Department's list of approved coating materials for epoxy-coated strand, available from the Transportation Laboratory.
B. The film thickness of the coating after curing shall be 381 µm to 1143 µm.
C. Prior to coating the strand, the Contractor shall furnish to the Transportation Laboratory a representative 230-g sample from each batch of epoxy coating material to be used. Each sample shall be packaged in an airtight container identified with the manufacturer's name and batch number.
D. Prior to use of the epoxy-coated strand in the work, written certifications referenced in ASTM Designation: A 882/A 882M, including a representative load-elongation curve for each size and grade of strand to be used and a copy of the quality control tests performed by the manufacturer, shall be furnished to the Engineer.
E. In addition to the requirements in Section 50-1.10, "Samples for Testing," four 1.5-m long samples of coated strand and one 1.5-m long sample of uncoated strand of each size and reel shall be furnished to the Engineer for testing. These samples, as selected by the Engineer, shall be representative of the material to be used in the work.
F. Epoxy-coated strand shall be cut using an abrasive saw.
G. All visible damage to coatings caused by shipping and handling, or during installation, including cut ends, shall be repaired in conformance with the requirements in ASTM Designation: A 882/A 882M. The patching material shall be furnished by the manufacturer of the epoxy powder and shall be applied in conformance with the manufacturer's written recommendations. The patching material shall be compatible with the original epoxy coating material and shall be inert in concrete.

- All bars in any individual member shall be of the same grade, unless otherwise permitted by the Engineer.
- When bars are to be extended by the use of couplers, the assembled units shall have a tensile strength of not less than the manufacturer's minimum guaranteed ultimate tensile strength of the bars. Failure of any one sample to meet this requirement will be cause for rejection of the heat of bars and lot of couplers. The location of couplers in the member shall be subject to approval by the Engineer.
- Wires shall be straightened if necessary to produce equal stress in all wires or wire groups or parallel lay cables that are to be stressed simultaneously or when necessary to ensure proper positioning in the ducts.
- Where wires are to be button-headed, the buttons shall be cold formed symmetrically about the axes of the wires. The buttons shall develop the minimum guaranteed ultimate tensile strength of the wire. No cold forming process shall be used that causes indentations in the wire. Buttonheads shall not contain wide open splits, more than 2 splits per head, or splits not parallel with the axis of the wire.
- Prestressing steel shall be protected against physical damage and rust or other results of corrosion at all times from manufacture to grouting or encasing in concrete. Prestressing steel that has sustained physical damage at any time shall be rejected. The development of visible rust or other results of corrosion shall be cause for rejection, when ordered by the Engineer.

- Epoxy-coated prestressing steel strand shall be covered with an opaque polyethylene sheeting or other suitable protective material to protect the strand from exposure to sunlight, salt spray, and weather. For stacked coils, the protective covering shall be draped around the perimeter of the stack. The covering shall be adequately secured; however, it should allow for air circulation around the strand to prevent condensation under the covering. Epoxy-coated strand shall not be stored within 300 m of ocean or tidal water for more than 2 months.
- Prestressing steel shall be packaged in containers or shipping forms for the protection of the steel against physical damage and corrosion during shipping and storage. Except for epoxy-coated strand, a corrosion inhibitor which prevents rust or other results of corrosion, shall be placed in the package or form, or shall be incorporated in a corrosion inhibitor carrier type packaging material, or when permitted by the Engineer, may be applied directly to the steel. The corrosion inhibitor shall have no deleterious effect on the steel or concrete or bond strength of steel to concrete. Packaging or forms damaged from any cause shall be immediately replaced or restored to original condition.
- The shipping package or form shall be clearly marked with a statement that the package contains high-strength prestressing steel, and the type of corrosion inhibitor used, including the date packaged.
- Prestressing steel for post-tensioning which is installed in members prior to placing and curing of the concrete, and which is not epoxy-coated, shall be continuously protected against rust or other results of corrosion, until grouted, by means of a corrosion inhibitor placed in the ducts or applied to the steel in the duct. The corrosion inhibitor shall conform to the provisions specified herein.
- When steam curing is used, prestressing steel for post-tensioning shall not be installed until the steam curing is completed.
- Water used for flushing ducts shall contain either quick lime (calcium oxide) or slaked lime (calcium hydroxide) in the amount of 0.01-kg/L. Compressed air used to blow out ducts shall be oil free.
- When prestressing steel for post-tensioning is installed in the ducts after completion of concrete curing, and if stressing and grouting are completed within 10 days after the installation of the prestressing steel, rust which may form during those 10 days will not be cause for rejection of the steel. Prestressing steel installed, tensioned, and grouted in this manner, all within 10 days, will not require the use of a corrosion inhibitor in the duct following installation of the prestressing steel. Prestressing steel installed as above but not grouted within 10 days shall be subject to all the requirements in this section pertaining to corrosion protection and rejection because of rust. The requirements in this section pertaining to tensioning and grouting within 10 days shall not apply to epoxy-coated prestressing steel strand.
- Any time prestressing steel for pretensioning is placed in the stressing bed and is exposed to the elements for more than 36 hours prior to encasement in concrete, adequate measures shall be taken by the Contractor, as approved by the Engineer, to protect the steel from contamination or corrosion.
- After final fabrication of the seven-wire prestressing steel strand, no electric welding of any form shall be performed on the prestressing steel. Whenever electric welding is performed on or near members containing prestressing steel, the welding ground shall be attached directly to the steel being welded.
- Pretensioned prestressing steel shall be cut off flush with the end of the member. For epoxy-coated prestressing steel, only abrasive saws shall be used to cut the steel. The exposed ends of the prestressing steel and a 25-mm strip of adjoining concrete shall be cleaned and painted. Cleaning shall be by wire brushing or abrasive blast cleaning to remove all dirt and residue on the metal or concrete surfaces. Immediately after cleaning, the surfaces shall be covered with one application of unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint," except that 2 applications shall be applied to surfaces which will not be covered by concrete or mortar. Aerosol cans shall not be used. The paint shall be thoroughly mixed at the time of application and shall be worked into any voids in the prestressing tendons.

The thirteenth paragraph in Section 50-1.08, "Prestressing," of the Standard Specifications is amended to read:

- Prestressing steel in pretensioned members shall not be cut or released until the concrete in the member has attained a compressive strength of not less than the value shown on the plans or 28 MPa, whichever is greater. In addition to these concrete strength requirements, when epoxy-coated prestressing steel strand is used, the steel shall not be cut or released until the temperature of the concrete surrounding the strand is less than 65°C, and falling.

The fifth paragraph in Section 50-1.10, "Samples for Testing," of the Standard Specifications is amended to read:

- The following samples of materials and tendons, selected by the Engineer from the prestressing steel at the plant or jobsite, shall be furnished by the Contractor to the Engineer well in advance of anticipated use:

A. For wire or bars, one 2-m long sample and for strand, one 1.5-m long sample, of each size shall be furnished for each heat or reel.
B. For epoxy-coated strand, one 1.5-m long sample of uncoated strand of each size shall be furnished for each reel.

C. If the prestressing tendon is a bar, one 2-m long sample shall be furnished and in addition, if couplers are to be used with the bar, two 1.25-m long samples of bar, equipped with one coupler and fabricated to fit the coupler, shall be furnished.

The second paragraph in Section 50-1.11, "Payment," of the Standard Specifications is amended to read:

• The contract lump sum prices paid for prestressing cast-in-place concrete of the types listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in furnishing, placing, and tensioning the prestressing steel in cast-in-place concrete structures, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

## SECTION 51: CONCRETE STRUCTURES

Issue Date: December 23, 2003

The eleventh paragraph in Section 51-1.05, "Forms," of the Standard Specifications is amended to read:

• Form panels for exposed surfaces shall be furnished and placed in uniform widths of not less than 0.9-m and in uniform lengths of not less than 1.8 m, except at the end of continuously formed surfaces where the final panel length required is less than 1.8 m. Where the width of the member formed is less than 0.9-m, the width of the panels shall be not less than the width of the member. Panels shall be arranged in symmetrical patterns conforming to the general lines of the structure. Except when otherwise provided herein or shown on the plans, panels for vertical surfaces shall be placed with the long dimension horizontal and with horizontal joints level and continuous. Form panels for curved surfaces of columns shall be continuous for a minimum of one quarter of the circumference, or 1.8 m. For walls with sloping footings which do not abut other walls, panels may be placed with the long dimension parallel to the footing. Form panels on each side of the panel joint shall be precisely aligned, by means of supports or fasteners common to both panels, to result in a continuous unbroken concrete plane surface. When prefabricated soffit panels are used, form filler panels joining prefabricated panels shall have a uniform minimum width of 0.3-m and shall produce a smooth uniform surface with consistent longitudinal joint lines between the prefabricated panels.

The first and second paragraph in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications are amended to read:

• The Contractor shall submit to the Engineer working drawings and design calculations for falsework proposed for use at bridges. For bridges where the height of any portion of the falsework, as measured from the ground line to the soffit of the superstructure, exceeds 4.25 m; or where any individual falsework clear span length exceeds 4.85 m; or where provision for vehicular, pedestrian, or railroad traffic through the falsework is made; the drawings shall be signed by an engineer who is registered as a Civil Engineer in the State of California. Six sets of the working drawings and 2 copies of the design calculations shall be furnished. Additional working drawings and design calculations shall be submitted to the Engineer when specified in "Railroad Relations and Insurance" of the special provisions.

• The falsework drawings shall include details of the falsework erection and removal operations showing the methods and sequences of erection and removal and the equipment to be used. The details of the falsework erection and removal operations shall demonstrate the stability of all or any portions of the falsework during all stages of the erection and removal operations.

The seventh paragraph in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications is amended to read:

• In the event that several falsework plans are submitted simultaneously, or an additional plan is submitted for review before the review of a previously submitted plan has been completed, the Contractor shall designate the sequence in which the plans are to be reviewed. In such event, the time to be provided for the review of any plan in the sequence shall be not less than the review time specified above for that plan, plus 2 weeks for each plan of higher priority which is still under review. A falsework plan submittal shall consist of plans for a single bridge or portion thereof. For multi-frame bridges, each frame shall require a separate falsework plan submittal.

Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications is amended by adding the following paragraphs:

- If structural composite lumber is proposed for use, the falsework drawings shall clearly identify the structural composite lumber members by grade (E value), species, and type. The Contractor shall provide technical data from the manufacturer showing the tabulated working stress values of the composite lumber. The Contractor shall furnish a certificate of compliance as specified in Section 6-1.07, "Certificates of Compliance," for each delivery of structural composite lumber to the project site.
- For falsework piles with a calculated loading capacity greater than 900 kN, the falsework piles shall be designed by an engineer who is registered as either a Civil Engineer or a Geotechnical Engineer in the State of California, and the calculations shall be submitted to the Engineer.

The first paragraph in Section 51-1.06A(1), "Design Loads," of the Standard Specifications is amended to read:

- The design load for falsework shall consist of the sum of dead and live vertical loads, and an assumed horizontal load. The minimum total design load for any falsework, including members that support walkways, shall be not less than 4800 $N/m^2$ for the combined live and dead load regardless of slab thickness.

The eighth paragraph in Section 51-1.06A(1), "Design Loads," of the Standard Specifications is amended to read:

- In addition to the minimum requirements specified in this Section 51-1.06A, falsework for box girder structures with internal falsework bracing systems using flexible members capable of withstanding tensile forces only, shall be designed to include the vertical effects caused by the elongation of the flexible member and the design horizontal load combined with the dead and live loads imposed by concrete placement for the girder stems and connected bottom slabs. Falsework comprised of individual steel towers with bracing systems using flexible members capable of withstanding tensile forces only to resist overturning, shall be exempt from these additional requirements.

The third paragraph in Section 51-1.06B, "Falsework Construction," of the Standard Specifications is amended to read:

- When falsework is supported on piles, the piles shall be driven and the actual nominal resistance assessed in conformance with the provisions in Section 49, "Piling."

Section 51-1.06B, "Falsework Construction," of the Standard Specifications is amended by adding the following paragraphs:

- For falsework piles with a calculated nominal resistance greater than 1800 kN, the Contractor shall conduct dynamic monitoring of pile driving and generate field acceptance criteria based on a wave equation analysis. These analyses shall be signed by an engineer who is registered as a Civil Engineer in the State of California and submitted to the Engineer prior to completion of falsework erection.

- Prior to the placement of falsework members above the stringers, the final bracing system for the falsework shall be installed.

Section 51-1.06C, "Removing Falsework," of the Standard Specifications is amended by adding the following paragraph:

- The falsework removal operation shall be conducted in such a manner that any portion of the falsework not yet removed remains in a stable condition at all times.

The sixth paragraph in Section 51-1.09, "Placing Concrete," of the Standard Specifications is amended to read:

- Vibrators used to consolidate concrete containing epoxy-coated bar reinforcement or epoxy-coated prestressing steel shall have a resilient covering to prevent damage to the epoxy-coating on the reinforcement or prestressing steel.

The third sentence of the fourth paragraph in Section 51-1.12D, "Sheet Packing, Preformed Pads and Board Fillers," of the Standard Specifications is amended to read:

Surfaces of expanded polystyrene against which concrete is placed shall be faced with hardboard.

The table in the ninth paragraph of Section 51-1.12H(1), "Plain and Fabric Reinforced Elastomeric Bearing Pads," of the Standard Specifications is amended to read:

| | |
|---|---|
| Tensile strength, percent | -15 |
| Elongation at break, percent | -40; but not less than 300% total elongation of the material |
| Hardness, points | +10 |

The first sentence of the fourth paragraph in Section 51-1.17, "Finish Bridge Decks," of the Standard Specifications is amended to read:

• The smoothness of completed roadway surfaces of structures, approach slabs and the adjacent 15 m of approach pavement, and the top surfaces of concrete decks which are to be covered with another material, will be tested by the Engineer with a bridge profilograph in conformance with the requirements in California Test 547 and the requirements herein.

Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications is amended by deleting the seventh, thirteenth and fourteenth paragraphs.

The fourteenth paragraph in Section 51-1.23, "Payment," of the Standard Specifications is amended by deleting "and injecting epoxy in cracks".

## SECTION 52: REINFORCEMENT

Issue Date: November 06, 2003

The third paragraph in Section 52-1.04, "Inspection," of the Standard Specifications is amended to read:

• A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall also be furnished for each shipment of epoxy-coated bar reinforcement or wire reinforcement certifying that the coated reinforcement conforms to the requirements in ASTM Designation: A 775/A 775M or A 884/A 884M, respectively, and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement." The Certificate of Compliance shall include all of the certifications specified in ASTM Designation: A 775/A 775M or A 884/A 884M respectively, and a statement that the coating material has been prequalified by acceptance testing performed by the Valley Forge Laboratories, Inc., Devon, Pennsylvania.

Section 52-1.07 "Placing," of the Standard Specifications is amended to read by deleting item C of the third paragraph.
Section 52-1.08 "Splicing," of the Standard Specifications is amended to read:

### 52-1.08 SPLICING

• Splices of reinforcing bars shall consist of lap splices, service splices, or ultimate butt splices.

• Splicing of reinforcing bars will not be permitted at a location designated on the plans as a "No-Splice Zone." At the option of the Contractor, reinforcing bars may be continuous at locations where splices are shown on the plans. The location of splices, except where shown on the plans, shall be determined by the Contractor using available commercial lengths where practicable.

• Unless otherwise shown on the plans, splices in adjacent reinforcing bars at any particular section shall be staggered. The minimum distance between staggered lap splices or mechanical lap splices shall be the same as the length required for a lap splice in the largest bar. The minimum distance between staggered butt splices shall be 600 mm, measured between the midpoints of the splices along a line which is centered between the axes of the adjacent bars.

#### 52-1.08A Lap Splicing Requirements

• Splices made by lapping shall consist of placing reinforcing bars in contact and wiring them together, maintaining the alignment of the bars and the minimum clearances. Should the Contractor elect to use a butt welded or mechanical splice at a location not designated on the plans as requiring a service or ultimate butt splice, this splice shall conform to the testing requirements for service splice.

• Reinforcing bars shall not be spliced by lapping at locations where the concrete section is not sufficient to provide a minimum clear distance of 50 mm between the splice and the nearest adjacent bar. The clearance to the surface of the concrete specified in Section 52-1.07, "Placing," shall not be reduced.

• Reinforcing bars Nos. 43 and 57 shall not be spliced by lapping.

• Where ASTM Designations: A 615/A 615M, Grade 420 or A 706/A 706M reinforcing bars are required, the length of lap splices shall be as follows: Reinforcing bars No. 25 or smaller shall be lapped at least 45 diameters of the smaller bar

joined; and reinforcing bars Nos. 29, 32, and 36 shall be lapped at least 60 diameters of the smaller bar joined, except when otherwise shown on the plans.

• Where ASTM Designation: A 615/A 615M, Grade 280 reinforcing bars are permitted, the length of lap splices shall be as follows: Reinforcing bars No. 25 or smaller shall be lapped at least 30 diameters of the smaller bar joined; and reinforcing bars Nos. 29, 32, and 36 shall be lapped at least 45 diameters of the smaller bar joined, except when otherwise shown on the plans.

• Splices in bundled bars shall conform to the following:

A In bundles of 2 bars, the length of the lap splice shall be the same as the length of a single bar lap splice.
B. In bundles of 3 bars, the length of the lap splice shall be 1.2 times the length of a single bar lap splice.

• Welded wire fabric shall be lapped such that the overlap between the outermost cross wires is not less than the larger of:

A. 150 mm,
B. The spacing of the cross wires plus 50 mm, or
C. The numerical value of the longitudinal wire size (MW-Size Number) times 370 divided by the spacing of the longitudinal wires in millimeters.

**52-1.08B Service Splicing and Ultimate Butt Splicing Requirements**

• Service splices and ultimate butt splices shall be either butt welded or mechanical splices, shall be used at the locations shown on the plans, and shall conform to the requirements of these specifications and the special provisions.

**52-1.08B(1) Mechanical Splices**

• Mechanical splices to be used in the work shall be on the Department's current prequalified list before use. The prequalified list can be obtained from the Department's internet site listed in the special provisions or by contacting the Transportation Laboratory directly.

• When tested in conformance with the requirements in California Test 670, the total slip of the reinforcing bars within the splice device after loading in tension to 200 MPa and relaxing to 20 MPa shall not exceed the values listed in the following table. The slip shall be measured between gage points that are clear of the splice device.

| Reinforcing Bar Number | Total Slip (μm) |
|---|---|
| 13 | 250 |
| 16 | 250 |
| 19 | 250 |
| 22 | 350 |
| 25 | 350 |
| 29 | 350 |
| 32 | 450 |
| 36 | 450 |
| 43 | 600 |
| 57 | 750 |

• Slip requirements shall not apply to mechanical lap splices, splices that are welded, or splices that are used on hoops.

• Splicing procedures shall be in conformance with the manufacturer's recommendations, except as modified in this section. Splices shall be made using the manufacturer's standard equipment, jigs, clamps, and other required accessories.

• Splice devices shall have a clear coverage of not less than 40 mm measured from the surface of the concrete to the outside of the splice device. Stirrups, ties, and other reinforcement shall be adjusted or relocated, and additional reinforcement shall be placed, if necessary, to provide the specified clear coverage to reinforcement.

• The Contractor shall furnish the following information for each shipment of splice material in conformance with the provisions in Section 6-1.07, "Certificates of Compliance:"

A. The type or series identification of the splice material including tracking information for traceability.
B. The bar grade and size number to be spliced.
C. A copy of the manufacturer's product literature giving complete data on the splice material and installation procedures.

D. A statement that the splicing systems and materials used in conformance with the manufacturer's installation procedures will develop the required tensile strengths, based on the nominal bar area, and will conform to the total slip requirements and the other requirements in these specifications.
E. A statement that the splice material conforms to the type of mechanical splice in the Department's current prequalified list.

**52-1.08B(2) Butt Welded Splices**

• Except for resistance butt welds, butt welded splices of reinforcing bars shall be complete joint penetration butt welds conforming to the requirements in AWS D 1.4, and these specifications.

• Welders and welding procedures shall be qualified in conformance with the requirements in AWS D 1.4.

• Only the joint details and dimensions as shown in Figure 3.2, "Direct Butt Joints," of AWS D 1.4, shall be used for making complete joint penetration butt welds of bar reinforcement. Split pipe backing shall not be used.

• Butt welds shall be made with multiple weld passes using a stringer bead without an appreciable weaving motion. The maximum stringer bead width shall be 2.5 times the diameter of the electrode and slagging shall be performed between each weld pass. Weld reinforcement shall not exceed 4 mm in convexity.

• Electrodes used for welding shall meet the minimum Charpy V-notch impact requirement of 27°J at –20°C.

• For welding of bars conforming to the requirements of ASTM Designation: A 615/A 615M, Grade 280 or Grade 420, the requirements of Table 5.2, "Minimum Preheat and Interpass Temperatures," of AWS D 1.4 are superseded by the following:

The minimum preheat and interpass temperatures shall be 200°C for Grade 280 bars and 300°C for Grade 420 bars. Immediately after completing the welding, at least 150 mm of the bar on each side of the splice shall be covered by an insulated wrapping to control the rate of cooling. The insulated wrapping shall remain in place until the bar has cooled below 90°C.

• When welding different grades of reinforcing bars, the electrode shall conform to Grade 280 bar requirements and the preheat shall conform to the Grade 420 bar requirements.

• In the event that any of the specified preheat, interpass, and post weld cooling temperatures are not met, all weld and heat affected zone metal shall be removed and the splice rewelded.

• Welding shall be protected from air currents, drafts, and precipitation to prevent loss of heat or loss of arc shielding. The method of protecting the welding area from loss of heat or loss of arc shielding shall be subject to approval by the Engineer.

• Reinforcing bars shall not be direct butt spliced by thermite welding.

• Procedures to be used in making welded splices in reinforcing bars, and welders employed to make splices in reinforcing bars, shall be qualified by tests performed by the Contractor on sample splices of the type to be used, before making splices to be used in the work.

**52-1.08B(3) Resistance Butt Welds**

• Shop produced resistance butt welds shall be produced by a fabricator who is approved by the Transportation Laboratory. The list of approved fabricators can be obtained from the Department’s internet site or by contacting the Transportation Laboratory directly.

• Before manufacturing hoops using resistance butt welding, the Contractor shall submit to the Engineer the manufacturer's Quality Control (QC) manual for the fabrication of hoops. As a minimum, the QC manual shall include the following:

A. The pre-production procedures for the qualification of material and equipment.
B. The methods and frequencies for performing QC procedures during production.
C. The calibration procedures and calibration frequency for all equipment.
D. The welding procedure specification (WPS) for resistance welding.
E. The method for identifying and tracking lots.

**52-1.08C Service Splice and Ultimate Butt Splice Testing Requirements**

• The Contractor shall designate in writing a splicing Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for 1) the quality of all service and ultimate butt splicing including the inspection of materials and workmanship performed by the Contractor and all subcontractors; and 2) submitting, receiving, and approving all correspondence, required submittals, and reports regarding service and ultimate splicing to and from the Engineer.

• The QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

• Testing on prequalification and production sample splices shall be performed at the Contractor's expense, at an independent qualified testing laboratory. The laboratory shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors who will provide other services or materials for the project, and shall have the following:

A. Proper facilities, including a tensile testing machine capable of breaking the largest size of reinforcing bar to be tested with minimum lengths as shown in this section.
B. A device for measuring the total slip of the reinforcing bars across the splice to the nearest 25 µm, that, when placed parallel to the longitudinal axis of the bar is able to simultaneously measure movement across the splice, at 2 locations, 180 degrees apart.
C. Operators who have received formal training for performing the testing requirements of ASTM Designation: A 370 and California Test 670.
D. A record of annual calibration of testing equipment performed by an independent third party that has 1) standards that are traceable to the National Institute of Standards and Technology, and 2) a formal reporting procedure, including published test forms.

• The Contractor shall provide samples for quality assurance testing in conformance with the provisions in these specifications and the special provisions.

• Prequalification and production sample splices shall be 1) a minimum length of 1.5 meters for reinforcing bars No. 25 or smaller, and 2 meters for reinforcing bars No. 29 or larger, with the splice located at mid-point; and 2) suitably identified before shipment with weatherproof markings that do not interfere with the Engineer's tamper-proof markings or seals. Splices that shows signs of tampering will be rejected.

• Each set or sample splice, as defined herein, shall be identified as representing either a prequalification or production test sample splice.

• For the purpose of production testing, a lot of either service splices or ultimate butt splices is defined as 1) 150, or fraction thereof, of the same type of mechanical splices used for each bar size and each bar deformation pattern that is used in the work, or 2) 150, or fraction thereof, of complete joint penetration butt welded splices, or resistance butt welded splices for each bar size used in the work. If different diameters of hoop reinforcement are shown on the plans, separate lots shall be used for each different hoop diameter.

• Whenever a lot of splices is rejected, the rejected lot and subsequent lots of splices shall not be used in the work until 1) the QCM performs a complete review of the Contractor's quality control process for these splices, 2) a written report is submitted to the Engineer describing the cause of failure for the splices in this lot and provisions for preventing similar failures in future lots, and 3) the Engineer has provided the Contractor with written notification that the report is acceptable. The Engineer shall have 3 working days after receipt of the report to provide notification to the Contractor. In the event the Engineer fails to provide notification within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in providing notification, the Contractor will be compensated for any resulting loss, and an extension of time will be granted in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

**52-1.08C(1) Splice Prequalification Report**

• Before using any service splices or ultimate butt splices in the work, the Contractor shall submit a Splice Prequalification Report. The report shall include splice material information, names of the operators who will be performing the splicing, and descriptions of the positions, locations, equipment, and procedures that will be used in the work.

• The Splice Prequalification Report shall also include certifications from the fabricator for prequalifications of operators and procedures based on sample tests performed no more than 2 years before submitting the report. Each operator shall be certified by performing 2 sample splices for each bar size of each splice type that the operator will be performing in the work. For deformation-dependent types of splice devices, each operator shall be certified by performing 2 additional samples for each bar size and deformation pattern that will be used in the work.

• Prequalification sample splices shall be tested by an independent qualified testing laboratory and shall conform to the appropriate production test criteria and slip requirements specified herein. When epoxy-coated reinforcement is required, resistance butt welded sample splices shall have the weld flash removed by the same procedure as will be used in the work, before coating and testing. The Splice Prequalification Report shall include the certified test results for all prequalification sample splices.

• The QCM shall review and approve the Splice Prequalification Report before submitting it to the Engineer for approval. The Contractor shall allow 2 weeks for the review and approval of a complete report before performing any service splicing or ultimate butt splicing in the work. In the event the Engineer fails to complete the review within the time allowed,

and in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

**52-1.08C(2) Service Splice Test Criteria**

• Service production and quality assurance sample splices shall be tensile tested in conformance with the requirements in ASTM Designation: A 370 and California Test 670 and shall develop a minimum tensile strength of not less than 550 MPa.

**52-1.08C(2)(a) Production Test Requirements for Service Splices**

• Production tests shall be performed by the Contractor's independent laboratory for all service splices used in the work. A production test shall consist of testing 4 sample splices prepared for each lot of completed splices. The samples shall be prepared by the Contractor using the same splice material, position, operators, location, and equipment, and following the same procedure as used in the work.

• At least one week before testing, the Contractor shall notify the Engineer in writing of the date when and the location where the testing of the samples will be performed.

• The 4 samples from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the independent laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 samples of splices shall not be tested.

• Before performing any tensile tests on production test sample splices, one of the 4 samples shall be tested for, and shall conform to, the requirements for total slip. Should this sample not meet the total slip requirements, one retest, in which the 3 remaining samples are tested for total slip, will be allowed. Should any of the 3 remaining samples not conform to the total slip requirements, all splices in the lot represented by this production test will be rejected.

• If 3 or more sample splices from a production test conform to the provisions in this Section 52-1.08C(2),"Service Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable, provided each of the 4 samples develop a minimum tensile strength of not less than 420 MPa.

• Should only 2 sample splices from a production test conform to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," one additional production test shall be performed on the same lot of splices. This additional production test shall consist of testing 4 samples splices that have been randomly selected by the Engineer and removed by the Contractor from the actual completed lot of splices. Should any of the 4 splices from this additional test fail to conform to these provisions, all splices in the lot represented by these production tests will be rejected.

• If only one sample splice from a production test conforms to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," all splices in the lot represented by this production test will be rejected.

• If a production test for a lot fails, the Contractor shall repair or replace all reinforcing bars from which sample splices were removed before the Engineer selects additional splices from this lot for further testing.

**52-1.08C(2)(b) Quality Assurance Test Requirements for Service Splices**

• For the first production test performed, and for at least one, randomly selected by the Engineer, of every 5 subsequent production tests, or portion thereof, the Contractor shall concurrently prepare 4 additional service quality assurance sample splices. These service quality assurance sample splices shall be prepared in the same manner as specified herein for service production sample splices.

• These 4 additional quality assurance sample splices shall be shipped to the Transportation Laboratory for quality assurance testing. The 4 sample splices shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 samples of splices will not be tested. Sample splices not accompanied by the supporting documentation required in Section 52-1.08B(1), for mechanical splices, or in Section 52-1.08B(3), for resistance butt welds, will not be tested.

• Quality assurance testing will be performed in conformance with the requirements for service production sample splices in Section 52-1.08C(2)(a), "Production Test Requirements for Service Splices."

**52-1.08C(3) Ultimate Butt Splice Test Criteria**

• Ultimate production and quality assurance sample splices shall be tensile tested in conformance with the requirements described in ASTM Designation: A 370 and California Test 670.

• A minimum of one control bar shall be removed from the same bar as, and adjacent to, all ultimate production, and quality assurance sample splices. Control bars shall be 1) a minimum length of one meter for reinforcing bars No. 25 or smaller and 1.5 meters for reinforcing bars No. 29 or larger, and 2) suitably identified before shipment with weatherproof markings that do not interfere with the Engineer's tamper-proof markings or seals. The portion of adjacent bar remaining in the work shall also be identified with weatherproof markings that correspond to its adjacent control bar.

• Each sample splice and its associated control bar shall be identified and marked as a set. Each set shall be identified as representing a prequalification, production, or quality assurance sample splice.

- The portion of hoop reinforcing bar, removed to obtain a sample splice and control bar, shall be replaced using a prequalified ultimate mechanical butt splice, or the hoop shall be replaced in kind.
- Reinforcing bars, other than hoops, from which sample splices are removed, shall be repaired using ultimate mechanical butt splices conforming to the provisions in Section 52-1.08C(1), "Splice Prequalification Report," or the bars shall be replaced in kind. These bars shall be repaired or replaced such that no splices are located in any "No Splice Zone" shown on the plans.
- Ultimate production and quality assurance sample splices shall rupture in the reinforcing bar either: 1) outside of the affected zone or 2) within the affected zone, provided that the sample splice has achieved at least 95 percent of the ultimate tensile strength of the control bar associated with the sample splice. In addition, necking of the bar shall be visibly evident at rupture regardless of whether the bar breaks inside or outside the affected zone.
- The affected zone is the portion of the reinforcing bar where any properties of the bar, including the physical, metallurgical, or material characteristics, have been altered by fabrication or installation of the splice.
- The ultimate tensile strength shall be determined for all control bars by tensile testing the bars to rupture, regardless of where each sample splice ruptures. If 2 control bars are tested for one sample splice, the bar with the lower ultimate tensile strength shall be considered the control bar.

### 52-1.08C(3)(a) Production Test Requirements for Ultimate Butt Splices

- Production tests shall be performed for all ultimate butt splices used in the work. A production test shall consist of testing 4 sets of sample splices and control bars removed from each lot of completed splices, except when quality assurance tests are performed.
- After the splices in a lot have been completed, and the bars have been epoxy-coated when required, the QCM shall notify the Engineer in writing that the splices in this lot conform to the specifications and are ready for testing. Except for hoops, sample splices will be selected by the Engineer at the job site. Sample splices for hoops will be selected by the Engineer either at the job site or a fabrication facility.
- After notification has been received, the Engineer will randomly select the 4 sample splices to be removed from the lot and place tamper-proof markings or seals on them. The Contractor shall select the adjacent control bar for each sample splice bar, and the Engineer will place tamper-proof markings or seals on them. These ultimate production sample splices and control bars shall be removed by the Contractor, and tested by an independent qualified testing laboratory.
- At least one week before testing, the Contractor shall notify the Engineer in writing of the date when and the location where the testing of the samples will be performed.
- A sample splice or control bar from any set will be rejected if a tamper-proof marking or seal is disturbed before testing.
- The 4 sets from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the independent laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 sets of splices shall not be tested.
- Before performing any tensile tests on production test sample splices, one of the 4 sample splices shall be tested for, and shall conform to, the requirements for total slip. Should this sample splice not meet these requirements, one retest, in which the 3 remaining sample splices are tested for total slip, will be allowed. Should any of the 3 remaining sample splices not conform to these requirements, all splices in the lot represented by this production test will be rejected.
- If 3 or more sample splices from a production test conform to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable.
- Should only 2 sample splices from a production test conform to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," one additional production test shall be performed on the same lot of splices. Should any of the 4 sample splices from this additional test fail to conform to these provisions, all splices in the lot represented by these production tests will be rejected.
- If only one sample splice from a production test conforms to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," all splices in the lot represented by this production test will be rejected.
- If a production test for a lot fails, the Contractor shall repair or replace all reinforcing bars from which sample splices were removed, complete in place, before the Engineer selects additional splices from this lot for further testing.
- Production tests will not be required on repaired splices from a lot, regardless of the type of prequalified ultimate mechanical butt splice used to make the repair. However, should an additional production test be required, the Engineer may select any repaired splice for the additional production test.

### 52-1.08C(3)(b) Quality Assurance Test Requirements for Ultimate Butt Splices

- For the first production test performed, and for at least one, randomly selected by the Engineer, of every 5 subsequent production tests, or portion thereof, the Contractor shall concurrently prepare 4 additional ultimate quality assurance sample splices along with associated control bars.
- Each time 4 additional ultimate quality assurance sample splices are prepared, 2 of these quality assurance sample splice and associated control bar sets and 2 of the production sample splice and associated control bar sets, together, shall

conform to the requirements for ultimate production sample splices in Section 52-1.08C(3)(a),"Production Test Requirements for Ultimate Butt Splices."

- The 2 remaining quality assurance sample splice and associated control bar sets, along with the 2 remaining production sample splice and associated control bar sets shall be shipped to the Transportation Laboratory for quality assurance testing. The 4 sets shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 sets will not be tested.
- Quality assurance testing will be performed in conformance with the requirements for ultimate production sample splices in Section 52-1.08C(3)(a), "Production Test Requirements for Ultimate Butt Splices."

**52-1.08C(3)(c) Nondestructive Splice Tests**

- When the specifications allow for welded sample splices to be taken from other than the completed lot of splices, the Contractor shall meet the following additional requirements.
- Except for resistance butt welded splices, radiographic examinations shall be performed on 25 percent of all complete joint penetration butt welded splices from a production lot. The size of a production lot will be a maximum of 150 splices. The Engineer will select the splices which will compose the production lot and also the splices within each production lot to be radiographically examined.
- All required radiographic examinations of complete joint penetration butt welded splices shall be performed by the Contractor in conformance with the requirements in AWS D 1.4 and these specifications.
- Before radiographic examination, welds shall conform to the requirements in Section 4.4, "Quality of Welds," of AWS D 1.4.
- Should more than 12 percent of the splices which have been radiographically examined in any production lot be defective, an additional 25 percent of the splices, selected by the Engineer from the same production lot, shall be radiographically examined. Should more than 12 percent of the cumulative total of splices tested from the same production lot be defective, all remaining splices in the lot shall be radiographically examined.
- Additional radiographic examinations performed due to the identification of defective splices shall be at the Contractor's expense.
- All defects shall be repaired in conformance with the requirements in AWS D 1.4.
- The Contractor shall notify the Engineer in writing 48 hours before performing any radiographic examinations.
- The radiographic procedure used shall conform to the requirements in AWS D1.1, AWS D1.4, and the following:

Two exposures shall be made for each complete joint penetration butt welded splice. For each of the 2 exposures, the radiation source shall be centered on each bar to be radiographed. The first exposure shall be made with the radiation source placed at zero degrees from the top of the weld and perpendicular to the weld root and identified with a station mark of "0." The second exposure shall be at 90 degrees to the "0" station mark and shall be identified with a station mark of "90." When obstructions prevent a 90 degree placement of the radiation source for the second exposure, and when approved in writing by the Engineer, the source may be rotated, around the centerline of the reinforcing bar, a maximum of 25 degrees.

For field produced complete joint penetration butt welds, no more than one weld shall be radiographed during one exposure. For shop produced complete joint penetration butt welds, if more than one weld is to be radiographed during one exposure, the angle between the root line of each weld and the direction to the radiation source shall be not less than 65 degrees.

Radiographs shall be made by either X-ray or gamma ray. Radiographs made by X-ray or gamma rays shall have densities of not less than 2.3 nor more than 3.5 in the area of interest. A tolerance of 0.05 in density is allowed for densitometer variations. Gamma rays shall be from the iridium 192 isotope and the emitting specimen shall not exceed 4.45 mm in the greatest diagonal dimension.

The radiographic film shall be placed perpendicular to the radiation source at all times; parallel to the root line of the weld unless source placement determines that the film must be turned; and as close to the root of the weld as possible.

The minimum source to film distance shall be maintained so as to ensure that all radiographs maintain a maximum geometric unsharpness of 0.020 at all times, regardless of the size of the reinforcing bars.

Penetrameters shall be placed on the source side of the bar and perpendicular to the radiation source at all times. One penetrameter shall be placed in the center of each bar to be radiographed, perpendicular to the weld root, and adjacent to the weld. Penetrameter images shall not appear in the weld area.

When radiography of more than one weld is being performed per exposure, each exposure shall have a minimum of one penetrameter per bar, or 3 penetrameters per exposure. When 3 penetrameters per exposure are used, one penetrameter shall be placed on each of the 2 outermost bars of the exposure, and the remaining penetrameter shall be placed on a centrally located bar.

An allowable weld buildup of 4 mm may be added to the total material thickness when determining the proper penetrameter selection. No image quality indicator equivalency will be accepted. Wire penetrameters or penetrameter blocks shall not be used.

Penetrameters shall be sufficiently shimmed using a radiographically identical material. Penetrameter image densities shall be a minimum of 2.0 and a maximum of 3.6.

Radiographic film shall be Class 1, regardless of the size of reinforcing bars.

Radiographs shall be free of film artifacts and processing defects, including, but not limited to, streaks, scratches, pressure marks or marks made for the purpose of identifying film or welding indications.

Each splice shall be clearly identified on each radiograph and the radiograph identification and marking system shall be established between the Contractor and the Engineer before radiographic inspection begins. Film shall be identified by lead numbers only; etching, flashing or writing in identifications of any type will not be permitted. Each piece of film identification information shall be legible and shall include, as a minimum, the following information: Contractor's name, date, name of nondestructive testing firm, initials of radiographer, contract number, part number and weld number. The letter "R" and repair number shall be placed directly after the weld number to designate a radiograph of a repaired weld.

Radiographic film shall be developed within a time range of one minute less to one minute more than the film manufacturer's recommended maximum development time. Sight development will not be allowed.

Processing chemistry shall be done with a consistent mixture and quality, and processing rinses and tanks shall be clean to ensure proper results. Records of all developing processes and any chemical changes to the developing processes shall be kept and furnished to the Engineer upon request. The Engineer may request, at any time, that a sheet of unexposed film be processed in the presence of the Engineer to verify processing chemical and rinse quality.

The results of all radiographic interpretations shall be recorded on a signed certification and a copy kept with the film packet.

Technique sheets prepared in conformance with the requirements in ASME Boiler and Pressure Vessels Code, Section V, Article 2 Section T-291 shall also contain the developer temperature, developing time, fixing duration and all rinse times.

**52-1.08D Reporting Test Results**

- A Production Test Report for all testing performed on each lot shall be prepared by the independent testing laboratory performing the testing and submitted to the QCM for review and approval. The report shall be signed by an engineer who represents the laboratory and is registered as a Civil Engineer in the State of California. The report shall include, as a minimum, the following information for each test: contract number, bridge number, lot number and location, bar size, type of splice, length of mechanical splice, length of test specimen, physical condition of test sample splice and any associated control bar, any notable defects, total measured slip, ultimate tensile strength of each splice, and for ultimate butt splices, limits of affected zone, location of visible necking area, ultimate tensile strength and 95 percent of this ultimate tensile strength for each control bar, and a comparison between 95 percent of the ultimate tensile strength of each control bar and the ultimate tensile strength of its associated splice.
- The QCM must review, approve, and forward each Production Test Report to the Engineer for review before the splices represented by the report are encased in concrete. The Engineer will have 3 working days to review each Production Test Report and respond in writing after a complete report has been received. Should the Contractor elect to encase any splices before receiving notification from the Engineer, it is expressly understood that the Contractor will not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase splices pending notification by the Engineer, and in the event the Engineer fails to complete the review and provide notification within the time allowed, and if, in the opinion of the Engineer, the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."
- Quality assurance test results for each bundle of 4 sets or 4 samples of splices will be reported in writing to the Contractor within 3 working days after receipt of the bundle by the Transportation Laboratory. In the event that more than one bundle is received on the same day, 2 additional working days shall be allowed for providing test results for each additional bundle received. A test report will be made for each bundle received. Should the Contractor elect to encase splices before receiving notification from the Engineer, it is expressly understood that the Contractor will not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase splices pending notification by the Engineer, and in the event the Engineer fails to complete the review within the time allowed, and in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

Section 52-1.08F, "Nondestructive Splice Tests," of the Standard Specifications is amended by deleting the seventh paragraph.

## SECTION 55: STEEL STRUCTURES

Issue Date: December 31, 2001

Section 55-3.14, "Bolted Connections," of the Standard Specifications is amended by adding the following after the ninth paragraph:

- If a torque multiplier is used in conjunction with a calibrated wrench as a method for tightening fastener assemblies to the required tension, both the multiplier and the wrench shall be calibrated together as a system. The same length input and output sockets and extensions that will be used in the work shall also be included in the calibration of the system. The manufacturer's torque multiplication ratio shall be adjusted during calibration of the system, such that when this adjusted ratio is multiplied by the actual input calibrated wrench reading, the product is a calculated output torque that is within 2 percent of the true output torque. When this system is used in the work to perform any installation tension testing, rotational capacity testing, fastener tightening, or tension verification, it shall be used, intact as calibrated.

The sixth paragraph of Section 55-4.02, "Payment," of the Standard Specifications is amended to read:

- If a portion or all of the structural steel is fabricated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in these expenses, it is agreed that payment to the Contractor for furnishing the structural steel from each fabrication site located more than 480 air line kilometers from both Sacramento and Los Angeles will be reduced $5000 or by an amount computed at $0.044 per kilogram of structural steel fabricated, whichever is greater, or in the case of each fabrication site located more than 4800 air line kilometers from both Sacramento and Los Angeles, payment will be reduced $8000 or by $0.079 per kilogram of structural steel fabricated, whichever is greater.

## SECTION 56: SIGNS

Issue Date: December 31, 2001

Section 56-1.01, "Description," of the Standard Specifications is amended by deleting the third paragraph.

The sixth through the thirteenth paragraphs in Section 56-1.03, "Fabrication," of the Standard Specifications are amended to read:

- High-strength bolted connections, where shown on the plans, shall conform to the provisions in Section 55-3.14, "Bolted Connections," except that only fastener assemblies consisting of a high-strength bolt, nut, hardened washer, and direct tension indicator shall be used.
- High-strength fastener assemblies, and any other bolts, nuts, and washers attached to sign structures shall be zinc-coated by the mechanical deposition process.
- An alternating snugging and tensioning pattern for anchor bolts and high-strength bolted splices shall be used. Once tensioned, high-strength fastener components and direct tension indicators shall not be reused.
- For bolt diameters less than 10 mm, the diameter of the bolt hole shall be not more than 0.80-mm larger than the nominal bolt diameter. For bolt diameters greater than or equal to 10 mm, the diameter of the bolt hole shall be not more than 1.6 mm larger than the nominal bolt diameter.
- Sign structures shall be fabricated into the largest practical sections prior to galvanizing.
- Ribbed sheet metal panels for box beam closed truss sign structures shall be fastened to the truss members by cap screws or bolts as shown on the plans, or by 4.76 mm stainless steel blind rivets conforming to Industrial Fasteners Institute, Standard IFI-114, Grade 51. The outside diameter of the large flange rivet head shall be not less than 15.88 mm in diameter. Web splices in ribbed sheet metal panels may be made with similar type blind rivets of a size suitable for the thickness of material being connected.
- Spalling or chipping of concrete structures shall be repaired by the Contractor at the Contractor's expense.
- Overhead sign supports shall have an aluminum identification plate permanently attached near the base, adjacent to the traffic side on one of the vertical posts, using either stainless steel rivets or stainless steel screws. As a minimum, the information on the plate shall include the name of the manufacturer, the date of manufacture and the contract number.

## SECTION 59: PAINTING

Issue Date: December 31, 2001

Section 59-2.01, "General," of the Standard Specifications is amended by adding the following paragraphs after the first paragraph:

- Unless otherwise specified, no painting Contractors or subcontractors will be permitted to commence work without having the following current "SSPC: The Society for Protective Coatings" (formerly the Steel Structures Painting Council) certifications in good standing:

A. For cleaning and painting structural steel in the field, certification in conformance with the requirements in Qualification Procedure No. 1, "Standard Procedure For Evaluating Painting Contractors (Field Application to Complex Industrial Structures)" (SSPC-QP 1).
B. For removing paint from structural steel, certification in conformance with the requirements in Qualification Procedure No. 2, "Standard Procedure For Evaluating Painting Contractors (Field Removal of Hazardous Coatings from Complex Structures)" (SSPC-QP 2).
C. For cleaning and painting structural steel in a permanent painting facility, certification in conformance with the requirements in Qualification Procedure No. 3, "Standard Procedure For Evaluating Qualifications of Shop Painting Applicators" (SSPC-QP 3). The AISC's Sophisticated Paint Endorsement (SPE) quality program will be considered equivalent to SSPC-QP 3.

The third paragraph of Section 59-2.03, "Blast Cleaning," of the Standard Specifications is amended to read:

- Exposed steel or other metal surfaces to be blast cleaned shall be cleaned in conformance with the requirements in Surface Preparation Specification No. 6, "Commercial Blast Cleaning," of the "SSPC: The Society for Protective Coatings." Blast cleaning shall leave all surfaces with a dense, uniform, angular anchor pattern of not less than 35 µm as measured in conformance with the requirements in ASTM Designation: D 4417.

The first paragraph of Section 59-2.06, "Hand Cleaning," of the Standard Specifications is amended to read:

- Dirt, loose rust and mill scale, or paint which is not firmly bonded to the surfaces shall be removed in conformance with the requirements in Surface Preparation Specification No. 2, "Hand Tool Cleaning," of the "SSPC: The Society for Protective Coatings." Edges of old remaining paint shall be feathered.

The fourth paragraph of Section 59-2.12, "Painting," of the Standard Specifications is amended to read:

- The dry film thickness of the paint will be measured in place with a calibrated Type 2 magnetic film thickness gage in conformance with the requirements of specification SSPC-PA2 of the "SSPC: The Society for Protective Coatings."

## SECTION 75: MISCELLANEOUS METAL

Issue Date: December 31, 2001

The table in the tenth paragraph of Section 75-1.02, "Miscellaneous Iron and Steel," of the Standard Specifications is amended to read:

| Material | Specification |
|---|---|
| Steel bars, plates and shapes | ASTM Designation: A 36/A 36M or A 575, A 576 (AISI or M Grades 1016 through 1030 except Grade 1017) |
| Steel fastener components for general applications: | |
| Bolts and studs | ASTM Designation: A 307 |
| Headed anchor bolts | ASTM Designation: A 307, Grade B, including S1 supplementary requirements |
| Nonheaded anchor bolts | ASTM Designation: A 307, Grade C, including S1 supplementary requirements and S1.6 of AASHTO Designation: M 314 supplementary requirements<br>or AASHTO Designation: M 314, Grade 36 or 55, including S1 supplementary requirements |
| High-strength bolts and studs, threaded rods, and nonheaded anchor bolts | ASTM Designation: A 449, Type 1 |
| Nuts | ASTM Designation: A 563, including Appendix X1* |
| Washers | ASTM Designation: F 844 |
| Components of high-strength steel fastener assemblies for use in structural steel joints: | |
| Bolts | ASTM Designation: A 325, Type 1 |
| Tension control bolts | ASTM Designation: F 1852, Type 1 |
| Nuts | ASTM Designation: A 563, including Appendix X1* |
| Hardened washers | ASTM Designation: F 436, Type 1, Circular, including S1 supplementary requirements |
| Direct tension indicators | ASTM Designation: F 959, Type 325, zinc-coated |
| Stainless steel fasteners (Alloys 304 & 316) for general applications: | |
| Bolts, screws, studs, threaded rods, and nonheaded anchor bolts | ASTM Designation: F 593 or F 738M |
| Nuts | ASTM Designation: F 594 or F 836M |
| Washers | ASTM Designation: A 240/A 240M and ANSI B 18.22M |
| Carbon-steel castings | ASTM Designation: A 27/A 27M, Grade 65-35 [450-240], Class 1 |
| Malleable iron castings | ASTM Designation: A 47, Grade 32510 or A 47M, Grade 22010 |
| Gray iron castings | ASTM Designation: A 48, Class 30B |
| Ductile iron castings | ASTM Designation: A 536, Grade 65-45-12 |
| Cast iron pipe | Commercial quality |
| Steel pipe | Commercial quality, welded or extruded |
| Other parts for general applications | Commercial quality |

* Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dyed dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

The table in the eighteenth paragraph of Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications is amended to read:

| Stud Diameter (millimeters) | Sustained Tension Test Load (kilonewtons) |
|---|---|
| 29.01-33.00 | 137.9 |
| 23.01-29.00 | 79.6 |
| 21.01-23.00 | 64.1 |
| * 18.01-21.00 | 22.2 |
| 15.01-18.00 | 18.2 |
| 12.01-15.00 | 14.2 |
| 9.01-12.00 | 9.34 |
| 6.00-9.00 | 4.23 |

* Maximum stud diameter permitted for mechanical expansion anchors.

The table in the nineteenth paragraph of Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications is amended to read:

| Stud Diameter (millimeters) | Ultimate Tensile Load (kilonewtons) |
|---|---|
| 30.01-33.00 | 112.1 |
| 27.01-30.00 | 88.1 |
| 23.01-27.00 | 71.2 |
| 20.01-23.00 | 51.6 |
| 16.01-20.00 | 32.0 |
| 14.01-16.00 | 29.4 |
| 12.00-14.00 | 18.7 |

The table in the twenty-second paragraph of Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications is amended to read:

Installation Torque Values, (newton meters)

| Stud Diameter (millimeters) | Shell Type Mechanical Expansion Anchors | Integral Stud Type Mechanical Expansion Anchors | Resin Capsule Anchors and Cast-in-Place Inserts |
|---|---|---|---|
| 29.01-33.00 | — | — | 540 |
| 23.01-29.00 | — | — | 315 |
| 21.01-23.00 | — | — | 235 |
| 18.01-21.00 | 110 | 235 | 200 |
| 15.01-18.00 | 45 | 120 | 100 |
| 12.01-15.00 | 30 | 65 | 40 |
| 9.01-12.00 | 15 | 35 | 24 |
| 6.00-9.00 | 5 | 10 | — |

## SECTION 83: RAILINGS AND BARRIERS

Issue Date: June 13, 2002

The ninth paragraph in Section 83-1.02B, "Metal Beam Guard Railing," of the Standard Specifications is amended to read:

- The grades and species of wood posts and blocks shall be No. 1 timbers (also known as No. 1 structural) Douglas fir or No. 1 timbers Southern yellow pine. Wood posts and blocks shall be graded in conformance with the provisions in Section 57-2, "Structural Timber," of the Standard Specifications, except allowances for shrinkage after mill cutting shall in no case exceed 5 percent of the American Lumber Standards minimum sizes, at the time of installation.

The eleventh paragraph in Section 83-1.02B, "Metal Beam Guard Railing," of the Standard Specifications is amended to read:

- Wood posts and blocks shall be pressure treated after fabrication in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," of the Standard Specifications with creosote, creosote coal tar solution, creosote petroleum solution (50-50), pentachlorophenol in hydrocarbon solvent, copper naphthenate, ammoniacal copper arsenate, or ammoniacal copper zinc arsenate. In addition to the preservatives listed above, Southern yellow pine may also be pressure treated with chromated copper arsenate. When other than one of the creosote processes is used, blocks shall have a minimum retention of 6.4 $Kg/m^3$, and need not be incised.

## SECTION 85: PAVEMENT MARKERS

Issue Date: May 16, 2003

The second through fifth paragraphs in Section 85-1.03, "Sampling, Tolerances and Packaging," of the Standard Specifications are amended to read:

**Sampling**

- Twenty markers selected at random will constitute a representative sample for each lot of markers.
- The lot size shall not exceed 25000 markers.

**Tolerances**

- Three test specimens will be randomly selected from the sample for each test and tested in conformance with these specifications. Should any one of the 3 specimens fail to conform with the requirements in these specifications, 6 additional specimens will be tested. The failure of any one of these 6 specimens shall be cause for rejection of the entire lot or shipment represented by the sample.
- The entire sample of retroreflective pavement markers will be tested for reflectance. The failure of 10 percent or more of the original sampling shall be cause for rejection.

Section 85-1.04, "Non-Reflective Pavement Markers," of the Standard Specifications is amended to read:

**85-1.04 Non-Reflective Pavement Markers**

- Non-reflective pavement markers (Types A and AY) shall be, at the option of the Contractor, either ceramic or plastic conforming to these specifications.
- The top surface of the marker shall be convex with a gradual change in curvature. The top, bottom and sides shall be free of objectionable marks or discoloration that will affect adhesion or appearance.
- The bottom of markers shall have areas of integrally formed protrusions or indentations, which will increase the effective bonding surface area of adhesive. The bottom surface of the marker shall not deviate more than 1.5 mm from a flat surface. The areas of protrusion shall have faces parallel to the bottom of the marker and shall project approximately one mm from the bottom.

The second through fourth paragraphs of Section 85-1.04A, "Non-Reflective Pavement Markers (Ceramic)," of the Standard Specifications are deleted.

The table in the fifth paragraph in Section 85-1.04A, "Non-Reflective Pavement Markers (Ceramic)," of the Standard Specifications is amended to read:

**Testing**

- Tests shall be performed in conformance with the requirements in California Test 669.

| Test | Test Description | Requirement |
|---|---|---|
| a | Bond strength | 4.8 MPa, min. |
| b | Glaze thickness | 180 µm, min. |
| c | Hardness | 6 Moh, min. |
| d | Luminance factor, Type A, white markers only, glazed surface | 75, min. |
| e | Yellowness index, Type A, white markers only, glazed surface | 7, max. |
| f | Color-yellow, Type AY, yellow markers only. The chromaticity coordinates shall be within a color box defined in CTM 669 | Pass |
| g | Compressive strength | 6700 N, min. |
| h | Water absorption | 2.0 %, max. |
| i | Artificial weathering, 500 hours exposure, yellowness index | 20, max. |

Section 85-1.04B, "Non-Reflective Pavement Markers (Plastic)," of the Standard Specifications is amended to read:

**85-1.04B Non-Reflective Pavement Markers (Plastic)**

- Plastic non-reflective pavement markers Types A and AY shall be, at the option of the Contractor, either polypropylene or acrylonitrile-butadiene-styrene (ABS) plastic type.
- Plastic markers shall conform to the testing requirements specified in Section 85-1.04A, "Non-Reflective Pavement Markers (Ceramic)," except that Tests a, b, c, and h shall not apply. The plastic markers shall not be coated with substances that interfere with the ability of the adhesive bonding to the marker.

The sixth and seventh paragraphs in Section 85-1.05, "Retroreflective Pavement Markers," of the Standard Specifications are amended to read:

**Testing**

- Tests shall be performed in conformance with the requirements in California Test 669.

| Test Description | Requirement | | |
|---|---|---|---|
| Bond strength[a] | 3.4 MPa, min. | | |
| Compressive strength[b] | 8900 N, min. | | |
| Abrasion resistance, marker must meet the respective specific intensity minimum requirements after abrasion. | Pass | | |
| Water Soak Resistance | No delamination of the body or lens system of the marker nor loss of reflectance | | |
| | Specific Intensity | | |
| Reflectance | Clear | Yellow | Red |
| 0° Incidence Angle, min. | 3.0 | 1.5 | 0.75 |
| 20° Incidence Angle, min. | 1.2 | 0.60 | 0.30 |
| After one year field evaluation | 0.30 | 0.15 | 0.08 |

a Failure of the marker body or filler material prior to reaching 3.4 MPa shall constitute a failing bond strength test.
b Deformation of the marker of more than 3 mm at a load of less than 8900 N or delamination of the shell and the filler material of more than 3 mm regardless of the load required to break the marker shall be cause for rejection of the markers as specified in Section 85-1.03, "Sampling, Tolerances and Packaging."

- Pavement markers to be placed in pavement recesses shall conform to the above requirements for retroreflective pavement markers except that the minimum compressive strength requirement shall be 5338 N.

The eighth paragraph of Section 85-1.05, "Retrorelective Pavement Markers" of the Standard Specifications is deleted.
The eighth paragraph in Section 85-1.06, "Replacement," of the Standard Specifications is amended to read:

- Epoxy adhesive shall not be used to apply non-reflective plastic pavement markers.

## SECTION 86: SIGNALS, LIGHTING AND ELECTRICAL SYSTEMS

Issue Date: June 19, 2003

The seventh paragraph of Section 86-2.03, "Foundations," of the Standard Specifications is amended to read:

- Forms shall be true to line and grade. Tops of foundations for posts and standards, except special foundations, shall be finished to curb or sidewalk grade or as directed by the Engineer. Forms shall be rigid and securely braced in place. Conduit ends and anchor bolts shall be placed in proper position and to proper height, and anchor bolts shall be held in place by means of rigid top and bottom templates. The bottom template shall be made of steel. The bottom template shall provide proper spacing and alignment of the anchor bolts near their bottom embedded end. The bottom template shall be installed before placing footing concrete. Anchor bolts shall not be installed more than 1:40 from vertical.

Section 86-2.03, "Foundations," of the Standard Specifications is amended by deleting the eighth paragraph.
The twelfth paragraph of Section 86-2.03, "Foundations," of the Standard Specifications is amended to read:

- Plumbing of the standards shall be accomplished by adjusting the leveling nuts before placing the mortar or before the foundation is finished to final grade. Shims, or other similar devices shall not be used for plumbing or raking of posts, standards or pedestals. After final adjustments of both top nuts and leveling nuts on anchorage assemblies have been made, firm contact shall exist between all bearing surfaces of the anchor bolt nuts, washers, and the base plate.

The first paragraph of Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications is amended to read:

- Standards for traffic signals and lighting, and steel pedestals for cabinets and other similar equipment, shall be located as shown on the plans. Bolts, nuts and washers, and anchor bolts for use in signal and lighting support structures shall conform to the provisions in Section 55-2, "Materials." Except when bearing-type connections or slipbases are specified, high-strength bolted connections shall conform to the provisions in Section 55-3.14, "Bolted Connections." Welding, nondestructive testing (NDT) of welds, and acceptance and repair criteria for NDT of steel members shall conform to the requirements of AWS D1.1 and the contract special provisions.

The second paragraph of Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications is amended to read:

• On each lighting standard except Type 1, one rectangular corrosion resistant metal identification tag shall be permanently attached above the hand hole, near the base of the standard, using stainless steel rivets. On each signal pole support, two corrosion resistant metal identification tags shall be attached, one above the hand hole near the base of the vertical standard and one on the underside of the signal mast arm near the arm plate. As a minimum, the information on each identification tag shall include the name of the manufacturer, the date of manufacture, the identification number as shown on the plans, the contract number, and a unique identification code assigned by the fabricator. This number shall be traceable to a particular contract and the welds on that component, and shall be readable after the support structure is coated and installed. The lettering shall be a minimum of 7 mm high. The information may be either depressed or raised, and shall be legible.

The fourth paragraph of Section 86-2.04, "Standards, Steel Pedestals and Posts" of the Standard Specifications is amended to read:

• Ferrous metal parts of standards, with shaft length of 4.6 m and longer, shall conform to the details shown on the plans, the provisions in Section 55, "Steel Structures," except as otherwise noted, and the following requirements:

Except as otherwise specified, standards shall be fabricated from sheet steel of weldable grade having a minimum yield strength, after fabrication, of 276 MPa.

Certified test reports which verify conformance to the minimum yield strength requirements shall be submitted to the Engineer. The test reports may be the mill test reports for the as-received steel or, when the as-received steel has a lower yield strength than required, the Contractor shall provide supportive test data which provides assurance that the Contractor's method of cold forming will consistently increase the tensile properties of the steel to meet the specified minimum yield strength. The supportive test data shall include tensile properties of the steel after cold forming for specific heats and thicknesses.

When a single-ply 8-mm thick pole is specified, a 2-ply pole with equivalent section modulus may be substituted.

Standards may be fabricated of full-length sheets or shorter sections. Each section shall be fabricated from not more than 2 pieces of sheet steel. Where 2 pieces are used, the longitudinal welded seams shall be directly opposite one another. When the sections are butt-welded together, the longitudinal welded seams on adjacent sections shall be placed to form continuous straight seams from base to top of standard.

Butt-welded circumferential joints of tubular sections requiring CJP groove welds shall be made using a metal sleeve backing ring inside each joint. The sleeve shall be 3-mm nominal thickness, or thicker, and manufactured from steel having the same chemical composition as the steel in the tubular sections to be joined. When the sections to be joined have different specified minimum yield strengths, the steel in the sleeve shall have the same chemical composition as the tubular section having the higher minimum yield strength. The width of the metal sleeve shall be consistent with the type of NDT chosen and shall be a minimum width of 25 mm. The sleeve shall be centered at the joint and be in contact with the tubular section at the point of the weld at time of fit-up.

Welds shall be continuous.

The weld metal at the transverse joint shall extend to the sleeve, making the sleeve an integral part of the joint.

During fabrication, longitudinal seams on vertical tubular members of cantilevered support structures shall be centered on and along the side of the pole that the pole plate is located. Longitudinal seams on horizontal tubular members, including signal and luminaire arms, shall be within +/-45 degrees of the bottom of the arm.

The longitudinal welds in steel tubular sections may be made by the electric resistance welding process.

Longitudinal seam welds shall have 60 percent minimum penetration, except that within 150 mm of circumferential welds, longitudinal seam welds shall be CJP groove welds. In addition, longitudinal seam welds on lighting support structures having telescopic pole segment splices shall be CJP groove welds on the female end for a length on each end equal to the designated slip fit splice length plus 150 mm.

Exposed circumferential welds, except fillet and fatigue-resistant welds, shall be ground flush (-0, +2mm) with the base metal prior to galvanizing or painting.

Circumferential welds and base plate-to-pole welds may be repaired only one time without written permission from the Engineer.

Exposed edges of the plates that make up the base assembly shall be finished smooth and exposed corners of the plates shall be broken unless otherwise shown on the plans. Shafts shall be provided with slip-fitter shaft caps.

Flatness of surfaces of 1) base plates that are to come in contact with concrete, grout, or washers and leveling nuts 2) plates in high-strength bolted connections, 3) plates in joints where cap screws are used to secure luminaire and signal arms, and 4) plates used for breakaway slip base assemblies shall conform to the requirements of ASTM A6.

Standards shall be straight, with a permissive variation not to exceed 25 mm measured at the midpoint of a 9-m or 11-m standard and not to exceed 20 mm measured at the midpoint of a 5-m through 6-m standard. Variation shall not exceed 25 mm at a point 4.5 m above the base plate for Type 35 and Type 36 standards.

Zinc-coated nuts used on fastener assemblies having a specified preload (obtained by specifying a prescribed tension, torque value, or degree of turn) shall be provided with a colored lubricant that is clean and dry to the touch. The color of the lubricant shall be in contrast to the zinc coating on the nut so that the presence of the lubricant is visually obvious. In addition, either the lubricant shall be insoluble in water, or fastener components shall be shipped to the job site in a sealed container.

No holes shall be made in structural members unless the holes are shown on the plans or are approved in writing by the Engineer.

Standards with an outside diameter of 300 mm or less shall be round. Standards with an outside diameter greater than 300 mm shall be round or multisided. Multisided standards shall have a minimum of 12 sides which shall be convex and shall have a minimum bend radius of 100 mm.

Mast arms for standards shall be fabricated from material as specified for standards, and shall conform to the dimensions shown on the plans.

The cast steel option for slip bases shall be fabricated from material conforming to the requirements in ASTM Designation: A 27/A 27M, Grade 70-40. Other comparable material may be used if written permission is given by the Engineer. The casting tolerances shall be in conformance with the Steel Founder's Society of America recommendations (green sand molding).

One casting from each lot of 50 castings or less shall be subject to radiographic inspection, in conformance with the requirements in ASTM Designation: E 94. The castings shall comply with the acceptance criteria severity level 3 or better for the types and categories of discontinuities in conformance with the requirements in ASTM Designations: E 186 and E 446. If the one casting fails to pass the inspection, 2 additional castings shall be radiographed. Both of these castings shall pass the inspection or the entire lot of 50 will be rejected.

Material certifications, consisting of physical and chemical properties, and radiographic films of the castings shall be filed at the manufacturer's office. These certifications and films shall be available for inspection upon request.

High-strength bolts, nuts and flat washers used to connect slip base plates shall conform to the requirements in ASTM Designation: A 325 or A 325M and shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing."

Plate washers shall be fabricated by saw cutting and drilling steel plate conforming to the requirements in AISI Designation: 1018, and be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing." Prior to galvanizing, burrs and sharp edges shall be removed and holes shall be chamfered sufficiently on each side to allow the bolt head to make full contact with the washer without tension on the bolt.

High-strength cap screws shown on the plans for attaching arms to standards shall conform to the requirements in ASTM Designation: A 325, A 325M or ASTM Designation: A 449, and shall comply with the mechanical requirements in ASTM Designation: A 325 or A 325M after galvanizing. The cap screws shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing." The threads of the cap screws shall be coated with a colored lubricant that is clean and dry to the touch. The color of the lubricant shall be in contrast to the color of the zinc coating on the cap screw so that presence of the lubricant is visually obvious. In addition, either the lubricant shall be insoluble in water, or fastener components shall be shipped to the job site in a sealed container.

Unless otherwise specified, bolted connections attaching signal or luminaire arms to poles shall be considered slip critical. Galvanized faying surfaces on plates on luminaire and signal arms and matching plate surfaces on poles shall be roughened by hand using a wire brush prior to assembly and shall conform to the requirements for Class C surface conditions for slip-critical connections in "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts," a specification approved by the Research Council on Structural Connections (RCSC) of the Engineering Foundation. For faying surfaces required to be painted, the paint shall be an approved type, brand, and thickness that has been tested and approved according to the RCSC Specification as a Class B coating.

Samples of fastener components will be randomly taken from each production lot by the Engineer and submitted, along with test reports required by appropriate ASTM fastener specifications, for QA testing and evaluation. Sample sizes for each fastener component shall be as determined by the Engineer.

The seventh paragraph of 86-2.04, "Standards, Steel Pedestals and Posts" of the Standard Specifications is amended to read:

- To avoid interference of arm plate-to-tube welds with cap screw heads, and to ensure cap screw heads can be turned using conventional installation tools, fabricators shall make necessary adjustments to details prior to fabrication and properly locate the position of arm tubes on arm plates during fabrication.

Section 86-8.01, "Payment," of the Standard Specifications is amended by adding the following paragraph after the first paragraph:

• If a portion or all of the poles for signal, lighting and electrical systems pursuant to Standard Specification Section 86, "Signals, Lighting and Electrical Systems," is fabricated more than 480 air line kilometers from both-Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in such expenses, it is agreed that payment to the Contractor for furnishing such items from each fabrication site located more than 480 air line kilometers from both Sacramento and Los Angeles will be reduced $5000; in addition, in the case where a fabrication site is located more than 4800 air line kilometers from both Sacramento and Los Angeles, payment will be reduced an additional $3000 per each fabrication site ($8000 total per site).

## SECTION 88: ENGINEERING FABRIC

Issue Date: January 15, 2002

Section 88-1.02, "Pavement Reinforcing Fabric," of the Standard Specifications is amended to read:

• Pavement reinforcing fabric shall be 100 percent polypropylene staple fiber fabric material, needle-punched, thermally bonded on one side, and conform to the following:

| Specification | Requirement |
|---|---|
| Weight, grams per square meter<br>ASTM Designation: D 5261 | 140 |
| Grab tensile strength<br>(25-mm grip), kilonewtons, min. in each direction<br>ASTM Designation: D 4632 | 0.45 |
| Elongation at break, percent min.<br>ASTM Designation: D 4632 | 50 |
| Asphalt retention by fabric, grams per square meter. (Residual Minimum)<br>ASTM Designation: D 6140 | 900 |

Note: Weight, grab, elongation and asphalt retention are based on Minimum Average Roll Value (MARV)

## SECTION 90: PORTLAND CEMENT CONCRETE

Issue Date: June 19, 2003

Section 90, "Portland Cement Concrete," of the Standard Specifications is amended to read:

## SECTION 90: PORTLAND CEMENT CONCRETE
## 90-1 GENERAL

### 90-1.01 DESCRIPTION

• Portland cement concrete shall be composed of cementitious material, fine aggregate, coarse aggregate, admixtures if used, and water, proportioned and mixed as specified in these specifications.

• The Contractor shall determine the mix proportions for concrete in conformance with these specifications. Unless otherwise specified, cementitious material shall be a combination of cement and mineral admixture. Cementitious material shall be either:

1. "Type IP (MS) Modified" cement; or
2. A combination of "Type II Modified" portland cement and mineral admixture; or
3. A combination of Type V portland cement and mineral admixture.

• Type III portland cement shall be used only as allowed in the special provisions or with the approval of the Engineer.

• Class 1 concrete shall contain not less than 400 kg of cementitious material per cubic meter.

- Class 2 concrete shall contain not less than 350 kg of cementitious material per cubic meter.
- Class 3 concrete shall contain not less than 300 kg of cementitious material per cubic meter.
- Class 4 concrete shall contain not less than 250 kg of cementitious material per cubic meter.
- Minor concrete shall contain not less than 325 kg of cementitious material per cubic meter unless otherwise specified in these specifications or the special provisions.
- Unless otherwise designated on the plans or specified in these specifications or the special provisions, the amount of cementitious material used per cubic meter of concrete in structures or portions of structures shall conform to the following:

| Use | Cementitious Material Content (kg/m3) |
|---|---|
| Concrete designated by compressive strength: | |
| Deck slabs and slab spans of bridges | 400 min., 475 max. |
| Roof sections of exposed top box culverts | 400 min., 475 max. |
| Other portions of structures | 350 min., 475 max. |
| Concrete not designated by compressive strength: | |
| Deck slabs and slab spans of bridges | 400 min. |
| Roof sections of exposed top box culverts | 400 min. |
| Prestressed members | 400 min. |
| Seal courses | 400 min. |
| Other portions of structures | 350 min. |
| Concrete for precast members | 350 min., 550 max. |

- Whenever the 28-day compressive strength shown on the plans is greater than 25 MPa, the concrete shall be designated by compressive strength. If the plans show a 28-day compressive strength that is 28 MPa or greater, an additional 14 days will be allowed to obtain the specified strength. The 28-day compressive strengths shown on the plans that are 25 MPa or less are shown for design information only and are not a requirement for acceptance of the concrete.
- Concrete designated by compressive strength shall be proportioned such that the concrete will attain the strength shown on the plans or specified in the special provisions.
- Before using concrete for which the mix proportions have been determined by the Contractor, or in advance of revising those mix proportions, the Contractor shall submit in writing to the Engineer a copy of the mix design.
- Compliance with cementitious material content requirements will be verified in conformance with procedures described in California Test 518 for cement content. For testing purposes, mineral admixture shall be considered to be cement. Batch proportions shall be adjusted as necessary to produce concrete having the specified cementitious material content.
- If any concrete has a cementitious material, portland cement, or mineral admixture content that is less than the minimum required, the concrete shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place and the Contractor shall pay to the State $0.55 for each kilogram of cementitious material, portland cement, or mineral admixture that is less than the minimum required. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract. The deductions will not be made unless the difference between the contents required and those actually provided exceeds the batching tolerances permitted by Section 90-5, "Proportioning." No deductions will be made based on the results of California Test 518.
- The requirements of the preceding paragraph shall not apply to minor concrete or commercial quality concrete.

## 90-2 MATERIALS

### 90-2.01 CEMENT

- Unless otherwise specified, cement shall be either "Type IP (MS) Modified" cement, "Type II Modified" portland cement or Type V portland cement.
- "Type IP (MS) Modified" cement shall conform to the requirements for Type IP (MS) cement in ASTM Designation: C 595, and shall be comprised of an intimate and uniform blend of Type II cement and not more than 35 percent by mass of mineral admixture. The type and minimum amount of mineral admixture used in the manufacture of "Type IP (MS) Modified" cement shall be in conformance with the provisions in Section 90-4.08, "Required Use of Mineral Admixtures."
- "Type II Modified" portland cement shall conform to the requirements for Type II portland cement in ASTM Designation: C 150.
- In addition, "Type IP (MS) Modified" cement and "Type II Modified" portland cement shall conform to the following requirements:

A. The cement shall not contain more than 0.60-percent by mass of alkalies, calculated as the percentage of $Na_2O$ plus 0.658 times the percentage of $K_2O$, when determined by either direct intensity flame photometry or by the atomic absorption method. The instrument and procedure used shall be qualified as to precision and accuracy in conformance with the requirements in ASTM Designation: C 114;
B. The autoclave expansion shall not exceed 0.50-percent; and
C. Mortar, containing the cement to be used and Ottawa sand, when tested in conformance with California Test 527, shall not expand in water more than 0.010 percent and shall not contract in air more than 0.048 percent, except that when cement is to be used for precast prestressed concrete piling, precast prestressed concrete members, or steam cured concrete products, the mortar shall not contract in air more than 0.053 percent.

• Type III and Type V portland cements shall conform to the requirements in ASTM Designation: C 150 and the additional requirements listed above for "Type II Modified" portland cement, except that when tested in conformance with California Test 527, mortar containing Type III portland cement shall not contract in air more than 0.075 percent.

• Cement used in the manufacture of cast-in-place concrete for exposed surfaces of like elements of a structure shall be from the same cement mill.

• Cement shall be protected from exposure to moisture until used. Sacked cement shall be piled to permit access for tally, inspection, and identification of each shipment.

• Adequate facilities shall be provided to assure that cement meeting the provisions specified in this Section 90-2.01 shall be kept separate from other cement in order to prevent any but the specified cement from entering the work. Safe and suitable facilities for sampling cement shall be provided at the weigh hopper or in the feed line immediately in advance of the hopper, in conformance with California Test 125.

• If cement is used prior to sampling and testing as provided in Section 6-1.07, "Certificates of Compliance," and the cement is delivered directly to the site of the work, the Certificate of Compliance shall be signed by the cement manufacturer or supplier of the cement. If the cement is used in ready-mixed concrete or in precast concrete products purchased as such by the Contractor, the Certificate of Compliance shall be signed by the manufacturer of the concrete or product.

• Cement furnished without a Certificate of Compliance shall not be used in the work until the Engineer has had sufficient time to make appropriate tests and has approved the cement for use.

**90-2.02 AGGREGATES**

• Aggregates shall be free from deleterious coatings, clay balls, roots, bark, sticks, rags, and other extraneous material.

• Natural aggregates shall be thoroughly and uniformly washed before use.

• The Contractor, at the Contractor's expense, shall provide safe and suitable facilities, including necessary splitting devices for obtaining samples of aggregates, in conformance with California Test 125.

• Aggregates shall be of such character that it will be possible to produce workable concrete within the limits of water content provided in Section 90-6.06, "Amount of Water and Penetration."

• Aggregates shall have not more than 10 percent loss when tested for soundness in conformance with the requirements in California Test 214. The soundness requirement for fine aggregate will be waived, provided that the durability index, $D_f$, of the fine aggregate is 60, or greater, when tested for durability in conformance with California Test 229.

• If the results of any one or more of the Cleanness Value, Sand Equivalent, or aggregate grading tests do not meet the requirements specified for "Operating Range" but all meet the "Contract Compliance" requirements, the placement of concrete shall be suspended at the completion of the current pour until tests or other information indicate that the next material to be used in the work will comply with the requirements specified for "Operating Range."

• If the results of either or both the Cleanness Value and coarse aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete that is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place, and the Contractor shall pay to the State $4.60 per cubic meter for paving concrete and $7.20 per cubic meter for all other concrete for the concrete represented by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

• If the results of either or both the Sand Equivalent and fine aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete which is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place, and the Contractor shall pay to the State $4.60 per cubic meter for paving concrete and $7.20 per cubic meter for all other concrete for the concrete represented by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

• The 2 preceding paragraphs apply individually to the "Contract Compliance" requirements for coarse aggregate and fine aggregate. When both coarse aggregate and fine aggregate do not conform to the "Contract Compliance" requirements,

both paragraphs shall apply. The payments specified in those paragraphs shall be in addition to any payments made in conformance with the provisions in Section 90-1.01, "Description."

• No single Cleanness Value, Sand Equivalent or aggregate grading test shall represent more than 250 $m^3$ of concrete or one day's pour, whichever is smaller.

• When the source of an aggregate is changed, the Contractor shall adjust the mix proportions and submit in writing to the Engineer a copy of the mix design before using the aggregates.

**90-2.02A Coarse Aggregate**

• Coarse aggregate shall consist of gravel, crushed gravel, crushed rock, crushed air-cooled iron blast furnace slag or combinations thereof. Crushed air-cooled blast furnace slag shall not be used in reinforced or prestressed concrete.

• Coarse aggregate shall conform to the following quality requirements:

| Tests | California Test | Requirements |
|---|---|---|
| Loss in Los Angeles Rattler (after 500 revolutions) | 211 | 45% max. |
| Cleanness Value | | |
| Operating Range | 227 | 75 min. |
| Contract Compliance | 227 | 71 min. |

• In lieu of the above Cleanness Value requirements, a Cleanness Value "Operating Range" limit of 71, minimum, and a Cleanness Value "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the coarse aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

1. coarse aggregate sampled at the completion of processing at the aggregate production plant had a Cleanness Value of not less than 82 when tested by California Test 227; and
2. prequalification tests performed in conformance with the requirements in California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

**90-2.02B Fine Aggregate**

• Fine aggregate shall consist of natural sand, manufactured sand produced from larger aggregate or a combination thereof. Manufactured sand shall be well graded.

• Fine aggregate shall conform to the following quality requirements:

| Test | California Test | Requirements |
|---|---|---|
| Organic Impurities | 213 | Satisfactory[a] |
| Mortar Strengths Relative to Ottawa Sand | 515 | 95%, min. |
| Sand Equivalent: | | |
| Operating Range | 217 | 75, min. |
| Contract Compliance | 217 | 71, min. |

a Fine aggregate developing a color darker than the reference standard color solution may be accepted if it is determined by the Engineer, from mortar strength tests, that a darker color is acceptable.

• In lieu of the above Sand Equivalent requirements, a Sand Equivalent "Operating Range" limit of 71 minimum and a Sand Equivalent "Contract Compliance" limit of 68 minimum will be used to determine the acceptability of the fine aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

1. fine aggregate sampled at the completion of processing at the aggregate production plant had a Sand Equivalent value of not less than 82 when tested by California Test 217; and

2. prequalification tests performed in conformance with California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

### 90-2.03 WATER

• In conventionally reinforced concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 1000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1300 parts per million of sulfates as $SO_4$, when tested in conformance with California Test 417. In prestressed concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 650 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1300 parts per million of sulfates as $SO_4$, when tested in conformance with California Test 417. In no case shall the water contain an amount of impurities that will cause either: 1) a change in the setting time of cement of more than 25 percent when tested in conformance with the requirements in ASTM Designation: C 191 or ASTM Designation: C 266 or 2) a reduction in the compressive strength of mortar at 14 days of more than 5 percent, when tested in conformance with the requirements in ASTM Designation: C 109, when compared to the results obtained with distilled water or deionized water, tested in conformance with the requirements in ASTM Designation: C 109.

• In non-reinforced concrete work, the water for curing, for washing aggregates and for mixing shall be free from oil and shall not contain more than 2000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, or more than 1500 parts per million of sulfates as $SO_4$, when tested in conformance with California Test 417.

• In addition to the above provisions, water for curing concrete shall not contain impurities in a sufficient amount to cause discoloration of the concrete or produce etching of the surface.

• Water reclaimed from mixer wash-out operations may be used in mixing concrete. The water shall not contain coloring agents or more than 300 parts per million of alkalis ($Na_2O + 0.658\ K_2O$) as determined on the filtrate. The specific gravity of the water shall not exceed 1.03 and shall not vary more than ±0.010 during a day's operations.

### 90-2.04 ADMIXTURE MATERIALS

• Admixture materials shall conform to the requirements in the following ASTM Designations:

A. Chemical Admixtures—ASTM Designation: C 494.
B. Air-entraining Admixtures—ASTM Designation: C 260.
C. Calcium Chloride—ASTM Designation: D 98.
D. Mineral Admixtures—Coal fly ash; raw or calcined natural pozzolan as specified in ASTM Designation: C 618; silica fume conforming to the requirements in ASTM Designation: C 1240, with reduction of mortar expansion of 80 percent, minimum, using the cement from the proposed mix design.

• Unless otherwise specified in the special provisions, mineral admixtures shall be used in conformance with the provisions in Section 90-4.08, "Required Use of Mineral Admixtures."

## 90-3 AGGREGATE GRADINGS

### 90-3.01 GENERAL

• Before beginning concrete work, the Contractor shall submit in writing to the Engineer the gradation of the primary aggregate nominal sizes that the Contractor proposes to furnish. If a primary coarse aggregate or the fine aggregate is separated into 2 or more sizes, the proposed gradation shall consist of the gradation for each individual size, and the proposed proportions of each individual size, combined mathematically to indicate one proposed gradation. The proposed gradation shall meet the grading requirements shown in the table in this section, and shall show the percentage passing each of the sieve sizes used in determining the end result.

• The Engineer may waive, in writing, the gradation requirements in this Section 90-3.01 and in Sections 90-3.02, "Coarse Aggregate Grading," 90-3.03, "Fine Aggregate Grading," and 90-3.04, "Combined Aggregate Gradings," if, in the Engineer's opinion, furnishing the gradation is not necessary for the type or amount of concrete work to be constructed.

• Gradations proposed by the Contractor shall be within the following percentage passing limits:

| Primary Aggregate Nominal Size | Sieve Size | Limits of Proposed Gradation |
|---|---|---|
| 37.5-mm x 19-mm | 25-mm | 19 - 41 |
| 25-mm x 4.75-mm | 19-mm | 52 - 85 |
| 25-mm x 4.75-mm | 9.5-mm | 15 - 38 |
| 12.5-mm x 4.75-mm | 9.5-mm | 40 - 78 |
| 9.5-mm x 2.36-mm | 9.5-mm | 50 - 85 |
| Fine Aggregate | 1.18-mm | 55 - 75 |
| Fine Aggregate | 600-µm | 34 - 46 |
| Fine Aggregate | 300-µm | 16 - 29 |

- Should the Contractor change the source of supply, the Contractor shall submit in writing to the Engineer the new gradations before their intended use.

### 90-3.02 COARSE AGGREGATE GRADING

- The grading requirements for coarse aggregates are shown in the following table for each size of coarse aggregate:

| | Percentage Passing Primary Aggregate Nominal Sizes | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | 37.5-mm x 19-mm | | 25-mm x 4.75-mm | | 12.5-mm x 4.75-mm | | 9.5-mm x 2.36-mm | |
| Sieve Sizes | Operating Range | Contract Compliance | Operating Range | Contract Compliance | Operating Range | Contract Compliance | Operating Range | Contract Compliance |
| 50-mm | 100 | 100 | — | — | — | — | — | — |
| 37.5-mm | 88-100 | 85-100 | 100 | 100 | — | — | — | — |
| 25-mm | x ± 18 | X ± 25 | 88-100 | 86-100 | — | — | — | — |
| 19-mm | 0-17 | 0-20 | X ± 15 | X ± 22 | 100 | 100 | — | — |
| 12.5-mm | — | — | — | — | 82-100 | 80-100 | 100 | 100 |
| 9.5-mm | 0-7 | 0-9 | X ± 15 | X ± 22 | X ± 15 | X ± 22 | X ± 15 | X ± 20 |
| 4.75-mm | — | — | 0-16 | 0-18 | 0-15 | 0-18 | 0-25 | 0-28 |
| 2.36-mm | — | — | 0-6 | 0-7 | 0-6 | 0-7 | 0-6 | 0-7 |

- In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."
- Coarse aggregate for the 37.5-mm, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," shall be furnished in 2 or more primary aggregate nominal sizes. Each primary aggregate nominal size may be separated into 2 sizes and stored separately, provided that the combined material conforms to the grading requirements for that particular primary aggregate nominal size.
- When the 25-mm, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," is to be used, the coarse aggregate may be separated into 2 sizes and stored separately, provided that the combined material shall conform to the grading requirements for the 25-mm x 4.75-mm primary aggregate nominal size.

### 90-3.03 FINE AGGREGATE GRADING

- Fine aggregate shall be graded within the following limits:

| | Percentage Passing | |
|---|---|---|
| Sieve Sizes | Operating Range | Contract Compliance |
| 9.5-mm | 100 | 100 |
| 4.75-mm | 95-100 | 93-100 |
| 2.36-mm | 65-95 | 61-99 |
| 1.18-mm | X ± 10 | X ± 13 |
| 600-µm | X ± 9 | X ± 12 |
| 300-µm | X ± 6 | X ± 9 |
| 150-µm | 2-12 | 1-15 |
| 75-µm | 0-8 | 0-10 |

• In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

• In addition to the above required grading analysis, the distribution of the fine aggregate sizes shall be such that the difference between the total percentage passing the 1.18-mm sieve and the total percentage passing the 600-µm sieve shall be between 10 and 40, and the difference between the percentage passing the 600-µm and 300-µm sieves shall be between 10 and 40.

• Fine aggregate may be separated into 2 or more sizes and stored separately, provided that the combined material conforms to the grading requirements specified in this Section 90-3.03.

### 90-3.04 COMBINED AGGREGATE GRADINGS

• Combined aggregate grading limits shall be used only for the design of concrete mixes. Concrete mixes shall be designed so that aggregates are combined in proportions that shall produce a mixture within the grading limits for combined aggregates as specified herein. Within these limitations, the relative proportions shall be as ordered by the Engineer, except as otherwise provided in Section 90-1.01, "Description."

• The combined aggregate grading, except when otherwise specified in these specifications or the special provisions, shall be either the 37.5-mm, maximum grading, or the 25-mm, maximum grading, at the option of the Contractor.

Grading Limits of Combined Aggregates

| Sieve Sizes | Percentage Passing | | | |
|---|---|---|---|---|
| | 37.5-mm Max. | 25-mm Max. | 12.5-mm Max. | 9.5-mm Max. |
| 50-mm | 100 | — | — | — |
| 37.5-mm | 90-100 | 100 | — | — |
| 25-mm | 50-86 | 90-100 | — | — |
| 19-mm | 45-75 | 55-100 | 100 | — |
| 12.5-mm | — | — | 90-100 | 100 |
| 9.5-mm | 38-55 | 45-75 | 55-86 | 50 - 100 |
| 4.75-mm | 30-45 | 35-60 | 45-63 | 45 - 63 |
| 2.36-mm | 23-38 | 27-45 | 35-49 | 35 - 49 |
| 1.18-mm | 17-33 | 20-35 | 25-37 | 25 - 37 |
| 600-µm | 10-22 | 12-25 | 15-25 | 15 - 25 |
| 300-µm | 4-10 | 5-15 | 5-15 | 5 - 15 |
| 150-µm | 1-6 | 1-8 | 1-8 | 1 - 8 |
| 75-µm | 0-3 | 0-4 | 0-4 | 0 - 4 |

• Changes from one grading to another shall not be made during the progress of the work unless permitted by the Engineer.

## 90-4 ADMIXTURES

### 90-4.01 GENERAL

• Admixtures used in portland cement concrete shall conform to and be used in conformance with the provisions in this Section 90-4 and the special provisions. Admixtures shall be used when specified or ordered by the Engineer and may be used at the Contractor's option as provided herein.

• Chemical admixtures and air-entraining admixtures containing chlorides as Cl in excess of one percent by mass of admixture, as determined by California Test 415, shall not be used in prestressed or reinforced concrete.

• Calcium chloride shall not be used in concrete except when otherwise specified.

• Mineral admixture used in concrete for exposed surfaces of like elements of a structure shall be from the same source and of the same percentage.

• Admixtures shall be uniform in properties throughout their use in the work. Should it be found that an admixture as furnished is not uniform in properties, its use shall be discontinued.

• If more than one admixture is used, the admixtures shall be compatible with each other so that the desirable effects of all admixtures used will be realized.

### 90-4.02 MATERIALS

• Admixture materials shall conform to the provisions in Section 90–2.04, "Admixture Materials."

### 90-4.03 ADMIXTURE APPROVAL

• No admixture brand shall be used in the work unless it is on the Department's current list of approved brands for the type of admixture involved.

• Admixture brands will be considered for addition to the approved list if the manufacturer of the admixture submits to the Transportation Laboratory a sample of the admixture accompanied by certified test results demonstrating that the admixture complies with the requirements in the appropriate ASTM Designation and these specifications. The sample shall be sufficient to permit performance of all required tests. Approval of admixture brands will be dependent upon a determination as to compliance with the requirements, based on the certified test results submitted, together with tests the Department may elect to perform.

• When the Contractor proposes to use an admixture of a brand and type on the current list of approved admixture brands, the Contractor shall furnish a Certificate of Compliance from the manufacturer, as provided in Section 6-1.07, "Certificates of Compliance," certifying that the admixture furnished is the same as that previously approved. If a previously approved admixture is not accompanied by a Certificate of Compliance, the admixture shall not be used in the work until the Engineer has had sufficient time to make the appropriate tests and has approved the admixture for use. The Engineer may take samples for testing at any time, whether or not the admixture has been accompanied by a Certificate of Compliance.

• If a mineral admixture is delivered directly to the site of the work, the Certificate of Compliance shall be signed by the manufacturer or supplier of the mineral admixture. If the mineral admixture is used in ready-mix concrete or in precast concrete products purchased as such by the Contractor, the Certificate of Compliance shall be signed by the manufacturer of the concrete or product.

### 90-4.04 REQUIRED USE OF CHEMICAL ADMIXTURES AND CALCIUM CHLORIDE

• When the use of a chemical admixture or calcium chloride is specified, the admixture shall be used at the dosage specified, except that if no dosage is specified, the admixture shall be used at the dosage normally recommended by the manufacturer of the admixture.

• Calcium chloride shall be dispensed in liquid, flake, or pellet form. Calcium chloride dispensed in liquid form shall conform to the provisions for dispensing liquid admixtures in Section 90-4.10, "Proportioning and Dispensing Liquid Admixtures."

### 90-4.05 OPTIONAL USE OF CHEMICAL ADMIXTURES

• The Contractor will be permitted to use Type A or F, water-reducing; Type B, retarding; or Type D or G, water-reducing and retarding admixtures as described in ASTM Designation: C 494 to conserve cementitious material or to facilitate any concrete construction application subject to the following conditions:

A. When a water-reducing admixture or a water-reducing and retarding admixture is used, the cementitious material content specified or ordered may be reduced by a maximum of 5 percent by mass, except that the resultant cementitious material content shall be not less than 300 kilograms per cubic meter; and
B. When a reduction in cementitious material content is made, the dosage of admixture used shall be the dosage used in determining approval of the admixture.

• Unless otherwise specified, a Type C accelerating chemical admixture conforming to the requirements in ASTM Designation: C 494, may be used in portland cement concrete. Inclusion in the mix design submitted for approval will not be required provided that the admixture is added to counteract changing conditions that contribute to delayed setting of the portland cement concrete, and the use or change in dosage of the admixture is approved in writing by the Engineer.

### 90-4.06 REQUIRED USE OF AIR-ENTRAINING ADMIXTURES

• When air-entrainment is specified or ordered by the Engineer, the air-entraining admixture shall be used in amounts to produce a concrete having the specified air content as determined by California Test 504.

### 90-4.07 OPTIONAL USE OF AIR-ENTRAINING ADMIXTURES

• When air-entrainment has not been specified or ordered by the Engineer, the Contractor will be permitted to use an air-entraining admixture to facilitate the use of any construction procedure or equipment provided that the average air content, as determined by California Test 504, of 3 successive tests does not exceed 4 percent, and no single test value exceeds 5.5 percent. If the Contractor elects to use an air-entraining admixture in concrete for pavement, the Contractor shall so indicate at the time the Contractor designates the source of aggregate as provided in Section 40-1.015, "Cement Content."

### 90-4.08 REQUIRED USE OF MINERAL ADMIXTURES

• Unless otherwise specified, mineral admixture shall be combined with cement to make cementitious material.

• The calcium oxide content of mineral admixtures shall not exceed 10 percent and the available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 618.

• The amounts of cement and mineral admixture used in cementitious material shall be sufficient to satisfy the minimum cementitious material content requirements specified in Section 90-1.01, "Description," or Section 90-4.05, "Optional Use of Chemical Admixtures," and shall conform to the following:

A. The minimum amount of cement shall not be less than 75 percent by mass of the specified minimum cementitious material content;
B. The minimum amount of mineral admixture to be combined with cement shall be determined using one of the following criteria:

   1. When the calcium oxide content of a mineral admixture is equal to or less than 2 percent by mass, the amount of mineral admixture shall not be less than 15 percent by mass of the total amount of cementitious material to be used in the mix;
   2. When the calcium oxide content of a mineral admixture is greater than 2 percent, the amount of mineral admixture shall not be less than 25 percent by mass of the total amount of cementitious material to be used in the mix;
   3. When a mineral admixture that conforms to the provisions for silica fume in Section 90-2.04, "Admixture Materials," is used, the amount of mineral admixture shall not be less than 10 percent by mass of the total amount of cementitious material to be used in the mix

C. The total amount of mineral admixture shall not exceed 35 percent by mass of the total amount of cementitious material to be used in the mix. Where Section 90-1.01, "Description," specifies a maximum cementitious content in kilograms per cubic meter, the total mass of cement and mineral admixture per cubic meter shall not exceed the specified maximum cementitious material content.

**90-4.09 BLANK**

**90-4.10 PROPORTIONING AND DISPENSING LIQUID ADMIXTURES**

• Chemical admixtures and air-entraining admixtures shall be dispensed in liquid form. Dispensers for liquid admixtures shall have sufficient capacity to measure at one time the prescribed quantity required for each batch of concrete. Each dispenser shall include a graduated measuring unit into which liquid admixtures are measured to within ±5 percent of the prescribed quantity for each batch. Dispensers shall be located and maintained so that the graduations can be accurately read from the point at which proportioning operations are controlled to permit a visual check of batching accuracy prior to discharge. Each measuring unit shall be clearly marked for the type and quantity of admixture.

• Each liquid admixture dispensing system shall be equipped with a sampling device consisting of a valve located in a safe and readily accessible position such that a sample of the admixture may be withdrawn slowly by the Engineer.

• If more than one liquid admixture is used in the concrete mix, each liquid admixture shall have a separate measuring unit and shall be dispensed by injecting equipment located in such a manner that the admixtures are not mixed at high concentrations and do not interfere with the effectiveness of each other. When air-entraining admixtures are used in conjunction with other liquid admixtures, the air-entraining admixture shall be the first to be incorporated into the mix.

• When automatic proportioning devices are required for concrete pavement, dispensers for liquid admixtures shall operate automatically with the batching control equipment. The dispensers shall be equipped with an automatic warning system in good operating condition that will provide a visible or audible signal at the point at which proportioning operations are controlled when the quantity of admixture measured for each batch of concrete varies from the preselected dosage by more than 5 percent, or when the entire contents of the measuring unit are not emptied from the dispenser into each batch of concrete.

• Unless liquid admixtures are added to premeasured water for the batch, their discharge into the batch shall be arranged to flow into the stream of water so that the admixtures are well dispersed throughout the batch, except that air-entraining admixtures may be dispensed directly into moist sand in the batching bins provided that adequate control of the air content of the concrete can be maintained.

• Liquid admixtures requiring dosages greater than 2.5 L/m$^3$ shall be considered to be water when determining the total amount of free water as specified in Section 90-6.06, "Amount of Water and Penetration."

• Special admixtures, such as "high range" water reducers that may contribute to a high rate of slump loss, shall be measured and dispensed as recommended by the admixture manufacturer and as approved by the Engineer.

### 90-4.11 STORAGE, PROPORTIONING, AND DISPENSING OF MINERAL ADMIXTURES

• Mineral admixtures shall be protected from exposure to moisture until used. Sacked material shall be piled to permit access for tally, inspection and identification for each shipment.

• Adequate facilities shall be provided to assure that mineral admixtures meeting the specified requirements are kept separate from other mineral admixtures in order to prevent any but the specified mineral admixtures from entering the work. Safe and suitable facilities for sampling mineral admixtures shall be provided at the weigh hopper or in the feed line immediately in advance of the hopper.

• Mineral admixtures shall be incorporated into concrete using equipment conforming to the requirements for cement weigh hoppers, and charging and discharging mechanisms in ASTM Designation: C 94, in Section 90-5.03, "Proportioning," and in this Section 90-4.11.

• When concrete is completely mixed in stationary paving mixers, the mineral admixture shall be weighed in a separate weigh hopper conforming to the provisions for cement weigh hoppers and charging and discharging mechanisms in Section 90-5.03A, "Proportioning for Pavement," and the mineral admixture and cement shall be introduced simultaneously into the mixer proportionately with the aggregate. If the mineral admixture is not weighed in a separate weigh hopper, the Contractor shall provide certification that the stationary mixer is capable of mixing the cement, admixture, aggregates and water uniformly prior to discharge. Certification shall contain the following:

A. Test results for 2 compressive strength test cylinders of concrete taken within the first one-third and 2 compressive strength test cylinders of concrete taken within the last one-third of the concrete discharged from a single batch from the stationary paving mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength;"
B. Calculations demonstrating that the difference in the averages of 2 compressive strengths taken in the first one-third is no greater than 7.5 percent different than the averages of 2 compressive strengths taken in the last one-third of the concrete discharged from a single batch from the stationary paving mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength;" and
C. The mixer rotation speed and time of mixing prior to discharge that are required to produce a mix that meets the requirements above.

## 90-5 PROPORTIONING

### 90-5.01 STORAGE OF AGGREGATES

• Aggregates shall be stored or stockpiled in such a manner that separation of coarse and fine particles of each size shall be avoided and also that the various sizes shall not become intermixed before proportioning.

• Aggregates shall be stored or stockpiled and handled in a manner that shall prevent contamination by foreign materials. In addition, storage of aggregates at batching or mixing facilities that are erected subsequent to the award of the contract and that furnish concrete to the project shall conform to the following:

A. Intermingling of the different sizes of aggregates shall be positively prevented. The Contractor shall take the necessary measures to prevent intermingling. The preventive measures may include, but are not necessarily limited to, physical separation of stockpiles or construction of bulkheads of adequate length and height; and
B. Contamination of aggregates by contact with the ground shall be positively prevented. The Contractor shall take the necessary measures to prevent contamination. The preventive measures shall include, but are not necessarily limited to, placing aggregates on wooden platforms or on hardened surfaces consisting of portland cement concrete, asphalt concrete, or cement treated material.

• In placing aggregates in storage or in moving the aggregates from storage to the weigh hopper of the batching plant, any method that may cause segregation, degradation, or the combining of materials of different gradings that will result in any size of aggregate at the weigh hopper failing to meet the grading requirements, shall be discontinued. Any method of handling aggregates that results in excessive breakage of particles shall be discontinued. The use of suitable devices to reduce impact of falling aggregates may be required by the Engineer.

### 90-5.02 PROPORTIONING DEVICES

• Weighing, measuring, or metering devices used for proportioning materials shall conform to the requirements in Section 9-1.01, "Measurement of Quantities," and this Section 90-5.02. In addition, automatic weighing systems shall comply with the requirements for automatic proportioning devices in Section 90-5.03A, "Proportioning for Pavement." Automatic devices shall be automatic to the extent that the only manual operation required for proportioning the aggregates, cement, and mineral admixture for one batch of concrete is a single operation of a switch or starter.

• Proportioning devices shall be tested at the expense of the Contractor as frequently as the Engineer may deem necessary to ensure their accuracy.

• Weighing equipment shall be insulated against vibration or movement of other operating equipment in the plant. When the plant is in operation, the mass of each batch of material shall not vary from the mass designated by the Engineer by more than the tolerances specified herein.

• Equipment for cumulative weighing of aggregate shall have a zero tolerance of ±0.5 percent of the designated total batch mass of the aggregate. For systems with individual weigh hoppers for the various sizes of aggregate, the zero tolerance shall be ±0.5 percent of the individual batch mass designated for each size of aggregate. Equipment for cumulative weighing of cement and mineral admixtures shall have a zero tolerance of ±0.5 percent of the designated total batch mass of the cement and mineral admixture. Equipment for weighing cement or mineral admixture separately shall have a zero tolerance of ±0.5 percent of their designated individual batch masses. Equipment for measuring water shall have a zero tolerance of ±0.5 percent of its designated mass or volume.

• The mass indicated for any batch of material shall not vary from the preselected scale setting by more than the following:

A. Aggregate weighed cumulatively shall be within 1.0 percent of the designated total batch mass of the aggregate. Aggregates weighed individually shall be within 1.5 percent of their respective designated batch masses; and
B. Cement shall be within 1.0 percent of its designated batch mass. When weighed individually, mineral admixture shall be within 1.0 percent of its designated batch mass. When mineral admixture and cement are permitted to be weighed cumulatively, cement shall be weighed first to within 1.0 percent of its designated batch mass, and the total for cement and mineral admixture shall be within 1.0 percent of the sum of their designated batch masses; and
C. Water shall be within 1.5 percent of its designated mass or volume.

• Each scale graduation shall be approximately 0.001 of the total capacity of the scale. The capacity of scales for weighing cement, mineral admixture, or cement plus mineral admixture and aggregates shall not exceed that of commercially available scales having single graduations indicating a mass not exceeding the maximum permissible mass variation above, except that no scale shall be required having a capacity of less than 500 kg, with 0.5-kg graduations.

**90-5.03 PROPORTIONING**

• Proportioning shall consist of dividing the aggregates into the specified sizes, each stored in a separate bin, and combining them with cement, mineral admixture, and water as provided in these specifications. Aggregates shall be proportioned by mass.

• At the time of batching, aggregates shall have been dried or drained sufficiently to result in a stable moisture content such that no visible separation of water from aggregate will take place during transportation from the proportioning plant to the point of mixing. In no event shall the free moisture content of the fine aggregate at the time of batching exceed 8 percent of its saturated, surface-dry mass.

• Should separate supplies of aggregate material of the same size group, but of different moisture content or specific gravity or surface characteristics affecting workability, be available at the proportioning plant, withdrawals shall be made from one supply exclusively and the materials therein completely exhausted before starting upon another.

• Bulk "Type IP (MS) Modified" cement shall be weighed in an individual hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer.

• Bulk cement and mineral admixture may be weighed in separate, individual weigh hoppers or may be weighed in the same weigh hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer. If the cement and mineral admixture are weighed cumulatively, the cement shall be weighed first.

• When cement and mineral admixtures are weighed in separate weigh hoppers**,** the weigh systems for the proportioning of the aggregate, the cement, and the mineral admixture shall be individual and distinct from all other weigh systems. Each weigh system shall be equipped with a hopper, a lever system, and an indicator to constitute an individual and independent material weighing device. The cement and the mineral admixture shall be discharged into the mixer simultaneously with the aggregate.

• The scales and weigh hoppers for bulk weighing cement, mineral admixture, or cement plus mineral admixture shall be separate and distinct from the aggregate weighing equipment.

• For batches with a volume of one cubic meter or more, the batching equipment shall conform to one of the following combinations:

A. Separate boxes and separate scale and indicator for weighing each size of aggregate.
B. Single box and scale indicator for all aggregates.
C. Single box or separate boxes and automatic weighing mechanism for all aggregates.

• In order to check the accuracy of batch masses, the gross mass and tare mass of batch trucks, truck mixers, truck agitators, and non-agitating hauling equipment shall be determined when ordered by the Engineer. The equipment shall be weighed at the Contractor's expense on scales designated by the Engineer.

**90-5.03A Proportioning for Pavement**

• Aggregates and bulk cement, mineral admixture, and cement plus mineral admixture for use in pavement shall be proportioned by mass by means of automatic proportioning devices of approved type conforming to these specifications.

• The Contractor shall install and maintain in operating condition an electronically actuated moisture meter that will indicate, on a readily visible scale, changes in the moisture content of the fine aggregate as it is batched within a sensitivity of 0.5 percent by mass of the fine aggregate.

• The batching of cement, mineral admixture, or cement plus mineral admixture and aggregate shall be interlocked so that a new batch cannot be started until all weigh hoppers are empty, the proportioning devices are within zero tolerance, and the discharge gates are closed. The interlock shall permit no part of the batch to be discharged until all aggregate hoppers and the cement and mineral admixture hoppers or the cement plus mineral admixture hopper are charged with masses that are within the tolerances specified in Section 90-5.02, "Proportioning Devices."

• When interlocks are required for cement and mineral admixture charging mechanisms and cement and mineral admixtures are weighed cumulatively, their charging mechanisms shall be interlocked to prevent the introduction of mineral admixture until the mass of cement in the cement weigh hopper is within the tolerances specified in Section 90-5.02, "Proportioning Devices."

• The discharge gate on the cement and mineral admixture hoppers or the cement plus mineral admixture hopper shall be designed to permit regulating the flow of cement, mineral admixture, or cement plus mineral admixture into the aggregate as directed by the Engineer.

• When separate weigh boxes are used for each size of aggregate, the discharge gates shall permit regulating the flow of each size of aggregate as directed by the Engineer.

• Material discharged from the several bins shall be controlled by gates or by mechanical conveyors. The means of withdrawal from the several bins, and of discharge from the weigh box, shall be interlocked so that not more than one bin can discharge at a time, and so that the weigh box cannot be tripped until the required quantity from each of the several bins has been deposited therein. Should a separate weigh box be used for each size of aggregate, all may be operated and discharged simultaneously.

• When the discharge from the several bins is controlled by gates, each gate shall be actuated automatically so that the required mass is discharged into the weigh box, after which the gate shall automatically close and lock.

• The automatic weighing system shall be designed so that all proportions required may be set on the weighing controller at the same time.

## 90-6 MIXING AND TRANSPORTING

### 90-6.01 GENERAL

• Concrete shall be mixed in mechanically operated mixers, except that when permitted by the Engineer, batches not exceeding 0.25 $m^3$ may be mixed by hand methods in conformance with the provisions in Section 90-6.05, "Hand-Mixing."

• Equipment having components made of aluminum or magnesium alloys that would have contact with plastic concrete during mixing, transporting, or pumping of portland cement concrete shall not be used.

• Concrete shall be homogeneous and thoroughly mixed, and there shall be no lumps or evidence of undispersed cement, mineral admixture, or cement plus mineral admixture.

• Uniformity of concrete mixtures will be determined by differences in penetration as determined by California Test 533, or slump as determined by ASTM Designation: C 143, and by variations in the proportion of coarse aggregate as determined by California Test 529.

• When the mix design specifies a penetration value, the difference in penetration, determined by comparing penetration tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed 10 mm. When the mix design specifies a slump value, the difference in slump, determined by comparing slump tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed the values given in the table below. Variation in the proportion of coarse aggregate will be determined by comparing the results of tests of 2 samples of mixed concrete from the same batch or truck mixer load and the difference between the 2 results shall not exceed 100 kg per cubic meter of concrete.

| Average Slump | Maximum Permissible Difference |
|---|---|
| Less than 100-mm | 25-mm |
| 100-mm to 150-mm | 38-mm |
| Greater than 150-mm to 225-mm | 50-mm |

- The Contractor, at the Contractor's expense, shall furnish samples of the freshly mixed concrete and provide satisfactory facilities for obtaining the samples.

**90-6.02 MACHINE MIXING**

- Concrete mixers may be of the revolving drum or the revolving blade type, and the mixing drum or blades shall be operated uniformly at the mixing speed recommended by the manufacturer. Mixers and agitators that have an accumulation of hard concrete or mortar shall not be used.
- The temperature of mixed concrete, immediately before placing, shall be not less than 10°C or more than 32°C. Aggregates and water shall be heated or cooled as necessary to produce concrete within these temperature limits. Neither aggregates nor mixing water shall be heated to exceed 65°C. If ice is used to cool the concrete, discharge of the mixer will not be permitted until all ice is melted.
- The batch shall be so charged into the mixer that some water will enter in advance of cementitious materials and aggregates. All water shall be in the drum by the end of the first one-fourth of the specified mixing time.
- Cementitious materials shall be batched and charged into the mixer by means that will not result either in loss of cementitious materials due to the effect of wind, in accumulation of cementitious materials on surfaces of conveyors or hoppers, or in other conditions that reduce or vary the required quantity of cementitious material in the concrete mixture.
- Paving and stationary mixers shall be operated with an automatic timing device. The timing device and discharge mechanism shall be interlocked so that during normal operation no part of the batch will be discharged until the specified mixing time has elapsed.
- The total elapsed time between the intermingling of damp aggregates and all cementitious materials and the start of mixing shall not exceed 30 minutes.
- The size of batch shall not exceed the manufacturer's guaranteed capacity.
- When producing concrete for pavement or base, suitable batch counters shall be installed and maintained in good operating condition at jobsite batching plants and stationary mixers. The batch counters shall indicate the exact number of batches proportioned and mixed.
- Concrete shall be mixed and delivered to the jobsite by means of one of the following combinations of operations:

A. Mixed completely in a stationary mixer and the mixed concrete transported to the point of delivery in truck agitators or in non-agitating hauling equipment (central-mixed concrete).
B. Mixed partially in a stationary mixer, and the mixing completed in a truck mixer (shrink-mixed concrete).
C. Mixed completely in a truck mixer (transit-mixed concrete).
D. Mixed completely in a paving mixer.

- Agitators may be truck mixers operating at agitating speed or truck agitators. Each mixer and agitator shall have attached thereto in a prominent place a metal plate or plates on which is plainly marked the various uses for which the equipment is designed, the manufacturer's guaranteed capacity of the drum or container in terms of the volume of mixed concrete and the speed of rotation of the mixing drum or blades.
- Truck mixers shall be equipped with electrically or mechanically actuated revolution counters by which the number of revolutions of the drum or blades may readily be verified.
- When shrink-mixed concrete is furnished, concrete that has been partially mixed at a central plant shall be transferred to a truck mixer and all requirements for transit-mixed concrete shall apply. No credit in the number of revolutions at mixing speed shall be allowed for partial mixing in a central plant.

**90-6.03 TRANSPORTING MIXED CONCRETE**

- Mixed concrete may be transported to the delivery point in truck agitators or truck mixers operating at the speed designated by the manufacturer of the equipment as agitating speed, or in non-agitating hauling equipment, provided the consistency and workability of the mixed concrete upon discharge at the delivery point is suitable for adequate placement and consolidation in place, and provided the mixed concrete after hauling to the delivery point conforms to the provisions in Section 90-6.01, "General."
- Truck agitators shall be loaded not to exceed the manufacturer's guaranteed capacity and shall maintain the mixed concrete in a thoroughly mixed and uniform mass during hauling.
- Bodies of non-agitating hauling equipment shall be constructed so that leakage of the concrete mix, or any part thereof, will not occur at any time.

• Concrete hauled in open-top vehicles shall be protected during hauling against rain or against exposure to the sun for more than 20 minutes when the ambient temperature exceeds 24°C.

• No additional mixing water shall be incorporated into the concrete during hauling or after arrival at the delivery point, unless authorized by the Engineer. If the Engineer authorizes additional water to be incorporated into the concrete, the drum shall be revolved not less than 30 revolutions at mixing speed after the water is added and before discharge is commenced.

• The rate of discharge of mixed concrete from truck mixer-agitators shall be controlled by the speed of rotation of the drum in the discharge direction with the discharge gate fully open.

• When a truck mixer or agitator is used for transporting concrete to the delivery point, discharge shall be completed within 1.5 hours or before 250 revolutions of the drum or blades, whichever occurs first, after the introduction of the cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 30°C or above, the time allowed may be less than 1.5 hours.

• When non-agitating hauling equipment is used for transporting concrete to the delivery point, discharge shall be completed within one hour after the addition of the cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 30°C or above, the time between the introduction of cement to the aggregates and discharge shall not exceed 45 minutes.

• Each load of concrete delivered at the jobsite shall be accompanied by a weighmaster certificate showing the mix identification number, non-repeating load number, date and time at which the materials were batched, the total amount of water added to the load, and for transit-mixed concrete, the reading of the revolution counter at the time the truck mixer is charged with cement. This weighmaster certificate shall also show the actual scale masses (kilograms) for the ingredients batched. Theoretical or target batch masses shall not be used as a substitute for actual scale masses.

• Weighmaster certificates shall be provided in printed form, or if approved by the Engineer, the data may be submitted in electronic media. Electronic media shall be presented in a tab-delimited format on a 90 mm diskette with a capacity of at least 1.4 megabytes. Captured data, for the ingredients represented by each batch shall be "line feed, carriage return" (LFCR) and "one line, separate record" with allowances for sufficient fields to satisfy the amount of data required by these specifications.

• The Contractor may furnish a weighmaster certificate accompanied by a separate certificate that lists the actual batch masses or measurements for a load of concrete provided that both certificates are imprinted with the same non-repeating load number that is unique to the contract and delivered to the jobsite with the load.

• Weighmaster certificates furnished by the Contractor shall conform to the provisions in Section 9-1.01, "Measurement of Quantities."

### 90-6.04 TIME OR AMOUNT OF MIXING

• Mixing of concrete in paving or stationary mixers shall continue for the required mixing time after all ingredients, except water and admixture, if added with the water, are in the mixing compartment of the mixer before any part of the batch is released. Transfer time in multiple drum mixers shall not be counted as part of the required mixing time.

• The required mixing time, in paving or stationary mixers, of concrete used for concrete structures, except minor structures, shall be not less than 90 seconds or more than 5 minutes, except that when directed by the Engineer in writing, the requirements of the following paragraph shall apply.

• The required mixing time, in paving or stationary mixers, except as provided in the preceding paragraph, shall be not less than 50 seconds or more than 5 minutes.

• The minimum required revolutions at the mixing speed for transit-mixed concrete shall not be less than that recommended by the mixer manufacturer, but in no case shall the number of revolutions be less than that required to consistently produce concrete conforming to the provisions for uniformity in Section 90-6.01, "General."

### 90-6.05 HAND-MIXING

• Hand-mixed concrete shall be made in batches of not more than 0.25 $m^3$ and shall be mixed on a watertight, level platform. The proper amount of coarse aggregate shall be measured in measuring boxes and spread on the platform and the fine aggregate shall be spread on this layer, the 2 layers being not more than 0.3 meters in total depth. On this mixture shall be spread the dry cement and mineral admixture and the whole mass turned no fewer than 2 times dry; then sufficient clean water shall be added, evenly distributed, and the whole mass again turned no fewer than 3 times, not including placing in the carriers or forms.

### 90-6.06 AMOUNT OF WATER AND PENETRATION

• The amount of water used in concrete mixes shall be regulated so that the penetration of the concrete as determined by California Test 533 or the slump of the concrete as determined by ASTM Designation: C 143 is within the "Nominal" values shown in the following table. When the penetration or slump of the concrete is found to exceed the nominal values listed, the mixture of subsequent batches shall be adjusted to reduce the penetration or slump to a value within the nominal

range shown. Batches of concrete with a penetration or slump exceeding the maximum values listed shall not be used in the work. When Type F or Type G chemical admixtures are added to the mix, the penetration requirements shall not apply and the slump shall not exceed 225 mm after the chemical admixtures are added.

| Type of Work | Nominal | | Maximum | |
|---|---|---|---|---|
| | Penetration (mm) | Slump (mm) | Penetration (mm) | Slump (mm) |
| Concrete Pavement | 0-25 | — | 40 | — |
| Non-reinforced concrete facilities | 0-35 | — | 50 | — |
| Reinforced concrete structures | | | | |
| Sections over 300-mm thick | 0-35 | — | 65 | — |
| Sections 300-mm thick or less | 0-50 | — | 75 | — |
| Concrete placed under water | — | 150-200 | — | 225 |
| Cast-in-place concrete piles | 65-90 | 130-180 | 100 | 200 |

- The amount of free water used in concrete shall not exceed 183 kg/m$^3$, plus 20 kg for each required 100 kg of cementitious material in excess of 325 kg/m$^3$.
- The term free water is defined as the total water in the mixture minus the water absorbed by the aggregates in reaching a saturated surface-dry condition.
- Where there are adverse or difficult conditions that affect the placing of concrete, the above specified penetration and free water content limitations may be exceeded providing the Contractor is granted permission by the Engineer in writing to increase the cementitious material content per cubic meter of concrete. The increase in water and cementitious material shall be at a ratio not to exceed 30 kg of water per added 100 kg of cementitious material per cubic meter. The cost of additional cementitious material and water added under these conditions shall be at the Contractor's expense and no additional compensation will be allowed therefor.
- The equipment for supplying water to the mixer shall be constructed and arranged so that the amount of water added can be measured accurately. Any method of discharging water into the mixer for a batch shall be accurate within 1.5 percent of the quantity of water required to be added to the mix for any position of the mixer. Tanks used to measure water shall be designed so that water cannot enter while water is being discharged into the mixer and discharge into the mixer shall be made rapidly in one operation without dribbling. All equipment shall be arranged so as to permit checking the amount of water delivered by discharging into measured containers.

## 90-7 CURING CONCRETE

### 90-7.01 METHODS OF CURING

- Newly placed concrete shall be cured by the methods specified in this Section 90-7.01 and the special provisions.

#### 90-7.01A Water Method

- The concrete shall be kept continuously wet by the application of water for a minimum curing period of 7 days after the concrete has been placed.
- When a curing medium consisting of cotton mats, rugs, carpets, or earth or sand blankets is to be used to retain the moisture, the entire surface of the concrete shall be kept damp by applying water with a nozzle that so atomizes the flow that a mist and not a spray is formed, until the surface of the concrete is covered with the curing medium. The moisture from the nozzle shall not be applied under pressure directly upon the concrete and shall not be allowed to accumulate on the concrete in a quantity sufficient to cause a flow or wash the surface. At the expiration of the curing period, the concrete surfaces shall be cleared of all curing mediums.
- When concrete bridge decks and flat slabs are to be cured without the use of a curing medium, the entire surface of the bridge deck or slab shall be kept damp by the application of water with an atomizing nozzle as specified in the preceding paragraph, until the concrete has set, after which the entire surface of the concrete shall be sprinkled continuously with water for a period of not less than 7 days.

#### 90-7.01B Curing Compound Method

- Surfaces of the concrete that are exposed to the air shall be sprayed uniformly with a curing compound.
- Curing compounds to be used shall be as follows:

1. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B, except the resin type shall be poly-alpha-methylstyrene.

2. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B.
3. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class A.
4. Non-pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class B.
5. Non-pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class A.
6. Non-pigmented curing compound with fugitive dye conforming to the requirements in ASTM Designation: C 309, Type 1-D, Class A.

• The infrared scan for the dried vehicle from curing compound (1) shall match the infrared scan on file at the Transportation Laboratory.

• The loss of water for each type of curing compound, when tested in conformance with the requirements in California Test 534, shall not be more than 0.15-kg/$m^2$ in 24 hours.

• The curing compound to be used will be specified elsewhere in these specifications or in the special provisions.

• When the use of curing compound is required or permitted elsewhere in these specifications or in the special provisions and no specific kind is specified, any of the curing compounds listed above may be used.

• Curing compound shall be applied at a nominal rate of 3.7 $m^2$/L, unless otherwise specified.

• At any point, the application rate shall be within ±1.2 $m^2$/L of the nominal rate specified, and the average application rate shall be within ±0.5 $m^2$/L of the nominal rate specified when tested in conformance with the requirements in California Test 535. Runs, sags, thin areas, skips, or holidays in the applied curing compound shall be evidence that the application is not satisfactory.

• Curing compounds shall be applied using power operated spray equipment. The power operated spraying equipment shall be equipped with an operational pressure gage and a means of controlling the pressure. Hand spraying of small and irregular areas that are not reasonably accessible to mechanical spraying equipment, in the opinion of the Engineer, may be permitted.

• The curing compound shall be applied to the concrete following the surface finishing operation, immediately before the moisture sheen disappears from the surface, but before any drying shrinkage or craze cracks begin to appear. In the event of any drying or cracking of the surface, application of water with an atomizing nozzle as specified in Section 90-7.01A, "Water Method," shall be started immediately and shall be continued until application of the compound is resumed or started; however, the compound shall not be applied over any resulting freestanding water. Should the film of compound be damaged from any cause before the expiration of 7 days after the concrete is placed in the case of structures and 72 hours in the case of pavement, the damaged portion shall be repaired immediately with additional compound.

• At the time of use, compounds containing pigments shall be in a thoroughly mixed condition with the pigment uniformly dispersed throughout the vehicle. A paddle shall be used to loosen all settled pigment from the bottom of the container, and a power driven agitator shall be used to disperse the pigment uniformly throughout the vehicle.

• Agitation shall not introduce air or other foreign substance into the curing compound.

• The manufacturer shall include in the curing compound the necessary additives for control of sagging, pigment settling, leveling, de-emulsification, or other requisite qualities of a satisfactory working material. Pigmented curing compounds shall be manufactured so that the pigment does not settle badly, does not cake or thicken in the container, and does not become granular or curdled. Settlement of pigment shall be a thoroughly wetted, soft, mushy mass permitting the complete and easy vertical penetration of a paddle. Settled pigment shall be easily redispersed, with minimum resistance to the sideways manual motion of the paddle across the bottom of the container, to form a smooth uniform product of the proper consistency.

• Curing compounds shall remain sprayable at temperatures above 4°C and shall not be diluted or altered after manufacture.

• The curing compound shall be packaged in clean 1040-L totes, 210-L barrels

or 19-L pails shall be supplied from a suitable storage tank located at the jobsite. The containers shall comply with "Title 49, Code of Federal Regulations, Hazardous Materials Regulations." The 1040-L totes and the 210-L barrels shall have removable lids and airtight fasteners. The 19-L pails shall be round and have standard full open head and bail. Lids with bungholes shall not be permitted. Settling or separation of solids in containers, except tanks, must be completely redispersed with low speed mixing prior to use, in conformance with these specifications and the manufacturer's recommendations. Mixing shall be accomplished either manually by use of a paddle or by use of a mixing blade driven by a drill motor, at low speed. Mixing blades shall be the type used for mixing paint. On site storage tanks shall be kept clean and free of contaminants. Each tank shall have a permanent system designed to completely redisperse settled material without introducing air or other foreign substances.

• Steel containers and lids shall be lined with a coating that will prevent destructive action by the compound or chemical agents in the air space above the compound. The coating shall not come off the container or lid as skins. Containers shall be filled in a manner that will prevent skinning. Plastic containers shall not react with the compound.

• Each container shall be labeled with the manufacturer's name, kind of curing compound, batch number, volume, date of manufacture, and volatile organic compound (VOC) content. The label shall also warn that the curing compound containing pigment shall be well stirred before use. Precautions concerning the handling and the application of curing

compound shall be shown on the label of the curing compound containers in conformance with the Construction Safety Orders and General Industry Safety Orders of the State of California.

- Containers of curing compound shall be labeled to indicate that the contents fully comply with the rules and regulations concerning air pollution control in the State of California.
- When the curing compound is shipped in tanks or tank trucks, a shipping invoice shall accompany each load. The invoice shall contain the same information as that required herein for container labels.
- Curing compound will be sampled by the Engineer at the source of supply or at the jobsite or at both locations.
- Curing compound shall be formulated so as to maintain the specified properties for a minimum of one year. The Engineer may require additional testing before use to determine compliance with these specifications if the compound has not been used within one year or whenever the Engineer has reason to believe the compound is no longer satisfactory.
- Tests will be conducted in conformance with the latest ASTM test methods and methods in use by the Transportation Laboratory.

### 90-7.01C Waterproof Membrane Method

- The exposed finished surfaces of concrete shall be sprayed with water, using a nozzle that so atomizes the flow that a mist and not a spray is formed, until the concrete has set, after which the curing membrane shall be placed. The curing membrane shall remain in place for a period of not less than 72 hours.
- Sheeting material for curing concrete shall conform to the requirements in AASHTO Designation: M 171 for white reflective materials.
- The sheeting material shall be fabricated into sheets of such width as to provide a complete cover for the entire concrete surface. Joints in the sheets shall be securely cemented together in such a manner as to provide a waterproof joint. The joint seams shall have a minimum lap of 100 mm.
- The sheets shall be securely weighted down by placing a bank of earth on the edges of the sheets or by other means satisfactory to the Engineer.
- Should any portion of the sheets be broken or damaged before the expiration of 72 hours after being placed, the broken or damaged portions shall be immediately repaired with new sheets properly cemented into place.
- Sections of membrane that have lost their waterproof qualities or have been damaged to such an extent as to render them unfit for curing the concrete shall not be used.

### 90-7.01D Forms-In-Place Method

- Formed surfaces of concrete may be cured by retaining the forms in place. The forms shall remain in place for a minimum period of 7 days after the concrete has been placed, except that for members over 0.5-m in least dimension the forms shall remain in place for a minimum period of 5 days.
- Joints in the forms and the joints between the end of forms and concrete shall be kept moisture tight during the curing period. Cracks in the forms and cracks between the forms and the concrete shall be resealed by methods subject to the approval of the Engineer.

## 90-7.02 CURING PAVEMENT

- The entire exposed area of the pavement, including edges, shall be cured by the waterproof membrane method, or curing compound method using curing compound (1) or (2) as the Contractor may elect. Should the side forms be removed before the expiration of 72 hours following the start of curing, the exposed pavement edges shall also be cured. If the pavement is cured by means of the curing compound method, the sawcut and all portions of the curing compound that have been disturbed by sawing operations shall be restored by spraying with additional curing compound.
- Curing shall commence as soon as the finishing process provided in Section 40-1.10, "Final Finishing," has been completed. The method selected shall conform to the provisions in Section 90-7.01, "Methods of Curing."
- When the curing compound method is used, the compound shall be applied to the entire pavement surface by mechanical sprayers. Spraying equipment shall be of the fully atomizing type equipped with a tank agitator that provides for continual agitation of the curing compound during the time of application. The spray shall be adequately protected against wind, and the nozzles shall be so oriented or moved mechanically transversely as to result in the minimum specified rate of coverage being applied uniformly on exposed faces. Hand spraying of small and irregular areas, and areas inaccessible to mechanical spraying equipment, in the opinion of the Engineer, will be permitted. When the ambient air temperature is above 15°C, the Contractor shall fog the surface of the concrete with a fine spray of water as specified in Section 90-7.01A, "Water Method." The surface of the pavement shall be kept moist between the hours of 10:00 a.m. and 4:30 p.m. on the day the concrete is placed. However, the fogging done after the curing compound has been applied shall not begin until the compound has set sufficiently to prevent displacement. Fogging shall be discontinued if ordered in writing by the Engineer.

## 90-7.03 CURING STRUCTURES

• Newly placed concrete for cast-in-place structures, other than highway bridge decks, shall be cured by the water method, the forms-in-place method, or, as permitted herein, by the curing compound method, in conformance with the provisions in Section 90-7.01, "Methods of Curing."

• The curing compound method using a pigmented curing compound may be used on concrete surfaces of construction joints, surfaces that are to be buried underground, and surfaces where only Ordinary Surface Finish is to be applied and on which a uniform color is not required and that will not be visible from a public traveled way. If the Contractor elects to use the curing compound method on the bottom slab of box girder spans, the curing compound shall be curing compound (1).

• The top surface of highway bridge decks shall be cured by both the curing compound method and the water method. The curing compound shall be curing compound (1).

• Concrete surfaces of minor structures, as defined in Section 51-1.02, "Minor Structures," shall be cured by the water method, the forms-in-place method or the curing compound method.

• When deemed necessary by the Engineer during periods of hot weather, water shall be applied to concrete surfaces being cured by the curing compound method or by the forms-in-place method, until the Engineer determines that a cooling effect is no longer required. Application of water for this purpose will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

## 90-7.04 CURING PRECAST CONCRETE MEMBERS

• Precast concrete members shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing." Curing shall be provided for the minimum time specified for each method or until the concrete reaches its design strength, whichever is less. Steam curing may also be used for precast members and shall conform to the following provisions:

A. After placement of the concrete, members shall be held for a minimum 4-hour presteaming period. If the ambient air temperature is below 10°C, steam shall be applied during the presteaming period to hold the air surrounding the member at a temperature between 10°C and 32°C.
B. To prevent moisture loss on exposed surfaces during the presteaming period, members shall be covered as soon as possible after casting or the exposed surfaces shall be kept wet by fog spray or wet blankets.
C. Enclosures for steam curing shall allow free circulation of steam about the member and shall be constructed to contain the live steam with a minimum moisture loss. The use of tarpaulins or similar flexible covers will be permitted, provided they are kept in good repair and secured in such a manner as to prevent the loss of steam and moisture.
D. Steam at the jets shall be at low pressure and in a saturated condition. Steam jets shall not impinge directly on the concrete, test cylinders, or forms. During application of the steam, the temperature rise within the enclosure shall not exceed 22°C per hour. The curing temperature throughout the enclosure shall not exceed 65°C and shall be maintained at a constant level for a sufficient time necessary to develop the required transfer strength. Control cylinders shall be covered to prevent moisture loss and shall be placed in a location where temperature is representative of the average temperature of the enclosure.
E. Temperature recording devices that will provide an accurate, continuous, permanent record of the curing temperature shall be provided. A minimum of one temperature recording device per 60 m of continuous bed length will be required for checking temperature.
F. Members in pretension beds shall be detensioned immediately after the termination of steam curing while the concrete and forms are still warm, or the temperature under the enclosure shall be maintained above 15°C until the stress is transferred to the concrete.
G. Curing of precast concrete will be considered completed after termination of the steam curing cycle.

## 90-7.05 CURING PRECAST PRESTRESSED CONCRETE PILES

• Newly placed concrete for precast prestressed concrete piles shall be cured in conformance with the provisions in Section 90-7.04, "Curing Precast Concrete Members," except that piles with a class designation ending in C (corrosion resistant) shall be cured as follows:

A. Piles shall be either steam cured or water cured. If water curing is used, the piles shall be kept continuously wet by the application of water in conformance with the provisions in Section 90-7.01A, "Water Method."
B. If steam curing is used, the steam curing provisions in Section 90-7.04, "Curing Precast Concrete Members," shall apply except that the piles shall be kept continuously wet for their entire length for a period of not less than 3 days, including the holding and steam curing periods.

### 90-7.06 CURING SLOPE PROTECTION

- Concrete slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."
- Concreted-rock slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing," or with a blanket of earth kept wet for 72 hours, or by sprinkling with a fine spray of water every 2 hours during the daytime for a period of 3 days.

### 90-7.07 CURING MISCELLANEOUS CONCRETE WORK

- Exposed surfaces of curbs shall be cured by pigmented curing compounds as specified in Section 90-7.01B, "Curing Compound Method."
- Concrete sidewalks, gutter depressions, island paving, curb ramps, driveways, and other miscellaneous concrete areas shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."
- Shotcrete shall be cured for at least 72 hours by spraying with water, or by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."
- Mortar and grout shall be cured by keeping the surface damp for 3 days.
- After placing, the exposed surfaces of sign structure foundations, including pedestal portions, if constructed, shall be cured for at least 72 hours by spraying with water, or by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

## 90-8 PROTECTING CONCRETE

### 90-8.01 GENERAL

- In addition to the provisions in Section 7-1.16, "Contractor's Responsibility for the Work and Materials," the Contractor shall protect concrete as provided in this Section 90-8.
- Concrete shall not be placed on frozen or ice-coated ground or subgrade nor on ice-coated forms, reinforcing steel, structural steel, conduits, precast members, or construction joints.
- Under rainy conditions, placing of concrete shall be stopped before the quantity of surface water is sufficient to damage surface mortar or cause a flow or wash of the concrete surface, unless the Contractor provides adequate protection against damage.
- Concrete that has been frozen or damaged by other causes, as determined by the Engineer, shall be removed and replaced by the Contractor at the Contractor's expense.

### 90-8.02 PROTECTING CONCRETE STRUCTURES

- Structure concrete and shotcrete used as structure concrete shall be maintained at a temperature of not less than 7°C for 72 hours after placing and at not less than 4°C for an additional 4 days. When required by the Engineer, the Contractor shall submit a written outline of the proposed methods for protecting the concrete.

### 90-8.03 PROTECTING CONCRETE PAVEMENT

- Pavement concrete shall be maintained at a temperature of not less than 4°C for 72 hours. When required by the Engineer, the Contractor shall submit a written outline of the proposed methods for protecting the concrete.
- Except as provided in Section 7-1.08, "Public Convenience," the Contractor shall protect concrete pavement against construction and other activities that abrade, scar, discolor, reduce texture depth, lower coefficient of friction, or otherwise damage the surface. Stockpiling, drifting, or excessive spillage of soil, gravel, petroleum products, and concrete or asphalt mixes on the surface of concrete pavement is prohibited unless otherwise specified in these specifications, the special provisions or permitted by the Engineer.
- When ordered by the Engineer or shown on the plans or specified in the special provisions, pavement crossings shall be constructed for the convenience of public traffic. The material and work necessary for the construction of the crossings, and their subsequent removal and disposal, will be paid for at the contract unit prices for the items of work involved and if there are no contract items for the work involved, payment for pavement crossings will be made by extra work as provided in Section 4-1.03D, "Extra Work.". Where public traffic will be required to cross over the new pavement, Type III portland cement may be used in concrete, if permitted in writing by the Engineer. The pavement may be opened to traffic as soon as the concrete has developed a modulus of rupture of 3.8 MPa. The modulus of rupture will be determined by California Test 523.
- No traffic or Contractor's equipment, except as hereinafter provided, will be permitted on the pavement before a period of 10 days has elapsed after the concrete has been placed, nor before the concrete has developed a modulus of rupture of at least 3.8 MPa. Concrete that fails to attain a modulus of rupture of 3.8 MPa within 10 days shall not be opened to traffic until directed by the Engineer.

• Equipment for sawing weakened plane joints will be permitted on the pavement as specified in Section 40-1.08B, "Weakened Plane Joints."

• When requested in writing by the Contractor, the tracks on one side of paving equipment will be permitted on the pavement after a modulus of rupture of 2.4 MPa has been attained, provided that:

A. Unit pressure exerted on the pavement by the paver shall not exceed 135 kPa;
B. Tracks with cleats, grousers, or similar protuberances shall be modified or shall travel on planks or equivalent protective material, so that the pavement is not damaged; and
C. No part of the track shall be closer than 0.3-m from the edge of pavement.

• In case of visible cracking of, or other damage to the pavement, operation of the paving equipment on the pavement shall be immediately discontinued.

• Damage to the pavement resulting from early use of pavement by the Contractor's equipment as provided above shall be repaired by the Contractor at the Contractor's expense.

• The State will furnish the molds and machines for testing the concrete for modulus of rupture, and the Contractor, at the Contractor's expense, shall furnish the material and whatever labor the Engineer may require.

## 90-9 COMPRESSIVE STRENGTH

### 90-9.01 GENERAL

• Concrete compressive strength requirements consist of a minimum strength that shall be attained before various loads or stresses are applied to the concrete and, for concrete designated by strength, a minimum strength at the age of 28 days or at the age otherwise allowed in Section 90-1.01, "Description." The various strengths required are specified in these specifications or the special provisions or are shown on the plans.

• The compressive strength of concrete will be determined from test cylinders that have been fabricated from concrete sampled in conformance with the requirements of California Test 539. Test cylinders will be molded and initially field cured in conformance with California Test 540. Test cylinders will be cured and tested after receipt at the testing laboratory in conformance with the requirements of California Test 521. A strength test shall consist of the average strength of 2 cylinders fabricated from material taken from a single load of concrete, except that, if any cylinder should show evidence of improper sampling, molding, or testing, that cylinder shall be discarded and the strength test shall consist of the strength of the remaining cylinder.

• When concrete compressive strength is specified as a prerequisite to applying loads or stresses to a concrete structure or member, test cylinders for other than steam cured concrete will be cured in conformance with Method 1 of California Test 540. The compressive strength of concrete determined for these purposes will be evaluated on the basis of individual tests.

• When concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete strength to be used as a basis for acceptance of other than steam cured concrete will be determined from cylinders cured in conformance with Method 1 of California Test 540. If the result of a single compressive strength test at the maximum age specified or allowed is below the specified strength but is 95 percent or more of the specified strength, the Contractor shall, at the Contractor's expense, make corrective changes, subject to approval of the Engineer, in the mix proportions or in the concrete fabrication procedures, before placing additional concrete, and shall pay to the State $14 for each in-place cubic meter of concrete represented by the deficient test. If the result of a single compressive strength test at the maximum age specified or allowed is below 95 percent of the specified strength, but is 85 percent or more of the specified strength, the Contractor shall make the corrective changes specified above, and shall pay to the State $20 for each in place cubic meter of concrete represented by the deficient test. In addition, such corrective changes shall be made when the compressive strength of concrete tested at 7 days indicates, in the judgment of the Engineer, that the concrete will not attain the required compressive strength at the maximum age specified or allowed. Concrete represented by a single test that indicates a compressive strength of less than 85 percent of the specified 28-day compressive strength will be rejected in conformance with the provisions in Section 6-1.04, "Defective Materials."

• If the test result indicates that the compressive strength at the maximum curing age specified or allowed is below the specified strength, but is 85 percent or more of the specified strength, payments to the State as required above shall be made, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength of the concrete placed in the work meets or exceeds the specified 28-day compressive strength. If the test result indicates a compressive strength at the maximum curing age specified or allowed below 85 percent, the concrete represented by that test will be rejected, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength and quality of the concrete placed in the work are acceptable. If the evidence consists of tests made on cores taken from the work, the cores shall be obtained and tested in conformance with the requirements in ASTM Designation: C 42.

- No single compressive strength test shall represent more than 250 $m^3$.
- When a precast concrete member is steam cured, the compressive strength of the concrete will be determined from test cylinders that have been handled and stored in conformance with Method 3 of California Test 540. The compressive strength of steam cured concrete will be evaluated on the basis of individual tests representing specific portions of production. When the concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete shall be considered to be acceptable whenever its compressive strength reaches the specified 28-day compressive strength provided that strength is reached in not more than the maximum number of days specified or allowed after the member is cast.
- When concrete is specified by compressive strength, prequalification of materials, mix proportions, mixing equipment, and procedures proposed for use will be required prior to placement of the concrete. Prequalification shall be accomplished by the submission of acceptable certified test data or trial batch reports by the Contractor. Prequalification data shall be based on the use of materials, mix proportions, mixing equipment, procedures, and size of batch proposed for use in the work.
- Certified test data, in order to be acceptable, shall indicate that not less than 90 percent of at least 20 consecutive tests exceed the specified strength at the maximum number of cure days specified or allowed, and none of those tests are less than 95 percent of specified strength. Strength tests included in the data shall be the most recent tests made on concrete of the proposed mix design and all shall have been made within one year of the proposed use of the concrete.
- Trial batch test reports, in order to be acceptable, shall indicate that the average compressive strength of 5 consecutive concrete cylinders, taken from a single batch, at not more than 28 days (or the maximum age allowed) after molding shall be at least 4 MPa greater than the specified 28-day compressive strength, and no individual cylinder shall have a strength less than the specified strength at the maximum age specified or allowed. Data contained in the report shall be from trial batches that were produced within one year of the proposed use of specified strength concrete in the project. Whenever air-entrainment is required, the air content of trial batches shall be equal to or greater than the air content specified for the concrete without reduction due to tolerances.
- Tests shall be performed in conformance with either the appropriate California Test methods or the comparable ASTM test methods. Equipment employed in testing shall be in good condition and shall be properly calibrated. If the tests are performed during the life of the contract, the Engineer shall be notified sufficiently in advance of performing the tests in order to witness the test procedures.
- The certified test data and trial batch test reports shall include the following information:

A. Date of mixing.
B. Mixing equipment and procedures used.
C. The size of batch in cubic meters and the mass, type, and source of all ingredients used.
D. Penetration of the concrete.
E. The air content of the concrete if an air-entraining admixture is used.
F. The age at time of testing and strength of all concrete cylinders tested.

- Certified test data and trial batch test reports shall be signed by an official of the firm that performed the tests.
- When approved by the Engineer, concrete from trial batches may be used in the work at locations where concrete of a lower quality is required and the concrete will be paid for as the type or class of concrete required at that location.
- After materials, mix proportions, mixing equipment, and procedures for concrete have been prequalified for use, additional prequalification by testing of trial batches will be required prior to making changes that, in the judgment of the Engineer, could result in a strength of concrete below that specified.
- The Contractor's attention is directed to the time required to test trial batches and the Contractor shall be responsible for production of trial batches at a sufficiently early date so that the progress of the work is not delayed.
- When precast concrete members are manufactured at the plant of an established manufacturer of precast concrete members, the mix proportions of the concrete shall be determined by the Contractor, and a trial batch and prequalification of the materials, mix proportions, mixing equipment, and procedures will not be required.

## 90-10 MINOR CONCRETE

### 90-10.01 GENERAL

- Concrete for minor structures, slope paving, curbs, sidewalks and other concrete work, when designated as minor concrete on the plans, in the specifications, or in the contract item, shall conform to the provisions specified herein.
- The Engineer, at the Engineer's discretion, will inspect and test the facilities, materials and methods for producing the concrete to ensure that minor concrete of the quality suitable for use in the work is obtained.

## 90-10.02 MATERIALS

- Minor concrete shall conform to the following requirements:

### 90-10.02A Cementitious Material

- Cementitious material shall conform to the provisions in Section 90-1.01, "Description."

### 90-10.02B Aggregate

- Aggregate shall be clean and free from deleterious coatings, clay balls, roots, and other extraneous materials.
- The Contractor shall submit to the Engineer for approval, a grading of the combined aggregate proposed for use in the minor concrete. After acceptance of the grading, aggregate furnished for minor concrete shall conform to that grading, unless a change is authorized in writing by the Engineer.
- The Engineer may require the Contractor to furnish periodic test reports of the aggregate grading furnished. The maximum size of aggregate used shall be at the option of the Contractor, but in no case shall the maximum size be larger than 37.5 mm or smaller than 19 mm.
- The Engineer may waive, in writing, the gradation requirements in this Section 90-10.02B, if, in the Engineer's opinion, the furnishing of the gradation is not necessary for the type or amount of concrete work to be constructed.

### 90-10.02C Water

- Water used for washing, mixing, and curing shall be free from oil, salts, and other impurities that would discolor or etch the surface or have an adverse affect on the quality of the concrete.

### 90-10.02D Admixtures

- The use of admixtures shall conform to the provisions in Section 90-4, "Admixtures."

## 90-10.03 PRODUCTION

- Cementitious material, water, aggregate, and admixtures shall be stored, proportioned, mixed, transported, and discharged in conformance with recognized standards of good practice that will result in concrete that is thoroughly and uniformly mixed, that is suitable for the use intended, and that conforms to requirements specified herein. Recognized standards of good practice are outlined in various industry publications such as are issued by American Concrete Institute, AASHTO, or the Department.
- The cementitious material content of minor concrete shall conform to the provisions in Section 90-1.01, "Description."
- The amount of water used shall result in a consistency of concrete conforming to the provisions in Section 90-6.06, "Amount of Water and Penetration." Additional mixing water shall not be incorporated into the concrete during hauling or after arrival at the delivery point, unless authorized by the Engineer.
- Discharge of ready-mixed concrete from the transporting vehicle shall be made while the concrete is still plastic and before stiffening occurs. An elapsed time of 1.5 hours (one hour in non-agitating hauling equipment), or more than 250 revolutions of the drum or blades, after the introduction of the cementitious material to the aggregates, or a temperature of concrete of more than 32°C will be considered conditions contributing to the quick stiffening of concrete. The Contractor shall take whatever action is necessary to eliminate quick stiffening, except that the addition of water will not be permitted.
- The required mixing time in stationary mixers shall be not less than 50 seconds or more than 5 minutes.
- The minimum required revolutions at mixing speed for transit-mixed concrete shall be not less than that recommended by the mixer manufacturer, and shall be increased, if necessary, to produce thoroughly and uniformly mixed concrete.
- Each load of ready-mixed concrete shall be accompanied by a weighmaster certificate that shall be delivered to the Engineer at the discharge location of the concrete, unless otherwise directed by the Engineer. The weighmaster certificate shall be clearly marked with the date and time of day when the load left the batching plant and, if hauled in truck mixers or agitators, the time the mixing cycle started.
- A Certificate of Compliance conforming to the provisions in Section 6–1.07, "Certificates of Compliance," shall be furnished to the Engineer, prior to placing minor concrete from a source not previously used on the contract, stating that minor concrete to be furnished meets contract requirements, including minimum cementitious material content specified.

## 90-10.04 CURING MINOR CONCRETE

- Curing minor concrete shall conform to the provisions in Section 90-7, "Curing Concrete."

### 90-10.05 PROTECTING MINOR CONCRETE

• Protecting minor concrete shall conform to the provisions in Section 90-8, "Protecting Concrete," except the concrete shall be maintained at a temperature of not less than 4°C for 72 hours after placing.

### 90-10.06 MEASUREMENT AND PAYMENT

• Minor concrete will be measured and paid for in conformance with the provisions specified in the various sections of these specifications covering concrete construction when minor concrete is specified in the specifications, shown on the plans, or indicated by contract item in the Engineer's Estimate.

## 90-11 MEASUREMENT AND PAYMENT

### 90-11.01 MEASUREMENT

• Portland cement concrete will be measured in conformance with the provisions specified in the various sections of these specifications covering construction requiring concrete.

• When it is provided that concrete will be measured at the mixer, the volume in cubic meters shall be computed as the total mass of the batch in kilograms divided by the density of the concrete in kilograms per cubic meter. The total mass of the batch shall be calculated as the sum of all materials, including water, entering the batch. The density of the concrete will be determined in conformance with the requirements in California Test 518.

### 90-11.02 PAYMENT

• Portland cement concrete will be paid for in conformance with the provisions specified in the various sections of these specifications covering construction requiring concrete.

• Full compensation for furnishing and incorporating admixtures required by these specifications or the special provisions will be considered as included in the contract prices paid for the concrete involved and no additional compensation will be allowed therefor.

• Should the Engineer order the Contractor to incorporate any admixtures in the concrete when their use is not required by these specifications or the special provisions, furnishing the admixtures and adding them to the concrete will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

• Should the Contractor use admixtures in conformance with the provisions in Section 90-4.05, "Optional Use of Chemical Admixtures," or Section 90-4.07, "Optional Use of Air-entraining Admixtures," or should the Contractor request and obtain permission to use other admixtures for the Contractor's benefit, the Contractor shall furnish those admixtures and incorporate them into the concrete at the Contractor's expense and no additional compensation will be allowed therefor.

# END OF AMENDMENTS

## SECTION 2. PROPOSAL REQUIREMENTS AND CONDITIONS

### 2-1.01 GENERAL

The bidder's attention is directed to the provisions in Section 2, "Proposal Requirements and Conditions," of the Standard Specifications and these special provisions for the requirements and conditions which the bidder must observe in the preparation of the Proposal form and the submission of the bid.

In addition to the subcontractors required to be listed in conformance with Section 2-1.054, "Required Listing of Proposed Subcontractors," of the Standard Specifications, each proposal shall have listed therein the portion of work that will be performed by each subcontractor listed.

The Bidder's Bond form mentioned in the last paragraph in Section 2-1.07, "Proposal Guaranty," of the Standard Specifications will be found following the signature page of the Proposal.

Submit request for substitution of an "or equal" item, and the data substantiating the request to the Department of Transportation, P.O. Box 911, Marysville, CA 95901, Attn: NRCO/Contract Administration Engineer, so that the request is received by the Department by close of business on the fourth day, not including Saturdays, Sundays and legal holidays, following bid opening.

In conformance with Public Contract Code Section 7106, a Noncollusion Affidavit is included in the Proposal. Signing the Proposal shall also constitute signature of the Noncollusion Affidavit.

The contractor, sub recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate. Each subcontract signed by the bidder must include this assurance.

Failure of the bidder to fulfill the requirements of the Special Provisions for submittals required to be furnished after bid opening, including but not limited to DBE or DVBE submittals, or escrowed bid documents, where applicable, may subject the bidder to a determination of the bidder's responsibility in the event it is the apparent low bidder on a future public works contracts.

### 2-1.015 FEDERAL LOBBYING RESTRICTIONS

Section 1352, Title 31, United States Code prohibits Federal funds from being expended by the recipient or any lower tier subrecipient of a Federal-aid contract to pay for any person for influencing or attempting to influence a Federal agency or Congress in connection with the awarding of any Federal-aid contract, the making of any Federal grant or loan, or the entering into of any cooperative agreement.

If any funds other than Federal funds have been paid for the same purposes in connection with this Federal-aid contract, the recipient shall submit an executed certification and, if required, submit a completed disclosure form as part of the bid documents.

A certification for Federal-aid contracts regarding payment of funds to lobby Congress or a Federal agency is included in the Proposal. Standard Form - LLL, "Disclosure of Lobbying Activities," with instructions for completion of the Standard Form is also included in the Proposal. Signing the Proposal shall constitute signature of the Certification.

The above-referenced certification and disclosure of lobbying activities shall be included in each subcontract and any lower-tier contracts exceeding $100,000. All disclosure forms, but not certifications, shall be forwarded from tier to tier until received by the Engineer.

The Contractor, subcontractors and any lower-tier contractors shall file a disclosure form at the end of each calendar quarter in which there occurs any event that requires disclosure or that materially affects the accuracy of the information contained in any disclosure form previously filed by the Contractor, subcontractors and any lower-tier contractors. An event that materially affects the accuracy of the information reported includes:

A. A cumulative increase of $25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or
B. A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or,
C. A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.

### 2-1.02 DISADVANTAGED BUSINESS ENTERPRISE (DBE)

This project is subject to Part 26, Title 49, Code of Federal Regulations entitled "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs." The Regulations in their entirety are incorporated herein by this reference.

Bidders shall be fully informed respecting the requirements of the Regulations and the Department's Disadvantaged Business Enterprise (DBE) program developed pursuant to the Regulations; particular attention is directed to the following matters:

A. A DBE must be a small business concern as defined pursuant to Section 3 of U.S. Small Business Act and relevant regulations promulgated pursuant thereto.
B. A DBE may participate as a prime contractor, subcontractor, joint venture partner with a prime or subcontractor, vendor of material or supplies, or as a trucking company.
C. A DBE bidder, not bidding as a joint venture with a non-DBE, will be required to document one or a combination of the following:

    1. The bidder will meet the goal by performing work with its own forces.
    2. The bidder will meet the goal through work performed by DBE subcontractors, suppliers or trucking companies.
    3. The bidder, prior to bidding, made adequate good faith efforts to meet the goal.

D. A DBE joint venture partner must be responsible for specific contract items of work, or portions thereof. Responsibility means actually performing, managing and supervising the work with its own forces. The DBE joint venture partner must share in the capital contribution, control, management, risks and profits of the joint venture. The DBE joint venturer must submit the joint venture agreement with the proposal or the DBE Information form required in the Section entitled "Submission of DBE Information" of these special provisions.
E. A DBE must perform a commercially useful function, i.e., must be responsible for the execution of a distinct element of the work and must carry out its responsibility by actually performing, managing and supervising the work.

F. DBEs must be certified by the California Unified Certification Program (CUCP). It is the contractor's responsibility to confirm that the firm is DBE certified as of the date of bid opening. Listings of DBEs certified by the CUCP are available from the following sources:

1. The Department's DBE Directory, which is published quarterly. This Directory may be obtained from the Department of Transportation, Materiel Operations Branch, Publication Distribution Unit, 1900 Royal Oaks Drive, Sacramento, California 95815, Telephone: (916) 445-3520.
2. The Department's web site at http://www.dot.ca.gov/hq/bep.
3. The organizations listed in the Section entitled "DBE Goal for this Project" of these special provisions.

G. Credit for materials or supplies purchased from DBEs will be as follows:

1. If the materials or supplies are obtained from a DBE manufacturer, 100 percent of the cost of the materials or supplies will count toward the DBE goal. A DBE manufacturer is a firm that operates or maintains a factory or establishment that produces, on the premises, the materials, supplies, articles, or equipment required under the contract and of the general character described by the specifications.
2. If the materials or supplies are purchased from a DBE regular dealer, 60 percent of the cost of the materials or supplies will count toward the DBE goal. A DBE regular dealer is a firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. To be a DBE regular dealer, the firm must be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question. A person may be a DBE regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone, or asphalt without owning, operating, or maintaining a place of business as provided in this paragraph G.2. if the person both owns and operates distribution equipment for the products. Any supplementing of regular dealers' own distribution equipment shall be by a long-term lease agreement and not on an ad hoc or contract-by-contract basis. Packagers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions are not DBE regular dealers within the meaning of this paragraph G.2.
3. Credit for materials or supplies purchased from a DBE which is neither a manufacturer nor a regular dealer will be limited to the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site, provided the fees are reasonable and not excessive as compared with fees charged for similar services.

H. Credit for DBE trucking companies will be as follows:

1. The DBE must be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there cannot be a contrived arrangement for the purpose of meeting the DBE goal.
2. The DBE must itself own and operate at least one fully licensed, insured, and operational truck used on the contract.
3. The DBE receives credit for the total value of the transportation services it provides on the contract using trucks its owns, insures, and operates using drivers it employs.
4. The DBE may lease trucks from another DBE firm, including an owner-operator who is certified as a DBE. The DBE who leases trucks from another DBE receives credit for the total value of the transportation services the lessee DBE provides on the contract.
5. The DBE may also lease trucks from a non-DBE firm, including an owner-operator. The DBE who leases trucks from a non-DBE is entitled to credit only for the fee or commission it receives as a result of the lease arrangement. The DBE does not receive credit for the total value of the transportation services provided by the lessee, since these services are not provided by a DBE.
6. For the purposes of this paragraph H, a lease must indicate that the DBE has exclusive use of and control over the truck. This does not preclude the leased truck from working for others during the term of the lease with the consent of the DBE, so long as the lease gives the DBE absolute priority for use of the leased truck. Leased trucks must display the name and identification number of the DBE.

I. Noncompliance by the Contractor with the requirements of the regulations constitutes a breach of this contract and may result in termination of the contract or other appropriate remedy for a breach of this contract.

J. Bidders are encouraged to use services offered by financial institutions owned and controlled by DBEs.

**2-1.02A DBE GOAL FOR THIS PROJECT**

The Department has established the following goal for Disadvantaged Business Enterprise (DBE) participation for this project:

Disadvantaged Business Enterprise (DBE): 15 percent

Bidders may use the services of the following firms to contact interested DBEs. These firms are available to assist DBEs in preparing bids for subcontracting or supplying materials.

The following firms may be contacted for projects in the following locations:

| Districts 04, 05 (except San Luis Obispo and Santa Barbara Counties), 06 (except Kern County) and 10: | Districts 08 and 11: |
|---|---|
| See the Department's DBE database at:<br>http://www.dot.ca.gov/hq/bep/ | Padilla & Associates<br>- San Diego<br><br>2725 Congress Street, Suite 1D<br>San Diego, CA 92110<br>Telephone: (619) 725-0843<br>FAX No.: (619) 725-0854 |

| Districts 07, 08, and 12; in San Luis Obispo and Santa Barbara Counties in District 05; and in Kern County in District 06: | Districts 01, 02, 03 and 09: |
|---|---|
| Padilla & Associates<br>- Commerce<br><br>5675 East Telegraph Rd., Suite A-260<br>Commerce, CA 90040<br>Telephone: (323) 728-8847<br>FAX No.: (323) 728-8867 | See the Department's DBE database at:<br>http://www.dot.ca.gov/hq/bep/ |

**2-1.02B SUBMISSION OF DBE INFORMATION**

All bidders shall complete the "CALTRANS BIDDER - DBE INFORMATION" form included in the Proposal and submit it WITH THE BID.

Failure to submit the "CALTRANS BIDDER - DBE INFORMATION" form with the bid will be grounds for finding the bid nonresponsive.

The bidder shall submit written confirmation from each DBE that the DBE is participating in the contract, and include the confirmation with the submittal of the bid or submit it by the time specified for submittal of the GOOD FAITH EFFORT (GFE) DOCUMENTATION form. A copy of a DBE's quote will serve as written confirmation that the DBE is participating in the contract.

Where the bidder has not met the designated DBE goal, it must submit good faith efforts (GFE) documentation to establish that, prior to the bid, it made adequate good faith efforts to meet the goal.

Bidders are cautioned that even though their "CALTRANS BIDDER - DBE INFORMATION" form indicates they will meet the stated DBE goal, they should also submit their GFE documentation within the time specified herein, to protect their eligibility for award of the contract in the event the Department, in its review, finds that the goal has not been met.

The apparent successful bidder (low bidder), the second low bidder and the third low bidder shall complete and submit the GOOD FAITH EFFORT (GFE) DOCUMENTATION form, if they have not met the goal, to the Department of Transportation, 1120 N Street, Room 0200, MS #26, Sacramento, California 95814 so the information is received by the Department no later than 4:00 p.m. ON THE FOURTH DAY, not including Saturdays, Sundays and legal holidays, following bid opening. GFE documentation sent by U.S. Postal Service certified mail with return receipt and certificate of mailing and mailed on or before the third day, not including Saturdays, Sundays and legal holidays, following bid opening will be accepted even if it is received after the fourth day following bid opening. Other bidders need not submit GFE documentation unless requested to do so by the Department. When a request is made by the Department, the GFE documentation of the

other bidders shall be received by the Department within 4 days of the request, not including Saturdays, Sundays and legal holidays, unless a later time is authorized by the Department.

If it is determined that GFE documentation is needed to determine a bidder's eligibility for award, failure of the bidder to have submitted the GFE documentation by the time specified herein will be grounds for finding the bid or proposal nonresponsive.

It is the bidder's responsibility to make enough work available to DBEs and to select those portions of the work or material needs consistent with the available DBEs to meet the goal for DBE participation.

The bidder's "CALTRANS BIDDER - DBE INFORMATION" form shall include the names, addresses and phone numbers of DBE firms that will participate, with a complete description of work or supplies to be provided by each, and the dollar value of each DBE transaction. When 100 percent of a contract item of work is not to be performed or furnished by a DBE, a description of the exact portion of that work to be performed or furnished by that DBE shall be included in the DBE information, including the planned location of that work. The work that a DBE prime contractor has committed to performing with its own forces as well as the work that it has committed to be performed by DBE subcontractors, suppliers and trucking companies will count toward the goal.

The bidder's good faith effort (GFE) documentation shall establish that good faith efforts to meet the DBE goal have been made.

In order to establish the bidder's good faith efforts to meet the DBE goal, the bidder should include the following information and supporting documents, as necessary:

A. Items of work the bidder has made available to DBE firms. Identify those items of work the bidder might otherwise perform with its own forces and those items that have been broken down into economically feasible units to facilitate DBE participation. For each item listed, show the dollar value and percentage of the total contract. It is the bidder's responsibility to demonstrate that sufficient work to meet the goal was made available to DBE firms.
B. The names of certified DBEs and the dates on which they were solicited to bid on the project. Include the items of work offered. Describe the methods used for following up initial solicitations to determine with certainty if the DBEs were interested, and the dates of the follow-up. Attach supporting documents such as copies of letters, memos, facsimiles sent, telephone logs, telephone billing statements, and other evidence of solicitation. Bidders are reminded to solicit certified DBEs through all reasonable and available means and provide sufficient time to allow DBEs to respond.
C. For each item of work made available, the DBEs that provided quotes, the selected firm and its status as a DBE, the price quote for each firm, and the name, address and telephone number for each firm. If the firm selected for the item is not a DBE, provide the reasons for the selection.
D. The names and dates of each publication in which a request for DBE participation for the project was placed by the bidder. Attach copies of the published advertisements.
E. The names of agencies, including the firms listed in Section 2-1.02A, "DBE Goal for this Project," and the dates on which they were contacted to provide assistance in contacting, recruiting and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.
F. Descriptions of the efforts made to provide interested DBEs with adequate information about the plans, specifications and requirements of the contract to assist them in responding to a solicitation. Where the bidder has provided information, identify the name of the DBE assisted, the nature of the information provided, and date of contact. Provide copies of supporting documents, as appropriate.
G. Descriptions of any and all efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, and materials (excluding supplies and equipment which the DBE subcontractor purchases or leases from the prime contractor or its affiliate). Where such assistance was provided by the bidder, identify the name of the DBE assisted, nature of the assistance offered, and date. Provide copies of supporting documents, as appropriate.
H. Any additional data to support a demonstration of good faith efforts.

## SECTION 3. AWARD AND EXECUTION OF CONTRACT

The bidder's attention is directed to the provisions in Section 3, "Award and Execution of Contract," of the Standard Specifications and these special provisions for the requirements and conditions concerning award and execution of contract.

Bid protests are to be delivered to the following address: Department of Transportation, MS 43, Attn: Office Engineer, 1727 30th Street, Sacramento, CA 95816 or by facsimile to the Office Engineer at (916) 227-6282.

The award of the contract, if it be awarded, will be made within 30 days after the opening of the proposals if the apparent lowest bidder has met the goal for DBE participation. The award of the contract, if it be awarded, will be made within 60 days after the opening of the proposals if the apparent lowest bidder has not met the goal for DBE participation but has claimed good faith efforts to do so. These periods will be subject to extension for such further periods as may be agreed upon in writing between the Department and the bidders concerned. The award, if made, will be to the lowest responsible bidder

whose proposal complies with all the requirements prescribed and who has met the goal for DBE participation or has demonstrated, to the satisfaction of the Department, adequate good faith efforts to do so. Meeting the goal for DBE participation or demonstrating, to the satisfaction of the Department, adequate good faith efforts to do so is a condition for being eligible for award of contract.

The contract shall be executed by the successful bidder and shall be returned, together with the contract bonds, to the Department so that it is received within 10 days, not including Saturdays, Sundays and legal holidays, after the bidder has received the contract for execution. Failure to do so shall be just cause for forfeiture of the proposal guaranty. The executed contract documents shall be delivered to the following address: Department of Transportation MS 43, Attn: Office Engineer, 1727 30th Street, Sacramento, CA 95816.

A "Payee Data Record" form will be included in the contract documents to be executed by the successful bidder. The purpose of the form is to facilitate the collection of taxpayer identification data. The form shall be completed and returned to the Department by the successful bidder with the executed contract and contract bonds. For the purposes of the form, payee shall be deemed to mean the successful bidder. The form is not to be completed for subcontractors or suppliers. Failure to complete and return the "Payee Data Record" form to the Department as provided herein will result in the retention of 31 percent of payments due the contractor and penalties of up to $20,000. This retention of payments for failure to complete the "Payee Data Record" form is in addition to any other retention of payments due the Contractor.

## SECTION 4. BEGINNING OF WORK, TIME OF COMPLETION AND LIQUIDATED DAMAGES

Attention is directed to the provisions in Section 8-1.03, "Beginning of Work," in Section 8-1.06, "Time of Completion," and in Section 8-1.07, "Liquidated Damages," of the Standard Specifications and these special provisions.

The Contractor shall begin work within 15 calendar days after the contract has been approved by the Attorney General or the attorney appointed and authorized to represent the Department of Transportation.

The work shall be diligently prosecuted to completion before the expiration of **280 WORKING DAYS** beginning on the fifteenth calendar day after approval of the contract.

The Contractor shall pay to the State of California the sum of **$15,000** per day, for each and every calendar day's delay in finishing the work in excess of the number of working days prescribed above.

**INTERNAL TIME OF COMPLETION.--**The Contractor shall diligently prosecute the work described in "Order of Work" of these special provisions within the time frame specified.

The Contractor shall pay to the State of California the sum of $5,000 per day, for each and every calendar day's delay in discontinuing the use of the median crossover as described in "Order of Work".

Liquidated damages shall accrue separately and independently of deductions for internal time of completion.

The provisions of paragraph 2 through 5 of Section 8-1.06, "Time of Completion," of the Standard Specifications shall not apply to the provisions for internal time of completion of these special provisions.

## SECTION 5. GENERAL

## SECTION 5-1. MISCELLANEOUS

### 5-1.01 PLANS AND WORKING DRAWINGS

When the specifications require working drawings to be submitted to the Division of Structure Design, the drawings shall be submitted to: Division of Structure Design, Documents Unit, Mail Station 9, 1801 30th Street, Sacramento, CA 95816, Telephone 916 227-8252.

### 5-1.011 EXAMINATION OF PLANS, SPECIFICATIONS, CONTRACT, AND SITE OF WORK

Attention is directed to "Differing Site Conditions" of these special provisions regarding physical conditions at the site which may differ from those indicated in "Materials Information," log of test borings or other geotechnical information obtained by the Department's investigation of site conditions.

### 5-1.012 DIFFERING SITE CONDITIONS

Attention is directed to Section 5-1.116, "Differing Site Conditions," of the Standard Specifications.

During the progress of the work, if subsurface or latent conditions are encountered at the site differing materially from those indicated in the "Materials Information," log of test borings, other geotechnical data obtained by the Department's investigation of subsurface conditions, or an examination of the conditions above ground at the site, the party discovering those conditions shall promptly notify the other party in writing of the specific differing conditions before they are disturbed and before the affected work is performed.

The Contractor will be allowed 15 days from the notification of the Engineer's determination of whether or not an adjustment of the contract is warranted, in which to file a notice of potential claim in conformance with the provisions of Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications and as specified herein; otherwise the decision of the Engineer shall be deemed to have been accepted by the Contractor as correct. The notice of potential claim shall set forth in what respects the Contractor's position differs from the Engineer's determination and provide any additional information obtained by the Contractor, including but not limited to additional geotechnical data. The notice of potential claim shall be accompanied by the Contractor's certification that the following were made in preparation of the bid: a review of the contract, a review of the "Materials Information," a review of the log of test borings and other records of geotechnical data to the extent they were made available to bidders prior to the opening of bids, and an examination of the conditions above ground at the site. Supplementary information, obtained by the Contractor subsequent to the filing of the notice of potential claim, shall be submitted to the Engineer in an expeditious manner.

**5-1.013 LINES AND GRADES**

Attention is directed to Section 5-1.07, "Lines and Grades," of the Standard Specifications.

Stakes or marks will be set by the Engineer in conformance with the requirements in Chapter 12, "Construction Surveys," of the Department's Surveys Manual.

**5-1.015 LABORATORY**

When a reference is made in the specifications to the "Laboratory," the reference shall mean Division of Engineering Services - Materials Engineering and Testing Services and Division of Engineering Services - Geotechnical Services of the Department of Transportation, or established laboratories of the various Districts of the Department, or other laboratories authorized by the Department to test materials and work involved in the contract. When a reference is made in the specifications to the "Transportation Laboratory," the reference shall mean Division of Engineering Services - Materials Engineering and Testing Services and Division of Engineering Services - Geotechnical Services, located at 5900 Folsom Boulevard, Sacramento, CA 95819, Telephone (916) 227-7000.

**5-1.017 CONTRACT BONDS**

Attention is directed to Section 3-1.02, "Contract Bonds," of the Standard Specifications and these special provisions.

The payment bond shall be in a sum not less than one hundred percent of the total amount payable by the terms of the contract.

**5-1.019 COST REDUCTION INCENTIVE**

Attention is directed to Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications.

Prior to preparing a written cost reduction proposal, the Contractor shall request a meeting with the Engineer to discuss the proposal in concept. Items of discussion will also include permit issues, impact on other projects, impact on the project schedule, peer reviews, overall merit of the proposal, and review times required by the Department and other agencies.

If a cost reduction proposal submitted by the Contractor, and subsequently approved by the Engineer, provides for a reduction in contract time, 50 percent of that contract time reduction shall be credited to the State by reducing the contract working days, not including plant establishment. Attention is directed to "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions regarding the working days.

If a cost reduction proposal submitted by the Contractor, and subsequently approved by the Engineer, provides for a reduction in traffic congestion or avoids traffic congestion during construction, 60 percent of the estimated net savings in construction costs attributable to the cost reduction proposal will be paid to the Contractor. In addition to the requirements in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications, the Contractor shall provide detailed comparisons of the traffic handling between the existing contract and the proposed change, and estimates of the traffic volumes and congestion.

**5-1.02 LABOR NONDISCRIMINATION**

Attention is directed to the following Notice that is required by Chapter 5 of Division 4 of Title 2, California Code of Regulations.

**NOTICE OF REQUIREMENT FOR NONDISCRIMINATION PROGRAM**

**(GOV. CODE, SECTION 12990)**

Your attention is called to the "Nondiscrimination Clause", set forth in Section 7-1.01A(4), "Labor Nondiscrimination," of the Standard Specifications, which is applicable to all nonexempt State contracts and subcontracts, and to the "Standard

California Nondiscrimination Construction Contract Specifications" set forth therein. The specifications are applicable to all nonexempt State construction contracts and subcontracts of $5000 or more.

### 5-1.022 EXCLUSION OF RETENTION

In conformance with 49 CFR, Part 26, Subpart B, Section 26.29 (b)(1), the retention of proceeds required by Public Contract Code Section 10261 shall not apply. In conformance with Public Contract Code 7200 (b), in subcontracts between the Contractor and a subcontractor and in subcontracts between a subcontractor and any subcontractor thereunder, retention proceeds shall not be withheld, and the exceptions provided in Public Contract Code 7200 (c) shall not apply. At the option of the Contractor, subcontractors shall be required to furnish payment and performance bonds issued by an admitted surety insurer.

The third paragraph of Section 9-1.06, "Partial Payments," of the Standard Specifications, and Section 9-1.065, "Payment of Withheld Funds," of the Standard Specifications shall not apply.

### 5-1.023 UNSATISFACTORY PROGRESS

If the number of working days charged to the contract exceeds 75 percent of the working days in the current time of completion and the percent working days elapsed exceeds the percent work completed by more than 15 percentage points, the Department will withhold 10 percent of the amount due on the current monthly estimate.

The percent working days elapsed will be determined from the number of working days charged to the contract divided by the number of contract working days in the current time of completion, expressed as a percentage. The number of contract working days in the current time of completion shall consist of the original contract working days increased or decreased by time adjustment s approved by the Engineer.

The percent work completed will be determined by the Engineer from the sum of payments made to date plus the amount due on the current monthly estimate, divided by the current total estimated value of the work, expressed as a percentage.

When the percent of working days elapsed minus the percent of work completed is less than or equal to 15 percentage points, the funds withheld shall be returned to the Contractor with the next monthly progress payment.

Funds kept or withheld from payment, due to the failure of the Contractor to comply with the provisions of the contract, will not be subject to the requirements of Public Contract Code 7107 or to the payment of interest pursuant to Public Contract Code Section 10261.5.

### 5-1.03 INTEREST ON PAYMENTS

Interest shall be payable on progress payments, payments after acceptance, final payments, extra work payments, and claim payments as follows:

A. Unpaid progress payments, payment after acceptance, and final payments shall begin to accrue interest 30 days after the Engineer prepares the payment estimate.
B. Unpaid extra work bills shall begin to accrue interest 30 days after preparation of the first pay estimate following receipt of a properly submitted and undisputed extra work bill. To be properly submitted, the bill must be submitted within 7 days of the performance of the extra work and in conformance with the provisions in Section 9-1.03C, "Records," and Section 9-1.06, "Partial Payments," of the Standard Specifications. An undisputed extra work bill not submitted within 7 days of performance of the extra work will begin to accrue interest 30 days after the preparation of the second pay estimate following submittal of the bill.
C. The rate of interest payable for unpaid progress payments, payments after acceptance, final payments, and extra work payments shall be 10 percent per annum.
D. The rate of interest payable on a claim, protest or dispute ultimately allowed under this contract shall be 6 percent per annum. Interest shall begin to accrue 61 days after the Contractor submits to the Engineer information in sufficient detail to enable the Engineer to ascertain the basis and amount of said claim, protest or dispute.

The rate of interest payable on any award in arbitration shall be 6 percent per annum if allowed under the provisions of Civil Code Section 3289.

### 5-1.04 PUBLIC SAFETY

The Contractor shall provide for the safety of traffic and the public in conformance with the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications and these special provisions.

The Contractor shall install temporary railing (Type K) between a lane open to public traffic and an excavation, obstacle or storage area when the following conditions exist:

A. Excavations**.—**The near edge of the excavation is 3.6 m or less from the edge of the lane, except:

1. Excavations covered with sheet steel or concrete covers of adequate thickness to prevent accidental entry by traffic or the public.
2. Excavations less than 0.3-m deep.
3. Trenches less than 0.3-m wide for irrigation pipe or electrical conduit, or excavations less than 0.3-m in diameter.
4. Excavations parallel to the lane for the purpose of pavement widening or reconstruction.
5. Excavations in side slopes, where the slope is steeper than 1:4 (vertical:horizontal).
6. Excavations protected by existing barrier or railing.

B. Temporarily Unprotected Permanent Obstacles.—The work includes the installation of a fixed obstacle together with a protective system, such as a sign structure together with protective railing, and the Contractor elects to install the obstacle prior to installing the protective system; or the Contractor, for the Contractor's convenience and with permission of the Engineer, removes a portion of an existing protective railing at an obstacle and does not replace such railing complete in place during the same day.

C. Storage Areas.—Material or equipment is stored within 3.6 m of the lane and the storage is not otherwise prohibited by the provisions of the Standard Specifications and these special provisions.

The approach end of temporary railing (Type K), installed in conformance with the provisions in this section "Public Safety" and in Section 7-1.09, "Public Safety," of the Standard Specifications, shall be offset a minimum of 4.6 m from the edge of the traffic lane open to public traffic. The temporary railing shall be installed on a skew toward the edge of the traffic lane of not more than 0.3-m transversely to 3 m longitudinally with respect to the edge of the traffic lane. If the 4.6-m minimum offset cannot be achieved, the temporary railing shall be installed on the 10 to 1 skew to obtain the maximum available offset between the approach end of the railing and the edge of the traffic lane, and an array of temporary crash cushion modules shall be installed at the approach end of the temporary railing.

Temporary railing (Type K) shall conform to the provisions in Section 12-3.08, "Temporary Railing (Type K)," of the Standard Specifications. Temporary railing (Type K), conforming to the details shown on 1999 Standard Plan T3, may be used. Temporary railing (Type K) fabricated prior to January 1, 1993, and conforming to 1988 Standard Plan B11-30 may be used, provided the fabrication date is printed on the required Certificate of Compliance.

Temporary crash cushion modules shall conform to the provisions in "Temporary Crash Cushion Module" of these special provisions.

Except for installing, maintaining and removing traffic control devices, whenever work is performed or equipment is operated in the following work areas, the Contractor shall close the adjacent traffic lane unless otherwise provided in the Standard Specifications and these special provisions:

| Approach Speed of Public Traffic (Posted Limit) (Kilometers Per Hour) | Work Areas |
|---|---|
| Over 72 (45 Miles Per Hour) | Within 1.8 m of a traffic lane but not on a traffic lane |
| 56 to 72 (35 to 45 Miles Per Hour) | Within 0.9-m of a traffic lane but not on a traffic lane |

The lane closure provisions of this section shall not apply if the work area is protected by permanent or temporary railing or barrier.

When traffic cones or delineators are used to delineate a temporary edge of a traffic lane, the line of cones or delineators shall be considered to be the edge of the traffic lane, however, the Contractor shall not reduce the width of an existing lane to less than 3 m without written approval from the Engineer.

When work is not in progress on a trench or other excavation that required closure of an adjacent lane, the traffic cones or portable delineators used for the lane closure shall be placed off of and adjacent to the edge of the traveled way. The spacing of the cones or delineators shall be not more than the spacing used for the lane closure.

Suspended loads or equipment shall not be moved nor positioned over public traffic or pedestrians.

Full compensation for conforming to the provisions in this section "Public Safety," including furnishing and installing temporary railing (Type K) and temporary crash cushion modules, shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

**5-1.05 TESTING**

Testing of materials and work shall conform to the provisions in Section 6-3, "Testing," of the Standard Specifications and these special provisions.

Whenever the provisions of Section 6-3.01, "General," of the Standard Specifications refer to tests or testing, it shall mean tests to assure the quality and to determine the acceptability of the materials and work.

The Engineer will deduct the costs for testing of materials and work found to be unacceptable, as determined by the tests performed by the Department, and the costs for testing of material sources identified by the Contractor which are not used for the work, from moneys due or to become due to the Contractor. The amount deducted will be determined by the Engineer.

### 5-1.06 REMOVAL OF ASBESTOS AND HAZARDOUS SUBSTANCES

When the presence of asbestos or hazardous substances are not shown on the plans or indicated in the specifications and the Contractor encounters materials which the Contractor reasonably believes to be asbestos or a hazardous substance as defined in Section 25914.1 of the Health and Safety Code, and the asbestos or hazardous substance has not been rendered harmless, the Contractor may continue work in unaffected areas reasonably believed to be safe. The Contractor shall immediately cease work in the affected area and report the condition to the Engineer in writing.

In conformance with Section 25914.1 of the Health and Safety Code, removal of asbestos or hazardous substances including exploratory work to identify and determine the extent of the asbestos or hazardous substance will be performed by separate contract.

If delay of work in the area delays the current controlling operation, the delay will be considered a right of way delay and the Contractor will be compensated for the delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

### 5-1.07 YEAR 2000 COMPLIANCE

This contract is subject to Year 2000 Compliance for automated devices in the State of California.

Year 2000 compliance for automated devices in the State of California is achieved when embedded functions have or create no logical or mathematical inconsistencies when dealing with dates prior to and beyond 1999. The year 2000 is recognized and processed as a leap year. The product shall operate accurately in the manner in which the product was intended for date operation without requiring manual intervention.

The Contractor shall provide the Engineer a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for all automated devices furnished for the project.

### 5-1.075 BUY AMERICA REQUIREMENTS

Attention is directed to the "Buy America" requirements of the Surface Transportation Assistance Act of 1982 (Section 165) and the Intermodal Surface Transportation Efficiency Act of 1991 (ISTEA) Sections 1041(a) and 1048(a), and the regulations adopted pursuant thereto. In conformance with the law and regulations, all manufacturing processes for steel and iron materials furnished for incorporation into the work on this project shall occur in the United States; with the exception that pig iron and processed, pelletized and reduced iron ore manufactured outside of the United States may be used in the domestic manufacturing process for such steel and iron materials. The application of coatings, such as epoxy coating, galvanizing, painting, and other coatings that protect or enhance the value of steel or iron materials shall be considered a manufacturing process subject to the "Buy America" requirements.

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications shall be furnished for steel and iron materials. The certificates, in addition to certifying that the materials comply with the specifications, shall specifically certify that all manufacturing processes for the materials occurred in the United States, except for the above exceptions.

The requirements imposed by the law and regulations do not prevent a minimal use of foreign steel and iron materials if the total combined cost of the materials used does not exceed one-tenth of one percent (0.1 percent) of the total contract cost or $2500, whichever is greater. The Contractor shall furnish the Engineer acceptable documentation of the quantity and value of the foreign steel and iron prior to incorporating the materials into the work.

### 5-1.08 SUBCONTRACTOR AND DBE RECORDS

The Contractor shall maintain records showing the name and business address of each first-tier subcontractor. The records shall also show the name and business address of every DBE subcontractor, DBE vendor of materials and DBE trucking company, regardless of tier. The records shall show the date of payment and the total dollar figure paid to all of these firms. DBE prime contractors shall also show the date of work performed by their own forces along with the corresponding dollar value of the work.

Upon completion of the contract, a summary of these records shall be prepared on Form CEM-2402 (F) and certified correct by the Contractor or the Contractor's authorized representative, and shall be furnished to the Engineer. The form shall be furnished to the Engineer within 90 days from the date of contract acceptance. $10,000 will be withheld from payment until the Form CEM-2402 (F) is submitted. The amount will be returned to the Contractor when a satisfactory Form CEM-2402 (F) is submitted.

Prior to the fifteenth of each month, the Contractor shall submit documentation to the Engineer showing the amount paid to DBE trucking companies listed in the Contractor's DBE information. This monthly documentation shall indicate the

portion of the revenue paid to DBE trucking companies which is claimed toward DBE participation. The Contractor shall also obtain and submit documentation to the Engineer showing the amount paid by DBE trucking companies to all firms, including owner-operators, for the leasing of trucks. The DBE who leases trucks from a non-DBE is entitled to credit only for the fee or commission it receives as a result of the lease arrangement. The records must confirm that the amount of credit claimed toward DBE participation conforms with Section 2-1.02, "Disadvantaged Business Enterprise," of these special provisions.

The Contractor shall also obtain and submit documentation to the Engineer showing the truck number, owner's name, California Highway Patrol CA number, and if applicable, the DBE certification number of the owner of the truck for all trucks used during that month for which DBE participation will be claimed. This documentation shall be submitted on Form CEM-2404 (F).

**5-1.083 DBE CERTIFICATION STATUS**

If a DBE subcontractor is decertified during the life of the project, the decertified subcontractor shall notify the Contractor in writing with the date of decertification. If a subcontractor becomes a certified DBE during the life of the project, the subcontractor shall notify the Contractor in writing with the date of certification. The Contractor shall furnish the written documentation to the Engineer.

Upon completion of the contract, Form CEM-2403 (F) indicating the DBE's existing certification status shall be signed and certified correct by the Contractor. The certified form shall be furnished to the Engineer within 90 days from the date of contract acceptance.

**5-1.086 PERFORMANCE OF DBE SUBCONTRACTORS AND SUPPLIERS**

The DBEs listed by the Contractor in response to the provisions in Section 2-1.02B, "Submission of DBE Information," and Section 3, "Award and Execution of Contract," of these special provisions, which are determined by the Department to be certified DBEs, shall perform the work and supply the materials for which they are listed, unless the Contractor has received prior written authorization to perform the work with other forces or to obtain the materials from other sources.

Authorization to use other forces or sources of materials may be requested for the following reasons:

A. The listed DBE, after having had a reasonable opportunity to do so, fails or refuses to execute a written contract, when such written contract, based upon the general terms, conditions, plans and specifications for the project, or on the terms of such subcontractor's or supplier's written bid, is presented by the Contractor.
B. The listed DBE becomes bankrupt or insolvent.
C. The listed DBE fails or refuses to perform the subcontract or furnish the listed materials.
D. The Contractor stipulated that a bond was a condition of executing a subcontract and the listed DBE subcontractor fails or refuses to meet the bond requirements of the Contractor.
E. The work performed by the listed subcontractor is substantially unsatisfactory and is not in substantial conformance with the plans and specifications, or the subcontractor is substantially delaying or disrupting the progress of the work.
F. It would be in the best interest of the State.

The Contractor shall not be entitled to any payment for such work or material unless it is performed or supplied by the listed DBE or by other forces (including those of the Contractor) pursuant to prior written authorization of the Engineer.

**5-1.09 SUBCONTRACTING**

Attention is directed to the provisions in Section 8-1.01, "Subcontracting," of the Standard Specifications, and Section 2, "Proposal Requirements and Conditions," and Section 3, "Award and Execution of Contract," of these special provisions.

Pursuant to the provisions of Section 1777.1 of the Labor Code, the Labor Commissioner publishes and distributes a list of contractors ineligible to perform work as a subcontractor on a public works project. This list of debarred contractors is available from the Department of Industrial Relations web site at:

http://www.dir.ca.gov/DLSE/Debar.html.

The provisions in the third paragraph of Section 8-1.01, "Subcontracting," of the Standard Specifications, that the Contractor shall perform with the Contractor's own organization contract work amounting to not less than 50 percent of the original contract price, is not changed by the Federal Aid requirement specified under "Required Contract Provisions Federal-Aid Construction Contracts" in Section 14 of these special provisions that the Contractor perform not less than 30 percent of the original contract work with the Contractor's own organization.

Each subcontract and any lower tier subcontract that may in turn be made shall include the "Required Contract Provisions Federal-Aid Construction Contracts" in Section 14 of these special provisions. This requirement shall be enforced as follows:

A. Noncompliance shall be corrected. Payment for subcontracted work involved will be withheld from progress payments due, or to become due, until correction is made. Failure to comply may result in termination of the contract.

In conformance with the Federal DBE regulations Sections 26.53(f)(1) and 26.53(f)(2) Part 26, Title 49 CFR:

A. The Contractor shall not terminate for convenience a DBE subcontractor listed in response to Section 2-1.02B, "Submission of DBE Information," and then perform that work with its own forces, or those of an affiliate without the written consent of the Department, and
B. If a DBE subcontractor is terminated or fails to complete its work for any reason, the Contractor will be required to make good faith efforts to substitute another DBE subcontractor for the original DBE subcontractor, to the extent needed to meet the contract goal.

The requirement in Section 2-1.02, "Disadvantaged Business Enterprise (DBE)," of these special provisions that DBEs must be certified on the date bids are opened does not apply to DBE substitutions after award of the contract.

**5-1.10 PROMPT PROGRESS PAYMENT TO SUBCONTRACTORS**

Attention is directed to the provisions in Sections 10262 and 10262.5 of the Public Contract Code concerning prompt payment to subcontractors.

**5-1.103 RECORDS**

The Contractor shall maintain cost accounting records for the contract pertaining to, and in such a manner as to provide a clear distinction between, the following six categories of costs of work during the life of the contract:

A. Direct costs of contract item work.
B. Direct costs of changes in character in conformance with Section 4-1.03C, "Changes in Character of Work," of the Standard Specifications.
C. Direct costs of extra work in conformance with Section 4-1.03D, "Extra Work," of the Standard Specifications.
D. Direct costs of work not required by the contract and performed for others.
E. Direct costs of work performed under a notice of potential claim in conformance with the provisions in Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications.
F. Indirect costs of overhead.

Cost accounting records shall include the information specified for daily extra work reports in Section 9-1.03C, "Records," of the Standard Specifications. The requirements for furnishing the Engineer completed daily extra work reports shall only apply to work paid for on a force account basis.

The cost accounting records for the contract shall be maintained separately from other contracts, during the life of the contract, and for a period of not less than 3 years after the date of acceptance of the contract. If the Contractor intends to file claims against the Department, the Contractor shall keep the cost accounting records specified above until complete resolution of all claims has been reached.

**5-1.11 PARTNERING**

The State will promote the formation of a "Partnering" relationship with the Contractor in order to effectively complete the contract to the benefit of both parties. The purpose of this relationship is to maintain a cooperative communication and to mutually resolve conflicts at the lowest responsible management level.

The Contractor may request the formation of a "Partnering" relationship by submitting a request in writing to the Engineer after approval of the contract. If the Contractor's request for "Partnering" is approved by the Engineer, scheduling of a "Partnering Workshop," selecting the "Partnering" facilitator and workshop site, and other administrative details shall be as agreed to by both parties. If agreed to by the parties, additional "Partnering Workshops" will be conducted as needed throughout the life of the contract.

A one-day "Training in Partnering Concepts" session will be conducted regardless of whether the Contractor requests the formation of a "Partnering" relationship. The "Training in Partnering Concepts" session will be conducted locally for the Contractor's and the Engineer's project representatives. The Contractor shall be represented by a minimum of 2 representatives, one being the Contractor's authorized representative pursuant to Section 5-1.06, "Superintendence," of the

Standard Specifications. Scheduling of the "Training in Partnering Concepts" session and selection of the trainer and training site shall be determined cooperatively by the Contractor and the Engineer. If, upon the Contractor's request, "Partnering" is approved by the Engineer, the "Training in Partnering Concepts" session shall be conducted prior to the initial "Partnering Workshop."

The costs involved in providing the "Training in Partnering Concepts" trainer and training site will be borne entirely by the State. The costs will be determined in conformance with the provisions in Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, and paying to the Contractor the sum of that cost, except no markups will be allowed.

The costs involved in providing the "Partnering Workshop" facilitator and workshop site will be borne equally by the State and the Contractor. The division of cost will be made by determining the cost in providing the "Partnering Workshop" facilitator and workshop site in conformance with the provisions in Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, and paying to the Contractor one-half of that cost, except no markups will be allowed.

All other costs associated with "Training in Partnering Concepts" and "Partnering Workshops" will be borne separately by the party incurring the costs, such as wages and travel expenses, and no additional compensation will be allowed therefor.

The establishment of a "Partnering" relationship will not change or modify the terms and conditions of the contract and will not relieve either party of the legal requirements of the contract.

### 5-1.114 VALUE ANALYSIS

The Contractor may submit to the Engineer, in writing, a request for a "Value Analysis" workshop. The purpose for having a workshop is to identify value enhancing opportunities and to consider modifications to the plans and specifications that will reduce either the total cost, time of construction or traffic congestion, without impairing, in any manner, the essential functions or characteristics of the project including, but not limited to, service life, economy of operation, ease of maintenance, benefits to the travelling public, desired appearance, or design and safety standards.

To maximize the potential benefits of a workshop, the request should be submitted to the Engineer early in the project after approval of the contract. If the Contractor's request for a "Value Analysis" workshop is approved by the Engineer, scheduling of a workshop, selecting the facilitator and workshop site, and other administrative details shall be determined cooperatively by the Contractor and the Engineer.

The workshop shall be conducted in conformance with the methodology described in the Department's "Value Analysis Team Guide" available at the Department's web site at:

http://www.dot.ca.gov/hq/oppd/value/

The facilitator shall be a Certified Value Specialist (CVS) as recognized by the Society of American Value Engineers (SAVE) International, which may be contacted as follows:

SAVE International, 60 Revere Drive, Northbrook, IL 60062
Telephone 1-847-480-1730, FAX 1-847-480-9282

The Contractor may submit recommendations resulting from a "Value Analysis" workshop for approval by the Engineer as cost reduction incentive proposals in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications.

The costs involved in providing the "Value Analysis" facilitator and workshop site will be borne equally by the State and the Contractor. The division of cost will be made by determining the cost in providing the "Value Analysis" facilitator and workshop site in conformance with the provisions in Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, and paying to the Contractor one-half of that cost, except no markups will be allowed.

All other costs associated with the "Value Analysis" workshop will be borne separately by the party incurring the costs, such as wages and travel expenses, and no additional compensation will be allowed therefor.

### 5-1.12 DISPUTE REVIEW BOARD

#### GENERAL

To assist in the resolution of disputes or potential claims arising out of the work of this project, a Dispute Review Board, hereinafter referred to as the "DRB," shall be established by the Engineer and Contractor cooperatively upon approval of the contract. The DRB is intended to assist the contract administrative claims resolution process as specified in the provisions in Section 9-1.04, "Notice of Potential Claim," and Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications and these special provisions. The DRB shall not serve as a substitute for provisions in the specifications in

regard to filing potential claims. The requirements and procedures established in this section shall be a prerequisite to filing a claim, filing for arbitration, or filing for litigation prior or subsequent to project completion.

The DRB shall be utilized when dispute or potential claim resolution at the project level is unsuccessful. The DRB shall function as specified herein until the day of acceptance of the contract, at which time the work of the DRB will cease except for completion of unfinished reports. No DRB dispute meetings shall take place later than 30 days prior to acceptance of contract. After acceptance of contract, disputes or potential claims which have followed the dispute resolution processes of the Standard Specifications and these special provisions, but have not been resolved, shall be stated or restated by the Contractor, in response to the Proposed Final Estimate within the time limits provided in Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. The State will review those claims in conformance with the provisions in Section 9-1.07B of the Standard Specifications. Following the adherence to and completion of the contractual administrative claims procedure, the Contractor may file for arbitration in conformance with the provisions in Section 9-1.10, "Arbitration," of the Standard Specifications and these special provisions.

Disputes, as used in this section, shall include differences of opinion, properly noticed as provided hereinafter, between the State and Contractor on matters related to the work and other subjects considered by the State or Contractor, or by both, to be of concern to the DRB on this project, except matters relating to Contractor, subcontractor or supplier potential claims not actionable against the Department as specified in these special provisions or quantification of disputes for overhead type expenses or costs. Disputes for overhead type expenses or costs shall conform to the requirements of Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. Whenever the term "dispute" or "disputes" is used herein, it shall be deemed to include potential claims as well as disputes.

The DRB shall serve as an advisory body to assist in the resolution of disputes between the State and the Contractor, hereinafter referred to as the "parties." The DRB shall consider disputes referred to it, and furnish written reports containing findings and recommendations pertaining to those disputes, to the parties to aid in resolution of the differences between them. DRB findings and recommendations are not binding on the parties.

**SELECTION PROCESS, DISCLOSURE AND APPOINTMENTS**

The DRB shall consist of one member selected by the State and approved by the Contractor, one member selected by the Contractor and approved by the State, and a third member selected by the first 2 members and approved by both the State and the Contractor. The third member shall act as the DRB Chairperson.

DRB members shall be especially knowledgeable in the type of construction and contract documents potentially anticipated by the contract. DRB members shall discharge their responsibilities impartially as an independent body, considering the facts and circumstances related to the matters under consideration, pertinent provisions of the contract and applicable laws and regulations.

The State and the Contractor shall nominate and approve DRB members in conformance with the terms and conditions of the Dispute Review Board Agreement and these special provisions, within 45 days of the approval of the contract. Each party shall provide written notification to the other of the name of their selected DRB nominee along with the prospective member's complete written disclosure statement.

Disclosure statements shall include a resume of the prospective member's experience and a declaration statement describing past, present, anticipated, and planned relationships, including indirect relationships through the prospective member's primary or full-time employer, to this project and with the parties involved in this construction contract, including but not limited to, relevant subcontractors or suppliers to the parties, parties' principals, or parties' counsel. DRB members shall also include a full disclosure of close professional or personal relationships with all key members of the contract. Objections to nominees must be based on a specific breech or violation of nominee responsibilities or on nominee qualifications under these provisions unless otherwise specified. The Contractor or the State may, on a one-time basis, object to the other's nominee without specifying a reason and this person will not be selected for the DRB. Another person shall then be nominated within 15 days.

The first duty of the State and Contractor selected members of the DRB shall be to select and recommend a prospective third DRB member to the parties for final selection and approval. The first 2 DRB members shall proceed with the selection of the third DRB member immediately upon receiving written notification from the State of their selection, and shall provide their recommendation simultaneously to the parties within 15 days of the notification.

The first 2 DRB members shall select a third DRB member subject to mutual approval of the parties or may mutually concur on a list of potentially acceptable third DRB members and submit the list to the parties for final selection and approval of the third member. The goal in the selection of the third member is to complement the professional experience of the first 2 members and to provide leadership for the DRB's activities.

The third prospective DRB member shall supply a full disclosure statement to the first 2 DRB members and to the parties prior to appointment.

An impasse shall be considered to have been reached if the parties are unable to approve a third member within 15 days of receipt of the recommendation of the first 2 DRB members, or if the first 2 DRB members are unable to agree upon a recommendation within their 15 day time limit. In the event of an impasse in selection of third DRB member the State and

the Contractor shall each propose 3 candidates for the third DRB member position. The parties shall select the candidates proposed under this paragraph from the current list of arbitrators certified by the Public Works Contract Arbitration Committee created by Article 7.2 (commencing with Section 10245) of the State Contract Act. The first 2 DRB members shall then select one of the 6 proposed candidates in a blind draw.

No DRB member shall have prior direct involvement in this contract. No member shall have a financial interest in this contract or the parties thereto, within a period of 6 months prior to award of this contract or during the contract, except as follows:

A. Compensation for services on this DRB.
B. Ownership interest in a party or parties, documented by the prospective DRB member, that has been reviewed and determined in writing by the State to be sufficiently insignificant to render the prospective member acceptable to the State.
C. Service as a member of other Dispute Review Boards on other contracts.
D. Retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.
E. The above provisions apply to parties having a financial interest in this contract, including but not limited to contractors, subcontractors, suppliers, consultants, and legal and business services.

The Contractor or the State may reject any of the three DRB members who fail to fully comply at all times with all required employment and financial disclosure conditions of DRB membership as described in the Dispute Review Board Agreement and as specified herein. A copy of the Dispute Review Board Agreement is included in this section.

The Contractor, the State, and the 3 members of the DRB shall complete and adhere to the Dispute Review Board Agreement in administration of this DRB within 15 days of the parties' concurrence in the selection of the third member. No DRB meeting shall take place until the Dispute Review Board Agreement has been signed by all parties. The State authorizes the Engineer to execute and administer the terms of the Agreement. The person(s) designated by the Contractor as authorized to execute contract change orders shall be authorized to execute and administer the terms of this agreement, or to delegate the authority in writing. The operation of the DRB shall be in conformance with the terms of the Dispute Review Board Agreement.

**COMPENSATION**

The State and the Contractor shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of $1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of $700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRB), has been specifically agreed to in advance by the State and Contractor. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of $125 per hour. The agreed amount of $125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the Department, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The State will provide, at no cost to the Contractor, administrative services such as conference facilities and secretarial services to the DRB. These special provisions and the Dispute Review Board Agreement state the provisions for compensation and expenses of the DRB. DRB members shall be compensated at the same daily and hourly rate. The Contractor shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The State will reimburse the Contractor for the State's share of the costs. There will be no markups applied to expenses connected with the DRB, either by the DRB members or by the Contractor when requesting payment of the State's share of DRB expenses. Regardless of the DRB recommendation, neither party shall be entitled to reimbursement of DRB costs from the other party.

**REPLACEMENT OF DRB MEMBERS**

Service of a DRB member may be terminated at any time with not less than 15 days notice as follows:

A. The State may terminate service of the State appointed member.
B. The Contractor may terminate service of the Contractor appointed member.

C. Upon the written recommendation of the State and Contractor appointed members for the removal of the third member.
D. Upon resignation of a member.
E. The State or Contractor may terminate the service of any member who fails to fully comply with all required employment and financial disclosure conditions of DRB membership

When a member of the DRB is replaced, the replacement member shall be appointed in the same manner as the replaced member was appointed. The appointment of a replacement DRB member will begin promptly upon determination of the need for replacement and shall be completed within 15 days. Changes in either of the DRB members chosen by the two parties will not require re-selection of the third member, unless both parties agree to such re-selection in writing. The Dispute Review Board Agreement shall be amended to reflect the change of a DRB member.

**OPERATION**

The following procedure shall be used for dispute resolution:

A. If the Contractor objects to any decision, act or order of the Engineer, the Contractor shall give written notice of potential claim in conformance with the provisions in Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications and these special provisions, including the provision of applicable cost documentation; or file written protests or notices in conformance with the provisions in the Standard Specifications and these special provisions.
B. The Engineer will respond, in writing, to the Contractor's written supplemental notice of potential claim within 20 days of receipt of the notice.
C. Within 15 days after receipt of the Engineer's written response, the Contractor shall, if the Contractor still objects, file a written reply with the Engineer, stating clearly and in detail the basis of the objection.
D. Following an objection to the Engineer's written response, the Contractor shall refer the dispute to the DRB if the Contractor wishes to further pursue the objection to the Engineer's decision. The Contractor shall make the referral in writing to the DRB, simultaneously copied to the State, within 21 days after receipt of the written response from the Engineer. The written dispute referral shall describe the disputed matter in individual discrete segments so that it will be clear to both parties and the DRB what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.
E. By failing to submit the written notice of referral to the DRB, within 21 days after receipt of the Engineer's written response to the supplemental notice of potential claim, the Contractor waives future claims and arbitration on the matter in contention.
F. The Contractor and the State shall each be afforded an opportunity to be present and to be heard by the DRB, and to offer evidence. Either party furnishing written evidence or documentation to the DRB must furnish copies of such information to the other party a minimum of 15 days prior to the date the DRB is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRB may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRB. The DRB shall not consider evidence not furnished in conformance with the terms specified herein.
G. Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral unless otherwise agreed to by all parties. The DRB shall determine the time and location of the DRB dispute meeting, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of a timely hearing of the dispute.
H. There shall be no participation of either party's attorneys at DRB dispute meetings.
I. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute, including but not limited to consultants, except for expert testimony allowed at the discretion of the DRB and with approval prior to the dispute meeting by both parties.
J. The DRB shall furnish a report, containing findings and recommendations as described in the Dispute Review Board Agreement, in writing to both the State and the Contractor. The DRB may request clarifying information of either party within 10 days after the DRB dispute meeting. Requested information shall be submitted to the DRB within 10 days of the DRB request. The DRB shall complete its report, including minority opinion, if any, and submit it to the parties within 30 days of the DRB dispute meeting, except that time extensions may be granted at the request of the DRB with the written concurrence of both parties. The report shall include the facts and circumstances related to the matters under consideration, pertinent provisions of the contract, applicable laws and regulations, and actual costs and time incurred as shown on the Contractor's cost accounting records. The DRB shall make

recommendations on the merit of the dispute and, if appropriate, recommend guidelines for determining compensation.

K. Within 30 days after receiving the DRB's report, both the State and the Contractor shall respond to the DRB in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRB's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRB recommendation. Immediately after responses have been received from both parties, the DRB shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRB's report from the DRB prior to responding to the report. The DRB shall consider any clarification request only if submitted within 10 days of receipt of the DRB's report, and if submitted simultaneously in writing to both the DRB and the other party. Each party may submit only one request for clarification for any individual DRB report. The DRB shall respond, in writing, to requests for clarification within 10 days of receipt of such requests.

L. The DRB's recommendations, stated in the DRB's reports, are not binding on either party. Either party may seek a reconsideration of a recommendation of the DRB. The DRB shall only grant a reconsideration based upon submission of new evidence and if the request is submitted within the 30-day time limit specified for response to the DRB's written report. Each party may submit only one request for reconsideration regarding an individual DRB recommendation.

M. If the State and the Contractor are able to resolve their dispute with the aid of the DRB's report, the State and Contractor shall promptly accept and implement the recommendations of the DRB. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.

N. The State or the Contractor shall not call DRB members who served on the DRB for this contract as witnesses in arbitration proceedings which may arise from this contract, and all documents created by the DRB shall be inadmissible as evidence in subsequent arbitration proceedings, except the DRB's final written reports on each issue brought before it.

O. The State and Contractor shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

P. The DRB members shall have no claim against the State or the Contractor, or both, from claimed harm arising out of the parties' evaluations of the DRB's report.

**DISPUTES INVOLVING SUBCONTRACTOR POTENTIAL CLAIMS**

For purposes of this section, a "subcontractor potential claim" shall include any potential claim by a subcontractor (including also any pass through potential claims by a lower tier subcontractor or supplier) against the Contractor that is actionable by the Contractor against the Department which arises from the work, services, or materials provided or to be provided in connection with the contract. If the Contractor determines to pursue a dispute against the Department that includes a subcontractor potential claim, the dispute shall be processed and resolved in conformance with these special provisions and in conformance with the following:

A. The Contractor shall identify clearly in submissions pursuant to this section, that portion of the dispute that involves a subcontractor potential claim or potential claims.

B. The Contractor shall include, as part of its submission pursuant to Step D above, a certification (False Claims Act Certification) by the subcontractor's or supplier's officer, partner, or authorized representative with authority to bind the subcontractor and with direct knowledge of the facts underlying the subcontractor potential claim. The Contractor shall submit a certification that the subcontractor potential claim is acknowledged and forwarded by the Contractor. The form for these certifications is available from the Engineer.

C. At DRB dispute meetings involving one or more subcontractor potential claims, the Contractor shall require that each subcontractor involved in the dispute have present an authorized representative with actual knowledge of the facts underlying the subcontractor potential claim to assist in presenting the subcontractor potential claim and to answer questions raised by the DRB members or the Department's representatives.

D. Failure by the Contractor to declare a subcontractor potential claim on behalf of its subcontractor (including lower tier subcontractors' and suppliers' pass through potential claims) at the time of submission of the Contractor's potential claims, as provided hereunder, shall constitute a release of the State by the Contractor of such subcontractor potential claim.

E. The Contractor shall include in all subcontracts under this contract that subcontractors and suppliers of any tier (a) agree to submit subcontractor potential claims to the Contractor in a proper form and in sufficient time to allow processing by the Contractor in conformance with the Dispute Review Board resolution specifications; (b) agree to

be bound by the terms of the Dispute Review Board provisions to the extent applicable to subcontractor potential claims; (c) agree that, to the extent a subcontractor potential claim is involved, completion of all steps required under these Dispute Review Board special provisions shall be a condition precedent to pursuit by the subcontractor of other remedies permitted by law, including without limitation of a lawsuit against the Contractor; and (d) agree that the existence of a dispute resolution process for disputes involving subcontractor potential claims shall not be deemed to create any claim, right, or cause of action by any subcontractor or supplier against the Department.

Notwithstanding the foregoing, this Dispute Review Board special provision shall not apply to, and the DRB shall not have the authority to consider, subcontractor potential claims between the subcontractor(s) or supplier(s) and the Contractor that are not actionable by the Contractor against the Department.

**RETENTION**

Failure of the Contractor to nominate and approve DRB members in conformance with the terms and conditions of the Dispute Review Board Agreement and these special provisions shall result in the retention of 25 percent of the estimated value of all work performed during each estimate period in which the Contractor fails to comply with the requirements of this section as determined by the Engineer. DRB retentions will be released for payment on the next monthly estimate for partial payment following the date that the Contractor has nominated and approved DRB members and no interest will be due the Contractor.

**DISPUTE REVIEW BOARD AGREEMENT**

A copy of the "Dispute Review Board Agreement" to be executed by the Contractor, State and the 3 DRB members after approval of the contract follows:

Form 6202 Rev (09/01/02)

**DISPUTE REVIEW BOARD AGREEMENT**

_____
(Contract Identification)

Contract No. _____

**THIS DISPUTE REVIEW BOARD AGREEMENT, hereinafter called "AGREEMENT"**, made and entered into this _____ day of _____, _____, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," _____ _____ hereinafter called the "CONTRACTOR," and the Dispute Review Board, hereinafter called the "DRB" consisting of the following members:

_____ ,
(Contractor Appointee)

_____ ,
(State Appointee)

and _____
(Third Person)

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the special provisions for the above referenced contract provides for the establishment and operation of the DRB to assist in resolving disputes; and

WHEREAS, the DRB is composed of three members, one selected by the STATE, one selected by the CONTRACTOR, and the third member selected by the other two members and approved by the parties;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRB members hereto agree as follows:

**SECTION I DESCRIPTION OF WORK**

To assist in the resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRB. The intent of the DRB is to fairly and impartially consider disputes placed before it and provide written recommendations for resolution of these disputes to both parties. The members of this DRB shall perform the services necessary to participate in the DRB's actions as designated in Section II, Scope of Work.

**SECTION II SCOPE OF WORK**

The scope of work of the DRB includes, but is not limited to, the following:

**A. OBJECTIVE**

The principal objective of the DRB is to assist in the timely resolution of disputes between the parties arising from performance of this contract. It is not intended for either party to default on their normal responsibility to amicably and fairly settle their differences by indiscriminately assigning them to the DRB. It is intended that the mere existence of the DRB will encourage the parties to resolve disputes without resorting to this review procedure. But when a dispute that is serious enough to warrant the DRB's review does develop, the process for prompt and efficient action will be in place.

**B. PROCEDURES**

The DRB shall render written reports on disputes between the parties arising from the construction contract. Prior to consideration of a dispute, the DRB shall establish rules and regulations that will govern the conduct of its business and reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. DRB recommendations, resulting from its consideration of a dispute, shall be furnished in writing to both parties. The recommendations shall be based on facts and circumstances involved in the dispute, pertinent contract provisions, applicable laws and regulations. The recommendations shall find one responsible party in a dispute; shared or "jury" determinations shall not be rendered. The DRB shall make recommendations on the merit of the dispute, and if appropriate, recommend guidelines for determining compensation. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.

The DRB shall refrain from officially giving advice or consulting services to anyone involved in the contract. The individual members shall act in a completely independent manner and while serving as members of the DRB shall have no consulting business connections with either party or its principals or attorneys or other affiliates (subcontractors, suppliers, etc.) who have a beneficial interest in the contract.

During scheduled meetings of the DRB as well as during dispute meetings, DRB members shall refrain from expressing opinions on the merits of statements on matters under dispute or potential dispute. Opinions of DRB members expressed in private sessions shall be kept strictly confidential. Individual DRB members shall not meet with, or discuss contract issues with individual parties, except as directed by the DRB Chairperson. Such discussions or meetings shall be disclosed to both parties. Other discussions regarding the project between the DRB members and the parties shall be in the presence of all three members and both parties. Individual DRB members shall not undertake independent investigations of any kind pertaining to disputes or potential disputes, except with the knowledge of both parties and as expressly directed by the DRB Chairperson.

**C. CONSTRUCTION SITE VISITS, PROGRESS MEETINGS AND FIELD INSPECTIONS**

The DRB members shall visit the project site and meet with representatives of the parties to keep abreast of construction activities and to develop familiarity with the work in progress. Scheduled progress meetings shall be held at or near the project site. The DRB shall meet at least once at the start of the project, and at least once every 4 months thereafter. The frequency, exact time, and duration of additional site visits and progress meetings shall be as recommended by the DRB and approved by the parties consistent with the construction activities or matters under consideration and dispute. Each meeting shall consist of a round table discussion and a field inspection of the work being performed on the contract, if necessary. Each meeting shall be attended by representatives of both parties. The agenda shall generally be as follows:

1. Meeting opened by the DRB Chairperson.
2. Remarks by the STATE's representative.
3. A description by the CONTRACTOR's representative of work accomplished since the last meeting; the current schedule status of the work; and a forecast for the coming period.
4. An outline by the CONTRACTOR's representative of potential problems and a description of proposed solutions.
5. An outline by the STATE's representative of the status of the work as the STATE views it.
6. A brief description by the CONTRACTOR's or STATE's representative of potential claims or disputes which have surfaced since the last meeting.
7. A summary by the STATE's representative, the CONTRACTOR's representative, or the DRB of the status of past disputes and potential claims.

The STATE's representative will prepare minutes of all progress meetings and circulate them for revision and approval by all concerned within 10 days of the meeting.

The field inspection shall cover all active segments of the work, the DRB being accompanied by both parties' representatives. The field inspection may be waived upon mutual agreement of the parties.

**D. DRB CONSIDERATION AND HANDLING OF DISPUTES**

Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral, unless otherwise agreed to by all parties. The DRB shall determine the time and location of DRB dispute meetings, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of speedy resolution of issues. No dispute meetings shall take place later than 30 days prior to acceptance of contract.

Normally, dispute meetings shall be conducted at or near the project site. However, any location that would be more convenient and still provide required facilities and access to necessary documentation shall be satisfactory.

Both parties shall be given the opportunity to present their evidence at these dispute meetings. It is expressly understood that the DRB members are to act impartially and independently in the consideration of the contract provisions, applicable laws and regulations, and the facts and conditions surrounding any dispute presented by either party, and that the recommendations concerning any such dispute are advisory and nonbinding on the parties.

The DRB may request that written documentation and arguments from both parties be sent to each DRB member, through the DRB Chairperson, for review before the dispute meeting begins. A party furnishing written documentation to the DRB shall furnish copies of such information to the other party at the same time that such information is supplied to the DRB.

DRB dispute meetings shall be informal. There shall be no testimony under oath or cross-examination. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRB in conformance with acceptance standards established by the DRB. These standards need not comply with prescribed legal laws of evidence.

The third DRB member shall act as Chairperson for dispute meetings and all other DRB activities. The parties shall have a representative at all dispute meetings. Failure to attend a duly noticed dispute meeting by either of the parties shall be conclusively considered by the DRB as indication that the non-attending party considers written submittals as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals until all aspects of the dispute are thoroughly covered. DRB members shall ask questions, seek clarification, and request further data from either of the parties as may be necessary to assist in making a fully informed recommendation. The DRB may request from either party documents or information that would assist the DRB in making its findings and recommendations including, but not limited to, documents used by the CONTRACTOR in preparing the bid for the project. A refusal by a party to provide information requested by the DRB may be considered by the DRB as an indication that the requested material would tend to disprove that party's position. In large or complex cases, additional dispute meetings may be necessary in order to consider all the evidence presented by both parties. All involved parties shall maintain the confidentiality of all documents and information, as provided in this AGREEMENT.

During dispute meetings, no DRB member shall express an opinion concerning the merit of any facet of the case. DRB deliberations shall be conducted in private, with interim individual views kept strictly confidential.

After dispute meetings are concluded, the DRB shall meet in private and reach a conclusion supported by 2 or more members. Private sessions of the DRB may be held at a location other than the job site or by electronic conferencing as deemed appropriate, in order to expedite the process.

The DRB's findings and recommendations, along with discussion of reasons therefor, shall then be submitted as a written report to both parties. Recommendations shall be based on the pertinent contract provisions, applicable laws and regulations, and facts and circumstances related to the dispute. The report shall be thorough in discussing the facts considered, the contract language, law or regulation viewed by the DRB as pertinent to the issues, and the DRB's interpretation and philosophy in arriving at its conclusions and recommendations. The DRB's report shall stand on its own, without attachments or appendices. The DRB Chairperson shall furnish a copy of the written recommendation report to the DRB Coordinator, Division of Construction, MS 44, P.O. Box 942874, Sacramento, CA 94274.

With prior written approval of both parties, the DRB may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the 2 parties as specified in an approved contract change order. The CONTRACTOR will not be entitled to markups for the payments made for these services.

The DRB shall resist submittal of incremental portions of information by either party, in the interest of making a fully informed decision and recommendation.

The DRB shall make every effort to reach a unanimous decision. If this proves impossible, the dissenting member shall prepare a minority opinion, which shall be included in the DRB's report.

Although both parties should place weight upon the DRB's recommendations, they are not binding. Either party may appeal a recommendation to the DRB for reconsideration. However, reconsideration shall only be allowed when there is new evidence to present, and the DRB shall accept only one appeal from each party pertaining to an individual DRB recommendation. The DRB shall hear appeals in conformance with the terms described in the Section entitled "Dispute Review Board" in the special provisions.

**E. DRB MEMBER REPLACEMENT**

Should the need arise to appoint a replacement DRB member, the replacement DRB member shall be appointed in the same manner as the original DRB members were appointed. The selection of a replacement DRB member shall begin promptly upon notification of the necessity for a replacement and shall be completed within 15 days. This AGREEMENT shall be amended to indicate change in DRB membership.

**SECTION III CONTRACTOR RESPONSIBILITIES**

The CONTRACTOR shall furnish to each DRB member one copy of pertinent documents that are or may become necessary for the DRB to perform their function. Pertinent documents are written notices of potential claim, responses to those notices, drawings or sketches, calculations, procedures, schedules, estimates, or other documents which are used in the performance of the work or in justifying or substantiating the CONTRACTOR's position. The CONTRACTOR shall also furnish a copy of such pertinent documents to the STATE, in conformance with the terms outlined in the special provisions.

**SECTION IV STATE RESPONSIBILITIES**

The STATE will furnish the following services and items:

**A. CONTRACT RELATED DOCUMENTS**

The STATE will furnish to each DRB member one copy of Notice to Contractors and Special Provisions, Proposal and Contract, Plans, Standard Specifications, and Standard Plans, change orders, written instructions issued by the STATE to the CONTRACTOR, or other documents pertinent to any dispute that has been referred to the DRB and necessary for the DRB to perform its function.

**B. COORDINATION AND SERVICES**

The STATE, through the Engineer, will, in cooperation with the CONTRACTOR, coordinate the operations of the DRB. The Engineer will arrange or provide conference facilities at or near the project site and provide secretarial and copying services to the DRB without charge to the CONTRACTOR.

**SECTION V TIME FOR BEGINNING AND COMPLETION**

Once established, the DRB shall be in operation until the day of acceptance of the contract. The DRB members shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE.

**SECTION VI PAYMENT**

**A. ALL INCLUSIVE RATE PAYMENT**

The STATE and the CONTRACTOR shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of $1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of $700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time has been specifically agreed to in advance by the STATE and CONTRACTOR. Time away from the project that has been specifically agreed to in advance by the parties will be compensated at an agreed rate of $125 per hour. The agreed amount of $125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the State, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The STATE will provide, at no cost to the CONTRACTOR, administrative services such as conference facilities and secretarial services to the DRB.

**B. PAYMENTS**

DRB members shall be compensated at the same rate. The CONTRACTOR shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The STATE will reimburse the CONTRACTOR for its share of the costs of the DRB.

The DRB members may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRB member until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

Invoices shall be accompanied by original supporting documents, which the CONTRACTOR shall include with the extra work billing when submitting for reimbursement of the STATE's share of cost from the STATE. The CONTRACTOR will be reimbursed for one-half of approved costs of the DRB. No markups will be added to the CONTRACTOR's payment.

**C. INSPECTION OF COSTS RECORDS**

The DRB members and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If

any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

**SECTION VII ASSIGNMENT OF TASKS OF WORK**

The DRB members shall not assign the work of this AGREEMENT.

**SECTION VIII TERMINATION OF DRB MEMBERS**

DRB members may resign from the DRB by providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. DRB members may be terminated by their original appointing power or by either party, for failing to fully comply at all times with all required employment and financial disclosure conditions of DRB membership in conformance with the terms of the contract.

**SECTION IX LEGAL RELATIONS**

The parties hereto mutually understand and agree that the DRB member in the performance of duties on the DRB, is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

**SECTION X CONFIDENTIALITY**

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRB, which documents and records are marked "Confidential - for use by the DRB only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRB findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of the DRB. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRB. However, the parties understand that such documents shall be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

**SECTION XI DISPUTES**

Disputes between the parties hereto, including disputes between the DRB members and either party or both parties, arising out of the work or other terms of this AGREEMENT, which cannot be resolved by negotiation and mutual concurrence between the parties, or through the administrative process provided in the contract, shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications.

**SECTION XII VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION**

In the event that any party, including an individual member of the DRB, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

**SECTION XIII FEDERAL REVIEW AND REQUIREMENTS**

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRB in progress, except for private meetings or deliberations of the DRB.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

**SECTION XIV CERTIFICATION OF THE CONTRACTOR, THE DRB MEMBERS, AND THE STATE**

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRB MEMBER

By: _____

Title: _____

DRB MEMBER

By: _____

Title : _____

DRB MEMBER

By : _____

Title : _____

CONTRACTOR

By: _____

Title: _____

CALIFORNIA STATE DEPARTMENT
OF TRANSPORTATION

By: _____

Title: _____

**5-1.13 FORCE ACCOUNT PAYMENT**

The second, third and fourth paragraphs of Section 9-1.03A, "Work Performed by Contractor," in the Standard Specifications, shall not apply.

Attention is directed to "Time-Related Overhead" of these special provisions.

To the total of the direct costs for work performed on a force account basis, computed as provided in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental," of the Standard Specifications, there will be added the following markups:

| Cost | Percent Markup |
|---|---|
| Labor | 28 |
| Materials | 10 |
| Equipment Rental | 10 |

The above markups shall be applied to work performed on a force account basis, regardless of whether the work revises the current contract completion date.

The above markups, together with payments made for time-related overhead pursuant to "Time-Related Overhead" of these special provisions, shall constitute full compensation for all overhead costs for work performed on a force account basis. These overhead costs shall be deemed to include all items of expense not specifically designated as cost or equipment rental in conformance with the provisions in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental," of the Standard Specifications. The total payment made as provided above and in the first paragraph of Section 9-1.03A, "Work Performed by Contractor," of the Standard Specifications shall be deemed to be the actual cost of the work performed on a force account basis, and shall constitute full compensation therefor.

Full compensation for overhead costs for work performed on a force account basis, and for which no adjustment is made to the quantity for time-related overhead conforming to the provisions in "Time-Related Overhead" of these special provisions, shall be considered as included in the markups specified above, and no additional compensation will be allowed therefor.

When extra work to be paid for on a force account basis is performed by a subcontractor, approved in conformance with the provisions in Section 8-1.01, "Subcontracting," of the Standard Specifications, an additional markup of 7 percent will be added to the total cost of that extra work including all markups specified in this section "Force Account Payment". The additional 7 percent markup shall reimburse the Contractor for additional administrative costs, and no other additional payment will be made by reason of performance of the extra work by a subcontractor.

## 5-1.14 COMPENSATION ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

The provisions of this section shall apply only to the following contract item:

| ITEM CODE | ITEM |
|---|---|
| 390155 | ASPHALT CONCRETE (TYPE A) |
| 394002 | Place Asphalt Concrete (Miscellaneous Areas) |
| 397001 | Asphaltic Emulsion (paint binder) |

The compensation payable for asphalt concrete will be increased or decreased in conformance with the provisions of this section for paving asphalt price fluctuations exceeding 10 percent (Iu/Ib is greater than 1.10 or less than 0.90) which occur during performance of the work.

The adjustment in compensation will be determined in conformance with the following formulae when the item of asphalt concrete is included in a monthly estimate:

A. Total monthly adjustment = AQ

B. For an increase in paving asphalt price index exceeding 10 percent:

$$A = 0.90\ (1.1023)\ (Iu/Ib - 1.10)\ Ib$$

C. For a decrease in paving asphalt price index exceeding 10 percent:

$$A = 0.90\ (1.1023)\ (Iu/Ib - 0.90)\ Ib$$

D. Where:

A = Adjustment in dollars per tonne of paving asphalt used to produce asphalt concrete rounded to the nearest $0.01.
Iu = The California Statewide Paving Asphalt Price Index which is in effect on the first business day of the month within the pay period in which the quantity subject to adjustment was included in the estimate.
Ib = The California Statewide Paving Asphalt Price Index for the month in which the bid opening for the project occurred.
Q = Quantity in tonnes of paving asphalt that was used in producing the quantity of asphalt concrete shown under "This Estimate" on the monthly estimate using the amount of asphalt determined by the Engineer.

The adjustment in compensation will also be subject to the following:

A. The compensation adjustments provided herein will be shown separately on payment estimates. The Contractor shall be liable to the State for decreased compensation adjustments and the Department may deduct the amount thereof from moneys due or that may become due the Contractor.
B. Compensation adjustments made under this section will be taken into account in making adjustments in conformance with the provisions in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications.
C. In the event of an overrun of contract time, adjustment in compensation for paving asphalt included in estimates during the overrun period will be determined using the California Statewide Paving Asphalt Price Index in effect on the first business day of the month within the pay period in which the overrun began.

The California Statewide Paving Asphalt Price Index is determined each month on the first business day of the month by the Department using the median of posted prices in effect as posted by Chevron, Mobil, and Unocal for the Buena Vista, Huntington Beach, Kern River, Long Beach, Midway Sunset, and Wilmington fields.

In the event that the companies discontinue posting their prices for a field, the Department will determine an index from the remaining posted prices. The Department reserves the right to include in the index determination the posted prices of additional fields.

## 5-1.15 AREAS FOR CONTRACTOR'S USE

Attention is directed to the provisions in Section 7-1.19, "Rights in Land and Improvements," of the Standard Specifications and these special provisions.

The highway right of way shall be used only for purposes that are necessary to perform the required work. The Contractor shall not occupy the right of way, or allow others to occupy the right of way, for purposes which are not necessary to perform the required work.

No State-owned parcels adjacent to the right of way are available for the exclusive use of the Contractor within the contract limits. The Contractor shall secure, at the Contractor's own expense, areas required for plant sites, storage of equipment or materials, or for other purposes.

No area is available within the contract limits for the exclusive use of the Contractor. At the contractor's option a batch plant site with state-furnished PCC aggregates is available for this contract and is shown on the plans. The Contractor shall obtain a lease from the property owner prior to using the site and within 45 days of contract approval. If a lease for the site is not secured within this time period the Contractor shall remove the state-furnished materials currently stored at that site and deliver them to another batch plant site at his own expense and shall be responsible for final cleanup of the site as required under Placer County's Conditional Use Permit referenced below. Attention is directed to "State-Furnished Materials" of these special provisions.

The following permits have been obtained for this optional batch plant site and the Contractor shall be responsible for obtaining the ownership transfer of the permits once the Contract has begun: County of Placer Conditional Use permit, and Placer County Air Pollution District –Authority to Construct permit. The Contractor is responsible for obtaining the following permits: the Waste Discharge Requirement (WDR) from the Central Valley regional Quality Control Board and the Industrial Activities NPDES permit from the State Water Resources Control Board. Any additional permits required for the operation of the optional batch plant are the responsibility of the Contractor. Attention is directed to the Materials Informational Handout, "Optional Batch Plant", for a location map, permits already obtained and a sample WDR.

Use of the Contractor's work areas and other State-owned property shall be at the Contractor's own risk, and the State shall not be held liable for damage to or loss of materials or equipment located within such areas.

The Contractor shall remove equipment, materials, and rubbish from the work areas and other State-owned property which the Contractor occupies. The Contractor shall leave the areas in a presentable condition in conformance with the provisions in Section 4-1.02, "Final Cleaning Up," of the Standard Specifications.

The Contractor shall secure, at the Contractor's own expense, areas required for plant sites, storage of equipment or materials or for other purposes, if sufficient area is not available to the Contractor within the contract limits, or at the sites designated on the plans outside the contract limits.

### 5-1.16 PAYMENTS

Attention is directed to Sections 9-1.06, "Partial Payments," and 9-1.07, "Payment After Acceptance," of the Standard Specifications and these special provisions.

For the purpose of making partial payments pursuant to Section 9-1.06, "Partial Payments," of the Standard Specifications, the amount set forth for the contract items of work hereinafter listed shall be deemed to be the maximum value of the contract item of work which will be recognized for progress payment purposes:

| | | |
|---|---|---|
| A. | Clearing and Grubbing | $35,000.00 |
| B. | Progress schedule (critical path method) | $22,000.00 |

After acceptance of the contract pursuant to the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, the amount, if any, payable for a contract item of work in excess of the maximum value for progress payment purposes hereinabove listed for the item, will be included for payment in the first estimate made after acceptance of the contract.

In determining the partial payments to be made to the Contractor, only the following listed materials will be considered for inclusion in the payment as materials furnished but not incorporated in the work:

A. bar reinforcing steel (epoxy coated)
B. alternative pipe culvert
C. corrugated steel pipe
D. corrugated steel pipe downdrains
E. entrance tapers
F. steel flared end sections
G. rock slope protection fabric
H. miscellaneous iron and steel
I. chain link fence and gates
J. metal beam guard railing
K. terminal system (Type SRT)

## 5-1.17 RELATIONS WITH CALIFORNIA DEPARTMENT OF FISH AND GAME

A portion of this project is located within the jurisdiction of the California Department of Fish and Game. An agreement regarding a stream or lake has been entered into by the Department of Transportation and the Department of Fish and Game. The Contractor shall be fully informed of the requirements of this agreement as well as rules, regulations, and conditions that may govern the Contractor's operations in these areas and shall conduct the work accordingly.

Copies of the agreement may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone 916-654-4490, and are available for inspection at the office of the District Director of Transportation at the Northern Region Construction Office at 379-A Colusa Highway, Yuba City, California 95991.

It is unlawful for any person to divert, obstruct or change the natural flow of the bed, channel or bank of a stream, river or lake without first notifying the Department of Fish and Game, unless the project or activity is noticed and constructed in conformance with conditions imposed under Fish and Game Code Section 1601.

Attention is directed to Sections 7-1.01, "Laws to be Observed," 7-1.01G, "Water Pollution," and 7-1.12, "Indemnification and Insurance," of the Standard Specifications.

Modifications to the agreement between the Department of Transportation and the Department of Fish and Game which are proposed by the Contractor shall be submitted in writing to the Engineer for transmittal to the Department of Fish and Game for their consideration.

When the Contractor is notified by the Engineer that a modification to the agreement is under consideration, no work shall be performed which is inconsistent with the original agreement or proposed modification until the Departments take action on the proposed modifications. Compensation for delay will be determined in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

Modifications to any agreement between the Department of Transportation and the Department of Fish and Game will be fully binding on the Contractor. The provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

## 5-1.18 RELATIONS WITH CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD

The location of this project is within an area controlled by the Central Valley Regional Water Quality Control Board. Central Valley Regional Water Quality Control Board ”Waiver of Waste Discharge Requirements and Water Quality Certification For: Interstate 80 Improvements, Placer County” has been issued covering work to be performed under this contract. The Contractor shall be fully informed of rules, regulations, and conditions that may govern the Contractor's operations in the areas and shall conduct the work accordingly.

Copies of the waiver may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone 916-654-4490, and are available for inspection at the office of the District Director of Transportation at the Northern Region Construction Office at 379-A Colusa Highway, Yuba City, California 95991.

Attention is directed to Section 7-1.11, "Preservation of Property," and Section 7-1.12, "Indemnification and Insurance," of the Standard Specifications.

Changes in the above listed conditions proposed by the Contractor shall be submitted to the Engineer for transmittal to the Regional Water Quality Control Board for their approval. Changes shall not be implemented until approved in writing by the Regional Water Quality Control Board.6. Use when work within a controlled area is minor and cannot be the controlling operation if reasonable scheduling is done, or the contract time involves more than one construction season and there will be ample time to do the work within the floodway during the time between the listed dates. Delete Para 7 when Para 6 is used.

Attention is directed to Section 8-1.06, "Time of Completion," of the Standard Specifications. Days when the Contractor's operations are restricted by the requirements of this section shall not be considered to be nonworking days whether or not the controlling operation is delayed.

## 5-1.19 RELATIONS WITH U.S. ARMY CORPS OF ENGINEERS

The location of the project is within an area controlled by the U.S. Army Corps of Engineers. A U.S. Army Corps of Engineers permit has been issued covering work to be performed under this contract. The Contractor shall be fully informed of rules, regulations, and conditions that may govern the Contractor's operations in the areas and shall conduct the work accordingly.

Copies of the order may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone 916-654-4490, and are available for inspection at the office of the District Director of Transportation at the North Region Construction Office at 379 Colusa Highway, Yuba City, CA 95991.

Attention is directed to Section 7-1.11, "Preservation of Property," and Section 7-1.12, "Indemnification and Insurance," of the Standard Specifications.

Attention is directed to Section 8-1.06, "Time of Completion," of the Standard Specifications. Days when the Contractor's operations are restricted by the requirements of this section shall not be considered to be nonworking days whether or not the controlling operation is delayed.

### 5-1.20 ENVIRONMENTALLY SENSITIVE AREA

Attention is directed to the designated Environmentally Sensitive Areas (ESAs) shown on the plans. The exact location of the boundaries of ESAs will be determined by the Engineer and shall be clearly delineated by the placement of temporary fence (Type ESA) as specified in these special provisions.

Vehicle access, storage or transport of materials or equipment, or other project related activities are prohibited within the boundaries of ESAs unless directed by the Engineer. Attentions is directed to the Materials Informational Handout, "Environmentally Sensitive Areas," in regards to access limitations for the work within ESAs.

The Contractor shall repair, or perform work to mitigate, damage or impacts to ESAs caused by the Contractor's operations, at the Contractor's expense. If the Engineer determines repairs or mitigation work will be performed by others, or if mitigation fees are assessed the Department, deductions from moneys due or to become due the Contractor will be made for the repair or mitigation costs.

## SECTION 6. (BLANK)

## SECTION 7. (BLANK)

## SECTION 8. MATERIALS

## SECTION 8-1. MISCELLANEOUS

### 8-1.01 SUBSTITUTION OF NON-METRIC MATERIALS AND PRODUCTS

Only materials and products conforming to the requirements of the specifications shall be incorporated in the work. When metric materials and products are not available, and when approved by the Engineer, and at no cost to the State, materials and products in the United States Standard Measures which are of equal quality and of the required properties and characteristics for the purpose intended, may be substituted for the equivalent metric materials and products, subject to the following provisions:

A. Materials and products shown on the plans or in the special provisions as being equivalent may be substituted for the metric materials and products specified or detailed on the plans.
B. Before other non-metric materials and products will be considered for use, the Contractor shall furnish, at the Contractor's expense, evidence satisfactory to the Engineer that the materials and products proposed for use are equal to or better than the materials and products specified or detailed on the plans. The burden of proof as to the quality and suitability of substitutions shall be upon the Contractor and the Contractor shall furnish necessary information as required by the Engineer. The Engineer will be the sole judge as to the quality and suitability of the substituted materials and products and the Engineer's decision will be final.
C. When the Contractor elects to substitute non-metric materials and products, including materials and products shown on the plans or in the special provisions as being equivalent, the list of sources of material specified in Section 6-1.01, "Source of Supply and Quality of Materials," of the Standard Specification shall include a list of substitutions to be made and contract items involved. In addition, for a change in design or details, the Contractor shall submit plans and working drawings in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The plans and working drawings shall be submitted at least 7 days before the Contractor intends to begin the work involved.

Unless otherwise specified, the following substitutions of materials and products will be allowed:

SUBSTITUTION TABLE FOR SIZES OF HIGH STRENGTH STEEL FASTENERS
ASTM Designation: A 325M

| METRIC SIZE SHOWN ON THE PLANS<br>mm x thread pitch | SIZE TO BE SUBSTITUTED<br>inch |
|---|---|
| M16 x 2 | 5/8 |
| M20 x 2.5 | 3/4 |
| M22 x 2.5 | 7/8 |
| M24 x 3 | 1 |
| M27 x 3 | 1-1/8 |
| M30 x 3.5 | 1-1/4 |
| M36 x 4 | 1-1/2 |

SUBSTITUTION TABLE FOR PLAIN WIRE REINFORCEMENT
ASTM Designation: A 82

| METRIC SIZE SHOWN ON THE PLANS<br>$mm^2$ | SIZE TO BE SUBSTITUTED<br>$inch^2$ x 100 |
|---|---|
| MW9 | W1.4 |
| MW10 | W1.6 |
| MW13 | W2.0 |
| MW15 | W2.3 |
| MW19 | W2.9 |
| MW20 | W3.1 |
| MW22 | W3.5 |
| MW25 | W3.9, except W3.5 in piles only |
| MW26 | W4.0 |
| MW30 | W4.7 |
| MW32 | W5.0 |
| MW35 | W5.4 |
| MW40 | W6.2 |
| MW45 | W6.5 |
| MW50 | W7.8 |
| MW55 | W8.5, except W8.0 in piles only |
| MW60 | W9.3 |
| MW70 | W10.9, except W11.0 in piles only |
| MW80 | W12.4 |
| MW90 | W14.0 |
| MW100 | W15.5 |

SUBSTITUTION TABLE FOR BAR REINFORCEMENT

| METRIC BAR DESIGNATION NUMBER[1] SHOWN ON THE PLANS | BAR DESIGNATION NUMBER[2] TO BE SUBSTITUTED |
|---|---|
| 10 | 3 |
| 13 | 4 |
| 16 | 5 |
| 19 | 6 |
| 22 | 7 |
| 25 | 8 |
| 29 | 9 |
| 32 | 10 |
| 36 | 11 |
| 43 | 14 |
| 57 | 18 |

[1]Bar designation numbers approximate the number of millimeters of the nominal diameter of the bars.

[2]Bar numbers are based on the number of eighths of an inch included in the nominal diameter of the bars.

No adjustment will be required in spacing or total number of reinforcing bars due to a difference in minimum yield strength between metric and non-metric bars.

SUBSTITUTION TABLE FOR SIZES OF:

(1) STEEL FASTENERS FOR GENERAL APPLICATIONS (ASTM Designation: A 307 or AASHTO Designation: M 314, Grade 36 or 55), and

(2) HIGH STRENGTH STEEL FASTENERS (ASTM Designation: A 325 or A 449)

| METRIC SIZE SHOWN ON THE PLANS mm | SIZE TO BE SUBSTITUTED inch |
|---|---|
| 6 or 6.35 | 1/4 |
| 8 or 7.94 | 5/16 |
| 10 or 9.52 | 3/8 |
| 11 or 11.11 | 7/16 |
| 13 or 12.70 | 1/2 |
| 14 or 14.29 | 9/16 |
| 16 or 15.88 | 5/8 |
| 19 or 19.05 | 3/4 |
| 22 or 22.22 | 7/8 |
| 24, 25, or 25.40 | 1 |
| 29 or 28.58 | 1-1/8 |
| 32 or 31.75 | 1-1/4 |
| 35 or 34.93 | 1-3/8 |
| 38 or 38.10 | 1-1/2 |
| 44 or 44.45 | 1-3/4 |
| 51 or 50.80 | 2 |
| 57 or 57.15 | 2-1/4 |
| 64 or 63.50 | 2-1/2 |
| 70 or 69.85 | 2-3/4 |
| 76 or 76.20 | 3 |
| 83 or 82.55 | 3-1/4 |
| 89 or 88.90 | 3-1/2 |
| 95 or 95.25 | 3-3/4 |
| 102 or 101.60 | 4 |

SUBSTITUTION TABLE FOR NOMINAL THICKNESS OF SHEET METAL

| UNCOATED HOT AND COLD ROLLED SHEETS | | HOT-DIPPED ZINC COATED SHEETS (GALVANIZED) | |
|---|---|---|---|
| METRIC THICKNESS SHOWN ON THE PLANS mm | GAGE TO BE SUBSTITUTED inch | METRIC THICKNESS SHOWN ON THE PLANS mm | GAGE TO BE SUBSTITUTED inch |
| 7.94 | 0.3125 | 4.270 | 0.1681 |
| 6.07 | 0.2391 | 3.891 | 0.1532 |
| 5.69 | 0.2242 | 3.510 | 0.1382 |
| 5.31 | 0.2092 | 3.132 | 0.1233 |
| 4.94 | 0.1943 | 2.753 | 0.1084 |
| 4.55 | 0.1793 | 2.372 | 0.0934 |
| 4.18 | 0.1644 | 1.994 | 0.0785 |
| 3.80 | 0.1495 | 1.803 | 0.0710 |
| 3.42 | 0.1345 | 1.613 | 0.0635 |
| 3.04 | 0.1196 | 1.461 | 0.0575 |
| 2.66 | 0.1046 | 1.311 | 0.0516 |
| 2.28 | 0.0897 | 1.158 | 0.0456 |
| 1.90 | 0.0747 | 1.006 or 1.016 | 0.0396 |
| 1.71 | 0.0673 | 0.930 | 0.0366 |
| 1.52 | 0.0598 | 0.853 | 0.0336 |
| 1.37 | 0.0538 | 0.777 | 0.0306 |
| 1.21 | 0.0478 | 0.701 | 0.0276 |
| 1.06 | 0.0418 | 0.627 | 0.0247 |
| 0.91 | 0.0359 | 0.551 | 0.0217 |
| 0.84 | 0.0329 | 0.513 | 0.0202 |
| 0.76 | 0.0299 | 0.475 | 0.0187 |
| 0.68 | 0.0269 | -------- | -------- |
| 0.61 | 0.0239 | -------- | -------- |
| 0.53 | 0.0209 | -------- | -------- |
| 0.45 | 0.0179 | -------- | -------- |
| 0.42 | 0.0164 | -------- | -------- |
| 0.38 | 0.0149 | -------- | -------- |

SUBSTITUTION TABLE FOR WIRE

| METRIC THICKNESS SHOWN ON THE PLANS mm | WIRE THICKNESS TO BE SUBSTITUTED inch | GAGE NO. |
|---|---|---|
| 6.20 | 0.244 | 3 |
| 5.72 | 0.225 | 4 |
| 5.26 | 0.207 | 5 |
| 4.88 | 0.192 | 6 |
| 4.50 | 0.177 | 7 |
| 4.11 | 0.162 | 8 |
| 3.76 | 0.148 | 9 |
| 3.43 | 0.135 | 10 |
| 3.05 | 0.120 | 11 |
| 2.69 | 0.106 | 12 |
| 2.34 | 0.092 | 13 |
| 2.03 | 0.080 | 14 |
| 1.83 | 0.072 | 15 |
| 1.57 | 0.062 | 16 |
| 1.37 | 0.054 | 17 |
| 1.22 | 0.048 | 18 |
| 1.04 | 0.041 | 19 |
| 0.89 | 0.035 | 20 |

SUBSTITUTION TABLE FOR PIPE PILES

| METRIC SIZE SHOWN ON THE PLANS mm x mm | SIZE TO BE SUBSTITUTED inch x inch |
|---|---|
| PP 360 x 4.55 | NPS 14 x 0.179 |
| PP 360 x 6.35 | NPS 14 x 0.250 |
| PP 360 x 9.53 | NPS 14 x 0.375 |
| PP 360 x 11.12 | NPS 14 x 0.438 |
| PP 406 x 12.70 | NPS 16 x 0.500 |
| PP 460 x T | NPS 18 x T" |
| PP 508 x T | NPS 20 x T" |
| PP 559 x T | NPS 22 x T" |
| PP 610 x T | NPS 24 x T" |
| PP 660 x T | NPS 26 x T" |
| PP 711 x T | NPS 28 x T" |
| PP 762 x T | NPS 30 x T" |
| PP 813 x T | NPS 32 x T" |
| PP 864 x T | NPS 34 x T" |
| PP 914 x T | NPS 36 x T" |
| PP 965 x T | NPS 38 x T" |
| PP 1016 x T | NPS 40 x T" |
| PP 1067 x T | NPS 42 x T" |
| PP 1118 x T | NPS 44 x T" |
| PP 1219 x T | NPS 48 x T" |
| PP 1524 x T | NPS 60 x T" |

The thickness in millimeters (T) represents an exact conversion of the thickness in inches (T").

SUBSTITUTION TABLE FOR STRUCTURAL TIMBER AND LUMBER

| METRIC MINIMUM DRESSED DRY, SHOWN ON THE PLANS mm x mm | METRIC MINIMUM DRESSED GREEN, SHOWN ON THE PLANS mm x mm | NOMINAL SIZE TO BE SUBSTITUTED inch x inch |
|---|---|---|
| 19x89 | 20x90 | 1x4 |
| 38x89 | 40x90 | 2x4 |
| 64x89 | 65x90 | 3x4 |
| 89x89 | 90x90 | 4x4 |
| 140x140 | 143x143 | 6x6 |
| 140x184 | 143x190 | 6x8 |
| 184x184 | 190x190 | 8x8 |
| 235x235 | 241x241 | 10x10 |
| 286x286 | 292x292 | 12x12 |

SUBSTITUTION TABLE FOR NAILS AND SPIKES

| METRIC COMMON NAIL, SHOWN ON THE PLANS<br>Length, mm<br>Diameter, mm | METRIC BOX NAIL, SHOWN ON THE PLANS<br>Length, mm<br>Diameter, mm | METRIC SPIKE, SHOWN ON THE PLANS<br>Length, mm<br>Diameter, mm | SIZE TO BE SUBSTITUTED<br>Penny-weight |
|---|---|---|---|
| 50.80<br>2.87 | 50.80<br>2.51 | _____ | 6d |
| 63.50<br>3.33 | 63.50<br>2.87 | _____ | 8d |
| 76.20<br>3.76 | 76.20<br>3.25 | 76.20<br>4.88 | 10d |
| 82.55<br>3.76 | 82.55<br>3.25 | 82.55<br>4.88 | 12d |
| 88.90<br>4.11 | 88.90<br>3.43 | 88.90<br>5.26 | 16d |
| 101.60<br>4.88 | 101.60<br>3.76 | 101.60<br>5.72 | 20d |
| 114.30<br>5.26 | 114.30<br>3.76 | 114.30<br>6.20 | 30d |
| 127.00<br>5.72 | 127.00<br>4.11 | 127.00<br>6.68 | 40d |
| _____ | _____ | 139.70<br>7.19 | 50d |
| _____ | _____ | 152.40<br>7.19 | 60d |

SUBSTITUTION TABLE FOR IRRIGATION COMPONENTS

| METRIC WATER METERS, TRUCK LOADING STANDPIPES, VALVES, BACKFLOW PREVENTERS, FLOW SENSORS, WYE STRAINERS, FILTER ASSEMBLY UNITS, PIPE SUPPLY LINES, AND PIPE IRRIGATION SUPPLY LINES SHOWN ON THE PLANS DIAMETER NOMINAL (DN) mm | NOMINAL SIZE TO BE SUBSTITUTED inch |
|---|---|
| 15 | 1/2 |
| 20 | 3/4 |
| 25 | 1 |
| 32 | 1-1/4 |
| 40 | 1-1/2 |
| 50 | 2 |
| 65 | 2-1/2 |
| 75 | 3 |
| 100 | 4 |
| 150 | 6 |
| 200 | 8 |
| 250 | 10 |
| 300 | 12 |
| 350 | 14 |
| 400 | 16 |

Unless otherwise specified, substitutions of United States Standard Measures standard structural shapes corresponding to the metric designations shown on the plans and in conformance with the requirements in ASTM Designation: A 6/A 6M, Annex 2, will be allowed.

**8-1.02 PREQUALIFIED AND TESTED SIGNING AND DELINEATION MATERIALS**

The Department maintains the following list of Prequalified and Tested Signing and Delineation Materials. The Engineer shall not be precluded from sampling and testing products on the list of Prequalified and Tested Signing and Delineation Materials.

The manufacturer of products on the list of Prequalified and Tested Signing and Delineation Materials shall furnish the Engineer a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each type of traffic product supplied.

For those categories of materials included on the list of Prequalified and Tested Signing and Delineation Materials, only those products shown within the listing may be used in the work. Other categories of products, not included on the list of Prequalified and Tested Signing and Delineation Materials, may be used in the work provided they conform to the requirements of the Standard Specifications.

Materials and products may be added to the list of Prequalified and Tested Signing and Delineation Materials if the manufacturer submits a New Product Information Form to the New Product Coordinator at the Transportation Laboratory. Upon a Departmental request for samples, sufficient samples shall be submitted to permit performance of required tests. Approval of materials or products will depend upon compliance with the specifications and tests the Department may elect to perform.

**PAVEMENT MARKERS, PERMANENT TYPE**
**Retroreflective With Abrasion Resistant Surface (ARS)**

A. Apex, Model 921AR (100 mm x 100 mm)

B. Avery Dennison (formerly Stimsonite), Models C88 (100 mm x 100 mm), 911 (100 mm x 100 mm) and 953 (70 mm x 114 mm)
C. Ray-O-Lite, Model "AA" ARS (100 mm x 100 mm)
D. 3M Series 290 (89 mm x 100 mm)
E. 3M Series 290 PSA, with pressure sensitive adhesive pad (89 mm x 100 mm)

**Retroreflective With Abrasion Resistant Surface (ARS)**

(for recessed applications only)

A. Avery Dennison (formerly Stimsonite), Model 948 (58 mm x 119 mm)
B. Avery Dennison (formerly Stimsonite), Model 944SB (51 mm x 100 mm)*
C. Ray-O-Lite, Model 2002 (58 mm x 117 mm)
D. Ray-O-Lite, Model 2004 ARS (51 mm x 100 mm)*
*For use only in 114 mm wide (older) recessed slots

**Non-Reflective, 100 mm Round**

A. Alpine Products, "D-Dot" and "ANR" (ABS)
B. Apex Universal (Ceramic)
C. Apex Universal, Models 929 (ABS) and 929PP (Polypropylene)
D. Elgin Molded Plastics, "Empco-Lite" Model 900 (ABS)
E. Glowlite (Ceramic)
F. Hi-Way Safety, Inc., Models P20-2000W and 2001Y (ABS)
G. Interstate Sales, "Diamond Back" (ABS) and (Polypropylene)
H. Novabrite Models Cdot (White) Cdot-y (Yellow), Ceramic
I. Novabrite Models Adot-w (White) Adot-y (Yellow), (ABS)
J. Novabrite Models Pdot-w (White) Pdot-y (Yellow), Polypropylene
K. Road Creations, Model RCB4NR (Acrylic)
L. Three D Traffic Works TD10000 (ABS), TD10500 (Polypropylene)
M. Zumar Industries, "Titan TM40A" (ABS)

**PAVEMENT MARKERS, TEMPORARY TYPE**

**Temporary Markers For Long Term Day/Night Use (6 months or less)**

A. Apex Universal, Model 924 (100 mm x 100 mm)
B. Elgin Molded Plastics, "Empco-Lite" Model 901 (100 mm x 100 mm)
C. Road Creations, Model R41C (100 mm x 100 mm)
D. Vega Molded Products "Temporary Road Marker" (75 mm x 100 mm)

**Temporary Markers For Short Term Day/Night Use (14 days or less)**

(For seal coat or chip seal applications, clear protective covers are required)

A. Apex Universal, Model 932
B. Bunzl Extrusion, Models T.O.M., T.R.P.M., and "HH" (High Heat)
C. Hi-Way Safety, Inc., Model 1280/1281

**STRIPING AND PAVEMENT MARKING MATERIAL**

**Permanent Traffic Striping and Pavement Marking Tape**

A. Advanced Traffic Marking, Series 300 and 400
B. Brite-Line, Series 1000
C. Brite-Line, "DeltaLine XRP"
D. Swarco Industries, "Director 35" (For transverse application only)
E. Swarco Industries, "Director 60"
F. 3M, "Stamark" Series 380 and 5730
G. 3M, "Stamark" Series 420 (For transverse application only)

**Temporary (Removable) Striping and Pavement Marking Tape (6 months or less)**

A. Advanced Traffic Marking, Series 200
B. Brite-Line, Series 100
C. Garlock Rubber Technologies, Series 2000
D. P.B. Laminations, Aztec, Grade 102

E. Swarco Industries, "Director-2"
F. Trelleborg Industri, R140 Series
G. 3M, Series 620 "CR", and Series A750
H. 3M, Series A145, Removable Black Line Mask
(Black Tape: for use only on Asphalt Concrete Surfaces)
I. Advanced Traffic Marking Black "Hide-A-Line"
(Black Tape: for use only on Asphalt Concrete Surfaces)
J. Brite-Line "BTR" Black Removable Tape
(Black Tape: for use only on Asphalt Concrete Surfaces)
K. Trelleborg Industri, RB-140
(Black Tape: for use only on Asphalt Concrete Surfaces)

**Preformed Thermoplastic (Heated in place)**

A. Avery Dennison, "Hotape"
B. Flint Trading, "Premark," "Premark 20/20 Flex," and "Premark 20/20 Flex Plus"

**Ceramic Surfacing Laminate, 150 mm x 150 mm**

A. Safeline Industries/Highway Ceramics, Inc.

**CLASS 1 DELINEATORS**

**One Piece Driveable Flexible Type, 1700 mm**

A. Bunzl Extrusion, "Flexi-Guide Models 400 and 566"
B. Carsonite, Curve-Flex CFRM-400
C. Carsonite, Roadmarker CRM-375
D. FlexStake, Model 654 TM
E. GreenLine Models HWD1-66 and CGD1-66
F. J. Miller Industries, Model JMI-375 (with soil anchor)

**Special Use Type, 1700 mm**

A. Bunzl Extrusion, Model FG 560 (with 450 mm U-Channel base)
B. Carsonite, "Survivor" (with 450 mm U-Channel base)
C. Carsonite, Roadmarker CRM-375 (with 450 mm U-Channel base)
D. FlexStake, Model 604
E. GreenLine Models HWDU and CGD (with 450 mm U-Channel base)
F. Impact Recovery Model D36, with #105 Driveable Base
G. Safe-Hit with 200 mm pavement anchor (SH248-GP1)
H. Safe-Hit with 380 mm soil anchor (SH248-GP2) and with 450 mm soil anchor (SH248-GP3)

**Surface Mount Type, 1200 mm**

A. Bent Manufacturing Company, Masterflex Model MF-180EX-48
B. Carsonite, "Super Duck II"
C. FlexStake, Surface Mount, Models 704 and 754 TM
D. Impact Recovery Model D48, with #101 Fixed (Surface-Mount) Base
E. Three D Traffic Works "Channelflex" ID No. 522248W

**CHANNELIZERS**

**Surface Mount Type, 900 mm**

A. Bent Manufacturing Company, Masterflex Models MF-360-36 (Round) and MF-180-36 (Flat)
B. Bunzl Extrusion, Flexi-Guide Models FG300LD and FG300UR
C. Carsonite, "Super Duck" (Flat SDF-436, Round SDR-336)
D. Carsonite, "Super Duck II" Model SDCF203601MB "The Channelizer"
E. FlexStake, Surface Mount, Models 703 and 753 TM
F. GreenLine, Model SMD-36
G. Hi-Way Safety, Inc. "Channel Guide Channelizer" Model CGC36
H. Impact Recovery Model D36, with #101 Fixed (Surface-Mount) Base
I. Repo, Models 300 and 400
J. Safe-Hit, Guide Post, Model SH236SMA

K. The Line Connection, "Dura-Post" Model DP36-3 (Permanent)
L. The Line Connection, "Dura-Post" Model DP36-3C (Temporary)
M. Three D Traffic Works "Channelflex" ID No. 522053W

**Lane Separation System**

A. Bunzl "Flexi-Guide (FG) 300 Curb System"
B. Qwick Kurb, "Klemmfix Guide System"
C. Recycled Technology, Inc. "Safe-Lane System"

**CONICAL DELINEATORS, 1070 mm**
(For 700 mm Traffic Cones, see Standard Specifications)
A. Bent Manufacturing Company "T-Top"
B. Plastic Safety Systems "Navigator-42"
C. Radiator Specialty Company "Enforcer"
D. Roadmaker Company "Stacker"
E. TrafFix Devices "Grabber"
F. Three D Traffic Works "Ringtop" TD7000, ID No. 742143

**OBJECT MARKERS**
**Type "K", 450 mm**

A. Bunzl, Model FG318PE
B. Carsonite, Model SMD 615
C. FlexStake, Model 701 KM
D. Repo, Models 300 and 400
E. Safe-Hit, Model SH718SMA
F. The Line Connection, Model DP21-4K

**Type "K-4" / "Q" Object Markers, 600 mm**

A. Bent Manufacturing "Masterflex" Model MF-360-24
B. Bunzl Extrusion, Model FG324PE
C. Carsonite, Super Duck II
D. FlexStake, Model 701KM
E. Repo, Models 300 and 400
F. Safe-Hit, Models SH8 24SMA_WA and SH8 24GP3_WA
G. The Line Connection, Model DP21-4Q
H. Three D Traffic Works "Q" Marker, ID No. 531702W

**CONCRETE BARRIER MARKERS AND**
**TEMPORARY RAILING (TYPE K) REFLECTORS**
**Impactable Type**

A. ARTUK, "FB"
B. Bunzl Extrusion, Models PCBM-12 and PCBM-T12
C. Duraflex Corp., "Flexx 2020" and "Electriflexx"
D. Hi-Way Safety, Inc., Model GMKRM100
E. Plastic Safety Systems "BAM" Models OM-BARR and OM-BWAR
F. Sun-Lab Technology, "Safety Guide Light Model TM-5"
G. Three D Traffic Works "Roadguide" 9304 Series, ID No. 903176 (One-Way), ID No. 903215 (Two-Way)

**Non-Impactable Type**

A. ARTUK, JD Series
B. Plastic Safety Systems "BAM" Models OM-BITARW and OM-BITARA
C. Vega Molded Products, Models GBM and JD

**METAL BEAM GUARD RAIL POST MARKERS**
(For use to the left of traffic)
A. Bunzl Extrusion, "Mini" (75 mm x 254 mm)
B. Creative Building Products, "Dura-Bull, Model 11201"

C. Duraflex Corp., "Railrider"

**CONCRETE BARRIER DELINEATORS, 400 mm**
(For use to the right of traffic)
A. Bunzl Extrusion, Model PCBM T-16
B. Safe-Hit, Model SH216RBM
C. Sun-Lab Technology, "Safety Guide Light, Model TM16," (75 mm x 300 mm)
D. Three D Traffic Works "Roadguide" ID No. 904364 (White), ID No. 904390 (Yellow)

**CONCRETE BARRIER-MOUNTED MINI-DRUM (260 mm x 360 mm x 570 mm)**
A. Stinson Equipment Company "SaddleMarker"

**SOUND WALL DELINEATOR**
(Applied vertically. Place top of 75 mm x 300 mm reflective element at 1200 mm above roadway)
A. Bunzl Extrusion, PCBM S-36
B. Sun-Lab Technology, "Safety Guide Light, Model SM12," (75 mm x 300 mm)

**GUARD RAILING DELINEATOR**
(Place top of reflective element at 1200 mm above plane of roadway)

**Wood Post Type, 686 mm**

A. Bunzl Extrusion, FG 427 and FG 527
B. Carsonite, Model 427
C. FlexStake, Model 102 GR
D. GreenLine GRD 27
E. J. Miller Model JMI-375G
F. Safe-Hit, Model SH227GRD
G. Three D Traffic Works "Guardflex" TD9100 Series, ID No. 510476

**Steel Post Type**

A. Carsonite, Model CFGR-327 with CFGRBK300 Mounting Bracket

**RETROREFLECTIVE SHEETING**

**Channelizers, Barrier Markers, and Delineators**

A. Avery Dennison T-6500 Series (Formerly Stimsonite, Series 6200) (For rigid substrate devices only)
B. Avery Dennison WR-6100 Series
C. Nippon Carbide, Flexible Ultralite Grade (ULG) II
D. Reflexite, PC-1000 Metalized Polycarbonate
E. Reflexite, AC-1000 Acrylic
F. Reflexite, AP-1000 Metalized Polyester
G. Reflexite, Conformalight, AR-1000 Abrasion Resistant Coating
H. 3M, High Intensity

**Traffic Cones, 330 mm Sleeves**

A. Reflexite SB (Polyester), Vinyl or "TR" (Semi-transparent)

**Traffic Cones, 100 mm and 150 mm Sleeves**

A. Nippon Carbide, Flexible Ultralite Grade (ULG) II
B. Reflexite, Vinyl, "TR" (Semi-transparent) or "Conformalight"
C. 3M Series 3840

**Barrels and Drums**

A. Avery Dennison WR-6100
B. Nippon Carbide, Flexible Ultralite Grade (ULG) II
C. Reflexite, "Conformalight", "Super High Intensity" or "High Impact Drum Sheeting"
D. 3M Series 3810

**Barricades: Type I, Medium-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

A. American Decal, Adcolite
B. Avery Dennison, T-1500 and T-1600 series
C. 3M Engineer Grade, Series 3170

**Barricades: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

A. Avery Dennison, T-2500 Series
B. Kiwalite Type II
C. Nikkalite 1800 Series

**Signs: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)**

A. Avery Dennison, T-2500 Series
B. Kiwalite, Type II
C. Nikkalite 1800 Series

**Signs: Type III, High-Intensity (Typically Encapsulated Glass-Bead Element)**

A. Avery Dennison, T-5500 and T-5500A Series
B. Nippon Carbide, Nikkalite Brand Ultralite Grade II
C. 3M Series 3870

**Signs: Type IV, High-Intensity (Typically Unmetallized Microprismatic Element)**

A. Avery Dennison, T-6500 Series (Formerly Stimsonite Series 6200)
B. Nippon Carbide, Crystal Grade, 94000 Series

**Signs: Type VI, Elastomeric (Roll-Up) High-Intensity, without Adhesive**

A. Avery Dennison, WU-6014
B. Novabrite LLC, "Econobrite"
C. Reflexite "Vinyl"
D. Reflexite "SuperBright"
E. Reflexite "Marathon"
F. 3M Series RS34 (Orange) and RS20 (Fluorescent orange)

**Signs: Type VII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)**

A. 3M LDP Series 3924 (Fluorescent Red/Orange)
B. 3M LDP Series 3970

**Signs: Type VIII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)**

A. Avery Dennison, T-7500 Series

**Signs: Type IX, Very-High-Intensity (Typically Unmetallized Microprismatic Element)**

A. 3M VIP Series 3981 Diamond Grade (Fluorescent yellow)
B. 3M VIP Series 3983 Diamond Grade (Fluorescent yellow/Green)
C. 3M VIP Series 3990 Diamond

**SPECIALTY SIGNS**

A. All Sign Products, STOP Sign (All Plastic), 750 mm
B. Relexite "Endurance" Work Zone Sign (with Semi-Rigid Plastic Substrate)

**SIGN SUBSTRATE**

**Fiberglass Reinforced Plastic (FRP)**

A. Fiber-Brite
B. Sequentia, "Polyplate"
C. Inteplast Group "InteCel" (13 mm for Post-Mounted CZ Signs, 1200 mm or less)

**Aluminum Composite**

A. Alcan Composites "Dibond Material, 2 mm" (for temporary construction signs only)

B. Mitsubishi Chemical America, Alpolic 350 (for temporary construction signs only)

**8-1.03 STATE-FURNISHED MATERIALS**

Attention is directed to Section 6-1.02, "State-Furnished Materials," of the Standard Specifications, "Order Of Work" of these special provisions, and these special provisions.

The following materials will be furnished to the Contractor:

A. The following materials are stored at the Whitmore Maintenance Station

| | | |
|---|---|---|
| CONTRACT ITEM: | | FIBER OPTIC SYSTEM |
| 1. | 426M . | 129C F/G Bullet Proof Conduit |
| 2. | 32 ea. | 129C F/G Bullet Proof 90 Elbow |
| 3. | 16 ea. | 129C F/G Bullet Proof 22-1/2 Elbow |
| 4. | 40 ea. | 129C F/G Bullet Proof Couplings |
| 5. | 16 ea. | 129C F/G Bullet Proof Expansion Couplings |
| 6. | 60M. | 53C F/G Bullet Proof Conduit |
| 7. | 2 ea. | 53C F/G Bullet Proof 90 Elbow |
| 8. | 2 ea. | 53C F/G Bullet Proof 45 Elbow |
| 9. | 6 ea. | 53C F/G Bullet Proof Couplings |
| 10. | 2 ea. | 53C F/G Bullet Proof Expansion Couplings |
| 11. | 16 ea. | Dual Epoxy Kits |
| 12. | 1 ea. | Dual Epoxy Gun |
| 13. | 120 ea. | 129C 4 Bay F/G & Steel Hangers |
| 14. | 120 ea. | 127mmx610mm PVC Vault Conduit Blockout Extenders |
| 15. | 30 ea. | Vault Extension Rings |
| 16. | 30 ea. | Vault Covers With Cast Iron Lids |
| 17. | 6095M. | 32C HDPE Conduit on Partial Reels |
| 18. | 20 ea. | 32C HDPE Couplings |
| 19. | 240 ea | 19.1mm SS Mechanical Expansion Anchors |
| CONTRACT ITEM: | | MODIFY LIGHTING AND SIGN ILLUMINATION |
| 20. | 52 sets of | 3 ea. 25mmx902mm Welded option A325 Anchor Bolts |
| CONTRACT ITEM: | | TEMPORARY RALING (Type K) |
| 21. | 16 ea | temporary railing (Type K) |
| CONTRACT ITEM: | | REINFORCED CRIB WALL (Type A) |
| 22. | 244 ea | reinforced crib wall (Type A) headers |
| 23. | 275 ea | reinforced crib wall (Type A) stretchers |
| 24. | 30 ea | miscellaneous concrete pavement header forms |
| CONTRACT ITEM: | | 300mm CORRUGATED STEEL PIPE DOWNDRAIN (2.01mm THICK) |
| 25. | 2 ea | 300 mm x 0.6m CSP |
| 26. | 2 ea | 300 mm x 1.1m CSP |
| 27. | 1 ea | 300 mm x 2.0m CSP |
| 28. | 23 ea | 300 mm x 6.1m CSP |
| 29. | 19 ea | 300 mm CSP hugger bands |
| 30. | 4 ea | 300 mm CSP dimpled hugger bands |
| CONTRACT ITEM: | | CORRUGATED STEEL PIPE DOWNDRAIN (2.01mm THICK) |
| 31. | 1 ea | 450 mm x 0.6m CSP |
| 32. | 1 ea | 450 mm x 1.0m CSP |
| 33. | 2 ea | 450 mm x 1.7m CSP |
| 34. | 2 ea | 450 mm x 3.6m CSP |
| 35. | 6 ea | 450 mm x 4.5m CSP |
| 36. | 14 ea | 450 mm x 6.1m CSP |
| 37. | 1 ea | 450 mm 45degree CSP elbow |
| 38. | 3 ea | 450 mm 90degree CSP elbow |
| 39. | 16 ea | 450 mm 120degree CSP elbow |
| 40. | 1 ea | 450 mm 160degree CSP elbow |
| 41. | 151 ea | 450 mm CSP hugger bands |
| 42. | 41 ea | 450 mm CSP dimpled hugger bands |
| CONTRACT ITEM: | | 600mm CORRUGATED STEEL PIPE DOWNDRAIN (2.01mm THICK) |

43. 1 ea 600 mm x 1.5m CSP
44. 1 ea 600 mm 45degree CSP elbow
45. 1 ea 600 mm CSP hugger bands
46. 7 ea 600 mm CSP dimpled hugger bands
CONTRACT ITEM: 450mm STEEL FLARED END SECTION
47. 8 ea 450 mm CSP flared end section
CONTRACT ITEM: 600mm STEEL FLARED END SECTION
48. 10 ea 600 mm CSP flared end section
CONTRACT ITEM: 900mm STEEL FLARED END SECTION
49. 1 ea 900 mm CSP flared end section
CONTRACT ITEM: 1350mm STEEL FLARED END SECTION
50. 2 ea 1350 mm CSP flared end section
CONTRACT ITEM: ANCHOR ASSEMBLIES
51. 8 ea 2 meter pipe stakes
CONTRACT ITEM: 400mm PLASTIC PIPE LINER
52. 2 ea 400 mm x 3.0m plastic pipe liner
53. 1 ea 400 mm x 4.5m plastic pipe liner
54. 72 ea 400 mm x 6.1m plastic pipe liner
CONTRACT ITEM: 400mm PLASTIC PIPE LINER
55. 50 ea 525 mm x 6.1m plastic pipe liner turned for Snap Tite
56. 1 ea 525 mm x 3.6m plastic pipe liner
57. 371 ea 525 mm x 6.1m plastic pipe liner
CONTRACT ITEM: 675mm PLASTIC PIPE LINER
58. 35 ea 675 mm x 6.1m plastic pipe liner
CONTRACT ITEM: 800mm PLASTIC PIPE LINER
59. 62 ea 800 mm x 6.1m plastic pipe liner turned for Snap Tite
CONTRACT ITEM: 900mm PLASTIC PIPE LINER
60. 39 ea 900 mm x 6.1m plastic pipe liner
CONTRACT ITEM: 1200mm PLASTIC PIPE LINER
61. 10 ea 1200 mm x 6.5m plastic pipe liner
CONTRACT ITEM: 600mm ALTERNATIVE PIPE CULVERT
62. 1 ea 600 mm x 4.8m HDPE culvert

B. The following materials are currently being used in the median between Drum Forebay and Towle Overcrossing:
   1. 1195 pieces Temporary railing (Type K) Note: This Temporary railing is pinned to the concrete pavement. Following removal of pins 25mm below finish grade, voids in concrete pavement shall be cleaned and filled with cementitious backfill materials or other methods as approved by the Engineer.

C. The following materials for use in the Concrete Pavement (in estimated quantities) are at the optional batch plant site:
   1. 4,500 tons. 19mm aggregate
   2. 27,000 tons 12.5mm aggregate
   3. 31,500 tons sand

D Sign panels for roadside signs and overhead sign structures(including overhead signs Temp-1 and Temp-2).
E. Hardware for mounting sign panels as follows:

   1. Closure inserts.
   2. Aluminum bolts and nuts and steel beveled washers for mounting laminated sign panels on overhead sign structures.

F Two 10/100 Ethernet Switches
G One 10/100 Ethernet Switches

The Contractor shall notify the Engineer not less than 48 hours before State-furnished material is to be picked up by the Contractor. A full description of the material and the time the material will be picked up shall be provided. The Contractor and Engineer are to agree upon the quantities of the items taken prior to leaving the Whitmore Maintenance site. Any materials listed but not used in the contract shall be removed and become the property of the Contractor.

## 8-1.04 ENGINEERING FABRICS

Engineering fabrics shall conform to the provisions in Section 88, "Engineering Fabrics," of the Standard Specifications.

# SECTION 8-2. CONCRETE

## 8-2.01 PORTLAND CEMENT CONCRETE

Portland cement concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions.

References to Section 90-2.01, "Portland Cement," of the Standard Specifications shall mean Section 90-2.01, "Cement," of the Standard Specifications.

Mineral admixture shall be combined with cement in conformance with the provisions in Section 90-4.08, "Required Use of Mineral Admixtures," of the Standard Specifications for the concrete materials specified in Section 56-2, "Roadside Signs," of the Standard Specifications.

The requirements of Section 90-4.08, "Required Use of Mineral Admixture," of the Standard Specifications shall not apply to Section 19-3.025C, "Soil Cement Bedding," of the Standard Specifications.

The Department maintains a list of sources of fine and coarse aggregate that have been approved for use with a reduced amount of mineral admixture in the total amount of cementitious material to be used. A source of aggregate will be considered for addition to the approved list if the producer of the aggregate submits to the Transportation Laboratory certified test results from a qualified testing laboratory that verify the aggregate complies with the requirements. Prior to starting the testing, the aggregate test shall be registered with the Department. A registration number can be obtained by calling (916) 227-7228. The registration number shall be used as the identification for the aggregate sample in correspondence with the Department. Upon request, a split of the tested sample shall be provided to the Department. Approval of aggregate will depend upon compliance with the specifications, based on the certified test results submitted, together with any replicate testing the Department may elect to perform. Approval will expire 3 years from the date the most recent registered and evaluated sample was collected from the aggregate source.

Qualified testing laboratories shall conform to the following requirements:

A. Laboratories performing ASTM Designation: C 1293 shall participate in the Cement and Concrete Reference Laboratory (CCRL) Concrete Proficiency Sample Program and shall have received a score of 3 or better on all tests of the previous 2 sets of concrete samples.
B. Laboratories performing ASTM Designation: C 1260 shall participate in the Cement and Concrete Reference Laboratory (CCRL) Pozzolan Proficiency Sample Program and shall have received a score of 3 or better on the shrinkage and soundness tests of the previous 2 sets of pozzolan samples.

Aggregates on the list shall conform to one of the following requirements:

A. When the aggregate is tested in conformance with the requirements in California Test 554 and ASTM Designation: C 1293, the average expansion at one year shall be less than or equal to 0.040 percent; or
B. When the aggregate is tested in conformance with the requirements in California Test 554 and ASTM Designation: C 1260, the average of the expansion at 16 days shall be less than or equal to 0.15 percent.

The amounts of cement and mineral admixture used in cementitious material shall be sufficient to satisfy the minimum cementitious material content requirements specified in Section 90-1.01, "Description," or Section 90-4.05, "Optional Use of Chemical Admixtures," of the Standard Specifications and shall conform to the following:

A. The minimum amount of cement shall not be less than 75 percent by mass of the specified minimum cementitious material content.
B. The minimum amount of mineral admixture to be combined with cement shall be determined using one of the following criteria:

    1. When the calcium oxide content of a mineral admixture is equal to or less than 2 percent by mass, the amount of mineral admixture shall not be less than 15 percent by mass of the total amount of cementitious material to be used in the mix.
    2. When the calcium oxide content of a mineral admixture is greater than 2 percent by mass, and any of the aggregates used are not listed on the approved list as specified in these special provisions, then the amount of mineral admixture shall not be less than 25 percent by mass of the total amount of cementitious material to be used in the mix.

3. When the calcium oxide content of a mineral admixture is greater than 2 percent by mass and the fine and coarse aggregates are listed on the approved list as specified in these special provisions, then the amount of mineral admixture shall not be less than 15 percent by mass of the total amount of cementitious material to be used in the mix.
4. When a mineral admixture that conforms to the provisions for silica fume in Section 90-2.04, "Admixture Materials," of the Standard Specifications is used, the amount of mineral admixture shall not be less than 10 percent by mass of the total amount of cementitious material to be used in the mix.
5. When a mineral admixture that conforms to the provisions for silica fume in Section 90-2.04, "Admixture Materials," of the Standard Specifications is used and the fine and coarse aggregates are listed on the approved list as specified in these special provisions, then the amount of mineral admixture shall not be less than 7 percent by mass of the total amount of cementitious material to be used in the mix.

C. The total amount of mineral admixture shall not exceed 35 percent by mass of the total amount of cementitious material to be used in the mix. Where Section 90-1.01, "Description," of the Standard Specifications specifies a maximum cementitious content in kilograms per cubic meter, the total mass of cement and mineral admixture per cubic meter shall not exceed the specified maximum cementitious material content.

**8-2.02 FREEZING CONDITION REQUIREMENTS**

The mortar strength of fine aggregate relative to the mortar strength of Ottawa sand shall be 100 percent, minimum, as determined by California Test 515.

Portland cement concrete and precast portland cement concrete products shall contain not less than 350 kilograms of cement per cubic meter unless a higher cement content is required elsewhere in these special provisions.

An air-entraining admixture conforming to the provisions in Section 90-4, "Admixtures," of the Standard Specifications shall be added to the concrete (except polyester concrete) at the rate required to result in an air content of 6 ± 1.5 percent in the freshly mixed concrete, unless a different air content is specified in these special provisions.

## SECTION 8-3. WELDING

**8-3.01 WELDING**

**GENERAL**

Flux core welding electrodes conforming to the requirements of AWS A5.20 E6XT-4 or E7XT-4 shall not be used to perform welding for this project.

Wherever reference is made to the following AWS welding codes in the Standard Specifications, on the plans, or in these special provisions, the year of adoption for these codes shall be as listed:

| AWS Code | Year of Adoption |
|---|---|
| D1.1 | 2002 |
| D1.4 | 1998 |
| D1.5 | 2002 |
| D1.6 | 1999 |

Requirements of the AWS welding codes shall apply unless specified otherwise in the Standard Specifications, on the plans, or in these special provisions. Wherever the abbreviation AWS is used, it shall be equivalent to the abbreviations ANSI/AWS or AASHTO/AWS.

Section 6.1.1.1 of AWS D1.5 is replaced with the following:

Quality Control (QC) shall be the responsibility of the Contractor. As a minimum, the Contractor shall perform inspection and testing of each weld joint prior to welding, during welding, and after welding as specified in this section and as necessary to ensure that materials and workmanship conform to the requirements of the contract documents.

Sections 6.1.3 through 6.1.4.3 of AWS D1.1, Section 7.1.2 of AWS D1.4, and Sections 6.1.1.2 through 6.1.3.3 of AWS D1.5 are replaced with the following:

The QC Inspector shall be the duly designated person who acts for and on behalf of the Contractor for inspection, testing, and quality related matters for all welding.

Quality Assurance (QA) is the prerogative of the Engineer. The QA Inspector is the duly designated person who acts for and on behalf of the Engineer.

The QC Inspector shall be responsible for quality control acceptance or rejection of materials and workmanship, and shall be currently certified as an AWS Certified Welding Inspector (CWI) in conformance with the requirements in AWS QC1, " Standard for AWS Certification of Welding Inspectors."

The QC Inspector may be assisted by an Assistant QC Inspector provided that this individual is currently certified as an AWS Certified Associate Welding Inspector (CAWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors." The Assistant QC Inspector may perform inspection under the direct supervision of the QC Inspector provided the Assistant is always within visible and audible range of the QC Inspector. The QC Inspector shall be responsible for signing all reports and for determining if welded materials conform to workmanship and acceptance criteria. The ratio of QC Assistants to QC Inspectors shall not exceed 5 to 1.

When the term "Inspector" is used without further qualification, it shall refer to the QC Inspector.

Section 6.14.6, "Personnel Qualification," of AWS D1.1, Section 7.8, "Personnel Qualification," of AWS D1.4, and Section 6.1.3.4, "Personnel Qualification," of AWS D1.5 are replaced with the following:

Personnel performing nondestructive testing (NDT) shall be qualified and certified in conformance with the requirements of the American Society for Nondestructive Testing (ASNT) Recommended Practice No. SNT-TC-1A and the Written Practice of the NDT firm. The Written Practice of the NDT firm shall meet or exceed the guidelines of the ASNT Recommended Practice No. SNT-TC-1A. Individuals who perform NDT, review the results, and prepare the written reports shall be either:

A. Certified NDT Level II technicians, or;
B. Level III technicians who hold a current ASNT Level III certificate in that discipline and are authorized and certified to perform the work of Level II technicians.

Section 6.5.4 of AWS D1.5 is replaced with the following:

The QC Inspector shall inspect and approve each joint preparation, assembly practice, welding technique, joint fit-up, and the performance of each welder, welding operator, and tack welder to make certain that the applicable requirements of this code and the approved Welding Procedure Specification (WPS) are met. The QC Inspector shall examine the work to make certain that it meets the requirements of Sections 3 and 6.26. The size and contour of all welds shall be measured using suitable gages. Visual inspection for cracks in welds and base metal, and for other discontinuities should be aided by strong light magnifiers, or such other devices as may be helpful. Acceptance criteria different from those specified in this code may be used when approved by the Engineer.

Section 6.6.5, "Nonspecified NDT Other than Visual," of AWS D1.1, Section 6.6.5 of AWS D1.4 and Section 6.6.5 of AWS D1.5 shall not apply.

For any welding, the Engineer may direct the Contractor to perform NDT that is in addition to the visual inspection or NDT specified in the AWS or other specified welding codes, in the Standard Specifications, or in these special provisions. Additional NDT required by the Engineer will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications. Should any welding deficiencies be discovered by this additional NDT, all costs associated with the repair of the deficient area, including NDT of the weld and of the weld repair, and any delays caused by the repair, shall be at the Contractor's expense.

Repair work to correct welding deficiencies discovered by visual inspection or NDT, or by additional NDT directed or performed by the Engineer, and any associated delays or expenses caused to the Contractor by performing these repairs, shall be at the Contractor's expense.

The Engineer shall have the authority to verify the qualifications or certifications of any welder, QC Inspector, or NDT personnel to specified levels by retests or other means approved by the Engineer.

Continuous inspection shall be provided when any welding is being performed. Continuous inspection, as a minimum, shall include having a QC Inspector within such close proximity of all welders or welding operators so that inspections by the QC Inspector of each welding operation at each welding location shall not lapse for a period exceeding 30 minutes.

Inspection and approval of all joint preparations, assembly practices, joint fit-ups, welding techniques, and the performance of each welder, welding operator, and tack welder shall be documented by the QC Inspector on a daily basis for each day welding is performed. For each inspection, including fit-up, Welding Procedure Specification (WPS) verification, and final weld inspection, the QC Inspector shall confirm and document compliance with the requirements of the AWS or other specified code criteria and the requirements of these special provisions on all welded joints before welding, during welding, and after the completion of each weld.

When joint weld details that are not prequalified to the details of Section 3 of AWS D1.1 or to the details of Figure 2.4 or 2.5 of AWS D1.5 are proposed for use in the work, the joint details, their intended locations, and the proposed welding parameters and essential variables, will be approved by the Engineer. The Engineer shall have 2 weeks to complete the

review of the proposed joint detail locations. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. Upon approval of the proposed joint detail locations and qualification of the proposed joint details, welders and welding operators using these details shall perform a qualification test plate using the WPS variables and the joint detail to be used in production. The test plate shall have the maximum thickness to be used in production and a minimum length of 180 mm and minimum finish welded width 460 mm. The test plate shall be mechanically and radiographically tested. Mechanical and radiographic testing and acceptance criteria shall be as specified in the applicable AWS codes.

In addition to the requirements specified in the applicable code, the period of effectiveness for a welder's or welding operator's qualification shall be a maximum of 3 years for the same weld process, welding position, and weld type. If production welding will be performed without gas shielding, then qualification shall also be without gas shielding. Excluding welding of fracture critical members, a valid qualification at the beginning of work on a contract will be acceptable for the entire period of the contract, as long as the welder's or welding operator's work remains satisfactory.

The Engineer will witness all qualification tests for WPSs that were not previously approved by the Department. An approved independent third party will witness the qualification tests for welders or welding operators. The independent third party shall be a current CWI and shall not be employed by the contractor performing the welding. The Engineer shall have 2 weeks to review the qualifications and copy of the current certification of the independent third party. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. The Contractor shall notify the Engineer one week prior to performing any qualification tests. Witnessing of qualification tests by the Engineer shall not constitute approval of the intended joint locations, welding parameters, or essential variables.

In addition to the requirements of AWS D1.5 Section 5.12 or 5.13, welding procedures qualification, for work welded in conformance with that code, shall conform to the following requirements:

A. Unless considered prequalified, fillet welds, including reinforcing fillet welds, shall be qualified in each position. The fillet weld soundness test shall be conducted using the essential variables of the WPS as established by the Procedure Qualification Record (PQR.)
B. For qualification of joints that do not conform to Figures 2.4 and 2.5 of AWS D1.5, two WPS qualification tests are required. The tests conforming to AWS D1.5 Section 5.13 shall be conducted using both Figure 5.1 and Figure 5.3. The test conforming to Figure 5.3 shall be conducted using the same welding electrical parameters that were established for the test conducted conforming to Figure 5.1.
C. The travel speed, current, and voltage values that are used for tests conducted per AWS D1.5 Section 5.12 or 5.13 shall be consistent for each weld joint, and shall in no case vary by more than 10 percent for travel speed, 10 percent for current, and 7 percent for voltage.
D. For a WPS qualified in conformance with AWS D1.5 Section 5.13, the values to be used for calculating ranges for current and voltage shall be based on the average of all weld passes made in the test. Heat input shall be calculated using the average of current and voltage of all weld passes made in the test for a WPS qualified in conformance with Section 5.12 or 5.13.
E. To qualify for unlimited material thickness, two qualification tests are required for WPSs utilized for welding material thicknesses greater than 38 mm. One test shall be conducted using 20-mm thick test plates, and one test shall be conducted using test plates with a thickness between 38 mm and 50 mm. Two maximum heat input tests may be conducted for unlimited thickness qualification.
F. Macroetch tests are required for WPS qualification tests, and acceptance shall be per AWS D1.5 Section 5.19.3.
G. When a weld joint is to be made using a combination of qualified WPSs, each process shall be qualified separately.
H. When a weld joint is to be made using a combination of qualified and prequalified processes, the WPS shall reflect both processes and the limitations of essential variables, including weld bead placement, for both processes.
I. Prior to preparing mechanical test specimens, the PQR welds shall be inspected by visual and radiographic tests. Backing bar shall be 75 mm in width and shall remain in place during NDT testing. Results of the visual and radiographic tests shall comply with AWS D1.5 Section 6.26.2, excluding Section 6.26.2.2. Test plates that do not comply with both tests shall not be used.

**WELDING QUALITY CONTROL**

Welding quality control shall conform to the requirements in the AWS or other specified welding codes, the Standard Specifications, and these special provisions.

Unless otherwise specified, welding quality control shall apply when any work is welded in conformance with the provisions in Section 49, "Piling," Section 52, "Reinforcement," Section 55, "Steel Structures," or Section 75-1.035, "Bridge Joint Restrainer Units," of the Standard Specifications.

The welding of fracture critical members (FCMs) shall conform to the provisions specified in the Fracture Control Plan (FCP) and herein.

The Contractor shall designate in writing a welding Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for the quality of welding, including materials and workmanship, performed by the Contractor and subcontractors.

The QCM shall be the sole individual responsible to the Contractor for submitting, receiving, reviewing, and approving all correspondence, required submittals, and reports to and from the Engineer. The QCM shall be a registered professional engineer or shall be currently certified as a CWI or a CAWI.

The QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

Welding inspection personnel or NDT firms to be used in the work shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project, except for the following conditions:

A. The work is welded in conformance with AWS D1.5 and is performed at a permanent fabrication or manufacturing facility which is certified under the AISC Quality Certification Program, Category Cbr, Major Steel Bridges and Fracture Critical endorsement F.
B. The welding is performed on pipe pile material at a permanent pipe manufacturing facility authorized to apply the American Petroleum Institute (API) monogram for API 5L pipe.

For welding performed at such facilities, the inspection personnel or NDT firms may be employed or compensated by the facility performing the welding.

Prior to submitting the Welding Quality Control Plan (WQCP) required herein, a pre-welding meeting between the Engineer, the Contractor's QCM, and a representative from each entity performing welding or inspection for this project, shall be held to discuss the requirements for the WQCP.

The Contractor shall submit to the Engineer, in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications, 2 copies of a separate WQCP for each subcontractor or supplier for each item of work for which welding is to be performed.

The Contractor shall allow the Engineer 2 weeks to review the WQCP submittal after a complete plan has been received. No welding shall be performed until the WQCP is approved in writing by the Engineer. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

An amended WQCP or any addendum to the approved WQCP shall be submitted to, and approved in writing by the Engineer, for proposed revisions to the approved WQCP. An amended WQCP or addendum will be required for revisions to the WQCP, including but not limited to a revised WPS; additional welders; changes in NDT firms, QC, or NDT personnel or procedures; or updated systems for tracking and identifying welds. The Engineer shall have 1 week to complete the review of the amended WQCP or addendum. Work affected by the proposed revisions shall not be performed until the amended WQCP or addendum has been approved. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Information regarding the contents, format, and organization of a WQCP, is available at the Transportation Laboratory or the following website:

http://www.dot.ca.gov/hq/esc/Translab/smbresources.htm

After final approval of the WQCP, amended WQCP, or addendum, the Contractor shall submit 7 copies to the Engineer of the approved documents. A copy of the Engineer approved document shall be available at each location where welding is to be performed

A daily production log for welding shall be kept for each day that welding is performed. The log shall clearly indicate the locations of all welding. The log shall include the welders' names, amount of welding performed, any problems or

deficiencies discovered, and any testing or repair work performed, at each location. The daily report from each QC Inspector shall also be included in the log.

The following items shall be included in a Welding Report that is to be submitted to the Engineer within 10 days following the performance of any welding:

A. Reports of all visual weld inspections and NDT.
B. Radiographs and radiographic reports, and other required NDT reports.
C. Documentation that the Contractor has evaluated all radiographs and other nondestructive tests and corrected all rejectable deficiencies, and all repaired welds have been reexamined by the required NDT and found acceptable.
D. Daily production log.

The following information shall be clearly written on the outside of radiographic envelopes: name of the QCM, name of the nondestructive testing firm, name of the radiographer, date, contract number, complete part description, and all included weld numbers or a report number, as detailed in the WQCP. In addition, all innerleaves shall have clearly written on them the part description and all included weld numbers, as detailed in the WQCP.

Reports regarding NDT shall be signed by both the NDT technician and the person that performed the review, and then submitted directly to the QCM for review and signature prior to submittal to the Engineer. Corresponding names shall be clearly printed or typewritten next to all signatures.

The Engineer will review the Welding Report to determine if the Contractor is in conformance with the WQCP. Unless otherwise specified, the Engineer shall be allowed 10 days to review the report and respond in writing after a complete Welding Report has been received. Prior to receiving notification from the Engineer of the Contractor's conformance with the WQCP, the Contractor may encase in concrete or cover welds for which a Welding Report has been submitted. However, should the Contractor elect to encase or cover those welds prior to receiving notification from the Engineer, it is expressly understood that the Contractor shall not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase or cover welds pending notification by the Engineer, and in the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The QC Inspector shall provide reports to the QCM on a daily basis for each day that welding is performed.

Except for noncritical weld repairs, the Engineer shall be notified immediately in writing when welding problems, deficiencies, base metal repairs, or any other type of repairs not submitted in the WQCP are discovered, and also of the proposed repair procedures to correct them. The Contractor shall allow the Engineer one week to review these procedures. No remedial work shall begin until the repair procedures are approved in writing by the Engineer. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The QCM shall sign and furnish to the Engineer, a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each item of work for which welding was performed. The certificate shall state that all of the materials and workmanship incorporated in the work, and all required tests and inspections of this work, have been performed in conformance with the details shown on the plans, the Standard Specifications, and these special provisions.

## WELDING FOR OVERHEAD SIGN AND POLE STRUCTURES

The Contractor shall meet the following requirements for any work welded in conformance with the provisions in Section 56-1, “Overhead Sign Structures,” or Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications.

Welding inspection personnel or NDT firms to be used in the work shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project, except for when the welding is performed at a permanent fabrication or manufacturing facility which is certified under the AISC Quality Certification Program, Category Sbd, Conventional Steel Building Structures.

### Welding Qualification Audit

Contractors or subcontractors performing welding operations for overhead sign and pole structures shall not deliver materials to the project without having successfully completed the Department's "Manufacturing Qualification Audit for Overhead Sign and Pole Structures," hereinafter referred to as the audit, not more than one year prior to the delivery of the

materials. The Engineer will perform the audit. Copies of the audit form, and procedures for requesting and completing the audit, are available at the Transportation Laboratory or the following website:

http://www.dot.ca.gov/hq/esc/Translab/smbresources.htm

An audit that was approved by the Engineer no more than one year prior to the beginning of work on this contract will be acceptable for the entire period of this contract, provided the Engineer determines the audit was for the same type of work that is to be performed on this contract.

Successful completion of an audit shall not relieve the Contractor of the responsibility for furnishing materials or producing finished work of the quality specified in these special provisions and as shown on the plans.

**Welding Report**

A daily production log for welding shall be kept for each day that welding is performed. The log shall clearly indicate the locations of all welding. The log shall include the welders' names, amount of welding performed, any problems or deficiencies discovered, and any testing or repair work performed, at each location. The daily report from each QC Inspector shall also be included in the log.

A Welding Report shall be submitted to the Engineer 48 hours prior to furnishing a Certificate of Compliance for the material in conformance with the provisions in Section 6-1.07, “Certificates of Compliance,” of the Standard Specifications. The Welding Report shall include the following items:

A. Reports of all visual weld inspections and NDT.
B. Radiographs and radiographic reports, and other required NDT reports.
C. Documentation that the Contractor has evaluated all radiographs and other nondestructive tests and corrected all rejectable deficiencies, and all repaired welds have been reexamined by the required NDT and found acceptable.
D. Daily production log.

**PAYMENT**

Full compensation for conforming to the requirements of "Welding," and "Welding Quality Control," shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

## SECTION 9. DESCRIPTION OF BRIDGE WORK

Remove existing approach slabs and portion of existing PCC roadway, remove portions of concrete deck surfaces, place polyester concrete overlay on decks, and place new joint seals for the following bridges:

ALTA ROAD UNDERCROSSING (Br. No. 19-0111)
BLUE CANYON UNDERCROSSING (Br. No. 19-0115L/R)
TOWLE OVERHEAD (Br. No. 19-0040).

## SECTION 10. CONSTRUCTION DETAILS

## SECTION 10-1. GENERAL

### 10-1.00 CONSTRUCTION PROJECT INFORMATION SIGNS

Before any major physical construction work readily visible to highway users is started on this contract, the Contractor shall furnish and erect 2 Type 2 Construction Project Information signs at the locations designated by the Engineer.

The signs and overlays shall be of a type and material consistent with the estimated time of completion of the project and shall conform to the details shown on the plans.

The sign letters, border and the Department's construction logos shall conform to the colors (non-reflective) and details shown on the plans, and shall be on a white background (non-reflective). The colors blue and orange shall conform to PR Color Number 3 and Number 6, respectively, as specified in the Federal Highway Administration's Color Tolerance Chart.

The sign message to be used for fund types shall consist of the following, in the order shown:

| |
|---|
| FEDERAL HIGHWAY TRUST FUNDS |
| STATE HIGHWAY FUNDS |

The sign message to be used for type of work shall consist of the following:

The sign message to be used for the Year of Completion of Project Construction will be furnished by the Engineer. The Contractor shall furnish and install the "Year" sign overlay within 10 working days of notification of the year date to be used.

The letter sizes to be used shall be as shown on the plans. The information shown on the signs shall be limited to that shown on the plans.

The signs shall be kept clean and in good repair by the Contractor.

Upon completion of the work, the signs shall be removed and disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13 of the Standard Specifications.

Full compensation for furnishing, erecting, maintaining, and removing and disposing of the construction project information signs shall be considered as included in the contract lump sum price paid for construction area signs and no additional compensation will be allowed therefor.

**10-1.01 ORDER OF WORK**

Order of work shall conform to the provisions in Section 5-1.05, "Order of Work," of the Standard Specifications and these special provisions.

The first order of work shall be to obtain all required permits for PCC paving.

No PCC paving will be allowed until the 2005 construction season.

The Contractor shall inform the Traffic Office of Truck Services at (916)322-4957 and the Engineer, 15 days in advance of narrowing any lane and shoulder open to public traffic to less than 4.88 m wide or the vertical clearances are less than 5.5 m.

The Contractor shall replace any damaged count stations and the loops on Route 80 after paving operations are completed and before opening each direction to public traffic. The count stations will be determined to be working before acceptance by the Engineer.

Portland Cement Concrete (PCC) approach slabs shall be removed and replaced in the same work shift removed.

Structure approach slabs need to be complete in place prior to constructing adjoining concrete pavement slabs.

Work within the flowing water of Canyon Creek is confined to the period between June 1st and November 1st.

Work within Putt's Lake water is confined to the period between June 1st and October 1st.

Only one westbound Truck Escape Ramp shall be closed at any one time between April 15 and October 15. Both ramps shall be open and fully operational between October 15 and April 15. The signs for the closed truck escape ramp shall be covered as directed by the Engineer.

The Contractor shall obtain a lease from the property owner for the optional batch plant site within 45 days of contract approval.

The Contractor shall remove all state-furnished materials from the Whitmore Maintenance Station within 90 days of contract approval.

Temporary fence (environmentally sensitive area) shall be constructed as a first order of work.

A first order of work at the beginning of each construction season shall be to restripe the pavement delineation throughout the project limits except in areas where the work for that season is to begin. Full compensation for restriping shall be considered as included in the contract price paid per meter for the various items of work involved.

Stages 1-4 must be completed prior to beginning Stage 5, 6, Nyack Ramps.

The crossover shown on the Stage construction plans for Location 2 can be used April 15th to October 15th for one season only. Use of the crossover outside of this time frame will result in deductions of $5,000 per calendar day. Attention is directed to "Internal Time of Completion" in Section 4 of these special provisions.

The shoulder rumble strip (pcc, ground-in indentations) shall be completed at the end of the project so as not to interfere with the stage construction.

Attention is directed to "Shoulder Backing" of these special provisions regarding portable delineators and C31 signs.

Attention is directed to "Concrete Pavement" of these special provisions regarding the furnishing of tests and mix proportions for concrete to be used in concrete pavement, "Prepaving Conference", "Just-In-Time Training" and "Test Strip" when included in project.

No phase of the project may be started if that phase and its associated erosion control measures cannot be completed prior to the onset of a storm event if that construction phase may cause the introduction of sediments into the lake. 72-hour weather forecasts from the National Weather Service shall be consulted prior to start up of any phase of the project that may result in sediment runoff to the lake.

All work at the ends of existing culverts shall be confined to the culvert work area shown on the plans.

Temporary railing (Type K) and temporary crash cushions shall be secured in place prior to commencing work for which the temporary railing and crash cushions are required.

Attention is directed to "Environmentally Sensitive Area" and "Temporary Fence (Type ESA)" of these special provisions. Prior to beginning work, the boundaries of the Environmentally Sensitive Areas (ESA) shall be clearly delineated in the field. The boundaries shall be delineated by the installation of temporary fence (Type ESA).

Attention is directed to "Water Pollution Control" of these special provisions regarding the submittal and approval of the Storm Water Pollution Prevention Plan prior to performing work having potential to cause water pollution.

The first order of work shall be to place the order for the temporary lighting equipment . The Engineer shall be furnished a statement from the vendor that the order for the temporary lighting equipment has been received and accepted by the vendor.

The uppermost layer of new pavement shall not be placed until all underlying conduits have been installed.

The Contractor shall deliver to the Engineer a progress schedule before beginning work, and shall begin, without delay, installation of the Fiber Optic System that is outside of the limits of the roadway work. The relocation of the HAR antenna shall be completed by the end of September, of the first construction season.

Attention is directed to "Maintaining Traffic" and "Temporary Pavement Delineation" and "Portable Changeable Message Sign" of these special provisions and to the stage construction sheets of the plans.

Attention is directed to "Progress Schedule (Critical Path Method)" of these special provisions regarding the submittal of a general time-scaled logic diagram within 10 days after approval of the contract. The diagram shall be submitted prior to performing any work that may be affected by any proposed deviations to the construction staging of the project.

The work shall be performed in conformance with the stages of construction shown on the plans. Nonconflicting work in subsequent stages may proceed concurrently with work in preceding stages, provided satisfactory progress is maintained in the preceding stages of construction, if approved by the Engineer and at no additional cost to the State.

In each stage, after completion of the preceding stage, the first order of work shall be the removal of existing pavement delineation as directed by the Engineer. Pavement delineation removal shall be coordinated with new delineation so that lane lines are provided at all times on traveled ways open to public traffic.

Before obliterating any pavement delineation (traffic stripes, pavement markings, and pavement markers) that is to be replaced on the same alignment and location, as determined by the Engineer, the pavement delineation shall be referenced by the Contractor, with a sufficient number of control points to reestablish the alignment and location of the new pavement delineation. The references shall include the limits or changes in striping pattern, including one- and 2-way barrier lines, limit lines, crosswalks and other pavement markings. Full compensation for referencing existing pavement delineation shall be considered as included in the contract prices paid for new pavement delineation and no additional compensation will be allowed therefor.

Prior to applying asphalt emulsion (binder), the Contractor shall cover all manholes, valve and monument covers, grates, or other exposed facilities located within the area of application, using a plastic or oil resistant construction paper secured to the facility being covered by tape or adhesive. The covered facilities shall be referenced by the Contractor, with a sufficient number of control points to relocate the facilities after the paving has been placed. After completion of the paving operation, all covers shall be removed and disposed of in a manner satisfactory to the Engineer. Full compensation for covering manholes, valve and monument covers, grates, or other exposed facilities, referencing, and removing temporary cover shall be considered as included in the contract price paid per tonne and M3 for asphalt concrete (Type A) and concrete pavement, and no additional compensation will be allowed therefor.

At the end of each working day if a difference in excess of 0.046-meter exists between the elevation of the existing pavement and the elevation of excavations within 1.5 m left and 2.4 m right of the traveled way that is not separated from public traffic by temporary railing (Type K), material shall be placed and compacted against the vertical cuts adjacent to the traveled way. During excavation operations, native material may be used for this purpose; however, once placing of the structural section commences, structural material shall be used. The material shall be placed to the level of the elevation of the top of existing pavement and tapered at a slope of 1:4 (vertical:horizontal) or flatter to the bottom of the excavation. Full compensation for placing the material on a 1:4 slope, regardless of the number of times the material is required, and subsequent removing or reshaping of the material to the lines and grades shown on the plans shall be considered as included in the contract price paid for the materials involved and no additional compensation will be allowed therefor. No payment will be made for material placed in excess of that required for the structural section.

During construction where public traffic on the new concrete pavement is within 1.8 m of the vertical drop-off of said pavement, Class 2 aggregate base tapers shall be furnished, placed and compacted against the vertical face of the new concrete pavement. The aggregate base material shall be placed to the level of the top of the new concrete pavement and tapered on a slope of 1:4 (vertical:horizontal) to the top of the existing surface. All 1:4 aggregate base placed adjacent to paving as shown on the plans shall be completed between the end of shift on Monday and the end of shift on Thursday. Full compensation for furnishing and placing the material on a 1:4 slope, regardless of the number of times it is required, and subsequent removing or reshaping of the material shall be considered as included in the contract price paid per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

At those locations exposed to public traffic where guard railings or barriers are to be constructed, reconstructed, or removed and replaced, the Contractor shall schedule operations so that at the end of each working day there shall be no post

holes open nor shall there be any railing or barrier posts installed without the blocks and rail elements assembled and mounted thereon and terminal sections temporarily attached to exposed ends of guard rail elements.

Not less than 60 days prior to applying seeds and native grass straw, the Contractor shall furnish the Engineer a statement from the vendor that the order for the seed and native grass straw required for this contract has been received and accepted by the vendor. The statement from the vendor shall include the names and quantity of seed and native grass straw ordered and the anticipated date of delivery.

Attention is directed to "Willow Cuttings (Plant Group W)" of these special provisions regarding the time restraints specified for planting willow cuttings.

Several move-in/move-outs of erosion control equipment and other resources may be required. Attention is directed to the requirements specified under "Move-in/Move-out" elsewhere in these special provisions.

Attention is directed to the requirements specified under "Clearing and Grubbing" elsewhere in these special provisions regarding timing, material and stockpiling.

## 10-1.02 PROGRESS SCHEDULE (CRITICAL PATH METHOD)

The Contractor shall submit to the Engineer practicable critical path method (CPM) progress schedules in conformance with these special provisions. Whenever the term "schedule" is used in this section it shall mean CPM progress schedule.

Attention is directed to "Payments" of Section 5 of these special provisions.

The provisions in Section 8-1.04, "Progress Schedule," of the Standard Specifications shall not apply.

### DEFINITIONS

The following definitions shall apply to this section:

A. ACTIVITY.—A task, event or other project element on a schedule that contributes to completing the project. Activities have a description, start date, finish date, duration and one or more logic ties.
B. BASELINE SCHEDULE.—The initial schedule representing the Contractor's work plan on the first working day of the project.
C. CONTRACT COMPLETION DATE.—The current extended date for completion of the contract shown on the weekly statement of working days furnished by the Engineer in conformance with the provisions in Section 8-1.06, "Time of Completion," of the Standard Specifications.
D. CRITICAL PATH.—The longest continuous chain of activities for the project that has the least amount of total float of all chains. In general, a delay on the critical path will extend the scheduled completion date.
E. CRITICAL PATH METHOD (CPM).—A network based planning technique using activity durations and the relationships between activities to mathematically calculate a schedule for the entire project.
F. DATA DATE.—The day after the date through which a schedule is current. Everything occurring earlier than the data date is "as-built" and everything on or after the data date is "planned."
G. EARLY COMPLETION TIME.—The difference in time between an early scheduled completion date and the contract completion date.
H. FLOAT.—The difference between the earliest and latest allowable start or finish times for an activity.
I. MILESTONE.—An event activity that has zero duration and is typically used to represent the beginning or end of a certain stage of the project.
J. NARRATIVE REPORT.—A document submitted with each schedule that discusses topics related to project progress and scheduling.
K. NEAR CRITICAL PATH.—A chain of activities with total float exceeding that of the critical path but having no more than 10 working days of total float.
L. SCHEDULED COMPLETION DATE.—The planned project finish date shown on the current accepted schedule.
M. STATE OWNED FLOAT ACTIVITY.—The activity documenting time saved on the critical path by actions of the State. It is the last activity prior to the scheduled completion date.
N. TIME IMPACT ANALYSIS.—A schedule and narrative report developed specifically to demonstrate what effect a proposed change or delay has on the current scheduled completion date.
O. TOTAL FLOAT.—The amount of time that an activity or chain of activities can be delayed before extending the scheduled completion date.
P. UPDATE SCHEDULE.—A current schedule developed from the baseline or subsequent schedule through regular monthly review to incorporate as-built progress and any planned changes.

### GENERAL REQUIREMENTS

The Contractor shall submit to the Engineer baseline, monthly update and final update schedules, each consistent in all respects with the time and order of work requirements of the contract. The project work shall be executed in the sequence indicated on the current accepted schedule.

Schedules shall show the order in which the Contractor proposes to carry out the work with logical links between time-scaled work activities, and calculations made using the critical path method to determine the controlling operation or operations. The Contractor is responsible for assuring that all activity sequences are logical and that each schedule shows a coordinated plan for complete performance of the work.

The Contractor shall produce schedules using computer software and shall furnish compatible software for the Engineer's exclusive possession and use. The Contractor shall furnish network diagrams, narrative reports, tabular reports and schedule data as parts of each schedule submittal.

Schedules shall include, but not be limited to, activities that show the following that are applicable to the project:

A. Project characteristics, salient features, or interfaces, including those with outside entities, that could affect time of completion.
B. Project start date, scheduled completion date and other milestones.
C. Work performed by the Contractor, subcontractors and suppliers.
D. Submittal development, delivery, review and approval, including those from the Contractor, subcontractors and suppliers.
E. Procurement, delivery, installation and testing of materials, plants and equipment.
F. Testing and settlement periods.
G. Utility notification and relocation.
H. Erection and removal of falsework and shoring.
I. Major traffic stage switches.
J. Finishing roadway and final cleanup.
K. State-owned float as the predecessor activity to the scheduled completion date.

Schedules shall have not less than 50 and not more than 500 activities, unless otherwise authorized by the Engineer. The number of activities shall be sufficient to assure adequate planning of the project, to permit monitoring and evaluation of progress, and to do an analysis of time impacts.

Schedule activities shall include the following:

A. A clear and legible description.
B. Start and finish dates.
C. A duration of not less than one working day, except for event activities, and not more than 20 working days, unless otherwise authorized by the Engineer.
D. At least one predecessor and one successor activity, except for project start and finish milestones.
E. Required constraints.
F. Codes for responsibility, stage, work shifts, location and contract pay item numbers.

The Contractor may show early completion time on any schedule provided that the requirements of the contract are met. Early completion time shall be considered a resource for the exclusive use of the Contractor. The Contractor may increase early completion time by improving production, reallocating resources to be more efficient, performing sequential activities concurrently or by completing activities earlier than planned. The Contractor may also submit for approval a cost reduction incentive proposal in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications that will reduce time of construction.

The Contractor may show a scheduled completion date that is later than the contract completion date on an update schedule, after the baseline schedule is accepted. The Contractor shall provide an explanation for a late scheduled completion date in the narrative report that is included with the schedule.

State-owned float shall be considered a resource for the exclusive use of the State. The Engineer may accrue State-owned float by the early completion of review of any type of required submittal when it saves time on the critical path. The Contractor shall prepare a time impact analysis, when requested by the Engineer, to determine the effect of the action in conformance with the provisions in "Time Impact Analysis" specified herein. The Engineer will document State-owned float by directing the Contractor to update the State-owned float activity on the next update schedule. The Contractor shall include a log of the action on the State-owned float activity and include a discussion of the action in the narrative report. The Engineer may use State-owned float to mitigate past, present or future State delays by offsetting potential time extensions for contract change orders.

The Engineer may adjust contract working days for ordered changes that affect the scheduled completion date, in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications. The Contractor shall prepare a time impact analysis to determine the effect of the change in conformance with the provisions in "Time Impact Analysis" specified herein, and shall include the impacts acceptable to the Engineer in the next update schedule. Changes that do not affect the controlling operation on the critical path will not be considered as the basis for a time adjustment. Changes that do affect the controlling operation on the critical path will be considered by the Engineer in decreasing time or granting an

extension of time for completion of the contract. Time extensions will only be granted if the total float is absorbed and the scheduled completion date is delayed one or more working days because of the ordered change.

The Engineer's review and acceptance of schedules shall not waive any contract requirements and shall not relieve the Contractor of any obligation thereunder or responsibility for submitting complete and accurate information. Schedules that are rejected shall be corrected by the Contractor and resubmitted to the Engineer within 5 working days of notification by the Engineer, at which time a new review period of one week will begin.

Errors or omissions on schedules shall not relieve the Contractor from finishing all work within the time limit specified for completion of the contract. If, after a schedule has been accepted by the Engineer, either the Contractor or the Engineer discover that any aspect of the schedule has an error or omission, it shall be corrected by the Contractor on the next update schedule.

**COMPUTER SOFTWARE**

The Contractor shall submit to the Engineer for approval a description of proposed software before delivery. The software shall be the current version of Primavera SureTrak Project

Manager for Windows, or equal, and shall be compatible with Windows NT (version 4.0) operating system. If software other than SureTrak is proposed, it shall be capable of generating files that can be imported into SureTrak.

The Contractor shall furnish schedule software and all original software instruction manuals to the Engineer with submittal of the baseline schedule. The furnished schedule software shall become the property of the State and will not be returned to the Contractor. The State will compensate the Contractor in conformance with the provisions in Section 4-1.03, "Extra Work," of the Standard Specifications for replacement of software which is damaged, lost or stolen after delivery to the Engineer.

The Contractor shall instruct the Engineer in the use of the software and provide software support until the contract is accepted. Within 20 working days of contract approval, the Contractor shall provide a commercial 8-hour training session for 2 Department employees in the use of the software at a location acceptable to the Engineer. It is recommended that the Contractor also send at least 2 employees to the same training session to facilitate development of similar knowledge and skills in the use of the software. If software other than SureTrak is furnished, then the training session shall be a total of 16-hours for each Department employee.

**NETWORK DIAGRAMS, REPORTS AND DATA**

The Contractor shall include the following for each schedule submittal:

A. Two sets of originally plotted, time-scaled network diagrams.
B. Two copies of a narrative report.
C. Two copies of each of 3 sorts of the CPM software-generated tabular reports.
D. One 1.44-megabyte 90 mm (3.5 inch) floppy diskette containing the schedule data.

The time-scaled network diagrams shall conform to the following:

A. Show a continuous flow of information from left to right.
B. Be based on early start and early finish dates of activities.
C. Clearly show the primary paths of criticality using graphical presentation.
D. Be prepared on E-size sheets, 860 mm x 1120 mm (34 inch x 44 inch).
E. Include a title block and a timeline on each page.

The narrative report shall be organized in the following sequence with all applicable documents included:

A. Contractor's transmittal letter.
B. Work completed during the period.
C. Identification of unusual conditions or restrictions regarding labor, equipment or material; including multiple shifts, 6-day work weeks, specified overtime or work at times other than regular days or hours.
D. Description of the current critical path.

E. Changes to the critical path and scheduled completion date since the last schedule submittal.
F. Description of problem areas.
G. Current and anticipated delays:

    1. Cause of delay.
    2. Impact of delay on other activities, milestones and completion dates.

3. Corrective action and schedule adjustments to correct the delay.

H. Pending items and status thereof:

1. Permits
2. Change orders
3. Time adjustments
4. Non-compliance notices

I. Reasons for an early or late scheduled completion date in comparison to the contract completion date.

Tabular reports shall be software-generated and provide information for each activity included in the project schedule. Three different reports shall be sorted by (1) activity number, (2) early start and (3) total float. Tabular reports shall be 215 mm x 280 mm (8 1/2 inch x 11 inch) in size and shall include, as a minimum, the following applicable information:

A. Data date
B. Activity number and description
C. Predecessor and successor activity numbers and descriptions
D. Activity codes
E. Scheduled, or actual and remaining durations (work days) for each activity
F. Earliest start (calendar) date
G. Earliest finish (calendar) date
H. Actual start (calendar) date
I. Actual finish (calendar) date
J. Latest start (calendar) date
K. Latest finish (calendar) date
L. Free float (work days)
M. Total float (work days)
N. Percentage of activity complete and remaining duration for incomplete activities.
O. Lags
P. Required constraints

Schedule submittals will only be considered complete when all documents and data have been provided as described above.

**PRE-CONSTRUCTION SCHEDULING CONFERENCE**

The Contractor shall schedule and the Engineer will conduct a pre-construction scheduling conference with the Contractor's project manager and construction scheduler within 10 working days of the approval of the contract. At this meeting the Engineer will review the requirements of this section of the special provisions with the Contractor.

The Contractor shall submit a general time-scaled logic diagram displaying the major activities and sequence of planned operations and shall be prepared to discuss the proposed work plan and schedule methodology that comply with the requirements of these special provisions. If the Contractor proposes deviations to the construction staging of the project, then the general time-scaled logic diagram shall also display the deviations and resulting time impacts. The Contractor shall be prepared to discuss the proposal.

At this meeting, the Contractor shall additionally submit the alphanumeric coding structure and the activity identification system for labeling the work activities. To easily identify relationships, each activity description shall indicate its associated scope or location of work by including such terms as quantity of material, type of work, bridge number, station to station location, side of highway (such as left, right, northbound, southbound), lane number, shoulder, ramp name, ramp line descriptor or mainline.

The Engineer will review the logic diagram, coding structure, and activity identification system, and provide any required baseline schedule changes to the Contractor for implementation.

**BASELINE SCHEDULE**

Beginning the week following the pre-construction scheduling conference, the Contractor shall meet with the Engineer weekly until the baseline schedule is accepted by the Engineer to discuss schedule development and resolve schedule issues.

The Contractor shall submit to the Engineer a baseline schedule within 20 working days of approval of the contract. The Contractor shall allow 3 weeks for the Engineer's review after the baseline schedule and all support data are submitted. In

addition, the baseline schedule submittal will not be considered complete until the computer software is delivered and installed for use in review of the schedule.

The baseline schedule shall include the entire scope of work and how the Contractor plans to complete all work contemplated. The baseline schedule shall show the activities that define the critical path. Multiple critical paths and near-critical paths shall be kept to a minimum. A total of not more than 50 percent of the baseline schedule activities shall be critical or near critical, unless otherwise authorized by the Engineer.

The baseline schedule shall not extend beyond the number of working days specified in these special provisions. The baseline schedule shall have a data date of the first working day of the contract and not include any completed work to date. The baseline schedule shall not attribute negative float or negative lag to any activity.

If the Contractor submits an early completion baseline schedule that shows contract completion in less than 85 percent of the working days specified in these special provisions, the baseline schedule shall be supplemented with resource allocations for every task activity and include time-scaled resource histograms. The resource allocations shall be shown to a level of detail that facilitates report generation based on labor crafts and equipment classes for the Contractor and subcontractors. The Contractor shall use average composite crews to display the labor loading of on-site construction activities. The Contractor shall optimize and level labor to reflect a reasonable plan for accomplishing the work of the contract and to assure that resources are not duplicated in concurrent activities. The time-scaled resource histograms shall show labor crafts and equipment classes to be utilized on the contract. The Engineer may review the baseline schedule activity resource allocations using Means Productivity Standards or equivalent to determine if the schedule is practicable.

**UPDATE SCHEDULE**

The Contractor shall submit an update schedule and meet with the Engineer to review contract progress, on or before the first day of each month, beginning one month after the baseline schedule is accepted. The Contractor shall allow 2 weeks for the Engineer's review after the update schedule and all support data are submitted, except that the review period shall not start until the previous month's required schedule is accepted. Update schedules that are not accepted or rejected within the review period will be considered accepted by the Engineer.

The update schedule shall have a data date of the twenty-first day of the month or other date established by the Engineer. The update schedule shall show the status of work actually completed to date and the work yet to be performed as planned. Actual activity start dates, percent complete and finish dates shall be shown as applicable. Durations for work that has been completed shall be shown on the update schedule as the work actually occurred, including Engineer submittal review and Contractor resubmittal times.

The Contractor may include modifications such as adding or deleting activities or changing activity constraints, durations or logic that do not (1) alter the critical path(s) or near critical path(s) or (2) extend the scheduled completion date compared to that shown on the current accepted schedule. The Contractor shall state in writing the reasons for any changes to planned work. If any proposed changes in planned work will result in (1) or (2) above, then the Contractor shall submit a time impact analysis as described herein.

**TIME IMPACT ANALYSIS**

The Contractor shall submit a written time impact analysis (TIA) to the Engineer with each request for adjustment of contract time, or when the Contractor or Engineer consider that an approved or anticipated change may impact the critical path or contract progress.

The TIA shall illustrate the impacts of each change or delay on the current scheduled completion date or internal milestone, as appropriate. The analysis shall use the accepted schedule that has a data date closest to and prior to the event. If the Engineer determines that the accepted schedule used does not appropriately represent the conditions prior to the event, the accepted schedule shall be updated to the day before the event being analyzed. The TIA shall include an impact schedule developed from incorporating the event into the accepted schedule by adding or deleting activities, or by changing durations or logic of existing activities. If the impact schedule shows that incorporating the event modifies the critical path and scheduled completion date of the accepted schedule, the difference between scheduled completion dates of the two schedules shall be equal to the adjustment of contract time. The Engineer may construct and utilize an appropriate project schedule or other recognized method to determine adjustments in contract time until the Contractor provides the TIA.

The Contractor shall submit a TIA in duplicate within 15 working days of receiving a written request for a TIA from the Engineer. The Contractor shall allow the Engineer 2 weeks after receipt to approve or reject the submitted TIA. All approved TIA schedule changes shall be shown on the next update schedule.

If a TIA submitted by the Contractor is rejected by the Engineer, the Contractor shall meet with the Engineer to discuss and resolve issues related to the TIA. If agreement is not reached, the Contractor will be allowed 15 days from the meeting with the Engineer to give notice in conformance with the provisions in Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications. The Contractor shall only show actual as-built work, not unapproved changes related to the TIA, in subsequent update schedules. If agreement is reached at a later date, approved TIA schedule changes shall be shown on the next update schedule. The Engineer will withhold remaining payment on the schedule contract item if a TIA is requested by

the Engineer and not submitted by the Contractor within 15 working days. The schedule item payment will resume on the next estimate after the requested TIA is submitted. No other contract payment will be retained regarding TIA submittals.

**FINAL UPDATE SCHEDULE**

The Contractor shall submit a final update, as-built schedule with actual start and finish dates for the activities, within 30 days after completion of contract work. The Contractor shall provide a written certificate with this submittal signed by the Contractor's project manager and an officer of the company stating, "To my knowledge and belief, the enclosed final update schedule reflects the actual start and finish dates of the actual activities for the project contained herein." An officer of the company may delegate in writing the authority to sign the certificate to a responsible manager.

**RETENTION**

The Department will retain an amount equal to 25 percent of the estimated value of the work performed during each estimate period in which the Contractor fails to submit an acceptable schedule conforming to the requirements of these special provisions as determined by the Engineer. Schedule retentions will be released for payment on the next monthly estimate for partial payment following the date that acceptable schedules are submitted to the Engineer or as otherwise specified herein. Upon completion of all contract work and submittal of the final update schedule and certification, any remaining retained funds associated with this section, "Progress Schedule (Critical Path Method)", will be released for payment. Retentions held in conformance with this section shall be in addition to other retentions provided for in the contract. No interest will be due the Contractor on retention amounts.

**PAYMENT**

Progress schedule (critical path method) will be paid for at a lump sum price. The contract lump sum price paid for progress schedule (critical path method) shall include full compensation for furnishing all labor, material, tools, equipment, and incidentals, including computer software, and for doing all the work involved in preparing, furnishing, and updating schedules, and instructing and assisting the Engineer in the use of computer software, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Payments for the progress schedule (critical path method) contract item will be made progressively as follows:

A. A total of 25 percent of the item amount or a total of 25 percent of the amount listed for progress schedule (critical path method) in "Payments" of Section 5 of these special provisions, whichever is less, will be paid upon achieving all of the following:

    1. Completion of 5 percent of all contract item work.
    2. Acceptance of all schedules and TIAs required to the time when 5 percent of all contract item work is complete.
    3. Delivery of schedule software to the Engineer.
    4. Completion of required schedule software training.

B. A total of 50 percent of the item amount or a total of 50 percent of the amount listed for progress schedule (critical path method) in "Payments" of Section 5 of these special provisions, whichever is less, will be paid upon completion of 25 percent of all contract item work and acceptance of all schedules and TIAs required to the time when 25 percent of all contract item work is complete.
C. A total of 75 percent of the item amount or a total of 75 percent of the amount listed for progress schedule (critical path method) in "Payments" of Section 5 of these special provisions, whichever is less, will be paid upon completion of 50 percent of all contract item work and acceptance of all schedules and TIAs required to the time when 50 percent of all contract item work is complete.
D. A total of 100 percent of the item amount or a total of 100 percent of the amount listed for progress schedule (critical path method) in "Payments" of Section 5 of these special provisions, whichever is less, will be paid upon completion of all contract item work, acceptance of all schedules and TIAs required to the time when all contract item work is complete, and submittal of the certified final update schedule.

If the Contractor fails to complete any of the work or provide any of the schedules required by this section, the Engineer shall make an adjustment in compensation in conformance with the provisions in Section 4-1.03C, "Changes in Character of Work," of the Standard Specifications for the work not performed. Adjustments in compensation for schedules will not be made for any increased or decreased work ordered by the Engineer in furnishing schedules.

## 10-1.03 TIME-RELATED OVERHEAD

The Contractor will be compensated for time-related overhead in conformance with these special provisions.

Attention is directed to "Beginning of Work, Time of Completion and Liquidated Damages," "Force Account Payment," and "Progress Schedule (Critical Path Method)" of these special provisions.

The provisions in Section 9-1.08, "Adjustment of Overhead Costs," of the Standard Specifications shall not apply.

Time-related overhead shall consist of those overhead costs, including field and home office overhead, that are in proportion to the time required to complete the work. Time-related overhead shall not include costs that are not related to time, including but not limited to, mobilization, licenses, permits, and other charges incurred only once during the contract.

Field office overhead expenses include time-related costs associated with the normal and recurring operations of the construction project, and shall not include costs directly attributable to the work of the contract. Time-related costs of field office overhead include, but are not limited to, salaries, benefits, and equipment costs of project managers, general superintendents, field office managers and other field office staff assigned to the project, and rent, utilities, maintenance, security, supplies, and equipment costs of the project field office.

Home office overhead or general and administrative expenses refer to the fixed costs of operating the Contractor's business. These costs include, but are not limited to, general administration, insurance, personnel and subcontract administration, purchasing, accounting, and project engineering and estimating. Home office overhead costs shall exclude expenses specifically related to other contracts or other businesses of the Contractor, equipment coordination, material deliveries, and consultant and legal fees.

The quantity of time-related overhead associated with a reduction in contract time for cost reduction incentive proposals accepted and executed in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications shall be considered a construction cost attributable to the resultant estimated net savings due to the cost reduction incentive.

If the final increased quantity of time-related overhead exceeds 149 percent of the number of working days specified in the Engineer's Estimate, the Contractor shall, within 60 days of the Engineer's written request, submit to the Engineer an audit examination and report performed by an independent Certified Public Accountant of the Contractor's actual overhead costs. The independent Certified Public Accountant's audit examination shall be performed in conformance with the requirements of the American Institute of Certified Public Accountants Attestation Standards. The audit examination and report shall depict the Contractor's project and company-wide financial records and shall specify the actual overall average daily rates for both field and home office overhead for the entire duration of the project, and whether the costs have been properly allocated. The rates of field and home office overhead shall exclude unallowable costs as determined in the Federal Acquisition Regulations, 48 CFR, Chapter 1, Part 31. The audit examination and report shall determine if the rates of field office overhead and home office overhead are:

A. Allowable in conformance with the requirements of the Federal Acquisition Regulations, 48 CFR, Chapter 1, Part 31.
B. Adequately supported by reliable documentation.
C. Related solely to the project under examination.

Within 20 days of the Engineer's written request, the Contractor shall make its financial records available for audit by the State for the purpose of verifying the actual rate of time-related overhead specified in the audit submitted by the Contractor. The actual rate of time-related overhead specified in the audit, submitted by the Contractor, will be subject to approval by the Engineer.

If the Engineer requests the independent Certified Public Accountant audit, or if it is requested in writing by the Contractor, the contract item payment rate for time-related overhead, in excess of 149 percent of the number of working days specified in the Engineer's Estimate, will be adjusted to reflect the actual rate.

The cost of performing an independent Certified Public Accountant audit examination and submitting the report, requested by the Engineer, will be borne equally by the State and the Contractor. The division of the cost will be made by determining the cost of providing an audit examination and report in conformance with the provisions of Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, and paying to the Contractor one-half of that cost. The cost of performing an audit examination and submitting the independent Certified Public Accountant audit report for overhead claims other than for the purpose of verifying the actual rate of time-related overhead shall be entirely borne by the Contractor.

The quantity of time-related overhead to be paid will be measured by the working day, designated in the Engineer's Estimate as WDAY. The estimated number of working days is the number of working days, excluding days for plant establishment, as specified in "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions. The quantity of time-related overhead will be increased or decreased only as a result of suspensions or adjustments of contract time which revise the current contract completion date, and which satisfy any of the following criteria:

A. Suspensions of work ordered in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications, except:

1. Suspensions ordered due to weather conditions being unfavorable for the suitable prosecution of the controlling operation or operations.
2. Suspensions ordered due to the failure on the part of the Contractor to carry out orders given, or to perform the provisions of the contract.
3. Other suspensions mutually agreed upon between the Engineer and the Contractor.

B. Extensions of contract time granted by the State in conformance with the provisions in the fifth paragraph in Section 8-1.07, "Liquidated Damages," of the Standard Specifications and set forth in approved contract change orders, in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications.
C. Reductions in contract time set forth in approved contract change orders, in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications.

In the event an early completion progress schedule, as defined in "Progress Schedule (Critical Path Method)" of these special provisions, is submitted by the Contractor and approved by the Engineer, the amount of time-related overhead eligible for payment will be based on the total number of working days for the project, in conformance with the provisions in "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions, rather than the Contractor's early completion progress schedule.

The contract price paid per working day for time-related overhead shall include full compensation for time-related overhead, including the Contractor's share of costs of the independent Certified Public Accountant audit of overhead costs requested by the Engineer, as specified in these special provisions, and as directed by the Engineer.

The provisions in Sections 4-1.03B, "Increased or Decreased Quantities," and 4-1.03C, "Changes in Character of the Work," of the Standard Specifications shall not apply to the contract item of time-related overhead.

Full compensation for additional overhead costs incurred during days of inclement weather when the contract work is extended into additional construction seasons due to delays caused by the State shall be considered as included in the time-related overhead paid during the contract working days, and no additional compensation will be allowed therefor.

Full compensation for additional overhead costs involved in performing additional contract item work that is not a controlling operation shall be considered as included in the contract items of work involved and no additional compensation will be allowed therefor.

Full compensation for overhead, other than time-related overhead measured and paid for as specified above, and other than overhead costs included in the markups specified in "Force Account Payment" of these special provisions, shall be considered as included in the various items of work and no additional compensation will be allowed therefor.

Overhead costs incurred by joint venture partners, subcontractors, suppliers or other parties associated with the Contractor shall be considered as included in the various overhead costs for which the Contractor is compensated, and no additional compensation will be allowed therefor.

For the purpose of making partial payments pursuant to the provisions in Section 9-1.06, "Partial Payments," of the Standard Specifications, the number of working days to be paid for time-related overhead in each monthly partial payment will be the number of working days, specified above to be measured for payment that occurred during that monthly estimate period, including compensable suspensions and right of way delays. Working days granted by contract change order due to extra work or changes in character of the work, will be paid for upon completion of the contract. The amount earned per working day for time-related overhead shall be the lesser of the following amounts:

A) The contract item price.
B) Twenty percent of the original total contract amount divided by the number of working days specified in "Beginning of Work, Time of Completion and Liquidated Damages," of these special provisions.

After acceptance of the contract in conformance with the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, the amount of the total contract item price for time-related overhead not yet paid, will be included for payment in the first estimate made after acceptance of the contract in conformance with the provisions in Section 9-1.07, "Payment After Acceptance," of the Standard Specifications.

## 10-1.04 TEMPORARY FENCE (TYPE ESA)

Temporary fence (Type ESA) shall be furnished, installed, maintained, and later removed in conformance with the details shown on the plans, as specified in these special provisions and as directed by the Engineer.

### MATERIALS

Used materials may be installed provided the used materials conform to these special provisions. Materials for temporary fence (Type ESA) shall conform to the following:

**High Visibility Fabric**

High visibility fabric shall be machine produced mesh manufactured from polypropylene or polyethylene and shall be orange in color. High visibility fabric may be virgin or recycled polymer materials, or a combination of virgin and recycled polymer materials. No virgin or recycled polymer materials shall contain biodegradable filler materials that degrade the physical or chemical characteristics of the finished fabric. High visibility fabric shall be fully stabilized ultraviolet (UV) resistant. High visibility fabric shall be a minimum of 1.22 m in width with a maximum mesh opening of 50 mm x 50 mm. High visibility fabric shall be furnished in one continuous width and shall not be spliced to conform to the specified width dimension.

**Posts**

Posts for temporary fence (Type ESA) shall be of one of the following:

A. Posts shall be fir or pine, a minimum of 38 mm x 50 mm in size, and a minimum 1.6 m in length. One end of the post shall be pointed. Posts shall not be treated with wood preservative.
B. Posts shall be steel and have a "U", "T", "L" or other cross sectional shape that resists failure by lateral loads. Steel posts shall have a minimum mass per length of 1.1 kg/m and a minimum length of 1.6 m. One end of the steel post shall be pointed and the other end shall have a high visibility colored top.

**Fasteners**

Fasteners for attaching high visibility fabric to the posts shall be as follows:

A. The high visibility fabric shall be attached to wooden posts with commercial quality nails or staples, or as recommended by the manufacturer or supplier, as determined by the Engineer.
B. Tie wire or locking plastic fasteners shall be used for attaching the high visibility fabric to steel posts. Maximum spacing of tie wire or fasteners shall be 600 mm along the length of the steel post.

**INSTALLATION**

Temporary fence (Type ESA) shall be installed as follows:

A. Posts shall be driven into the soil a minimum of 400 mm. Posts shall be spaced at 2-m centers minimum and shall at all times support the fence in a vertical, upright position.
B. Temporary fence (Type ESA) shall be constructed prior to any clearing and grubbing work and a sufficient distance from protected plants to enclose all of the foliage canopy and not encroach upon visible roots of the plants.
C. Temporary fence (Type ESA) shall be located to be unobstructed from view, as determined by the Engineer.

When no longer required for the work, as determined by the Engineer, temporary fence (Type ESA) shall be removed. Removed temporary fence (Type ESA) shall become the property of the Contractor and shall be removed from the site of the work, except when reused as provided in this section.

Holes caused by the removal of temporary fence (Type ESA) shall be backfilled in conformance with the provisions in the second paragraph of Section 15-1.02, "Preservation of Property," of the Standard Specifications.

**MAINTENANCE**

Temporary fence (Type ESA) that is damaged during the progress of the work shall be repaired or replaced by the Contractor the same day the damage occurred.

**MEASUREMENT AND PAYMENT**

Temporary fence (Type ESA) shall be measured in the manner specified for permanent fences in Section 80, "Fences," of the Standard Specifications.

The contract price paid per meter for temporary fence (Type ESA) shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in constructing temporary fence (Type ESA) complete in place, including maintenance and removal and disposal of materials, as specified in these special provisions, and as directed by the Engineer.

## 10-1.05 WATER POLLUTION CONTROL

Water pollution control work shall conform to the provisions in Section 7-1.01G, "Water Pollution," of the Standard Specifications and these special provisions.

This project lies within the boundaries of the Central Valley Regional Water Quality Control Board (RWQCB).

The State Water Resources Control Board (SWRCB) has issued a permit to the Department which governs storm water and non-storm water discharges from its properties, facilities and activities. The Department's Permit is entitled: "Order No. 99-06-DWQ, NPDES No. CAS000003, National Pollutant Discharge Elimination System (NPDES) Permit, Storm Water Permit and Waste Discharge Requirements (WDRs) for the State of California, Department of Transportation Properties, Facilities, and Activities." Copies of the Department's Permit are available for review from the SWRCB, Storm Water Permit Unit, 1001 "I" Street, P.O. Box 1977, Sacramento, California 95812-1977, Telephone: (916) 341-5254, and may also be obtained from the SWRCB Internet website at: http://www.swrcb.ca.gov/stormwtr/caltrans.html.

The Department's Permit references and incorporates by reference the current Statewide General Permit issued by the SWRCB entitled "Order No. 99-08-DWQ, National Pollutant Discharge Elimination System (NPDES) General Permit No. CAS000002, Waste Discharge Requirements (WDRs) for Discharges of Storm Water Associated with Construction Activity," which regulates discharges of storm water and non-storm water from construction activities disturbing 0.4-hectare or more of soil in a common plan of development. Sampling and analysis requirements as specified in SWRCB Resolution No. 2001-46 are added to the Statewide General Permit. Copies of the Statewide General Permit and modifications thereto are available for review from the SWRCB, Storm Water Permit Unit, 1001 "I" Street, P.O. Box 1977, Sacramento, California 95812-1977, Telephone: (916) 341-5254 and may also be obtained from the SWRCB Internet website at: http://www.swrcb.ca.gov/stormwtr/construction.html.

The NPDES permit that regulate this project, as referenced above, are hereafter collectively referred to as the "Permits."

This project shall conform to the Permits and modifications thereto. The Contractor shall maintain copies of the Permits at the project site and shall make the Permits available during construction.

The Permits require the preparation of a Storm Water Pollution Prevention Plan (SWPPP). The SWPPP shall be prepared in conformance with the requirements of the Permits, the Department's "Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Program (WPCP) Preparation Manual," and the Department's "Construction Site Best Management Practices (BMPs) Manual," including addenda to those permits and manuals issued up to and including the date of advertisement of the project. These manuals are hereinafter referred to, respectively, as the "Preparation Manual" and the "Construction Site BMPs Manual," and collectively, as the "Manuals." Copies of the Manuals may be obtained from the Department of Transportation, Material Operations Branch, Publication Distribution Unit, 1900 Royal Oaks Drive, Sacramento, California 95815, Telephone: (916) 445-3520, and may also be obtained from the Department's Internet website at: http://www.dot.ca.gov/hq/construc/stormwater/stormwater1.htm.

The Contractor shall know and fully comply with applicable provisions of the Permits and all modifications thereto, the Manuals, and Federal, State, and local regulations and requirements that govern the Contractor's operations and storm water and non-storm water discharges from both the project site and areas of disturbance outside the project limits during construction. Attention is directed to Sections 7-1.01, "Laws to be Observed," and 7-1.12, "Indemnification and Insurance," of the Standard Specifications.

The Permits shall apply to storm water and certain permitted non-storm water discharges from areas outside the project site which are directly related to construction activities for this contract including, but not limited to, asphalt batch plants, material borrow areas, concrete plants, staging areas, storage yards and access roads. The Contractor shall comply with the Permits and the Manuals for those areas and shall implement, inspect and maintain the required water pollution control practices. The Engineer shall be allowed full access to these areas during construction to assure Contractor's proper implementation of water pollution control practices. Installing, inspecting and maintaining water pollution control practices on areas outside the highway right of way not specifically arranged and provided for by the Department for the execution of this contract, will not be paid for.

The Contractor shall be responsible for penalties assessed or levied on the Contractor or the Department as a result of the Contractor's failure to comply with the provisions in this section "Water Pollution Control" including, but not limited to, compliance with the applicable provisions of the Permits, the Manuals, and Federal, State and local regulations and requirements as set forth therein.

Penalties as used in this section, "Water Pollution Control," shall include fines, penalties and damages, whether proposed, assessed, or levied against the Department or the Contractor, including those levied under the Federal Clean Water Act and the State Porter-Cologne Water Quality Control Act, by governmental agencies or as a result of citizen suits. Penalties shall also include payments made or costs incurred in settlement for alleged violations of the Permits, the Manuals, or applicable laws, regulations, or requirements. Costs incurred could include sums spent instead of penalties, in mitigation or to remediate or correct violations.

**RETENTION OF FUNDS**

Notwithstanding any other remedies authorized by law, the Department may retain money due the Contractor under the contract, in an amount determined by the Department, up to and including the entire amount of Penalties proposed, assessed, or levied as a result of the Contractor's violation of the Permits, the Manuals, or Federal or State law, regulations or requirements. Funds may be retained by the Department until final disposition has been made as to the Penalties. The

Contractor shall remain liable for the full amount of Penalties until such time as they are finally resolved with the entity seeking the Penalties.

Retention of funds for failure to conform to the provisions in this section, "Water Pollution Control," shall be in addition to the other retention amounts required by the contract. The amounts retained for the Contractor's failure to conform to provisions in this section will be released for payment on the next monthly estimate for partial payment following the date when an approved SWPPP has been implemented and maintained, and when water pollution has been adequately controlled, as determined by the Engineer.

When a regulatory agency identifies a failure to comply with the Permits and modifications thereto, the Manuals, or other Federal, State or local requirements, the Department may retain money due the Contractor, subject to the following:

A. The Department will give the Contractor 30 days notice of the Department's intention to retain funds from partial payments which may become due to the Contractor prior to acceptance of the contract. Retention of funds from payments made after acceptance of the contract may be made without prior notice to the Contractor.
B. No retention of additional amounts out of partial payments will be made if the amount to be retained does not exceed the amount being withheld from partial payments pursuant to Section 9-1.06, "Partial Payments," of the Standard Specifications.
C. If the Department has retained funds, and it is subsequently determined that the State is not subject to the entire amount of the Costs and Liabilities assessed or proposed in connection with the matter for which the retention was made, the Department shall be liable for interest on the amount retained for the period of the retention. The interest rate payable shall be 6 percent per annum.

During the first estimate period that the Contractor fails to conform to the provisions in this section, "Water Pollution Control," the Department will retain an amount equal to 25 percent of the estimated value of the contract work performed.

The Contractor shall notify the Engineer immediately upon request from the regulatory agencies to enter, inspect, sample, monitor, or otherwise access the project site or the Contractor's records pertaining to water pollution control work. The Contractor and the Department shall provide copies of correspondence, notices of violation, enforcement actions or proposed fines by regulatory agencies to the requesting regulatory agency.

**STORM WATER POLLUTION PREVENTION PLAN PREPARATION, APPROVAL AND AMENDMENTS**

As part of the water pollution control work, a Storm Water Pollution Prevention Plan (SWPPP) is required for this contract. The SWPPP shall conform to the provisions in Section 7-1.01G, "Water Pollution," of the Standard Specifications, the requirements in the Manuals, the requirements of the Permits, and these special provisions. Upon the Engineer's approval of the SWPPP, the SWPPP shall be considered to fulfill the provisions in Section 7-1.01G, "Water Pollution," of the Standard Specifications for development and submittal of a Water Pollution Control Program.

No work having potential to cause water pollution, shall be performed until the SWPPP has been approved by the Engineer. Approval shall not constitute a finding that the SWPPP complies with applicable requirements of the Permits, the Manuals and applicable Federal, State and local laws, regulations, and requirements.

The Contractor shall designate a Water Pollution Control Manager. The Water Pollution Control Manager shall be responsible for the preparation of the SWPPP and required modifications or amendments, and shall be responsible for the implementation and adequate functioning of the various water pollution control practices employed. The Contractor may designate different Water Pollution Control Managers to prepare the SWPPP and to implement the water pollution control practices. The Water Pollution Control Managers shall serve as the primary contact for issues related to the SWPPP or its implementation. The Contractor shall submit to the Engineer a statement of qualifications, describing the training, previous work history and expertise of the individual selected by the Contractor to serve as Water Pollution Control Manager. The Water Pollution Control Manager shall have a minimum of 24 hours of formal storm water management training or certification as a Certified Professional in Erosion and Sediment Control (CPESC). The Engineer will reject the Contractor's submission of a Water Pollution Control Manager if the submitted qualifications are deemed to be inadequate.

The SWPPP shall apply to the areas within and those outside of the highway right of way that are directly related to construction operations including, but not limited to, asphalt batch plants, material borrow areas, concrete plants, staging areas, storage yards, and access roads.

The SWPPP shall incorporate water pollution control practices in the following categories:

A. Soil stabilization.
B. Sediment control.
C. Wind erosion control.
D. Tracking control.
E. Non-storm water management.
F. Waste management and materials pollution control.

The SWPPP shall include, but not be limited to, the items described in the Manuals, Permits and related information contained in the contract documents. The SWPPP shall also include a copy of the following: Department of Fish and Game Permit, US Army Corps of Engineers Permit and the Regional Water Quality Control Board Permit.

The Contractor shall develop a Water Pollution Control Schedule that describes the timing of grading or other work activities that could affect water pollution. The Water Pollution Control Schedule shall be updated by the Contractor to reflect changes in the Contractor's operations that would affect the necessary implementation of water pollution control practices.

The Contractor shall complete the "Construction Site BMPs Consideration Checklist" presented in the Preparation Manual and shall incorporate water pollution control practices into the SWPPP. Water pollution control practices include the "Minimum Requirements" and other Contractor-selected water pollution control practices from the "Construction Site BMPs Consideration Checklist" and the "Project-Specific Minimum Requirements" identified in the Water Pollution Control Cost Break-Down of this section.

Within 15 working days after the approval of the contract, the Contractor shall submit 3 copies of the draft SWPPP to the Engineer. The Engineer will have 10 working days to review the SWPPP. If revisions are required, as determined by the Engineer, the Contractor shall revise and resubmit the SWPPP within 5 working days of receipt of the Engineer's comments. The Engineer will have 3 working days to review the revisions. Upon the Engineer's approval of the SWPPP, 4 approved copies of the SWPPP, incorporating the required changes, shall be submitted to the Engineer. In order to allow construction activities to proceed, the Engineer may conditionally approve the SWPPP while minor revisions are being completed. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for resulting losses, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The Contractor shall prepare an amendment to the SWPPP when there is a change in construction activities or operations which may affect the discharge of pollutants to surface waters, ground waters, municipal storm drain systems, or when the Contractor's activities or operations violate a condition of the Permits, or when directed by the Engineer. Amendments shall identify additional water pollution control practices or revised operations, including those areas or operations not identified in the initially approved SWPPP. Amendments to the SWPPP shall be prepared and submitted for review and approval within a time approved by the Engineer, but in no case longer than the time specified for the initial submittal and review of the SWPPP. At a minimum, the SWPPP shall be amended annually and submitted to the Engineer 25 days prior to the defined rainy season.

The Contractor shall keep one copy of the approved SWPPP and approved amendments at the project site. The SWPPP shall be made available upon request by a representative of the Regional Water Quality Control Board, State Water Resources Control Board, United States Environmental Protection Agency, or the local storm water management agency. Requests by the public shall be directed to the Engineer.

**COST BREAK-DOWN**

The Contractor shall include a Water Pollution Control Cost Break-Down in the SWPPP which itemizes the contract lump sum for water pollution control work. The Contractor shall use the Water Pollution Control Cost Break-Down provided in this section as the basis for the cost break-down submitted with the SWPPP. The Contractor shall use the Water Pollution Control Cost Break-Down to identify items, quantities and values for water pollution control work, excluding Temporary Water Pollution Control Practices for which there are separate bid items. The Contractor shall be responsible for the accuracy of the quantities and values used in the cost break-down submitted with the SWPPP. Partial payment for the item of water pollution control will not be made until the Water Pollution Control Cost Break-Down is approved by the Engineer.

Attention is directed to "Time-Related Overhead" of these special provisions regarding compensation for time-related overhead.

Line items indicated in the Water Pollution Control Cost Break-Down in this section with a specified Estimated Quantity shall be considered "Project-Specific Minimum Requirements." The Contractor shall incorporate Project-Specific Minimum Requirements with Contractor-designated quantities and values into the Water Pollution Control Cost Break-Down submitted with the SWPPP.

Line items indicated in the Water Pollution Control Cost Break-Down in this section without a specified Estimated Quantity shall be considered by the Contractor for selection to meet the applicable "Minimum Requirements" as defined in the Manuals, or for other water pollution control work as identified in the "Construction Site BMPs Consideration Checklist" presented in the Preparation Manual. In the Water Pollution Control Cost Break-Down submitted with the SWPPP, the Contractor shall list only those water pollution control practices selected for the project, including quantities and values required to complete the work for those items.

The sum of the amounts for the items of work listed in the Water Pollution Control Cost Break-Down shall be equal to the contract lump sum price bid for water pollution control. Overhead and profit, except for time-related overhead, shall be included in the individual items listed in the cost break-down.

**WATER POLLUTION CONTROL COST BREAK-DOWN**

**Contract No. 03-1A8014**

| ITEM | ITEM DESCRIPTION | UNIT | ESTIMATED QUANTITY | VALUE | AMOUNT |
|---|---|---|---|---|---|
| SS-3 | Hydraulic Mulch | M2 | | | |
| SS-4 | Hydroseeding | M2 | | | |
| SS-5 | Soil Binders | M2 | | | |
| SS-6 | Straw Mulch | M2 | | | |
| SS-7 | Geotextiles, Plastic Covers & Erosion Control Blankets/Mats | M2 | | | |
| SS-8 | Wood Mulching | M2 | | | |
| SS-9 | Earth Dikes/Drainage Swales & Lined Ditches | M | | | |
| SS-10 | Outlet Protection/Velocity Dissipation Devices | EA | | | |
| SS-11 | Slope Drains | EA | | | |
| SS-12 | Streambank Stabilization | LS | | | |
| SC-1 | Silt Fence | M | | | |
| SC-2 | Sediment/Desilting Basin | EA | | | |
| SC-3 | Sediment Trap | EA | | | |
| SC-4 | Check Dam | EA | | | |
| SC-5 | Fiber Rolls | M | | | |
| SC-6 | Gravel Bag Berm | M | | | |
| SC-7 | Street Sweeping and Vacuuming | LS | | | |
| SC-8 | Sandbag Barrier | M | | | |
| SC-9 | Straw Bale Barrier | M | | | |
| SC-10 | Storm Drain Inlet Protection | EA | | | |
| WE-1 | Wind Erosion Control | LS | | | |
| TC-1 | Stabilized Construction Entrance/Exit | EA | | | |
| TC-2 | Stabilized Construction Roadway | EA | | | |

| ITEM | ITEM DESCRIPTION | UNIT | ESTIMATED QUANTITY | VALUE | AMOUNT |
|---|---|---|---|---|---|
| TC-3 | Entrance/Outlet Tire Wash | EA | | | |
| NS-1 | Water Conservation Practices | LS | | | |
| NS-2 | Dewatering Operations | EA | | | |
| NS-3 | Paving and Grinding Operations | LS | | | |
| NS-4 | Temporary Stream Crossing | EA | | | |
| NS-5 | Clear Water Diversion | EA | | | |
| NS-6 | Illicit Connection/Illegal Discharge Detection and Reporting | LS | | | |
| NS-7 | Potable Water/Irrigation | LS | | | |
| NS-8 | Vehicle and Equipment Cleaning | LS | | | |
| NS-9 | Vehicle and Equipment Fueling | LS | | | |
| NS-10 | Vehicle and Equipment Maintenance | LS | | | |
| NS-11 | Pile Driving Operations | LS | | | |
| NS-12 | Concrete Curing | LS | | | |
| NS-13 | Material and Equipment Use over Water | LS | | | |
| NS-14 | Concrete Finishing | LS | | | |
| NS-15 | Structure Demolition/Removal Over or Adjacent to Water | LS | | | |
| WM-1 | Material Delivery and Storage | LS | | | |
| WM-2 | Material Use | LS | | | |
| WM-3 | Stockpile Management | LS | | | |
| WM-4 | Spill Prevention and Control | LS | | | |
| WM-5 | Solid Waste Management | LS | | | |
| WM-6 | Hazardous Waste Management | LS | | | |
| WM-7 | Contaminated Soil Management | LS | | | |
| WM-8 | Concrete Waste Management | LS | | | |
| WM-9 | Sanitary/Septic Waste Management | LS | | | |
| WM-10 | Liquid Waste Management | LS | | | |

**TOTAL** _____

Adjustments in the items of work and quantities listed in the approved cost break-down shall be made when required to address amendments to the SWPPP, except when the adjusted items are paid for as extra work.

No adjustment in compensation will be made to the contract lump sum price paid for water pollution control due to differences between the quantities shown in the approved cost break-down and the quantities required to complete the work as shown on the approved SWPPP. No adjustment in compensation will be made for ordered changes to correct SWPPP work resulting from the Contractor's own operations or from the Contractor's negligence.

The approved cost break-down will be used to determine partial payments during the progress of the work and as the basis for calculating the adjustment in compensation for the item of water pollution control due to increases or decreases of quantities ordered by the Engineer. When an ordered change increases or decreases the quantities of an approved cost break-down item, the adjustment in compensation will be determined in the same manner specified for increases and decreases in the quantity of a contract item of work in conformance with the provisions in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard

Specifications. If an ordered change requires a new item which is not on the approved cost break-down, the adjustment in compensation will be determined in the same manner specified for extra work in conformance with Section 4-1.03D, "Extra Work," of the Standard Specifications.

If requested by the Contractor and approved by the Engineer, changes to the water pollution control practices listed in the approved cost break-down, including addition of new water pollution control practices, will be allowed. Changes shall be included in the approved amendment of the SWPPP. If the requested changes result in a net cost increase to the lump sum price for water pollution control, an adjustment in compensation will be made without change to the water pollution control item. The net cost increase to the water pollution control item will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

**SWPPP IMPLEMENTATION**

Unless otherwise specified, upon approval of the SWPPP, the Contractor shall be responsible throughout the duration of the project for installing, constructing, inspecting, maintaining, removing, and disposing of the water pollution control practices specified in the SWPPP and in the amendments. Unless otherwise directed by the Engineer, the Contractor's responsibility for SWPPP implementation shall continue throughout temporary suspensions of work ordered in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications. Requirements for installation, construction, inspection, maintenance, removal, and disposal of water pollution control practices shall conform to the requirements in the Manuals and these special provisions.

If the Contractor or the Engineer identifies a deficiency in the implementation of the approved SWPPP or amendments, the deficiency shall be corrected immediately unless requested by the Contractor and approved by the Engineer in writing, but shall be corrected prior to the onset of precipitation. If the Contractor fails to correct the identified deficiency by the date agreed or prior to the onset of precipitation, the project shall be in nonconformance with this section, "Water Pollution Control." Attention is directed to Section 5-1.01, "Authority of Engineer," of the Standard Specifications, and to "Retention of Funds" of this section for possible nonconformance penalties.

If the Contractor fails to conform to the provisions of this section, "Water Pollution Control," the Engineer may order the suspension of construction operations until the project complies with the requirements of this section.

Implementation of water pollution control practices may vary by season. The Construction Site BMPs Manual and these special provisions shall be followed for control practice selection of year-round, rainy season and non-rainy season water pollution control practices.

**Year-Round Implementation Requirements**

The Contractor shall have a year-round program for implementing, inspecting and maintaining water pollution control practices for wind erosion control, tracking control, non-storm water management, and waste management and materials pollution control.

The National Weather Service weather forecast shall be monitored and used by the Contractor on a daily basis. An alternative weather forecast proposed by the Contractor may be used if approved by the Engineer. If precipitation is predicted, the necessary water pollution control practices shall be deployed prior to the onset of the precipitation.

Disturbed soil areas shall be considered active whenever the soil disturbing activities have occurred, continue to occur or will occur during the ensuing 21 days. Non-active areas shall be protected as prescribed in the Construction Site BMPs Manual within 14 days of cessation of soil disturbing activities or prior to the onset of precipitation, whichever occurs first.

In order to provide effective erosion control, the Contractor may be directed by the Engineer to apply permanent erosion control in small or multiple units. The Contractor’s attention is directed to "Erosion Control (Type D)" and "Move-In/Move-Out (Erosion Control)" of these special provisions.

### Rainy Season Implementation Requirements

Soil stabilization and sediment control practices shall be provided throughout the rainy season, defined as between October 15th and April 15th.

An implementation schedule of required soil stabilization and sediment control practices for disturbed soil areas shall be completed no later than 20 days prior to the beginning of each rainy season. The implementation schedule shall identify the soil stabilization and sediment control practices and the dates when the implementation will be 25 percent, 50 percent and 100 percent complete, respectively. For construction activities beginning during the rainy season, the Contractor shall implement applicable soil stabilization and sediment control practices. The Contractor shall implement soil stabilization and sediment control practices a minimum of 10 days prior to the start of the rainy season.

Throughout the defined rainy season, the active disturbed soil area of the project site shall be not more than 2 hectares. The Engineer may approve, on a case-by-case basis, expansions of the active disturbed soil area limit. Soil stabilization and sediment control materials shall be maintained on site sufficient to protect disturbed soil areas. A detailed plan for the mobilization of sufficient labor and equipment shall be maintained to deploy the water pollution control practices required to protect disturbed soil areas prior to the onset of precipitation.

### Non-Rainy Season Implementation Requirements

The non-rainy season shall be defined as days outside the defined rainy season. The Contractor's attention is directed to the Construction Site BMPs Manual for soil stabilization and sediment control implementation requirements on disturbed soil areas during the non-rainy season. Disturbed soil areas within the project shall be protected in conformance with the requirements in the Construction Site BMPs Manual with an effective combination of soil stabilization and sediment control.

## MAINTENANCE

To ensure the proper implementation and functioning of water pollution control practices, the Contractor shall regularly inspect and maintain the construction site for the water pollution control practices identified in the SWPPP. The construction site shall be inspected by the Contractor as follows:

A. Prior to a forecast storm.
B. After a precipitation event which causes site runoff.
C. At 24 hour intervals during extended precipitation events.
D. Routinely, a minimum of once every two weeks outside of the defined rainy season.
E. Routinely, a minimum of once every week during the defined rainy season.

The Contractor shall use the Storm Water Quality Construction Site Inspection Checklist provided in the Preparation Manual or an alternative inspection checklist provided by the Engineer. One copy of each site inspection record shall be submitted to the Engineer within 24 hours of completing the inspection.

## REPORTING REQUIREMENTS

### Report of Discharges, Notices or Orders

If the Contractor identifies discharges into surface waters or drainage systems in a manner causing, or potentially causing, a condition of pollution, or if the project receives a written notice or order from a regulatory agency, the Contractor shall immediately inform the Engineer. The Contractor shall submit a written report to the Engineer within 7 days of the discharge event, notice or order. The report shall include the following information:

A. The date, time, location, nature of the operation, and type of discharge, including the cause or nature of the notice or order.
B. The water pollution control practices deployed before the discharge event, or prior to receiving the notice or order.
C. The date of deployment and type of water pollution control practices deployed after the discharge event, or after receiving the notice or order, including additional measures installed or planned to reduce or prevent reoccurrence.
D. An implementation and maintenance schedule for affected water pollution control practices.

### Report of First-Time Non-Storm Water Discharge

The Contractor shall notify the Engineer at least 3 days in advance of first-time non-storm water discharge events, excluding exempted discharges. The Contractor shall notify the Engineer of the operations causing non-storm water discharges and shall obtain field approval for first-time non-storm water discharges. Non-storm water discharges shall be monitored at first-time occurrences and routinely thereafter.

**Annual Certifications**

By June 15 of each year, the Contractor shall complete and submit an Annual Certification of Compliance, as contained in the Preparation Manual, to the Engineer.

**PAYMENT**

The contract lump sum price paid for prepare storm water pollution prevention plan shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in developing, preparing, obtaining approval of, revising, and amending the SWPPP, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Attention is directed to Section 9-1.06, "Partial Payments," and Section 9-1.07, "Payment After Acceptance," of the Standard Specifications. Payments for prepare storm water pollution prevention plan will be made as follows:

A. After the SWPPP has been approved by the Engineer, 75 percent of the contract item price for prepare storm water pollution prevention plan will be included in the monthly partial payment estimate.
B. After acceptance of the contract in conformance with the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, payment for the remaining 25 percent of the contract item price for prepare storm water pollution prevention plan will be made in conformance with the provisions in Section 9-1.07.

The contract lump sum price paid for water pollution control shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing, constructing, removing, and disposing of water pollution control practices, including non-storm water management, and waste management and materials pollution water pollution control practices, except those for which there is a contract item of work as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Storm water sampling and analysis will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications. No payment will be made for the preparation, collection, analysis, and reporting of storm water samples required where appropriate BMPs are not implemented prior to a rain event, or if a failure of a BMP is not corrected prior to a rain event.

For items identified on the approved Water Pollution Control Cost Break-Down, the cost of maintaining the temporary water pollution control practices shall be divided equally by the State and the Contractor as follows:

**Soil Stabilization**

Temporary water pollution control practices except:
SS-1 Scheduling
SS-2 Preservation of Existing Vegetation

**Sediment Control**

Temporary water pollution control practices except:
SC-7 Street Sweeping and Vacuuming

**Wind Erosion Control**

No sharing of maintenance costs will be allowed.

**Tracking Control**

TC-1 Stabilized Construction Entrance/Exit.

**Non-Storm Water Management**

No sharing of maintenance costs will be allowed.

**Waste Management & Materials Pollution Control**

No sharing of maintenance costs will be allowed.

The division of cost will be made by determining the cost of maintaining water pollution control practices in conformance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications and paying to the Contractor one-half of that cost. Cleanup, repair, removal, disposal, improper installation, and replacement of water

pollution control practices damaged by the Contractor's negligence, shall not be considered as included in the cost for performing maintenance.

The provisions for sharing maintenance costs shall not relieve the Contractor from the responsibility for providing appropriate maintenance on items with no shared maintenance costs.

Full compensation for non-shared maintenance costs of water pollution control practices, as specified in this section, "Water Pollution Control," shall be considered as included in the contract lump sum price paid for water pollution control and no additional compensation will be allowed therefor.

### 10-1.06 PRESERVATION OF PROPERTY

Attention is directed to Section 7-1.11, "Preservation of Property," of the Standard Specifications and these special provisions.

Existing trees, shrubs and other plants, outside the designated clearing and grubbing areas shall be protected from damage, vegetation that is injured or damaged by reason of the Contractor's operations, shall be replaced by the Contractor. The minimum size of tree replacement shall be 600 mm box and the minimum size of shrub replacement shall be No. 15 container. Replacement ground cover plants shall be from flats and shall be planted 300 mm on center. The Contractor shall water replacement plants in conformance with the provisions in Section 20-4.06, "Watering," of the Standard Specifications.

Damaged or injured plants shall be removed and disposed of outside the highway right of way in conformance with the provisions in Section 7-l.13 of the Standard Specifications. At the option of the Contractor, removed trees and shrubs may be reduced to chips. The chipped material shall be spread within the highway right of way at locations designated by the Engineer.

Replacement planting of injured or damaged trees, shrubs and other plants shall be completed not less than 20 working days prior to acceptance of the contract. Replacement plants shall be watered as necessary to maintain the plants in a healthy condition.

### 10-1.07 OBSTRUCTIONS

Attention is directed to Section 8-1.10, "Utility and Non-Highway Facilities," and Section 15, "Existing Highway Facilities," of the Standard Specifications and these special provisions.

Attention is directed to the existence of certain underground facilities that may require special precautions be taken by the Contractor to protect the health, safety and welfare of workers and of the public. Facilities requiring special precautions include, but are not limited to: conductors of petroleum products, oxygen, chlorine, and toxic or flammable gases; natural gas in pipelines greater than 150 mm in diameter or pipelines operating at pressures greater than 415 kPa (gage); underground electric supply system conductors or cables, with potential to ground of more than 300 V, either directly buried or in a duct or conduit which do not have concentric grounded or other effectively grounded metal shields or sheaths.

The Contractor shall notify the Engineer and the appropriate regional notification center for operators of subsurface installations at least 2 working days, but not more than 14 calendar days, prior to performing any excavation or other work close to any underground pipeline, conduit, duct, wire or other structure. Regional notification centers include, but are not limited to, the following:

| Notification Center | Telephone Number |
|---|---|
| Underground Service Alert-Northern California (USA) | 1-800-642-2444<br>1-800-227-2600 |
| Underground Service Alert-Southern California (USA) | 1-800-422-4133<br>1-800-227-2600 |

If these facilities are not located on the plans in both alignment and elevation, no work shall be performed in the vicinity of the facilities, except as provided herein for conduit to be placed under pavement, until the owner, or the owner's representative, has located the facility by potholing, probing or other means that will locate and identify the facility. Conduit to be installed under pavement in the vicinity of these facilities shall be placed by the trenching method in conformance with the provisions in "Conduit" of these special provisions. If, in the opinion of the Engineer, the Contractor's operations are delayed or interfered with by reason of the utility facilities not being located by the owner or the owner's representative, the State will compensate the Contractor for the delays to the extent provided in Section 8-1.09, "Right of Way Delays," of the Standard Specifications, and not otherwise, except as provided in Section 8-1.10, "Utility and Non-Highway Facilities," of the Standard Specifications.

### 10-1.08 MOBILIZATION

Mobilization shall conform to the provisions in Section 11, "Mobilization," of the Standard Specifications.

## 10-1.09 CONSTRUCTION AREA TRAFFIC CONTROL DEVICES

Flagging, signs, and all other traffic control devices furnished, installed, maintained, and removed when no longer required shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Category 1 traffic control devices are defined as those devices that are small and lightweight (less than 45 kg), and have been in common use for many years. The devices shall be known to be crashworthy by crash testing, crash testing of similar devices, or years of demonstrable safe performance. Category 1 traffic control devices include traffic cones, plastic drums, portable delineators, and channelizers.

If requested by the Engineer, the Contractor shall provide written self-certification for crashworthiness of Category 1 traffic control devices. Self-certification shall be provided by the manufacturer or Contractor and shall include the following: date, Federal Aid number (if applicable), expenditure authorization, district, county, route and kilometer post of project limits; company name of certifying vendor, street address, city, state and zip code; printed name, signature and title of certifying person; and an indication of which Category 1 traffic control devices will be used on the project. The Contractor may obtain a standard form for self-certification from the Engineer.

Category 2 traffic control devices are defined as those items that are small and lightweight (less than 45 kg), that are not expected to produce significant vehicular velocity change, but may otherwise be potentially hazardous. Category 2 traffic control devices include: barricades and portable sign supports.

Category 2 devices purchased on or after October 1, 2000 shall be on the Federal Highway Administration (FHWA) Acceptable Crashworthy Category 2 Hardware for Work Zones list. This list is maintained by FHWA and can be located at the following internet address: http://safety.fhwa.dot.gov/fourthlevel/hardware/listing.cfm?code=workzone. The Department maintains a secondary list at the following internet address: http://www.dot.ca.gov/hq/traffops/signtech/signdel/pdf.htm.

Category 2 devices that have not received FHWA acceptance, and were purchased before October 1, 2000, may continue to be used until they complete their useful service life or until January 1, 2003, whichever comes first. Category 2 devices in use that have received FHWA acceptance shall be labeled with the FHWA acceptance letter number and the name of the manufacturer by the start of the project. The label shall be readable. After January 1, 2003, all Category 2 devices without a label shall not be used on the project.

If requested by the Engineer, the Contractor shall provide a written list of Category 2 devices to be used on the project at least 5 days prior to beginning any work using the devices. For each type of device, the list shall indicate the FHWA acceptance letter number and the name of the manufacturer.

Full compensation for providing self-certification for crashworthiness of Category 1 traffic control devices and for providing a list of Category 2 devices used on the project and labeling Category 2 devices as specified shall be considered as included in the prices paid for the various contract items of work requiring the use of the Category 1 or Category 2 traffic control devices and no additional compensation will be allowed therefor.

## 10-1.10 CONSTRUCTION AREA SIGNS

Construction area signs shall be furnished, installed, maintained, and removed when no longer required in conformance with the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Attention is directed to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. Type II retroreflective sheeting shall not be used on construction area sign panels.

Attention is directed to "Construction Project Information Signs" of these special provisions regarding the number and type of construction project information signs to be furnished, erected, maintained, and removed and disposed of.

Attention is directed to “State Furnished Materials” of these special provisions regarding sign panels for overhead sign structures Temp-1 and Temp-2.

The Contractor shall notify the appropriate regional notification center for operators of subsurface installations at least 2 working days, but not more than 14 calendar days, prior to commencing excavation for construction area sign posts. The regional notification centers include, but are not limited to, the following:

| Notification Center | Telephone Number |
|---|---|
| Underground Service Alert-Northern California (USA) | 1-800-642-2444<br>1-800-227-2600 |
| Underground Service Alert-Southern California (USA) | 1-800-422-4133<br>1-800-227-2600 |

Excavations required to install construction area signs shall be performed by hand methods without the use of power equipment, except that power equipment may be used if it is determined there are no utility facilities in the area of the proposed post holes.

Sign substrates for stationary mounted construction area signs may be fabricated from fiberglass reinforced plastic as specified under "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

The Contractor may be required to cover certain signs during the progress of the work. Signs that are no longer required or that convey inaccurate information to the public shall be immediately covered or removed, or the information shall be corrected. Covers for construction area signs shall be of sufficient size and density to completely block out the complete face of the signs. The retroreflective face of the covered signs shall not be visible either during the day or at night. Covers shall be fastened securely so that the signs remain covered during inclement weather. Covers shall be replaced when they no longer cover the signs properly.

**10-1.11 MAINTAINING TRAFFIC**

Attention is directed to Sections 7-1.08, "Public Convenience," 7-1.09, "Public Safety," and 12, "Construction Area Traffic Control Devices," of the Standard Specifications and to the provisions in "Public Safety" and "Portable Changeable Message Sign"of these special provisions and these special provisions. Nothing in these special provisions shall be construed as relieving the Contractor from the responsibilities specified in Section 7-1.09.

Attention is directed to "Traffic Plastic Drums" in these special provisions regarding using plastic drums in place of portable delineators, cones or Type I or II barricades. The mixing of construction area traffic control devices will not be allowed.

Lane and ramp closures shall conform to the provisions in section "Traffic Control System for Lane Closure" of these special provisions.

Personal vehicles of the Contractor's employees shall not be parked on the traveled way or shoulders including any section closed to public traffic.

The Contractor shall notify local authorities (including the California Highway Patrol (CHP), Placer County Sheriff's Department, State of California Department of Forestry, District 3 Whitmore Maintenance Station, and Local Fire Departments) of the Contractor's intent to begin work at least 5 days before work is begun. The Contractor shall cooperate with local authorities relative to handling traffic through the area and shall make arrangements relative to keeping the working area clear of parked vehicles.

Access and egress to the Whitmore Maintenance Station shall be maintained at all times.

Portable delineators shall be furnished and placed during stage construction as shown on the traffic handling plans. Full compensation for furnishing, placing, moving, maintaining and removing the portable delineators shown on the traffic handling plans shall be considered as included in the prices paid for the various items of work involved and no additional compensation will be allowed therefor.

On multilane roadways, wheneverveh icles or equipment are parked on the shoulder within 1.8 m of a traffic lane, the shoulder area shall be closed as shown on the plans.

A portable changeable message sign shall be placed for each lane, ramp or shoulder closure and detour prior to the first advance warning sign shown on the plans, or as directed by the Engineer.

Two portable changeable message signs shall be in operation at all times during stage construction at locations directed by the Engineer.

One portable changeable message sign shall be in operation at all times for westbound truck brake inspection at locations directed by the Engineer.

On two-lane, two-way roadways, whenever vehicles or equipment are parked on the shoulder within 1.8 m of a traffic lane, the shoulder area shall be closed with fluorescent traffic cones or portable delineators placed on a taper in advance of the parked vehicles or equipment and along the edge of the pavement at 7.5 m intervals to a point not less than 7.5 m past the last vehicle or piece of equipment. A minimum of 9 cones or portable delineators shall be used for the taper. A C23 (Road Work Ahead) or C24 (Shoulder Work Ahead) sign shall be mounted on a portable sign stand with flags. The sign shall be placed where designated by the Engineer.

Except as noted herein, lanes and ramps shall be closed only during the hours shown on the charts included in this section "Maintaining Traffic." Except work required under Sections 7-1.08 and 7-1.09, work that interferes with public traffic shall be performed only during the hours shown for lane closures.

The maximum length of lane closure shall be limited to 4.8 km in the westbound direction and 1.6 km in the eastbound direction unless otherwise permitted by the Engineer.

The Contractor shall notify the Engineer 7 calendar days prior to a ramp closure. A portable changeable message sign shall be placed a minimum of 3 calendar days in advance of closing the ramp. When a ramp is closed, public traffic shall be detoured to the next ramp or to an on-site detour as shown on the plans as directed by the Engineer. When portable changeable message signs are no longer required, they shall be removed as directed by the Engineer.

No two consecutive on-ramp or consecutive off-ramp in the same direction of travel shall be closed at the same time except as otherwise provided in these special provisions and as permitted by the Engineer.

Except as otherwise noted within the staging plans, no ramp closure will be permitted for any longer than one work shift.

The Contractor shall schedule his operations so that between October 15 and April 15, there is no vertical drop-off between adjacent traffic lanes, or between lanes and shoulders and the full width of the traveled way and shoulders shall be open for use by public traffic.

The Contractor shall complete paving at the westbound off-ramp and on-ramp at Baxter OC allowing public traffic use for detouring when required. The off-ramp at Baxter OC shall be completed before the on-ramp. After completing these ramps at the aforementioned location, the Contractor shall move to. Next the Contractor shall move to Drum Forebay and begin PCC paving. All final PCC paving of ramps from Baxter to Alta Road UC shall be completed in the construction year 2004 unless otherwise directed by the Engineer.

The Contractor will be allowed a 24 hour ramp closure for four consecutive working days to complete all work for the Putts Lake OC eastbound off-ramp at Nyack. The ramp.closure will be allowed from on Sunday at 9.00 p.m. until Thursday at 9:00 p.m. Upon achieving an acceptable PCC beam test, the ramp shall be opened to public traffic. This ramp work shall be scheduled as the first order of work in construction year 2005.

No lane closures, shoulder closures, or other traffic restrictions will be allowed on the following day(s) during the annual "Hot August Nights" weekend celebration will occur in Reno area during the life of this contract. If notified by the Engineer, the Contractor shall keep all traffic lanes open for use by public traffic on the preceding Thursday, Friday; Saturday, Sunday in the eastbound direction and in the westbound direction on Monday during this celebration. If this requirement delays the controlling operation as specified in Section 8-1.06, "Time of Completion," of the Standard Specifications, the days will be considered a non-working day, except as otherwise noted within these special provisions .

Except as noted herein, the full width of the traveled way shall be open for use by public traffic when construction operations are not actively in progress.

Designated legal holidays are: January 1st, the third Monday in February, the last Monday in May, July 4th, the first Monday in September, November 11th, Thanksgiving Day, and December 25th. When a designated legal holiday falls on a Sunday, the following Monday shall be a designated legal holiday. When November 11th falls on a Saturday, the preceding Friday shall be a designated legal holiday. When a designated legal holiday falls on a Monday, the full width of the traveled way shall be open for use by public traffic on the preceding Friday, Saturday and Sunday.

Minor deviations from the requirements of this section concerning hours of work which do not significantly change the cost of the work may be permitted upon the written request of the Contractor, if in the opinion of the Engineer, public traffic will be better served and the work expedited. These deviations shall not be adopted by the Contractor until the Engineer has approved the deviations in writing. All other modifications will be made by contract change order.

**Chart No. 1**
**Multilane and Ramp Lane Requirements**

Direction: EASTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 73.6 (Alta Rd. UC to Towle OH)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Wednesdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | |
| Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | 1 | 1 | 1 | |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | | | | | |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | | | 1 | 1 | |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | 1 | 1 | 1 | 1 | |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
|---|---|
| | No closure allowed. |

REMARKS: **THIS CHART ONLY FOR PLACEMENT OF PORTLAND CEMENT CONCRETE (PCC) PAVEMENT BETWEEN JUNE 15th AND LABOR DAY.**
Two Lanes Available.
Ramp closure allowed during lane closure.

**Chart No. 2**
**Multilane and Ramp Lane Requirements**

Direction: WESTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 79.4 (Drum Forebay OC to Alta Rd. UC)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | 1 | 1 | 1 | 1 | 1 | |
| Tuesdays through Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | 1 | 1 | 1 | 1 | 1 | |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | 1 | 1 | 1 | |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | 1 | 1 | 1 | |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | | 1 | 1 | |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
|---|---|
| | No closure allowed. |

REMARKS: **THIS CHART ONLY FOR PLACEMENT OF PORTLAND CEMENT CONCRETE (PCC) PAVEMENT BETWEEN JUNE 15th AND LABOR DAY.**
Two Lanes Available
Ramp closure allowed during lane closure.

**Chart No. 3**
**Multilane and Ramp Lane Requirements**

Direction: EASTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 73.6 (Alta Rd. UC to Towle OH)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Wednesdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | | | |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | | 1 | 1 | 1 | 1 |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | 1 | 1 | 1 | 1 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
|---|---|
| | No closure allowed. |

REMARKS: **THIS CHART ONLY FOR PLACEMENT OF PORTLAND CEMENT CONCRETE (PCC) PAVEMENT BETWEEN LABOR DAY AND JUNE 15th.**
Two Lanes Available.
Ramp closure allowed during lane closure.

**Chart No. 4**
**Multilane and Ramp Lane Requirements**

Direction: WESTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 79.4 (Drum Forebay OC to Alta Rd. UC)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | 1 | 1 | 1 | |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | 1 | 1 | 1 | |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | | 1 | 1 | |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| | |
|---|---|
| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
| | No closure allowed. |

REMARKS: **THIS CHART ONLY FOR PLACEMENT OF PORTLAND CEMENT CONCRETE (PCC) PAVEMENT BETWEEN LABOR DAY AND JUNE 15th.**
Two Lanes Available.
Ramp closure allowed during lane closure.

**Chart No. 5**
**Multilane and Ramp Lane Requirements**

Direction: EASTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 73.6 (Alta Rd. UC to Towle OH)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Wednesdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | |
| Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 | |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | 1 | |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| | |
|---|---|
| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
| | No closure allowed. |

REMARKS: **THIS CHART ONLY FOR PLACEMENT OF ASPHALT CONCRETE (AC) PAVEMENT BETWEEN JUNE 15th AND LABOR DAY.**
Two Lanes Available.
Ramp closure allowed during lane closure.

**Chart No. 6**
**Multilane and Ramp Lane Requirements**

Direction: WESTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 79.4 (Towle OH to Alta Rd. UC)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Tuesdays through Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | 1 | 1 | 1 | 1 | 1 |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | 1 | 1 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| | |
|---|---|
| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
| | No closure allowed. |

REMARKS: **THIS CHART ONLY FOR PLACEMENT OF ASPHALT CONCRETE (AC) PAVEMENT BETWEEN JUNE 15th AND LABOR DAY.**
Two Lanes Available
Ramp closure allowed during lane closure.

**Chart No. 7**
**Multilane and Ramp Lane Requirements**

Direction: EASTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 73.6 (Alta Rd. UC to Towle OH)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | 1 |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| | |
|---|---|
| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
| | No closure allowed. |

REMARKS: **THIS CHART ONLY FOR PLACEMENT OF ASPHALT CONCRETE (AC) PAVEMENT BETWEEN LABOR DAY AND JUNE 15th.**
Two Lanes Available.
Ramp closure allowed during lane closure.

**Chart No. 8**
**Multilane and Ramp Lane Requirements**

Direction: WESTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 79.4 (Towle OH to Alta Rd. UC)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Tuesdays through Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | 1 | 1 | 1 | 1 | 1 |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | 1 | 1 | 1 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| | |
|---|---|
| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
| | No closure allowed. |

REMARKS: **THIS CHART ONLY FOR PLACEMENT OF ASPHALT CONCRETE (AC) PAVEMENT BETWEEN LABOR DAY AND JUNE 15th.**
Two Lanes Available.
Ramp closure allowed during lane closure.

**Chart No. 9**
**Multilane and Ramp Lane Requirements**

Direction: EASTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 73.6 (Alta Rd. UC to Towle OH)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Wednesdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | 1 |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| | |
|---|---|
| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
| | No closure allowed. |

REMARKS: **THIS CHART FOR ALL OTHER WORK BETWEEN JUNE 15th AND LABOR DAY EXCEPT AS NOTED HEREIN.**
Two Lanes Available.
Ramp closure allowed during lane closure.

**Chart No. 10**
**Multilane and Ramp Lane Requirements**

Direction: WESTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 79.4 (Drum Forebay OC to Alta Rd. UC)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Tuesdays through Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | 1 | 1 | 1 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| | |
|---|---|
| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
| | No closure allowed. |

REMARKS: **THIS CHART FOR ALL OTHER WORK BETWEEN JUNE 15th AND LABOR DAY EXCEPT AS NOTED HEREIN.**
Two Lanes Available.
Ramp closure allowed during lane closure.

**Chart No. 11**
**Multilane and Ramp Lane Requirements**

Direction: EASTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 73.6 (Alta Rd. UC to Towle OH)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | 1 | 1 |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| | |
|---|---|
| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
| | No closure allowed. |

REMARKS**:** **THIS CHART FOR ALL OTHER WORK BETWEEN LABOR DAY AND JUNE 15th EXCEPT AS NOTED HEREIN.**
Two Lanes Available.
Ramp closure allowed during lane closure.

**Chart No. 12**
**Multilane and Ramp Lane Requirements**

Direction: WESTBOUND Location 1: 03-Pla-80-KP 71.7 to KP 79.4 (Drum Forebay OC to Alta Rd. UC)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | 1 | 1 | 1 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
|---|---|
| | No closure allowed. |

REMARKS: **THIS CHART FOR ALL OTHER WORK BETWEEN LABOR DAY AND JUNE 15th EXCEPT AS NOTED HEREIN.**
Two Lanes Available.
Ramp closure allowed during lane closure.

**Chart No. 13**
**Multilane Lane Requirements**

Direction: EASTBOUND/WESTBOUND Location 2: 03-Pla-80-KP 78.1 to KP 90.2

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | 1 | 1 | 1 |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | | | | |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | | | 1 | 1 |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | | 1 | 1 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| 1 | One lane, a minimum of 3.3 m wide shall be open in each direction of travel. |
|---|---|
| | No closure allowed. |

REMARKS: **THIS CHART ONLY FOR CONSTRUCTING MEDIAN CROSS-OVER.**

**Chart No. 14**
**Multilane and Ramp Lane Requirements**

Direction: EASTBOUND Location 2: 03-Pla-80-KP 78.1 to KP 90.2 (Drum Forebay OC to Carpenter Flat UC)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Thursdays | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 |
| Fridays | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | | | | | | | | | | | | | 2 | 2 | 2 |
| Saturdays | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | | | | | | | | | | | | | | 2 | 2 | 2 |
| Sundays | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | | | | | | | | | | | | | 2 | 2 | 2 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| 2 | Two lanes open in direction of travel. |
|---|---|
| | No closure allowed. |

REMARKS: **THIS CHART FOR ALL WORK WITH MEDIAN CROSS-OVER IN EFFECT EXCEPT AS NOTED HEREIN.**
Ramp closure allowed during lane closure.

**Chart No. 15**
**Multilane and Ramp Lane Requirements**

Direction: WESTBOUND Location 2: 03-Pla-80-KP 78.1 to KP 90.2 (Carpenter Flat UC to Drum Forebay OC)

| | a.m. | | | | | | | | | | | | p.m. | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FROM HOUR TO HOUR | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| Mondays through Thursdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Fridays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | 1 | 1 | 1 |
| Saturdays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | 1 | 1 | 1 | 1 |
| Sundays | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | | | | | | | | | | | | | | 1 | 1 |
| Day before designated legal holiday & designated legal holidays | | | | | | | | | | | | | | | | | | | | | | | | |

Legend:

| 1 | One lane, a minimum of 3.3 m wide, shall be open in direction of travel. |
|---|---|
| | No closure allowed. |

REMARKS: **THIS CHART FOR ALL WORK.**
Ramp closure allowed during lane closure.

## 10-1.12 CLOSURE REQUIREMENTS AND CONDITIONS

Lane closures shall conform to the provisions in "Maintaining Traffic" of these special provisions and these special provisions.

The term closure, as used herein, is defined as the closure of a traffic lane or lanes, including ramp or connector lanes, within a single traffic control system.

### CLOSURE SCHEDULE

By 12:00 noon on Monday, the Contractor shall submit a written schedule of planned closures for the following week period, defined as Sunday Midnightthrough the following Sunday Midnight.

The Closure Schedule shall show the locations and times when the proposed closures are to be in effect. The Contractor shall use the Closure Schedule request forms furnished by the Engineer. Closure Schedules submitted to the Engineer with incomplete, unintelligible or inaccurate information will be returned for correction and resubmittal. The Contractor will be notified of disapproved closures or closures that require coordination with other parties as a condition of approval.

Amendments to the Closure Schedule, including adding additional closures, shall be submitted to the Engineer, in writing, at least 3 working days in advance of a planned closure. Approval of amendments to the Closure Schedule will be at the discretion of the Engineer.

The Contractor shall confirm, in writing, all scheduled closures by no later than 8:00 a.m. 3 working days prior to the date on which the closure is to be made. Approval or denial of scheduled closures will be made no later than 4:00 p.m. 2 working days prior to the date on which the closure is to be made. Closures not confirmed or approved will not be allowed.

Confirmed closures that are cancelled due to unsuitable weather may be rescheduled at the discretion of the Engineer for the following working day.

**CONTINGENCY PLAN**

The Contractor shall prepare a contingency plan for reopening closures to public traffic. The Contractor shall submit the contingency plan for a given operation to the Engineer within one working day of the Engineer's request.

**LATE REOPENING OF CLOSURES**

If a closure is not reopened to public traffic by the specified time, work shall be suspended in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications. The Contractor shall not make any further closures until the Engineer has accepted a work plan, submitted by the Contractor, that will insure that future closures will be reopened to public traffic at the specified time. The Engineer will have 2 working days to accept or reject the Contractor's proposed work plan. The Contractor will not be entitled to any compensation for the suspension of work resulting from the late reopening of closures.

For each 10-minute interval, or fraction thereof past the time specified to reopen the closure, the Department will deduct $1,200.00 per interval from moneys due or that may become due the Contractor under the contract.

**COMPENSATION**

The Contractor shall notify the Engineer of any delay in the Contractor's operations due to the following conditions, and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of those conditions, and the Contractor's loss due to that delay could not have been avoided by rescheduling the affected closure or by judicious handling of forces, equipment and plant, the delay will be considered a right of way delay within the meaning of Section 8-1.09, "Right of Way Delays," and compensation for the delay will be determined in conformance with the provisions in Section 8-1.09:

A. The Contractor's proposed Closure Schedule is denied and his planned closures are within the time frame allowed for closures in "Maintaining Traffic" of these special provisions, except that the Contractor will not be entitled to any compensation for amendments to the Closure Schedule that are not approved.
B. The Contractor is denied a confirmed closure.

Should the Engineer direct the Contractor to remove a closure prior to the time designated in the approved Closure Schedule, any delay to the Contractor's schedule due to removal of the closure will be considered a right of way delay within the meaning of Section 8-1.09, "Right of Way Delays," and compensation for the delay will be determined in conformance with the provisions in Section 8-1.09.

## 10-1.13 CONSTRUCTION ZONE ENHANCED ENFORCEMENT

Construction zone enhanced enforcement will be provided by the State as directed by the Engineer and in conformance with these special provisions. Construction zone enhanced enforcement shall consist of the presence of the California Highway Patrol (CHP) within and near the limits of construction during specified stages of work to control the movement of public traffic within the work zone.

Construction zone enhanced enforcement will be required during the performance of construction work as deemed appropriate by the Engineer.

The Contractor shall submit a schedule to the Engineer at least by 12:00 Noon on Monday, for the following week period, defined as Sunday Midnight through the following Sunday Midnight prior to the performance of work requiring construction zone enhanced enforcement. The schedule shall include all activities requiring construction zone enhanced enforcement and the estimated hours of CHP support required for each activity. The work shall be performed within the number of hours allocated for CHP support.

The Contractor may request additional CHP support for other times and in support of other work activities. The Contractor shall bear the costs and expenses for additional CHP support. The CHP shall be compensated at an agreed rate of not less than $65 per hour per CHP Officer or at an agreed rate of not less than 4 hours @ $65 per hour per CHP Officer of overtime pay per CHP Officer for late notification of a cancellation. The agreed rate shall be considered full compensation for each hour, or portion thereof, that a CHP Officer is performing construction area enhanced enforcement. There will be no markup applied to any expenses connected with CHP support. The costs and expenses for requested additional CHP support will be deducted from moneys due to the Contractor.

The Engineer will make all arrangements with the CHP for scheduled and requested additional construction zone enhanced enforcement.

CHP support shall be scheduled in compliance with the provisions in "Closure Requirements and Conditions" of these special provisions. The Contractor will be notified in writing of assigned CHP support when the Contractor is informed of the approval of requested closures.

Cancellations to previously approved closures scheduled to include construction zone enhancement enforcement shall be submitted in writing to the Engineer at least 36 hours prior to the time when the closure is to be in place. Written notices of cancellation for a closure shall be delivered to the Engineer between the hours of 7:00 a.m. and 3:00 p.m., Monday through Friday, excluding designated legal holidays.

Cancellations with less than the 36-hour written notice may result in charges from the CHP. The Contractor shall bear any costs and expenses resulting from cancellations with less than the 36 hour written notice, except cancellations due to weather or circumstances beyond the control of the Contractor, as determined by the Engineer. The CHP shall be compensated not less than $65.00 per hour and no greater than 4 hours of overtime pay per CHP Officer scheduled to participate in the construction zone enhancement enforcement that is cancelled. The costs and expenses incurred for late cancellations will be deducted from moneys due or that may become due the Contractor.

The presence of the California Highway Patrol will not relieve the Contractor of responsibility of providing for the safety of the public in conformance with the requirements in Section 7-1.09, "Public Safety," nor relieve the Contractor from the responsibility for damage in conformance with the requirements in Section 7-1.12, "Responsibility for Damage," of the Standard Specifications.

## 10-1.14 TRAFFIC CONTROL SYSTEM FOR LANE CLOSURE

A traffic control system shall consist of closing traffic lanes in conformance with the details shown on the plans, the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications, the provisions under "Maintaining Traffic" and "Construction Area Signs" of these special provisions, and these special provisions.

The provisions in this section will not relieve the Contractor from the responsibility to provide additional devices or take measures as may be necessary to comply with the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications.

If components in the traffic control system are displaced or cease to operate or function as specified, from any cause, during the progress of the work, the Contractor shall immediately repair the components to the original condition or replace the components and shall restore the components to the original location.

When lane closures are made for work periods only, at the end of each work period, components of the traffic control system, except portable delineators placed along open trenches or excavation adjacent to the traveled way, shall be removed from the traveled way and shoulder. If the Contractor so elects, the components may be stored at selected central locations designated by the Engineer within the limits of the highway right of way.

Each vehicle used to place, maintain and remove components of a traffic control system on multilane highways shall be equipped with a Type II flashing arrow sign, cellular phones and radios which shall be in operation when the vehicle is being used for placing, maintaining or removing the components. Vehicles equipped with Type II flashing arrow sign not involved in placing, maintaining or removing the components when operated within a stationary type lane closure shall only display the caution display mode. The sign shall be controllable by the operator of the vehicle while the vehicle is in motion. The flashing arrow sign shown on the plans shall not be used on the vehicles which are doing the placing, maintaining and removing of components of a traffic control system and shall be in place before a lane closure requiring the sign's use is completed.

On two-lane, two-way roadways, one-way traffic shall be controlled through the project in conformance with the plan entitled "Traffic Control System for Lane Closure on Two Lane Conventional Highways" and these special provisions.

The contract lump sum price paid for traffic control system shall include full compensation for furnishing all labor (except for flagging costs), materials (including signs), tools, equipment, and incidentals (including cellular phones and radios), and for doing all the work involved in placing, removing, storing, maintaining, moving to new locations, replacing, and disposing of the components of the traffic control system and for furnishing and operating the pilot car, (including driver, radios, other equipment, and labor required), as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer. Flagging costs will be paid for as provided in Section 12-2.02, "Flagging Costs," of the Standard Specifications.

The adjustment provisions in Section 4-1.03, "Changes," of the Standard Specifications shall not apply to the item of traffic control system. Adjustments in compensation for traffic control system will be made only for increased or decreased traffic control system required by changes ordered by the Engineer and will be made on the basis of the cost of the increased or decreased traffic control necessary. The adjustment will be made on a force account basis as provided in Section 9-1.03, "Force Account Payment," of the Standard Specifications for increased work and estimated on the same basis in the case of decreased work.

Traffic control system required by work which is classed as extra work, as provided in Section 4-1.03D of the Standard Specifications, will be paid for as a part of the extra work.

## 10-1.15 TRAFFIC CONTROL SURVEILLANCE

Attention is directed to 7-1.09, "Public Safety," of the Standard Specifications and to the Section entitled "Traffic Control System for Lane Closure" elsewhere in these special provisions, and these special provisions. Nothing in these special provisions shall be construed as relieving the Contractor from the responsibilities specified in Section 7-1.09.

In addition to the requirements elsewhere in these special provisions, the Contractor shall provide traffic control surveillance 24 hours per day, 7 days per week when the roadway width is reduced during Stage construction. The vehicle provided shall not be the vehicle used to set up or remove lane and ramp closures.

Surveillance shall consist of providing one employee with a pickup truck at all times to maintain continuous traffic surveillance including patrolling the project and looking for any traffic related incident within the job limits that may delay public traffic and keeping the travel clear of any obstructions. Traffic handling devices damaged or destroyed shall immediately be temporarily replaced with flashers, flares, reflective devices or any other suitable means necessary to protect the traveling public before the next shift .

The pickup truck (907 Kg rating) shall be equipped with rotary warning light, arrow board, front bumper push bar with rubber face, cellular phone, winch rated at minimum of 3639 kg, trailer hitch and balls (4.76 cm and 5.0 cm), radios, and "CAUTION" sign attached to the tailgate, and any other items necessary to preserve and maintain traffic. The pickup truck shall have less than 80,465 km on the odometer. Other items carried on the pickup shall include unleaded gasoline, diesel fuel, funnel-flexible spout, first aid kit, fire extinguisher aggregate rating of at least 4-B, C units, pry bar-1 m or longer, water, 0.6 m push broom, square point shovel, fuses (highway flares-15 minutes), cones, hydraulic jack-2 ton floor, wood blocks, four way lug wrench(metric and standard), flashlight and spare batteries, booster cables, programmable scanners for scanning Caltrans and CHP, hydraulic jack-trolley type, heavy duty, 60 amp battery, mechanic's tool kit, 19 liter can with lid filled with absorbent material, and external speaker and address system.

The provisions in this section will not relieve the Contractor from his responsibility to provide such additional devices or take such measures as may be necessary to comply with the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications.

The contract unit price paid for traffic control surveillance shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in traffic control surveillance, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.16 TEMPORARY PAVEMENT DELINEATION

Temporary pavement delineation shall be furnished, placed, maintained, and removed in conformance with the provisions in Section 12-3.01, "General," of the Standard Specifications and these special provisions. Nothing in these special provisions shall be construed as reducing the minimum standards specified in the Manual of Traffic Controls published by the Department or as relieving the Contractor from the responsibilities specified in Section 7-1.09, "Public Safety," of the Standard Specifications.

### GENERAL

Whenever the work causes obliteration of pavement delineation, temporary or permanent pavement delineation shall be in place prior to opening the traveled way to public traffic. Laneline or centerline pavement delineation shall be provided at all times for traveled ways open to public traffic. On multilane roadways (freeways and expressways) edgeline delineation shall be provided at all times for traveled ways open to public traffic.

The Contractor shall perform the work necessary to establish the alignment of temporary pavement delineation, including required lines or marks. Surfaces to receive temporary pavement delineation shall be dry and free of dirt and loose material. Temporary pavement delineation shall not be applied over existing pavement delineation or other temporary pavement delineation. Temporary pavement delineation shall be maintained until superseded or replaced with a new pattern of temporary pavement delineation or permanent pavement delineation.

Temporary pavement markers, including underlying adhesive, and removable traffic tape which are applied to the final layer of surfacing or existing pavement to remain in place or which conflicts with a subsequent or new traffic pattern for the area shall be removed when no longer required for the direction of public traffic, as determined by the Engineer.

## TEMPORARY CENTERLINE AND LANELINE DELINEATION

Whenever centerlines are obliterated and temporary pavement delineation to replace the lines is not shown on the plans, the minimum centerline delineation to be provided for that area shall be temporary pavement markers placed at longitudinal intervals of not more than 7.3 m. The temporary pavement markers shall be the same color as the centerline the pavement markers replace. Temporary pavement markers shall be, at the option of the Contractor, one of the temporary pavement markers listed for short term day/night use (14 days or less) or long term day/night use (6 months or less) in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. The temporary pavement markers shall be placed in conformance with the manufacturer's instructions. Temporary pavement markers for long term day/night use (6 months or less) shall be cemented to the surfacing with the adhesive recommended by the manufacturer, except epoxy adhesive shall not be used to place the temporary pavement markers in areas where removal of the temporary pavement markers will be required.

Whenever lanelines are obliterated and temporary pavement delineation to replace the lines is not shown on the plans, the laneline delineation to be provided shall be a solid 100-mm wide white traffic stripe on a 10.98 m- 3.66 m pattern (Detail 11, on page A20A of the Standard Plans).Traffic stripe (100-mm wide) placed as temporary laneline delineation which will require removal shall conform to the provisions of "Temporary Traffic Stripe (Tape)" of these special provisions. Where removal of the 100-mm wide traffic stripe will not be required, painted traffic stripe conforming to the provisions of "Temporary Traffic Stripe (Paint)" of these special provisions may be used. The quantity of temporary traffic stripe (tape) or temporary traffic stripe (paint) used for this temporary laneline delineation will not be included in the quantities of tape or paint to be paid for. Temporary traffic stripe (paint) shall not be used for temporary laneline delineation on the final layer of surfacing.

On the final layer of surfacing only, whenever lanelines are obliterated and temporary pavement delineation to replace the lines is not shown on the plans, the minimum laneline delineation to be provided for that area shall be temporary pavement markers placed at longitudinal intervals of not more than 7.3 m. The temporary pavement markers shall be the same color as the laneline the pavement markers replace. Temporary pavement markers shall be, at the option of the Contractor, one of the temporary pavement markers listed for short term day/night use (14 days or less) or long term day/night use (6 months or less) in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. The temporary pavement markers shall be placed in conformance with the manufacturer's instructions. Temporary pavement markers for long term day/night use (6 months or less) shall be cemented to the surfacing with the adhesive recommended by the manufacturer, except epoxy adhesive shall not be used to place the temporary pavement markers in areas where removal of the temporary pavement markers will be required.

Temporary laneline or centerline delineation consisting entirely of temporary pavement markers listed for short term day/night use (14 days or less), shall be placed on longitudinal intervals of not more than 7.3 m and shall be used for a maximum of 14 days on lanes opened to public traffic. Prior to the end of the 14 days the permanent pavement delineation shall be placed. If the permanent pavement delineation is not placed within the 14 days, the Contractor shall replace the temporary pavement markers and provide additional temporary pavement delineation and shall bear the cost thereof. The additional temporary pavement delineation to be provided shall be equivalent to the pattern specified for the permanent pavement delineation for the area, as determined by the Engineer.

Where "no passing" centerline pavement delineation is obliterated, the following "no passing" zone signing shall be installed prior to opening the lanes to public traffic. C18 (ROAD CONSTRUCTION AHEAD) or C23 (ROAD WORK AHEAD) signs shall be installed from 300 m to 600 m ahead of "no passing" zones. R63 (DO NOT PASS) signs shall be installed at the beginning and at every 600-m interval within "no passing" zones. For continuous zones longer than 3 km, W71 (NEXT _____ MILES) signs shall be installed beneath the C18 or C23 signs installed ahead of "no passing" zones. R64 (PASS WITH CARE) signs shall be installed at the end of "no passing" zones. The exact location of "no passing" zone signing will be as determined by the Engineer and shall be maintained in place until permanent "no passing" centerline pavement delineation has been applied. The signing for "no passing" zones, shall be removed when no longer required for the direction of public traffic. The signing for "no passing" zones shall conform to the provisions in "Construction Area Signs" of these special provisions, except for payment.

Full compensation for furnishing, placing, maintaining, and removing the temporary pavement markers (including underlying adhesive, layout (dribble) lines to establish alignment of temporary pavement markers or used for temporary laneline and centerline delineation and signing specified for "no passing" zones) for those areas where temporary laneline and centerline delineation is not shown on the plans and for providing equivalent patterns of permanent traffic

lines for those areas when required, shall be considered as included in the contract prices paid for the items of work that obliterated the laneline and centerline pavement delineation and no separate payment will be made therefor.

## TEMPORARY EDGELINE DELINEATION

On multilane roadways (freeways and expressways), whenever edgelines are obliterated and temporary pavement delineation to replace those edgelines is not shown on the plans, the edgeline delineation to be provided for those

areas adjacent to lanes open to public traffic shall be a solid 100-mm wide traffic stripe of the same color as the stripe the temporary edgeline delineation replaces..

On multilane roadways (freeways and expressways), on the final layer of surfacing only, whenever edgelines are obliterated and temporary pavement delineation to replace those edgelines is not shown on the plans, the edgeline delineation to be provided for those areas adjacent to lanes open to public traffic shall be as follows:

A. Temporary pavement delineation for right edgelines shall, at the option of the Contractor, consist of either a solid 100-mm wide traffic stripe of the same color as the stripe the temporary edgeline delineation replaces, or traffic cones, portable delineators or channelizers placed at longitudinal intervals not to exceed 30 m.
B. Temporary pavement delineation for left edgelines shall, at the option of the Contractor, consist of either solid 100-mm wide traffic stripe of the same color as the stripe the temporary edgeline delineation replaces, traffic cones, portable delineators or channelizers placed at longitudinal intervals not to exceed 30 m or temporary pavement markers placed at longitudinal intervals of not more than 1.8 m. Temporary pavement markers used for temporary left edgeline delineation shall be one of the types of temporary pavement markers listed for short term day/night use (14 days or less) or long term day/night use (6 months or less) in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Traffic stripe (100-mm wide) placed as temporary edgeline delineation which will require removal shall conform to the provisions of "Temporary Traffic Stripe (Tape)" of these special provisions. Where removal of the 100-mm wide traffic stripe will not be required, painted traffic stripe conforming to the provisions of "Temporary Traffic Stripe (Paint)" of these special provisions may be used. The quantity of temporary traffic stripe (tape) or temporary traffic stripe (paint) used for this temporary edgeline delineation will not be included in the quantities of tape or paint to be paid for.

Temporary traffic stripe (paint) shall not be used for temporary edgeline delineation on the final layer of surfacing.

The lateral offset for traffic cones, portable delineators or channelizers used for temporary edgeline delineation shall be as determined by the Engineer. If traffic cones or portable delineators are used as temporary pavement delineation for edgelines, the Contractor shall provide personnel to remain at the project site to maintain the cones or delineators during the hours of the day that the portable delineators are in use.

Channelizers used for temporary edgeline delineation shall be the surface mounted type and shall be orange in color. Channelizer bases shall be cemented to the pavement in the same manner provided for cementing pavement markers to pavement in "Pavement Markers" of these special provisions, except epoxy adhesive shall not be used to place channelizers on the top layer of pavement. Channelizers shall be, at the Contractor's option, one of the surface mount types (900 mm) listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Temporary edgeline delineation shall be removed when no longer required for the direction of public traffic as determined by the Engineer.

Full compensation for furnishing, placing, maintaining, and removing temporary edgeline delineation, including underlying adhesive, for those areas where temporary edgeline delineation is not shown on the plans shall be considered as included in the contract prices paid for the items of work that obliterated the edgeline pavement delineation and no separate payment will be made therefor.

**TEMPORARY TRAFFIC STRIPE (TAPE)**

Temporary traffic stripe consisting of removable traffic stripe tape shall be applied at the locations shown on the plans. The temporary traffic stripe tape shall be complete in place at the location shown prior to opening the traveled way to public traffic.

Removable traffic stripe tape shall be the temporary removable traffic stripe tape listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Removable traffic stripe tape shall be applied in conformance with the manufacturer's installation instructions and shall be rolled slowly with a rubber tired vehicle or roller to ensure complete contact with the pavement surface. Traffic stripe tape shall be applied straight on tangent alignment and on a true arc on curved alignment. Traffic stripe tape shall not be applied when the air or pavement temperature is less than 10°C, unless the installation procedures to be used are approved by the Engineer, prior to beginning installation of the tape.

When removable traffic stripe tape is specified for temporary left edgeline delineation, temporary pavement markers placed at longitudinal intervals of not more than 1.8 m may be used in place of the temporary traffic stripe tape. Temporary pavement markers shall be one of the types of temporary pavement markers listed for long term day/night use (6 months or less) in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. When temporary pavement markers are used in place of tape, payment for those temporary pavement markers will be made on the basis of the theoretical length of the temporary traffic stripe (tape) required for the left edgeline which the temporary pavement markers replace.

## TEMPORARY TRAFFIC STRIPE (PAINT)

Temporary traffic stripe consisting of painted traffic stripe shall be applied and maintained at the locations shown on the plans. The painted temporary traffic stripe shall be complete in place at the location shown prior to opening the traveled way to public traffic. Removal of painted temporary traffic stripe will not be required.

Temporary painted traffic stripe shall conform to the provisions in Section 84-3, "Painted Traffic Stripes And Pavement Markings," of the Standard Specifications, except for payment. At the option of the Contractor, either one or 2 coats shall be applied regardless of whether on new or existing pavement.

At the Contractor's option, temporary removable striping tape listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions may be used instead of painted temporary traffic stripes. When traffic stripe tape is used in place of painted temporary traffic stripes, the tape will be measured and paid for by the meter as temporary traffic stripe (paint).

When painted traffic stripe is specified for temporary left edgeline delineation, temporary pavement markers placed at longitudinal intervals of not more than 1.8 m may be used in place of the temporary painted traffic stripe. Temporary pavement markers shall be one of the types of temporary pavement markers listed for long term day/night use (6 months or less) in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. When temporary reflective pavement markers are used in place of temporary painted traffic stripe, payment for those temporary pavement markers will be made on the basis of the theoretical quantity of temporary traffic stripe (paint) required for the left edgeline the temporary pavement markers replace.

## TEMPORARY PAVEMENT MARKERS

Temporary pavement markers shall be applied at the locations shown on the plans. The pavement markers shall be applied complete in place at the locations shown prior to opening the traveled way to public traffic.

Temporary pavement markers shown on the plans shall be, at the option of the Contractor, one of the temporary pavement markers for long term day/night use (6 months or less) listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Temporary pavement markers shall be placed in conformance with the manufacturer's instructions and shall be cemented to the surfacing with the adhesive recommended by the manufacturer, except epoxy adhesive shall not be used in areas where removal of the pavement markers will be required.

Where the temporary pavement delineation shown on the plans for lanelines or centerlines consists entirely of a pattern of broken traffic stripe and pavement markers, the Contractor may use groups of the temporary pavement markers for long term day/night use (6 months or less) in place of the temporary traffic stripe tape or painted temporary traffic stripe. The groups of pavement markers shall be spaced as shown on the plans for a similar pattern of permanent traffic line, except pavement markers shown to be placed in the gap between the broken traffic stripe shall be placed as part of the group to delineate the pattern of broken temporary traffic stripe. The kind of laneline and centerline delineation selected by the Contractor shall be continuous within a given location. Payment for those temporary pavement markers used in place of temporary traffic stripe will be made on the basis of the theoretical length of the patterns of temporary traffic stripe (tape) or temporary traffic stripe (paint).

Retroreflective pavement markers conforming to the provisions in Section 85, "Pavement Markers," of the Standard Specifications may be used in place of temporary pavement markers for long term day/night use (6 months or less) except to simulate patterns of broken traffic stripe. Placement of the retroreflective pavement markers used for temporary pavement markers shall conform to the provisions in "Pavement Markers" of these special provisions except the waiting period provisions before placing the pavement markers on new asphalt concrete surfacing as specified in Section 85-1.06, "Placement," of the Standard Specifications shall not apply and epoxy adhesive shall not be used to place pavement markers in areas where removal of the pavement markers will be required.

## MEASUREMENT AND PAYMENT

Temporary traffic stripe (tape) will be measured and paid for by the meter, measured along the line of the stripe, with deductions for gaps in broken traffic stripes. Double and 200-mm temporary traffic stripes, shown on the plans as tape, will be measured as 2 temporary traffic stripes (tape).

Temporary traffic stripe (paint) will be measured and paid for in the same manner specified for paint traffic stripe (1-coat) in Section 84-3.06, "Measurement," and Section 84-3.07, "Payment," of the Standard Specifications.

Temporary pavement markers, shown on the plans, will be measured and paid for by the unit in the same manner specified for retroreflective pavement markers in Section 85-1.08, "Measurement," and Section 85-1.09, "Payment," of the Standard Specifications. Temporary pavement markers used for temporary laneline and centerline delineation for areas which are not shown on the plans will not be included in the quantities of temporary pavement markers to be paid for. Full compensation for removing temporary pavement markers, when no longer required, shall be considered as included in the contract unit price paid for temporary pavement marker and no separate payment will be made therefor.

The contract price paid per meter for temporary traffic stripe (tape) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying, maintaining and removing temporary traffic stripe tape, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### 10-1.17 TRAFFIC PLASTIC DRUMS

Traffic plastic drums shall conform to the requirements for traffic control devices in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Traffic plastic drums shall be constructed of low-density polyethylene material and shall be flexible or collapsible upon impact by a vehicle. The traffic plastic drum shall have a weighted base that will separate from the drum. The base shall be of such shape as to preclude rolling upon impact by a vehicle. The base shall be of sufficient weight to maintain the drum in position and upright. The base or external ballast rings shall not exceed 101.6 mm in height, and drum rings shall not exceed 965.2 mm maximum in diameter. The base or external rings placed over and around the drum, resting on the pavement or ground shall contain the ballast for the drums. Ballast for drums shall be sand or water, except sand shall be used in areas susceptible to freezing. The base shall have drain holes to prevent the accumulation of water. Sand bags shall not be used as ballast for drums.

The body of the traffic plastic drum shall be of a fluorescent orange or predominately orange color. Drums shall be a minimum of 914.4 mm in height above the traveled way, and have at least an 457.2 mm minimum width, regardless of orientation.

The markings on drums shall be horizontal, circumferential, alternating orange and white reflective bands 101.6 to 152.4 mm wide. Each drum shall have a minimum of 2 orange and 2 white bands. The top of the uppermost reflective band shall be no lower than 152.4 mm from the top of the drum. Any non-reflective spaces between the bands shall not exceed 50.8 mm in width. The reflective sheeting shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials," elsewhere in these special provisions.

Only one type of traffic plastic drum shall be used on the project. The type of traffic plastic drum proposed for use on the project shall be submitted to the Engineer for approval, prior to placement on the project.

In curvilinear alignment traffic plastic drums shall be used only on one side of the traveled way. Traffic plastic drums shall be placed on the alignment and location shown on the plans, or directed by the Engineer. Traffic plastic drums shall be placed uniformly, straight on tangent alignment and on a true arc on curved alignment. All layout work necessary to place the traffic plastic drums to the proper alignment shall be performed by the Contractor.

If traffic plastic drums are displaced or are not in an upright position, from any cause, the traffic plastic drums shall immediately be replaced or restored to their original location, in an upright position, by the Contractor.

At the option of the Contractor, where portable delineators, cones or Type I or II barricades are specified in the specifications or shown on the plans, traffic plastic drums may be used in place of those portable delineators, cones or Type I or II barricades.

At the completion of the project, traffic plastic drums shall become the property of the Contractor and removed from the site of the work.

Traffic plastic drums will be measured as units from actual count of the number of traffic plastic drum designated on the plans or ordered by the Engineer. After initial placement of traffic plastic drums , and if ordered by the Engineer, the traffic plastic drums shall be moved from location to location and the cost thereof will be paid for as extra work as provided in Section 4-1.03D. Traffic plastic drums which are used as part of traffic control system in place of cones, delineators or barricades or which are used in accordance with the requirements of "Public Safety" elsewhere in these special provisions or which are placed in excess of the number specified or shown will not be included in the count of traffic plastic drums to be paid for.

The contract unit price paid for traffic plastic drum shall include full compensation for furnishing all labor, materials (including ballast), tools, equipment, and incidentals, and for doing all the work involved in furnishing, placing, maintaining, repairing, replacing and removing the traffic plastic drum, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### 10-1.18 TEMPORARY OBJECT MARKER

Temporary object markers shall conform to the requirements for object markers in Section 82, "Markers and Delineators," of the Standard Specifications and these special provisions.

Markers shall be on flexible posts and shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. Flexible posts shall be made from a flexible white plastic which shall be resistant to impact, ultraviolet light, ozone, and hydrocarbons. Flexible posts shall resist stiffening with age and shall be free of burns, discoloration, contamination, and other objectionable marks or defects which affect appearance or serviceability.

Retroreflective sheeting for flexible target plates shall be the retroreflective sheeting designated for channelizers, markers, and delineators conforming to the requirements in ASTM Designation: D 4956-95 and in conformance with the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

When no longer required, as determined by the Engineer, temporary object markers shall be removed and disposed of outside the highway right of way in accordance with the provisions in Section 7-1.13,"Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Temporary object markers will be measured and paid for in the same manner specified for delineators in Section 82 of the Standard Specifications.

Full compensation for removing and disposing of temporary object markers shall be considered as included in the contract unit price paid for temporary object marker and no additional compensation will be made therefor.

**TEMPORARY TERMINAL SYSTEM (TYPE SRT)**

Temporary terminal system (Type SRT) shall be furnished, installed and removed in conformance with the provisions in Section 83-1, "Railings," of the Standard Specifications and these special provisions.

Attention is directed to "Order of Work" of these special provisions.

Line posts and blocks shall be wood.

Temporary terminal system (Type SRT) shall be a SRT-350 Slotted Rail Terminal (8 post system) as manufactured by Trinity Industries, Inc., and shall include all the items detailed for terminal system (Type SRT) shown on the plans.

The 5 mm x 44 mm x 75 mm plate washer shown on the elevation view and in Section D-D at Wood Post No. 1 shall be omitted.

Arrangements have been made to insure that any successful bidder can obtain the SRT-350 Slotted Rail Terminal (8 post system) from the manufacturer, Trinity Industries, Inc., P.O. Box 99, 950 West 400S, Centerville, UT 84014, Telephone 1-800-772-7976. The price quoted by the manufacturer for the SRT-350 Slotted Rail Terminal (8 post system), FOB Centerville, Utah is $845.00, not including sales tax.

The above price will be firm for orders placed on or before December 31, 2003, provided delivery is accepted within 90 days after the order is placed.

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall certify that terminal systems (Type SRT) conform to the contract plans and specifications, conform to the prequalified design and material requirements and were manufactured in conformance with the approved quality control program.

The temporary terminal system (Type SRT) shall be installed in conformance with the manufacturer's installation instructions and these requirements. The steel foundation tubes with soil plates attached, shall be, at the Contractor's option, either driven, with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. Wood terminal posts shall be inserted into the steel foundation tubes by hand. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood terminal posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

Surplus excavated material remaining after the temporary terminal system (Type SRT) has been constructed shall be disposed of in a uniform manner along the adjacent roadway where designated by the Engineer.

When no longer required, as determined by the Engineer, temporary terminal systems (Type SRT) shall be removed and disposed of outside the highway right of way in accordance with the provisions in Section 7-1.13,"Disposal of Material Outside the Highway Right of Way," of the Standard Specifications or, at the Contractor's option, may be used as terminal system (Type SRT) on the project as long as it is not damaged.

Temporary terminal system (Type SRT) will be measured and paid for in the same manner specified for terminal system (Type SRT) in Section 83 of the Standard Specifications.

Full compensation for removing and disposing of the temporary terminal systems (Type SRT) shall be considered as included in the contract unit price paid for temporary terminal system (Type SRT) and no additional compensation will be allowed therefor.

## 10-1.19 TEMPORARY DELINEATORS

Temporary delineators shall conform to the requirements for class 2 delineators in Section 82,"Markers and Delineators," of the Standard Specifications and these special provisions.

Temporary delineators on flexible posts shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. Flexible posts shall be made from a flexible white plastic which shall be resistant to impact, ultraviolet light, ozone, and hydrocarbons. Flexible posts shall resist stiffening with age and shall be free of burns, discoloration, contamination, and other objectionable marks or defects which affect appearance or serviceability.

Retroreflective sheeting for metal and flexible target plates shall be the retroreflective sheeting designated for channelizers, markers, and delineators conforming to the requirements in ASTM Designation: D 4956-95 and in conformance with the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

When no longer required, as determined by the Engineer, temporary delineators shall be removed and disposed of outside the highway right of way in accordance with the provisions in Section 7-1.13,"Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Temporary delineator (Class 2) will be measured and paid for in the same manner specified for delineators in Section 82 of the Standard Specifications.

Full compensation for removing and disposing of temporary delineators shall be considered as included in the contract unit price paid for temporary delineator (Class 2) and no separate additional compensation will be allowed therefor.

### 10-1.20 PORTABLE CHANGEABLE MESSAGE SIGN

Portable changeable message signs shall be furnished, placed, operated, and maintained during each lane, ramp and shoulder closure and any detour (on-site and off-site) at those locations shown on the plans or where designated by the Engineer in conformance with the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

The number of portable changeable message signs required at any one time will be determined by the number of lane, ramp, and shoulder closures , and detours (on-site and off-site) that the Contractor determines are necessary for his operation.

Portable changeable message signs will be paid for on a lump sum basis.

The contract lump sum price paid for portable changeable message sign shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in furnishing, placing, operating, maintaining, repairing, replacing, changing messages daily as requested by the Engineer, transporting from location to location, and removing the portable changeable message signs, complete in place, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Attention is directed to "Maintaining Traffic" of these special provisions regarding the use of the portable changeable message signs.

### 10-1.21 TEMPORARY RAILING

Temporary railing (Type K) shall be placed as shown on the plans, as specified in the Standard Specifications or these special provisions or where ordered by the Engineer and shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Reflectors on temporary railing (Type K) shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Attention is directed to "State-Furnished Materials" of these special provisions. Any additional quantities needed to complete the contractor work shall be obtained by the Contractor and shall be considered as included in the contract price paid per meter for temporary railing (type k) and no additional compensation will be allowed therefor.

Temporary railing (Type K) shall conform to the details shown on Standard Plan T3. Temporary railing (Type K) fabricated prior to January 1, 1993, and conforming to 1988 Standard Plan B11-30 may be used, provided the fabrication date is printed on the required Certificate of Compliance.

Attention is directed to "Public Safety" and "Order of Work" of these special provisions.

Temporary railing (Type K) placed in conformance with the provisions in "Public Safety" of these special provisions will be neither measured nor paid for.

The state furnished temporary railing (Type K) shall become the property of the Contractor at the end of the contract. This Temporary railing is pinned to the concrete pavement. Following removal of pins 25mm below finish grade, voids in concrete pavement shall be cleaned and filled with cementitious backfill materials or other methods as approved by the Engineer. Full compensation for removing pins and cleaning and backfilling voids shall be considered as included in the contract price paid per meter for temporary railing (type K) and no additional compensation will be allowed therefor.

### 10-1.22 TEMPORARY CRASH CUSHION MODULE

This work shall consist of furnishing, installing, and maintaining sand filled temporary crash cushion modules in groupings or arrays at each location shown on the plans, as specified in these special provisions or where designated by the Engineer. The grouping or array of sand filled modules shall form a complete sand filled temporary crash cushion in conformance with the details shown on the plans and these special provisions.

Attention is directed to "Public Safety", "Order of Work", and "Temporary Railing" of these special provisions.

Whenever the work or the Contractor's operations establishes a fixed obstacle, the exposed fixed obstacle shall be protected with a sand filled temporary crash cushion. The sand filled temporary crash cushion shall be in place prior to opening the lanes adjacent to the fixed obstacle to public traffic.

Sand filled temporary crash cushions shall be maintained in place at each location, including times when work is not actively in progress. Sand filled temporary crash cushions may be removed during a work period for access to the work provided that the exposed fixed obstacle is 4.6 m or more from a lane carrying public traffic and the temporary crash cushion is reset to protect the obstacle prior to the end of the work period in which the fixed obstacle was exposed. When no longer required, as determined by the Engineer, sand filled temporary crash cushions shall be removed from the site of the work.

At the Contractor's option, the modules for use in sand filled temporary crash cushions shall be either Energite III Inertial Modules, Fitch Inertial Modules or TrafFix Sand Barrels manufactured after March 31, 1997, or equal:

A. Energite III and Fitch Inertial Modules, manufactured by Energy Absorption Systems, Inc., One East Wacker Drive, Chicago, IL 60601-2076. Telephone 1-312-467-6750, FAX 1-800-770-6755

   1. Distributor (North): Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828. Telephone 1-800-884-8274, FAX 1-916-387-9734
   2. Distributor (South): Traffic Control Service, Inc., 1881 Betmor Lane, Anaheim, CA 92805. Telephone 1-800-222-8274, FAX 1-714-937-1070

B. TrafFix Sand Barrels, manufactured by TrafFix Devices, Inc., 220 Calle Pintoresco, San Clemente, CA 92672. Telephone 1-949 361-5663, FAX 1-949 361-9205

   1. Distributor (North): United Rentals, Inc., 1533 Berger Drive, San Jose, CA 95112.
   2. Distributor (South): Statewide Safety & Sign, Inc., P.O. Box 1440, Pismo Beach, CA 93448. Telephone 1-800-559-7080, FAX 1-805 929-5786

Modules contained in each temporary crash cushion shall be of the same type at each location. The color of the modules shall be the standard yellow color, as furnished by the vendor, with black lids. The modules shall exhibit good workmanship free from structural flaws and objectionable surface defects. The modules need not be new. Good used undamaged modules conforming to color and quality of the types specified herein may be utilized. If used Fitch modules requiring a seal are furnished, the top edge of the seal shall be securely fastened to the wall of the module by a continuous strip of heavy duty tape.

Modules shall be filled with sand in conformance with the manufacturer's directions, and to the sand capacity in kilograms for each module shown on the plans. Sand for filling the modules shall be clean washed concrete sand of commercial quality. At the time of placing in the modules, the sand shall contain not more than 7 percent water as determined by California Test 226.

Modules damaged due to the Contractor's operations shall be repaired immediately by the Contractor at the Contractor's expense. Modules damaged beyond repair, as determined by the Engineer, due to the Contractor's operations shall be removed and replaced by the Contractor at the Contractor's expense.

Temporary crash cushion modules shall be placed on movable pallets or frames conforming to the dimensions shown on the plans. The pallets or frames shall provide a full bearing base beneath the modules. The modules and supporting pallets or frames shall not be moved by sliding or skidding along the pavement or bridge deck.

A Type R or P marker panel shall be attached to the front of the crash cushion as shown on the plans, when the closest point of the crash cushion array is within 3.6 m of the traveled way. The marker panel, when required, shall be firmly fastened to the crash cushion with commercial quality hardware or by other methods determined by the Engineer.

At the completion of the project, temporary crash cushion modules, sand filling, pallets or frames, and marker panels shall become the property of the Contractor and shall be removed from the site of the work. Temporary crash cushion modules shall not be installed in the permanent work.

Temporary crash cushion modules will be measured by the unit as determined from the actual count of modules used in the work or ordered by the Engineer at each location. Temporary crash cushion modules placed in conformance with the provisions in "Public Safety" of these special provisions and modules placed in excess of the number specified or shown will not be measured nor paid for.

Repairing modules damaged by public traffic will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications. Modules damaged beyond repair by public traffic, when ordered by the Engineer, shall be removed and replaced immediately by the Contractor. Modules replaced due to damage by public traffic will be measured and paid for as temporary crash cushion module.

If the Engineer orders a lateral move of the sand filled temporary crash cushions and the repositioning is not shown on the plans, moving the sand filled temporary crash cushion will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications and these temporary crash cushion modules will not be counted for payment in the new position.

The contract unit price paid for temporary crash cushion module shall include full compensation for furnishing all labor, materials (including sand, pallets or frames and marker panels), tools, equipment, and incidentals, and for doing all the work

involved in furnishing, installing, maintaining, moving, and resetting during a work period for access to the work, and removing from the site of the work when no longer required (including those damaged by public traffic) sand filled temporary crash cushion modules, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.23 EXISTING HIGHWAY FACILITIES

The work performed in connection with various existing highway facilities shall conform to the provisions in Section 15, "Existing Highway Facilities," of the Standard Specifications and these special provisions.

Plans of the existing bridges may be requested by fax from the Office of Structure Maintenance and Investigations, 1801 30th Street, Sacramento, CA, Fax (916) 227-8357.

Plans of the existing bridges available to the Contractor are reproductions of the original contract plans with significant changes noted and working drawings and do not necessarily show normal construction tolerances and variances. Where dimensions of new construction required by this contract are dependent on the dimensions of the existing bridges, the Contractor shall verify the controlling field dimensions and shall be responsible for adjusting dimensions of the work to fit existing conditions.

### REMOVE DELINEATORS, OBJECT MARKERS AND HIGHWAY POST MARKERS

Existing delineators, object markers and highway post markers when directed by the Engineer, shall be removed and disposed of.

Full compensation for removing and disposing of delineators, object markers and highway post markers shall be considered as included in the contract prices paid for delineator (Class 2), object marker (Type L-1) and highway post marker and no separate payment will be made therefor.

### OBLITERATE SURFACING

Existing surfacing, when no longer required for the passage of public traffic, shall be obliterated at the locations shown on the plans.

Surfacing shall not be obliterated by the earth cover method.

Obliteration shall consist of rooting, plowing, pulverizing or scarifying the existing surfacing in conformance with the provisions in Section 15-2.02A, "Obliterating Roads and Detours," of the Standard Specifications.

### REMOVE FENCE

Existing chain link fence, at those locations shown on the plans to be removed, shall be removed and disposed of.

### REMOVE GATE

Existing gates, at those locations shown on the plans to be removed, shall be removed and disposed of.

### REMOVE METAL BEAM GUARD RAILING

Existing metal beam guard railing, where shown on the plans to be removed, shall be removed and disposed of.

Existing concrete anchors or steel foundation tubes shall be completely removed and disposed of.

Full compensation for removing cable anchor assemblies, terminal anchor assemblies or steel foundation tubes shall be considered as included in the contract price paid per meter for remove metal beam guard railing and no separate payment will be made therefor.

### REMOVE DOUBLE METAL BEAM BARRIER

Existing double metal beam barrier, where shown on the plans to be removed, shall be removed and disposed of.

Existing concrete anchors shall be completely removed and disposed of.

Full compensation for removing cable anchor assemblies shall be considered as included in the contract price paid per meter for remove double metal beam barrier and no separate payment will be made therefor.

### REMOVE FLARED END SECTION

Existing flared end sections, at locations shown on the plans to be removed, shall be removed and disposed of.

### REMOVE TRAFFIC STRIPE

Traffic stripe shall be removed at the locations shown on the plans and as directed by the Engineer.

### REMOVE ROADSIDE SIGN

Existing roadside signs, at those locations shown on the plans to be removed, shall be removed and disposed of.

Existing roadside signs shall not be removed until replacement signs have been installed or until the existing signs are no longer required for the direction of public traffic, unless otherwise directed by the Engineer.

**REMOVE SIGN STRUCTURE**

Existing sign structures, where shown on the plans to be removed, shall be removed and disposed of.

Overhead sign structure removal shall consist of removing posts, frames, portions of foundations, where shown on plans, sign panels, walkways with safety railings, and sign lighting electrical equipment.

A sign structure shall not be removed until the structure is no longer required for the direction of public traffic.

Concrete foundations may be abandoned in place, except that the top portion, including anchor bolts, reinforcing steel, and conduits shall be removed to a depth of not less than 0.9 m below the adjacent finished grade. The resulting holes shall be backfilled and compacted with material equivalent to the surrounding material.

Electrical wiring shall be removed to the nearest pull box. Fuses within spliced connections in the pull box shall be removed and disposed of.

**REMOVE ASPHALT CONCRETE DIKE**

Existing asphalt concrete dike, where shown on the plans to be removed, shall be removed.

Prior to removing the dike, the outside edge of the asphalt concrete to remain in place shall be cut on a neat line to a minimum depth of 50 mm.

The dike shall be removed in such a manner that the surfacing which is to remain in place is not damaged.

The dike shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13 of the Standard Specifications.

**REMOVE DRAINAGE FACILITY**

Existing culverts, inlets, and downdrains, where any portion of these structures is within one meter of the grading plane in excavation areas, or within 0.3-m of original ground in embankment areas, or where shown on the plans to be removed, shall be completely removed and disposed of.

**REMOVE ASPHALT CONCRETE SURFACING**

Existing asphalt concrete surfacing shown on the plans to be removed, shall be removed to the depth shown on the plans.

The material removed shall be disposed of outside the highway right of way in conformance with the provisions in Section 15-2.03, "Disposal," of the Standard Specifications.

The contract price paid per square meter for remove asphalt concrete surfacing shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in removing the asphalt concrete surfacing as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**REMOVE ASPHALT CONCRETE OVERSIDE DRAIN**

Existing asphalt concrete overside drains, at locations shown on the plans to be removed, shall be removed and disposed of.

Asphalt concrete overside drains shall not be removed until their use is no longer required. The Contractor shall notify the Engineer in advance of any intended overside drain removal.

**REMOVE CONCRETE DECK SURFACE**

This work shall consist of removing portions of the portland cement concrete deck surfaces to a depth of 20 mm, abrasive blasting, and blowing clean the deck surfaces, as shown on the plans and as described in these special provisions.

The method of concrete removal shall be selected by the Contractor except that scarifiers, coldplaners, scabblers, and similar types of equipment or procedures that leave fractured aggregate or otherwise damage the concrete surface to remain shall not be used. Cold milling equipment may be used only when the depth of concrete removal is 25 mm or less.

Coarse aggregate remaining above the specified removal depth shall be firmly embedded in the remaining concrete.

High pressure water jet equipment, when used, shall have rotating jets and be rated at no less than 200 MPa. Adequate means shall be used to prevent water from the jetting operation from flowing across traffic lanes, or flowing into gutters or waterways.

Cold milling equipment shall have the capability to 1) remove concrete a minimum depth of 6 mm, 2) provide a surface relief of no more than 6 mm, and 3) maintain a 4-mm grade tolerance; and shall have the following features:

A. 3 or 4 riding tracks.

B. An automatic grade control system with an electronic averaging system having 3 sensors on each side of the equipment.
C. A conveyer system that leaves no debris on the bridge.
D. A drum that operates in an up-milling direction.
E. Bullet tooth tools with tungsten carbide steel cutting tips.
F. A 16-mm maximum tool spacing.
G. A maximum operating mass of 25400 kg.

The Contractor shall select which sensors are activated during the milling operation to produce the profile required as shown on the plans.

The cold milling equipment shall have a complete set of new tooth tools at the beginning of the job, and the tooth tools shall be replaced as necessary to perform the work satisfactorily.

The Contractor shall provide personnel on each side of the milling equipment to monitor the milling operation and maintain radio communication with the operator at all times during the milling operation.

After the deck has been blown clean, unsound concrete shall be removed, as specified under "Remove Unsound Concrete" of these special provisions. When the removal of unsound concrete has been completed, the entire surface shall be abrasive blast cleaned of all surface contaminants. The deck shall be dry when blast cleaning is performed.

Where abrasive blasting is being performed within 3 m of a lane occupied by public traffic, the residue including dust shall be removed immediately after contact between the abrasive and the surface being treated. Removal of the residue shall be performed by a vacuum attachment operating concurrently with the abrasive blasting operation.

Nothing in these special provisions shall relieve the Contractor from the responsibility to conform with the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications.

Equipment shall be fitted with suitable traps, filters, drip pans, or other devices, as necessary, to prevent oil or other deleterious material from being deposited on the deck.

All removed materials shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Remove concrete deck surface will be measured by the square meter of concrete deck surface to be removed based on dimensions shown on the plans.

The contract price paid per square meter for remove concrete deck surface shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in removing concrete deck surface, except removing unsound concrete, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**MODIFY WRECKER ANCHOR**

Existing wrecker anchor shall be modified as shown on the plans.

Existing drain shall be extended.

New steel pipe and plates shall conform to the provisions in Section 75-1.02 "Miscellaneous Iron and Steel" of the Standard Specifications.

Full compensation for extending existing drain, and furnishing and installing steel pipe and plates shall be considered as included in the contract unit price paid for modify wrecker anchor and no additional compensation will be allowed therefor.

**RECONSTRUCT METAL BEAM GUARD RAILING**

Existing metal beam guard railing, where shown on the plans to be reconstructed, shall be reconstructed.

Attention is directed to "Order of Work" of these special provisions regarding the reconstruction of metal beam guard railing at those locations exposed to public traffic.

Cable anchor assemblies or terminal anchor assemblies, including concrete anchors and steel foundation tubes, shall be completely removed and disposed of.

Posts, blocks, and other components of the removed metal beam guard railing, including terminal sections, that are not used in the reconstruction work shall be disposed of.

Full compensation for connecting reconstructed metal beam guard railing to existing structures, other flat concrete surfaces or terminal systems; and for removing and disposing of anchor assemblies shall be considered as included in the contract price paid per meter for reconstruct metal beam guard railing and no separate payment will be made therefor.

Terminal anchor assemblies (Type SFT) for reconstructed metal beam guard railing will be measured and paid for separately and shall conform to the provisions in "Metal Beam Guard Railing" of these special provisions.

Terminal System (Type SRT) for connection to reconstructed metal beam guard railing will be measured and paid for separately in conformance with the provisions in "Terminal System (Type SRT)" of these special provisions.

**RECONSTRUCT TERMINAL SYSTEM (TYPE SRT)**

Existing terminal systems (Type SRT), where shown on the plans to be reconstructed, shall be reconstructed.

Attention is directed to "Order of Work" of these special provisions regarding the reconstruction of metal beam guard railing at those locations exposed to public traffic.

New posts, blocks, and hardware shall be added as necessary to conform to the post spacing shown on the plans for new terminal systems (Type SRT). New posts and blocks shall be alternated with existing posts and blocks in the new location. New posts, blocks, and hardware shall conform to the provisions in Section 83-1.02B, "Metal Beam Guard Railing," of the Standard Specifications.

Posts, blocks, and other components of the removed terminal systems (Type SRT) that are not used in the reconstruction work shall be disposed of.

Full compensation for furnishing and installing new posts, blocks, and hardware; shall be considered as included in the contract unit price paid for reconstruct terminal system (Type SRT) and no separate payment will be made therefor.

**RESET ROADSIDE SIGN**

Existing roadside signs, where shown on the plans to be reset, shall be removed and reset.

Each roadside sign shall be reset on the same day that the sign is removed.

Two holes shall be drilled in each existing post as required to provide the breakaway feature shown on the plans.

**RELOCATE SIGN STRUCTURE**

Relocating sign structures shall consist of removing and relocating existing sign structures as shown on the plans.

Where shown on the plans, each existing concrete foundation, including anchor bolts, reinforcing steel, and conduit shall be removed to a depth of not less than 0.9 m below the adjacent finished grade. Electrical wiring, if any, shall be removed to the nearest pull box. Removed portions of the concrete foundations shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Where shown on the plans, new foundation work for relocated sign structures shall conform to the provisions in Section 56-1, "Overhead Sign Structures," of the Standard Specifications, except that full compensation for furnishing and installing a new anchor bolt assembly on each new foundation shall be considered as included in the contract price paid per meter for the size of cast-in-drilled-hole concrete pile (sign foundation) involved and no separate payment will be made therefor.

Existing sign panels shall be removed and disposed of.

Laminated sign panels shall be installed on relocated sign structures.

The contract unit price paid for relocate sign structures shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in relocating sign structures, complete in place, including removing and disposing of existing sign panels, removing and disposing of existing concrete foundations, installing laminated sign panels, and relocating existing mounting beams, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**ADJUST INLET**

Existing concrete drainage inlets shall be adjusted as shown on the plans.

Portland cement concrete shall be minor concrete or may be produced from commercial quality concrete containing not less than 350 kilograms of cement per cubic meter.

Where inlets are located in areas to be paved or surfaced, no individual structure shall be constructed to final grade until the paving or surfacing has been completed immediately adjacent to the structure.

**10-1.24 SCREEN ARRESTOR BED AGGREGATE**

This work shall consist of removing, screening and reshaping aggregate of existing arrestor beds shown on the plans and as specified in these special provisions.

Aggregate for the arrestor bed shall be screened so that no more than 2% by weight of the material passes the 4.74 mm and placed back at the original location and position.Water shall not be applied to the aggregate. Compaction shall not be used during respreading and reshaping operations. Should compaction occur during placement, as determined by the Engineer, the Contractor shall be required to loosen the aggregate to the satisfaction of the Engineer. Loosening the aggregate will be at the Contractor's expense.

The surface of the finished arrestor bed shall not vary more than 25 mm above or below the grade established by the Engineer.

Aggregate for the arrestor bed will be measured and paid for by the cubic meter for screen arrestor bed aggregate, in the same manner specified for aggregate base in Section 26 of the Standard Specifications.

**PLASTIC PIPELINER**

Plastic pipeliner shall be furnished and installed in existing culverts at the locations shown on the plans and in conformance with the details shown on the plans and these special provisions.

Attention is directed to "State-Furnished Materials" of these special provisions. Any additional quantities needed to complete the contractor work shall be obtained by the Contractor and shall be considered as included in the contract price paid per meter for the various sizes of plastic pipeliners in the Engineer's estimate and no additional compensation will be allowed therefor.

New gaskets shall be ordered for the state-furnished plastic pipeliners.

Full compensation for the new gaskets shall be considered as included in the contract price paid per meter for the various sizes of plastic pipeliner in the Engineer's estimate and no separate payment will be made therefor.

Plastic pipeliner shall have a nominal diameter, thickness, and maximum Standard Dimension Ratio (when applicable) as shown on the plans or specified.

Plastic pipeliner shall be high density polyethylene (HDPE) solid wall pipe conforming to the requirements of ASTM Designation: F 714. The plastic pipeliner shall have a Standard Dimension Ratio of 26.

A Certificate of Compliance shall be furnished to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the plastic pipeliner furnished.

Plastic pipeliner joints shall be joint systems or couplers conforming to the manufacturer's requirements. Joint systems or couplers shall perform the intended function and comply with the "Standard" shear strength and watertightness provisions specified in Section 61-1.02, "Performance Requirements for Culvert and Drainage Pipe Joints," of the Standard Specifications. The Contractor shall furnish a Certificate of Compliance to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications that the material being furnished conforms to the joint property requirements as described herein.

The existing culvert shall be cleaned thoroughly prior to inserting the plastic pipeliner. Earthy material, trash, cuttings, and other waste materials removed from the existing culverts shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. During the installation of the plastic pipeliner, the Contractor shall provide all necessary protection to prevent damage to the plastic pipeliner and the existing culvert.

The entire annular space between the plastic pipeliner and the existing culvert shall be filled with grout. Voids in and around the culvert shall be filled for the entire length of the culvert.

The grout (low density foam concrete) shall be composed of water, portland cement, sand, and a foaming agent. The foaming agent shall conform to the requirements of ASTM Designations: C 869 and C 796. Portland cement shall conform to the requirements of Section 90-2.01, "Cement," of the Standard Specifications. Sand shall be clean and free from deleterious coatings, clay balls, roots and other extraneous material and shall be of a size that will pass a 2.36-mm sieve.

The grout shall have a cast density, at the point of placement, of between 675 and 950 kg/m$^3$ and shall have a minimum compressive strength of 1400 kPa at 28 days. Compressive strength will be determined from test cylinders sampled, molded, cured, and tested in conformance with the provisions in Section 90-9, "Compressive Strength," of the Standard Specifications.

The water, cement, and sand shall be mixed prior to adding the foaming agent. The foaming agent shall not be added until the material is at the project site.

The Contractor shall determine the mix proportions of the grout.

Before using grout for which the mix proportions have been determined by the Contractor, the Contractor shall submit in writing to the Engineer a copy of the mix design for approval. Certified test data or trial batch reports, verifying that the mix design complies with the density and compressive strength requirements of these special provisions, shall be submitted with the mix design.

The Contractor shall develop and submit a grouting plan to the Engineer. The grouting plan shall address all aspects of the grouting procedure, including plans for diverting existing stream flow. Grouting shall not begin until the grouting plan has been approved by the Engineer. The Engineer will have 2 days for review of the grouting plan.

Grouting pressure shall not exceed 35 kPa for plastic pipeliners with a pipe stiffness of less–than 200 kPa and the grouting pressure shall not exceed 50 kPa for all other plastic pipeliners.

Prior to grouting, the existing culvert shall be free from water and debris. Grouting shall not begin until the existing stream flow has been temporarily diverted. Grout shall be placed in a continuous manner. The Contractor's placement method shall prevent floating or shifting of the plastic pipeliner and shall prevent segregation or voids from occurring in the grout mix.

The length of plastic pipeliner to be paid for will be the slope length determined by the Engineer. Pipe placed in excess of the length designated will not be paid for.

The Contractor shall grind and re-establish all connections, designated on the plans or encountered in the field, that interfer with the installation of the plastic pipeliners. Full compensation for grinding and re-establishing of connections, cutting, removing, and disposing of a portion of existing culverts where pipeliner is to be installed shall be considered as

included in the contract price paid per meter for the various sizes of plastic pipeliner in the Engineer's estimate and no additional compensation will be allowed therefor.

Disconnecting of the existing downdrains, designated on the plans or encountered in the field, prior to the installation of the pipe liner may be required at some locations. Full compensation for disconnecting and reconnecting the existing downdrain shall be considered as included in the contract price paid per meter for the various sizes of plastic pipeliner in the Engineer's estimate and no additional compensation will be allowed therefor.

The contract price paid per meter for the different sizes of plastic pipeliner shall include full compensation for furnishing all labor, materials (including grout), tools, equipment, and incidentals, and for doing all the work involved in installing plastic pipeliner, complete in place, including grouting and submitting the grout mix design and grouting plan, diverting existing stream flow, cleaning existing culverts and disposal of residue from cleaning, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Grout placed in excess of 150% of the calculated volume, as determined by the Engineer, of the angular space between the plastic pipeliner and the existing culvert will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

Full compensation for cutting, removing, and disposing of a portion of existing culvert where the plastic pipeliner is to be installed shall be considered as included in the contract price paid per meter for the various sizes of plastic pipeliner in the Engineer's estimate and no additional compensation will be allowed therefor.

**CURED-IN-PLACE PIPE (CIPP) LINER**

Cured-in-place pipe liner shall be furnished and installed in existing culverts at the locations shown on the plans, in conformance with the details shown on the plans, and as specified in the Standard Specifications and these special provisions.

The work shall consist of lining an existing culvert with a thermosetting resin-impregnated flexible fabric tube. At the option of the Contractor one of the following installation methods shall be used:

A. Inversion process in compliance with ASTM Designation F 1216: the tube is installed using a hydrostatic head or air pressure, or
B. Pulled-in-place installation in compliance with ASTM Designation F 1743: The tube is pulled into place using a power winch or equivalent as approved by the Engineer, then inflated by hydrostatic head.

Attention is directed to Section 7-1.01 G, "Water Pollution," of the Standard Specifications and "Water Pollution Control" of these special provisions regarding handling and disposal of waste water generated by the process. Waste water resulting from the curing process shall not be allowed to enter the waterway and shall be disposed of outside the highway right of way in accordance with Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Prior to beginning any CIPP liner work, the Contractor shall submit documentation to the Engineer certifying that individuals overseeing or performing the installation have been approved by the CIPP liner manufacturer for performing such work.

Attention is directed to Section 5-1.08, "Inspection," of the Standard Specifications. The Contractor shall notify the Engineer in writing not less than 2 working days in advance of starting impregnation procedures.

At the time of resin impregnation, the entire fabric tube shall be inspected for defects. The resin shall not contain fillers, except those required for viscosity control, fire retardance, or extension of pot life. Thixotropic agents that do not interfere with visual inspection may be added for viscosity control. Also, the opacity of the plastic coating shall not interfere with visualinspection. Resins may contain pigments, dyes, or colors that do not interfere with visual inspection of the resin-impregnated pipe liner or its required properties. Additives may be incorporated that enhance the physical or chemical resistance, or both.

The fabric tube shall consist of one or more layers of flexible needled felt or an equivalent non-woven material and have a plastic coating(s). The material shall be compatible with and be capable of carrying epoxy or epoxy-vinyl ester resin, be able to withstand installation pressures and curing temperatures, and be compatible with the approved resins used. The approved epoxy or epoxy-vinyl ester resin shall be compatible with the application and pipeline environment be able to cure in the presence of water. The initiation temperature for cure should be 38 degrees C. The approved epoxy or epoxy-vinyl ester resin shal meet the chemical resistance test requirements in accordance with ASTM Designation D 1216, Appendix X2. Upon request from the Engineer, the Contractor shall furnish pipe liner and resin manufacturer's technical, product and installation data, including complete physical properties of pipe liner and certification that the resin and catalyst system to be furnished will meet intended service conditions.

The pipe liner shall comply with ASTM Designation D 5813 and shall have, as a minimum, the following initial structural properties:

| Test Description | ASTM Designation | Min. Initial Requirement |
|---|---|---|
| Flexural Strength | D 790 | 34.5 MPa |
| Flexural Modulus | D 790 | 2068 MPa |
| Tensile Strength | D 638 | 27.6 MPa |
| Tensile Modulus | D 638 | 1724 MPa |

The Contractor shall provide field-cured samples as directed by the Engineer within 10 days upon written request. The physical properties of the finished CIPP liner shall be verified through a field sampling procedure in accordance with, as appropriate, ASTM Designation F 1216 or ASTM Designation F 1743, and in accordance with ASTM Designation D 5813.

The Contractor shall provide for the control and diversion of flows in existing culverts being rehabilitated. The bypass system shall be of adequate capacity and size to handle the flow. Prior to beginning any flow diversion work, the Contactor shall submit a plan showing the intended work, any calculations supporting the sizing of the system and a schedule indicating the duration of the flow diversion to the Engineer for approval.

All roots, sediment and debris shall be removed from the culvert; the culvert shall be cleaned prior to installation of pipe liner. In addition, any sharp or protruding appurtenances which could snag or tear the liner shall be removed or repaired. Perforations or spalls in the pipe wall shall be patched with cement mortar conforming to the requirements of Section 65-1.06 of the Standard Specifications. The mortar shall be allowed to dry prior to beginning placement of the CIPP liner.

The Contractor shall inspect the existing pipe via either man-entry or closed-circuit television (CCTV), and document the location of conditions that may prevent proper installation of the impregnated tube.

After inspection of the existing pipe, the Contractor shall develop a written proposal describing the planned operations to repair the pipe. At a minimum, the proposal shall describe conditions found that may prevent proper installation of pipe liner, and methods proposed by the Contractor for correction of the conditions. In addition, the proposal shall describe perforations of existing culvert to be lined, their extent, and methods proposed for correction by the Contractor, including necessary grouting and backfilling. The proposal shall describe the Contractor's proposed procedures and schedules for installing the pipe liner. The Contractor shall designate the locations where pipe liner will be resin impregnated. The Contractor shall obtain the approval of the Engineer prior to beginning any repair work.

Removal of obstructions, as determined by the Engineer, that cannot be removed by conventional equipment and cleaners, as specified herein, will be measured and paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

The resin impregnation procedure shall conform to the recommendations of the manufacturer. The Contractor shall use a catalyst system compatible with the resin and tube in accordance with the recommendations of the resin manufacturer.CIPP liner shall be cured by circulating sufficient hot water or steam within the tube to cure the resin into a hard, impermeable lining. When cured, the CIPP liner shall extend over the length of the installation as a continuous and tight fitting pipe-within-a-pipe. The CIPP liner shall prevent both infiltration into the rehabilitated culverts and exfiltration of flows from the rehabilitated culverts.

The Contractor shall use a suitable heat source to cure the CIPP liner. Temperature and pressure gauges shall be installed at the insertion and termination points to monitor internal conditions during the CIPP liner curing process. Additional gauges and monitors shall be installed as required or as directed by the Engineer. The temperatures, durations, and cycles for curing the resin shall be in accordance with the recommendations of the resin manufacturer. The initial cure shall be deemed complete when the temperature controls indicate that the recommended exothermic temperature has been obtained, the recommended duration of cure has elapsed, and the exposed CIPP liner appears hardened, as determined by the Engineer.

At the completion of the curing process, the Contractor shall cool the CIPP liner by circulating measured amounts of cool air or water, while maintaining the internal pressure, until the internal temperature of the CIPP liner is less than 38 $^{o}$C before relieving the internal pressure.

The interior of the finished CIPP liner and lateral connections shall be inspected by the Contractor by means of man-entry or CCTV cameras. The completed inspections shall document that the installation has been performed in accordance with these specifications. A copy of the inspection report, including any videotape, shall be submitted to the Engineer prior to project acceptance of the CIPP liner. Format of the videotape shall be VHS.

The finished CIPP liner shall be continuous over the entire length of the culvert and shall be as free from visual defects such as foreign inclusions, concentrated ridges, discoloration, pitting, pin holes, cracking and other deformities, as is commercially practicable. The tube shall be fabricated to a size that when installed will neatly fit the internal circumference of the existing culvert specified.

Any holes, tears, delaminated areas or defects that would affect the performance of the CIPP liner shall be repaired at the Contractors expense. Defects that are not repairable will be cause for rejection of the installation. Internal repairs may be made to the CIPP liner in accordance with the manufacturer’s recommendations and approval by the Engineer. Internal repairs may be made using approved fabric and epoxy or epoxy-vinyl ester resins to restore strength and integrity.

CIPP liner work to be performed under these specifications will be listed in the contract item by size, type, thickness or whatever information is necessary for identification.

The Contractor shall grind and re-establish all connections, designated on the plans or encountered in the field, that interfer with the installation of the CIPP liners,. Full compensation for grinding and re-establishing of connections, cutting, removing, and disposing of a portion of existing culverts where pipeliner is to be installed shall be considered as included in the contract price paid per meter for the various sizes of impregnated cured in place pipeliner in the Engineer's estimate and no additional compensation will be allowed therefor.

The length of CIPP liner to be paid for will be the slope length designated by the Engineer. CIPP liner placed in excess of the length designated will not be paid for.

Disconnecting of the existing downdrains, designated on the plans or encountered in the field, prior to the installation of the pipe liner may be required at some locations. Full compensation for disconnecting and reconnecting the existing downdrain shall be considered as included in the contract price paid per meter for the various sizes of impregnated cured in place pipeliner in the Engineer's estimate and no additional compensation will be allowed therefor.

The contract price paid per meter for impregnated cured in place pipe liner shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in furnishing and installing, complete in place, including controlling or diverting existing culvert flow, providing samples, installing temperature and pressure gauges, repairing defects (except for removal of obstructions that cannot be removed by conventional equipment and cleaners), cleaning and closed-circuit inspection of the existing culverts, as shown on the plans, and as specified in Standard Specifications and these special provisions, and as directed by the Engineer.

**COLD PLANE ASPHALT CONCRETE PAVEMENT**

Existing asphalt concrete pavement shall be cold planed at the locations and to the dimensions shown on the plans.

Planing asphalt concrete pavement shall be performed by the cold planing method. Planing of the asphalt concrete pavement shall not be done by the heater planing method.

Cold planing machines shall be equipped with a cutter head not less than 750 mm in width and shall be operated so that no fumes or smoke will be produced. The cold planing machine shall plane the pavement without requiring the use of a heating device to soften the pavement during or prior to the planing operation.

The depth, width, and shape of the cut shall be as shown on the typical cross sections or as designated by the Engineer. The final cut shall result in a uniform surface conforming to the typical cross sections. The outside lines of the planed area shall be neat and uniform. Planing asphalt concrete pavement operations shall be performed without damage to the surfacing to remain in place.

Planed widths of pavement shall be continuous except for intersections at cross streets where the planing shall be carried around the corners and through the conform lines. Following planing operations, a drop-off of more than 45 mm will not be allowed between adjacent lanes open to public traffic.

Where transverse joints are planed in the pavement at conform lines no drop-off shall remain between the existing pavement and the planed area when the pavement is opened to public traffic. If asphalt concrete has not been placed to the level of existing pavement before the pavement is to be opened to public traffic a temporary asphalt concrete taper shall be constructed. Asphalt concrete for temporary tapers shall be placed to the level of the existing pavement and tapered on a slope of 1:30 (Vertical: Horizontal) or flatter to the level of the planed area.

Asphalt concrete for temporary tapers shall be commercial quality and may be spread and compacted by any method that will produce a smooth riding surface. Temporary asphalt concrete tapers shall be completely removed, including the removal of loose material from the underlying surface, before placing the permanent surfacing. The removed material shall be disposed of outside the highway right of way in conformance with the provisions in

Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Operations shall be scheduled so that not more than 7 days shall elapse between the time when transverse joints are planed in the pavement at the conform lines and the permanent surfacing is placed at the conform lines.

The material planed from the roadway surface, including material deposited in existing gutters or on the adjacent traveled way, shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Removal operations of cold planed material shall be concurrent with planing operations and follow within 15 m of the planer, unless otherwise directed by the Engineer.

Cold plane asphalt concrete pavement will be measured by the square meter. The quantity to be paid for will be the actual area of surface cold planed irrespective of the number of passes required to obtain the depth shown on the plans.

The contract price paid per square meter for cold plane asphalt concrete pavement shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in cold planing asphalt concrete surfacing and disposing of planed material, including furnishing the asphalt concrete for and constructing, maintaining, removing, and disposing of temporary asphalt concrete tapers, as specified in the Standard Specifications and these special provisions and as directed by the Engineer.

**REMOVE CONCRETE**

Concrete curb and gutter and barrier, where shown on the plans to be removed, shall be removed.

Removing concrete curb and gutter and concrete barrier will be measured by the meter, measured along the curb and gutter and barrier before removal operations.

Concrete removed shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

**CAP INLET**

Existing concrete drainage inlets, where shown on the plans to be capped, shall be capped and the bottoms of the inlets shall be rounded with portland cement concrete as shown on the plans.

Portland cement concrete shall be minor concrete or may be produced from commercial quality aggregates and cement containing not less than 350 kg of cement per cubic meter.

Inlets shall be removed to a depth of at least 0.3-m below the grading plane.

Concrete removal shall be performed without damage to portions of the inlet that are to remain in place. Damage to existing concrete, which is to remain in place, shall be repaired by the Contractor to a condition equal to that existing prior to the beginning of removal operations. The repair of existing concrete damaged by the Contractor's operations shall be at the Contractor's expense.

Existing reinforcement that is to be incorporated in the new work shall be protected from damage and shall be thoroughly cleaned of adhering material before being embedded in the new concrete.

The quantity of capping inlets will be determined as units from actual count.

The contract unit price paid for cap inlet shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in capping inlets, including removing portions of inlets, rounding bottoms of inlets, minor concrete bar reinforcing steel, and structure excavation and structure backfill, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**REMOVE UNSOUND CONCRETE**

This work shall consist of the removal and disposal of unsound portland cement concrete, unsound epoxy concrete patches, and all asphalt concrete patches from the decks, curbs, and railings of bridges. Unsound concrete shall be removed as shown on the plans and to the limits designated by the Engineer.

Unsound concrete is generally that concrete which emits a relatively dead or hollow sound when a chain is dragged over its surface or its surface is tapped with a metal tool. Concrete encasing corroded reinforcing steel beyond the limits identified by the sound may be considered as unsound concrete. The Engineer will determine the soundness of all concrete.

Equipment and tools shall not be used to remove unsound concrete which, in the opinion of the Engineer, cause the removal of excess quantities of sound concrete along with the unsound concrete. Equipment used shall be fitted with suitable traps, filters, drip pans, or other devices to prevent oil or other deleterious matter from being deposited on the deck.

Operations pertaining to removal of unsound concrete shall be stopped while trains are passing beneath the bridge.

After the removal of unsound concrete has been completed, any existing reinforcing steel which has been exposed shall be restored to position and blocked and tied in conformance with the provisions in Section 52, "Reinforcement," of the Standard Specifications.

Reinforcing steel that has been damaged to the extent that the steel's usefulness is destroyed as a result of the Contractor's operations, shall be repaired or replaced by the Contractor at the Contractor's expense.

Removing unsound concrete will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

**10-1.25 CLEARING AND GRUBBING**

Clearing and grubbing shall conform to the provisions in Section 16, "Clearing and Grubbing," of the Standard Specifications and these special provisions.

Vegetation shall be cleared and grubbed only within the excavation and embankment slope lines. All woody debris and slash including small trees, tree limbs and shrubs less than 200 mm in diameter, generated form clearing and grubbing operations shall be chipped and stockpiled for later use. Chipped material shall be stockpiled in piles no higher than 2 meters at various locations within the project boundaries as determined by Engineer. Stockpile areas shall be protected from construction activities that may damage or contaminate chipped green material.

Full compensation for removing, chipping and stockpiling woody debris and slash generated during clearing and grubbing operations shall be considered as included in the contract lump sum price paid for clearing and grubbing and no separate payment/additional compensation will be made/allowed therefor.

At locations where there is no grading adjacent to a bridge or other structure, clearing and grubbing of vegetation shall be limited to 1.5 m outside the physical limits of the bridge or structure.

All existing vegetation outside the areas to be cleared and grubbed shall be protected from injury or damage resulting from the Contractor's operations.

Activities controlled by the Contractor, except cleanup or other required work, shall be confined within the graded areas of the roadway.

Nothing herein shall be construed as relieving the Contractor of the Contractor's responsibility for final cleanup of the highway as provided in Section 4-1.02, "Final Cleaning Up," of the Standard Specifications.

## 10-1.26 EARTHWORK

Earthwork shall conform to the provisions in Section 19, "Earthwork," of the Standard Specifications and these special provisions.

Final excavation slopes shall be left in a rough condition using a tracked vehicle or serration device. The use of cutting edges, such as grader blades, shall not be used for the final cutting of these slopes.

Full compensation for roughening excavation slopes using a tracked vehicle or serration device and for roughening embankment slopes using a tracked vehicle shall be considered as included in the contract price paid per cubic meter for roadway excavation and no additional compensation will allowed therefor.

Embankment slopes shall be roughened using a tracked vehicle. Tracking shall be perpendicular to the slope.

Wherever rock is encountered in excavation that will be exposed on completed slopes, it shall be removed in such a manner as to leave the final slope in a natural rocky appearance consistent with the natural rock outcroppings in the vicinity.

Excavated material not used on the project shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Where a portion of the existing surfacing is to be removed, the outline of the area to be removed shall be cut on a neat line with a power-driven saw to a minimum depth of 50 mm before removing the surfacing. Full compensation for cutting the existing surfacing shall be considered as included in the contract price paid per cubic meter for roadway excavation and no additional compensation will be allowed therefor.

The portion of imported borrow placed within 1.5 m of the finished grade shall have a Resistance (R-Value) of not less than 50.

Reinforcement or metal attached to reinforced concrete rubble shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Full compensation for removing and disposing of reinforcement and metal attached to reinforced concrete rubble shall be considered as included in the contract prices paid per cubic meter for the types of excavation shown in the Engineer's estimate, or the contract prices paid for furnishing and placing imported borrow or embankment material, as the case may be, and no additional compensation will be allowed therefor.

## 10-1.27 CONTROLLED LOW STRENGTH MATERIAL

Controlled low strength material shall consist of a workable mixture of aggregate, cementitious materials, and water and shall conform to the provisions for slurry cement backfill in Section 19-3.062, "Slurry Cement Backfill," of the Standard Specifications and these special provisions.

At the option of the Contractor, controlled low strength material may be used as structure backfill for pipe culverts, except that controlled low strength material shall not be used as structure backfill for aluminum and aluminum-coated culverts nor for culverts having a diameter or span greater than 6.1 m.

When controlled low strength material is used for structure backfill, the width of the excavation shown on the plans may be reduced so that the clear distance between the outside of the pipe and the side of the excavation, on each side of the pipe, is a minimum of 300 mm. This minimum may be reduced to 150 mm when the height of cover is less than or equal to 6.1 m or the pipe diameter or span is less than 1050 mm.

Controlled low strength material in new construction shall not be permanently placed higher than the basement soil. For trenches in existing pavements, permanent placement shall be no higher than the bottom of the existing pavement permeable drainage layer. If a drainage layer does not exist, permanent placement in existing pavements shall be no higher than 25 mm below the bottom of the existing asphalt concrete surfacing or no higher than the top of base below the existing portland cement concrete pavement. The minimum height that controlled low strength material shall be placed, relative to the culvert invert, is 0.5 diameter or 0.5 height for rigid culverts and 0.7 diameter or 0.7 height for flexible culverts.

When controlled low strength material is proposed for use, the Contractor shall submit a mix design and test data to the Engineer for approval prior to excavating the trench for which controlled low strength material is proposed for use. The test data and mix design shall provide for the following:

A. A 28-day compressive strength between 345 kPa and 690 kPa for pipe culverts having a height of cover of 6.1 m or less and a minimum 28-day compressive strength of 690 kPa for pipe culverts having a height of cover greater than

6.1 m. Compressive strength shall be determined in conformance with the requirements in ASTM Designation: D 4832.

B. When controlled low strength material is used as structure backfill for pipe culverts, the sections of pipe culvert in contact with the controlled low strength material shall conform to the requirements of Chapter 850 of the Highway Design Manual using the minimum resistivity, pH, chloride content, and sulfate content of the hardened controlled low strength material. Minimum resistivity and pH shall be determined in conformance with the requirements of California Test 643. The chloride content shall be determined in conformance with the requirements of California Test 422 and the sulfate content shall be determined in conformance with the requirements of California Test 417.

C. Cement shall be any type of portland cement conforming to the requirements in ASTM Designation: C 150; or any type of blended hydraulic cement conforming to the requirements in ASTM Designation: C 595M or the physical requirements in ASTM Designation: C 1157M. Testing of cement will not be required.

D. Admixtures may be used in conformance with the provisions in Section 90-4, "Admixtures," of the Standard Specifications. Chemical admixtures containing chlorides as Cl in excess of one percent by mass of admixture, as determined in conformance with the requirements of California Test 415, shall not be used. If an air-entraining admixture is used, the maximum air content shall be limited to 20 percent. Mineral admixtures shall be used at the Contractor's option.

Materials for controlled low strength material shall be thoroughly machine-mixed in a pugmill, rotary drum or other approved mixer. Mixing shall continue until the cementitious material and water are thoroughly dispersed throughout the material. Controlled low strength material shall be placed in the work within 3 hours after introduction of the cement to the aggregates.

When controlled low strength material is to be placed within the traveled way or otherwise to be covered by paving or embankment materials, the material shall achieve a maximum indentation diameter of 76 mm prior to covering and opening to public traffic. Penetration resistance shall be measured in conformance with the requirements in ASTM Designation: D 6024.

Controlled low strength material used as structure backfill for pipe culverts will be considered structure backfill for compensation purposes.

### 10-1.28 ARRESTOR BED AGGREGATE

This work shall consist of furnishing, spreading, and shaping aggregate for the arrestor bed, as shown on the plans and as specified in these special provisions.

Aggregate for the arrestor bed shall be a hard, durable, uncrushed, rounded, stream run gravel, and shall be clean and free from organic material and other deleterious substances.

Aggregate for the arrestor bed shall conform to the following grading requirements or be close to it as determined by the Engineer. The Aggregate shall conform to the following quality requirements:

| Grading Requirement | | Quality Requirements | | |
|---|---|---|---|---|
| Sieve Sizes | Percentage Passing | Specification | California Test | Requirement |
| 37.5-mm | 100 | Sand Equivalent | 217 | 65 min. |
| 25-mm | 90 - 100 | Durability Index | 229 | 60 min. |
| 19-mm | 0 - 10 | | | |
| 4.75-mm | 0 - 2 | | | |

Aggregate for the arrestor bed may be spread and shaped by any means to obtain the desired cross section shown on the plans. Water shall not be applied to the aggregate. Compaction shall not be used during spreading and shaping operations. Should compaction occur during placement, as determined by the Engineer, the Contractor shall be required to loosen the aggregate to the satisfaction of the Engineer. Loosening the aggregate will be at the Contractor's expense.

The surface of the finished arrestor bed shall not vary more than 25 mm above or below the grade established by the Engineer.

Aggregate for the arrestor bed will be measured and paid for by the cubic meter for arrestor bed aggregate, in the same manner specified for aggregate base in Section 26 of the Standard Specifications.

**10-1.29 SHOULDER BACKING**

This work shall consist of constructing shoulder backing adjacent to the edge of new surfacing in conformance with the details shown on the plans and these special provisions.

Material for shoulder backing shall be imported material or material processed from reclaimed portland cement concrete, lean concrete base, cement treated base, or a combination of any of these materials, conforming to the following grading and quality requirements:

| Grading Requirements | | Quality Requirements | | |
|---|---|---|---|---|
| Sieve Sizes | Percentage Passing | Specification | California Test | Requirement |
| 50-mm | 100 | Sand Equivalent | 217 | 10 min.-30 max. |
| 25-mm | 75 - 100 | Resistance (R-value) | 301 | 50 min. |
| 4.75-mm | 40 - 60 | Percentage Crushed Particles | 205 | 75% min. |
| 600-µm | 12 - 35 | Durability Index | 229 | 20 min. |
| 75-µm | 5 - 20 | | | |

At the option of the Contractor, aggregate for shoulder backing may consist of material processed from reclaimed asphalt concrete conforming to the following grading and quality requirements.

| Grading Requirements | | Quality Requirements | | |
|---|---|---|---|---|
| Sieve Sizes | Percentage Passing | Specification | California Test | Requirement |
| 50-mm | 100 | Resistance (R-value) | 301 | 50 min. |
| 19-mm | 70 - 100 | Percentage Crushed Particles | 205 | 75% min. |
| 4.75-mm | 30 - 80 | Durability Index | 229 | 20 min. |

Coarse aggregate consisting of material retained on the 4.75 mm sieve, shall consist of material of which at least 75 percent by mass shall be crushed particles with a minimum of two fractured faces, as determined in conformance with the requirements in California Test 205.

Shoulder backing material shall have a minimum dry density of 2160 kg/m$^3$.

Shoulder backing material shall not be treated with lime, cement or other chemical mixtures.

Shoulder backing material consisting of reclaimed asphalt concrete, shall not be placed within 30 horizontal meters of any culvert, watercourse, or bridge within the project limits.

The areas where shoulder backing is to be constructed shall be cleared of weeds, grass, and debris. Removed weeds grass and debris shall be disposed of in conformance with the requirements in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Prior to placement of shoulder backing material, basement material shall be scarified to a minimum depth of 75 mm. Immediately prior to placement of shoulder backing material, scarified material shall be watered. Shoulder backing material shall be placed, watered, and rolled a minimum of two passes with a steel tired roller weighing not less than 7.2 tonnes to form a smooth, compacted surface. Watering shall conform to the provisions in Section 17, "Watering," of the Standard Specifications.

Shoulder backing material shall not be deposited on new surfacing prior to placing the material in the final position, nor shall the material be deposited onto new surfacing during mixing, watering, and blading operations.

Shoulder backing construction shall be completed along the edges of any portion of new surfacing within 5 days after completion of that portion of the new surfacing or as shown on the stage construction plans. Prior to opening a lane adjacent to uncompleted shoulder backing to uncontrolled public traffic, the Contractor shall furnish, place, and maintain portable delineators and C31 (Low Shoulder) signs off of and adjacent to the new surfacing. Portable delineators shall be placed at the beginning and along the drop-off of the edge of pavement, in the direction of travel, at successive maximum intervals of 150 meters on tangents and 60 meters on curves. C31 signs shall be placed at the beginning and along the drop-off at successive maximum intervals of 600 meters. The portable delineators and C31 signs shall be maintained in place at each location until the shoulder backing is completed at that location. Portable delineators and signs shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications, except the signs may be set on temporary portable supports or on barricades.

Quantities of imported material (shoulder backing) will be measured in the vehicle by the cubic meter in conformance with the provisions in Section 9-1.01, "Measurement of Quantities," of the Standard Specifications.

The contract price paid per cubic meter for imported material (shoulder backing) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing shoulder backing, complete in place, including furnishing, placing, maintaining, and removing portable delineators, C31 signs, and

temporary supports or barricades for the signs, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### 10-1.30 DUFF

This work shall consist of excavating, stockpiling, removing from stockpiles, spreading, and compacting duff in conformance with these special provisions.

Duff shall consist of a mixture of existing decomposed, chopped, broken or chipped plant material, leaves, grasses, weeds, and other plant material excavated from areas within the project limits. Existing shrubs and other small plants shall be incorporated into the duff by discing, or by other methods which will break or chop the material into particles not greater than 150 mm in greatest dimension.

When duff is to be excavated to a specified depth, duff may consist of plant material and soil. Rocks and plant material in excess of 150 mm in greatest dimension shall be removed from the excavated duff.

Trash and objectionable material shall be removed from duff excavation sites prior to duff excavation. The trash and objectionable material shall be removed and disposed of outside the highway right of way in conformance with the provisions in Section 7-l.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Duff shall be obtained by excavating the top100 mm of existing material from proposed excavation and embankment areas and other areas as determined by the Engineer. Duff shall be stockpiled along the top of proposed excavation and embankment areas throughout the length of the project work limits as determined by engineer. When duff cannot be stockpiled outside the slope lines as specified herein, excavated duff material may be stockpiled at other locations when designated by the Engineer.

Upon completion of the grading operations for the excavation and embankment slopes and other areas to receive duff, the duff shall be spread on the areas designated to receive duff. Duff shall be placed to a uniform depth of not less than 50 mm and shall be incorporated into the top 80 mm by scarification or punching in such a manner as to retain the material in place on the slopes. Duff shall not be compacted or stabilized to the degree that the duff is not maintained as a viable growing medium.

Duff shall be placed on designated excavation and embankment slopes prior to applying erosion control materials. Erosion control materials shall be furnished and applied as specified in these special provisions.

Duff placed on the finished slopes will be measured by the square meter. The areas of duff will be calculated on the basis of actual or computed slope measurements.

The contract price paid per square meter for duff shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in excavating, stockpiling, removing duff from stockpiles, spreading and compacting or stabilizing duff, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### 10-1.31 MOVE-IN/MOVE-OUT (EROSION CONTROL)

Move-in/move-out (erosion control) shall include moving onto the project when an area is ready to receive erosion control as determined by the Engineer, setting up all required personnel and equipment for the application of erosion control materials and moving out all personnel and equipment when erosion control in that area is completed.

When areas are ready to receive applications of erosion control (Types D), as determined by the Engineer, the Contractor shall begin erosion control work in that area within 5 working days of the Engineer's notification to perform the erosion control work.

Attention is directed to the requirements of erosion control (Types D) elsewhere in these special provisions.

Quantities of move-in/move-out (erosion control) will be determined as units from actual count as determined by the Engineer. For measurement purposes, a move-in followed by a move-out will be considered as one unit.

The contract unit price paid for move-in/move-out (erosion control) shall include full compensation for furnishing all labor, materials (excluding erosion control materials), tools, equipment, and incidentals and for doing all the work involved in moving in and removing from the project all personnel and equipment necessary for application of erosion control (Types D), as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

No adjustment of compensation will be made for any increase or decrease in the quantities of move-in/move-out (erosion control) required, regardless of the reason for the increase or decrease. The provisions in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications shall not apply to the item of move-in/move-out (erosion control).

### 10-1.32 EROSION CONTROL (TYPE D)

Erosion control (Type D) shall conform to the provisions in Section 20-3, "Erosion Control," of the Standard Specifications and these special provisions and shall consist of applying erosion control materials to embankment and excavation slopes and other areas disturbed by construction activities.

Erosion control (Type D) shall be applied when an area is ready to receive erosion control as determined by the Engineer and in conformance with the provisions in "Move-in/Move-out (Erosion Control)" of these special provisions.

Prior to installing erosion control materials, soil surface preparation shall conform to the provisions in Section 19-2.05, "Slopes," of the Standard Specifications, except that rills and gullies exceeding 50 mm in depth or width shall be leveled.

**MATERIALS**

Materials shall conform to the provisions in Section 20-2, "Materials," of the Standard Specifications and these special provisions.

**Seed**

Seed shall conform to the provisions in Section 20-2.10, "Seed," of the Standard Specifications. Individual seed species shall be measured and mixed in the presence of the Engineer.

Seed shall be delivered to the project site in unopened separate containers with the seed tag attached. Containers without a seed tag attached will not be accepted.

A sample of approximately 30 g of seed will be taken from each seed container by the Engineer.

**Legume Seed**

Legume seed shall be pellet-inoculated or industrial-inoculated and shall conform to the following:

A. Inoculated seed shall be inoculated in conformance with the provisions in Section 20-2.10, "Seed," of the Standard Specifications.
B. Inoculated seed shall have a calcium carbonate coating.
C. Industrial-inoculated seed shall be inoculated with Rhizobia and coated using an industrial process by a manufacturer whose principal business is seed coating and seed inoculation.
D. Industrial-inoculated seed shall be sown within 180 calendar days after inoculation.
E. Legume seed shall consist of the following:

LEGUME SEED

| Botanical Name (Common Name) | Percent Germination (Minimum) | Kilograms Pure Live Seed Per Hectare (Slope Measurement) |
|---|---|---|
| Lotus purshianus Spanish Clover | 50 | 5 |
| Lupinus bicolor Small-Flowered Lupine | 50 | 5 |
| Lupinus nanus Sky Lupine | 50 | 5 |

**Non-Legume Seed**

Non-legume seed shall consist of the following:

NON-LEGUME SEED

| Botanical Name (Common Name) | Percent Germination (Minimum) | Kilograms Pure Live Seed Per Hectare (Slope Measurement) |
|---|---|---|
| Bromus carinatus California Brome | 50 | 10 |
| Elymus glaucus Blue Wild Rye | 50 | 5 |
| Festuca idahoensis Idaho Fescue | 50 | 10 |
| Poa secunda Pine Bluegrass | 50 | 5 |

**Commercial Fertilizer**

Commercial fertilizer shall conform to the provisions in Section 20-2.02, "Commercial Fertilizer," of the Standard Specifications and shall have a guaranteed chemical analysis of 6-7 percent nitrogen, 2-3 percent phosphoric acid and 3-4

percent water soluble potash. Commercial fertilizer shall be 100 percent natural, slow release with at least 70 percent organic substance. The commercial fertilizer shall be sterilized and free of weed seeds.

**Straw**

Straw shall be derived from native grass sources of Elymus glaucus (Blue Wildrye). Straw shall be free of plastic, glass, metal, rocks, and refuse or other deleterious material.

**Compost**

Compost shall be derived from green material consisting of chipped, shredded or ground vegetation or clean processed recycled wood products or a Class A, exceptional quality biosolids composts, as required by the United States Environmental Protection Agency (EPA), 40 CFR, Part 503c regulations or a combination of green material and biosolids compost. The compost shall be processed or completed to reduce weed seeds, pathogens and deleterious material, and shall not contain paint, petroleum products, herbicides, fungicides or other chemical residues that would be harmful to plant or animal life. Other deleterious material, plastic, glass, metal or rocks shall not exceed 0.1 percent by weight or volume. A minimum internal temperature of 57˚C shall be maintained for at least 15 continuous days during the composting process. The compost shall be thoroughly turned a minimum of 5 times during the composting process and shall go through a minimum 90-day curing period after the 15-day thermophilic compost process has been completed. Compost shall be screened through a maximum 9.5-mm screen. The moisture content of the compost shall not exceed 35 percent. Compost products with a higher moisture content may be used provided the weight of the compost is increased to equal the compost with a moisture content of 35 percent. Moist samples of compost on an as received basis shall be dried in an oven at a temperature between 105°C and 115°C until a constant dry weight of the sample is achieved. The percentage of moisture will be determined by dividing the dry weight of the sample by the moist weight of the sample and then multiplying by 100. Compost will be tested for maturity and stability with a Solvita test kit. The compost shall measure a minimum of 6 on the maturity and stability scale.

**Stabilizing Emulsion**

Stabilizing emulsion shall conform to the provisions in Section 20-2.11, "Stabilizing Emulsion," of the Standard Specifications and these special provisions.

Stabilizing emulsion shall be in a dry powder form, may be reemulsifiable, and shall be a processed organic adhesive used as a soil tackifier.

**APPLICATION**

Erosion control materials shall be applied in separate applications in the following sequence:

A. The following mixture in the proportions indicated shall be applied with hydro-seeding equipment within 60 minutes after the seed has been added to the mixture:

| Material | Kilograms Per Hectare (Slope Measurement) |
|---|---|
| Legume Seed | 15 |
| Non-Legume Seed | 30 |
| Fiber | 400 |
| Compost | 1000 |
| Commercial Fertilizer | 600 |

B. The Contractor may dry apply compost at the total of the rates specified in the preceding table and the following table instead of including it as part of the hydro-seeding operations. In areas where the compost is dry applied, all compost for that area shall be applied before the next operation.
C. Straw shall be applied at the rate of 4 tonnes per hectare based on slope measurements. Incorporation of straw will not be required. Straw shall be distributed evenly without clumping or piling.
D. The following mixture in the proportions indicated shall be applied with hydro-seeding equipment:

| Material | Kilograms Per Hectare (Slope Measurement) |
|---|---|
| Fiber | 400 |
| Compost | 1000 |
| Commercial Fertilizer | 600 |
| Stabilizing Emulsion (Solids) | 200 |

The ratio of total water to total stabilizing emulsion in the mixture shall be as recommended by the manufacturer.

Once straw work is started in an area, stabilizing emulsion applications shall be completed in that area on the same working day.

The proportions of erosion control materials may be changed by the Engineer to meet field conditions.

**MEASUREMENT AND PAYMENT**

Compost (erosion control) will be measured by the kilogram or tonne, whichever unit is designated in the Engineer's Estimate The weight will be as determined by the Engineer from marked mass and sack count or from scale weighings.

The contract price paid per kilogram or tonne for compost (erosion control) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying compost for erosion control, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.33 WILLOW CUTTINGS (PLANT GROUP W)

Willow cutting work shall consist of obtaining, transporting and planting willow cuttings in conformance with the provisions in Section 20-4, "Highway Planting," of the Standard Specifications and these special provisions.

Willow cuttings shall not be planted before October 15 and after December 15 and not until the soil is moist to a minimum depth of 200 mm, unless otherwise permitted, in writing, by the Engineer.

Willow cuttings shall be planted as shown on plans and as directed by engineer.The Contractor shall notify the Engineer, in writing, at least 10 working days prior to gathering willow cuttings. Prior to planting, the Engineer shall approve the willow cuttings locations. Cuttings shall be collected within a 20 kilometer radius of the project limits. It shall be the responsibility of the contractor to obtain the proper permits if cuttings are not collected within the project limits.

Willow cuttings shall be taken at random from healthy, vigorous plants. No more than 50 percent of the plants in a collection area shall be cut. No more than 25 percent of each individual plant shall be cut. Cuts shall be made with sharp, clean tools.

Willow cuttings shall be reasonably straight, lengths shall be long enough for application as shown on plans, and 25 mm to 40 mm in diameter at the base of the cutting. The top of each willow cutting shall be cut square above a leaf bud, and the base of each willow cutting shall be cut below a leaf bud at an angle of approximately 45 degrees. Willow cuttings shall have leaves and branches trimmed off flush with the stem.

Willow cuttings shall be planted within 48 hours after cutting and shall be kept wet until planted. Willow cuttings not planted within 48 hours after cutting, or allowed to dry out, shall not be used. Willow cuttings not used shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

A root stimulant shall be applied to the willow cuttings immediately prior to planting. The stimulant shall be applied in conformance with the printed instructions of the root stimulant manufacturer. A copy of the instructions shall be furnished to the Engineer prior to applying the stimulant.

Planting holes shall be made perpendicular to the ground line and shall be formed with a steel bar or excavated by use of an auger, post hole digger or similar tools. Plant holes shall be large enough to receive the willow cuttings in order that the willow cuttings may be planted to the proper depths without damage to the bark. Where rock or other hard material prohibits holes from being excavated as specified, new holes shall be excavated and the abandoned holes backfilled.

If the soil in and around the plant hole is not wet prior to planting, the soil shall be watered and maintained in a wet state until the willow cuttings are planted.

Commercial fertilizer (packet) shall be slow or controlled release and shall be in a biodegradable packet form. The packet shall gradually release nutrients over a 12-month period. Each packet shall have a mass of 10 g ± 1 g and shall have the following guaranteed chemical analysis:

| Ingredient | Percentage |
|---|---|
| Nitrogen | 11 |
| Phosphoric Acid | 17 |
| Water Soluble Potash | 9 |

One commercial fertilizer packet shall be placed in the backfill of each plant to within 150 to 200 mm of the soil surface and approximately 22 mm from the cutting.

The base of willow cuttings shall be planted from 400 mm to 500 mm deep (approximately two-thirds the willow cutting's length) and shall have from 3 to 5 bud scars exposed above the plant hole. Cuttings with more than 5 bud scars exposed shall have excess scars removed by pruning. After planting, the plant holes shall be backfilled with excavated material. The excavated material shall be distributed evenly within the hole without clods, lumps or air pockets and compacted without damage to the willow cutting's bark.

Compaction shall be adequate to prevent the willow cutting from being easily removed from the soil.

Cuttings shall be watered and maintained in a healthy condition from the time the cuttings are planted until acceptance of the contract. Cuttings that die shall be replaced at the Contractor's expense. The method of planting replacement cuttings shall be as specified in this section for willow cuttings.

The quantity of willow cuttings will be measured as units determined from actual count in place, excluding additional willow cuttings required for replacement cuttings.

Full compensation for obtaining and transporting willow cuttings, preparing planting holes, furnishing and placing commercial fertilizer packets, applying root stimulant, and for watering and maintaining willow cuttings shall be considered as included in the contract unit price paid for plant (Group W) and no additional compensation will be allowed therefor.

### 10-1.34 AGGREGATE BASE

Aggregate base shall be Class 2 and shall conform to the provisions in Section 26, "Aggregate Bases," of the Standard Specifications and these special provisions.

The restriction that the amount of reclaimed material included in Class 2 aggregate base not exceed 50 percent of the total volume of the aggregate used shall not apply. Aggregate for Class 2 aggregate base may include reclaimed glass. Aggregate base incorporating reclaimed glass shall not be placed at locations where surfacing will not be placed over the aggregate base.

Full compensation for temporary aggregate base tapers used during the stage construction as shown on the plans shall be considered as included in the contract price paid per cubic meter for concrete pavement and no separate payment will be made therefor.

### 10-1.35 LEAN CONCRETE BASE

Lean concrete base shall conform to the provisions in Section 28, "Lean Concrete Base," of the Standard Specifications.

### 10-1.36 SLURRY SEAL

Slurry seal shall conform to the provisions in Section 37-2, "Slurry Seal," of the Standard Specifications and these special provisions.

The aggregate for slurry seal shall be Type III.

Polymer modified asphaltic emulsion shall be composed of a bituminous material uniformly emulsified with water and an emulsifying or stabilization agent and shall contain a polymer.

The polymer used in the manufacture of polymer modified asphaltic emulsions shall be at the option of the Contractor, either neoprene, or a copolymer of butadiene and styrene. The polymer used in the polymer modified asphaltic emulsion shall be homogenous and shall be milled into the product at the colloid mill.

The polymer modified asphalt emulsion shall be grade PMCQS1h and shall conform to the following requirements:

| Type | Cationic | |
|---|---|---|
| Grade | PMCQS1h | |
| Properties | Min. | Max |
| Tests on Emulsion: | | |
| Viscosity SSF @ 25°C, sec<br>AASHTO Designation T-59 | 15 | 90 |
| Sieve Test, %<br>AASHTO Designation T-539 | — | 0.30 |
| Storage Stability, 1 day, %<br>AASHTO Designation T-59 | — | 1 |
| Residue by Evaporation, %<br>California Test 331 | 57 | — |
| Particle Charge<br>AASHTO Designation T-59 | Positive | |
| | | |
| Tests on Residue from Evaporation Test | | |
| Penetration, 25°C<br>AASHTO Designation: T 49 | 40 | 90 |
| Ductility, 25°C, mm<br>AASHTO Designation: T 51 | 400 | — |
| Torsional Recovery, %<br>California Test 332 | 18 | — |
| or | | |
| Polymer Content, %<br>California Test 401 | 2.5 | — |

Note:

When the test for polymer content of polymer modified asphaltic emulsion is used, see sampling requirements in Section 94-1.03, "Sampling" of the Standard Specifications.

**10-1.37 REPLACE ASPHALT CONCRETE SURFACING**

This work shall consist of removing existing asphalt concrete surfacing and underlying base material and replacing the removed surfacing and base material with new asphalt concrete as shown on the plans and in conformance with these special provisions.

The exact limits of asphalt concrete surfacing to be removed and replaced will be determined by the Engineer.

Existing asphalt concrete surfacing and underlying base material removed during a work period shall be replaced before the time the lane is to be opened to public traffic in conformance with the provisions in "Maintaining Traffic" of these special provisions.

Surfacing and base shall be removed without damage to surfacing that is to remain in place. Damage to pavement which is to remain in place shall be repaired to a condition satisfactory to the Engineer or the damaged pavement shall be removed and replaced with new asphalt concrete if ordered by the Engineer. Repairing or removing and replacing pavement damaged outside the limits of pavement to be replaced shall be at the Contractor's expense and will not be measured nor paid for.

Removed materials shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

The material remaining in place, after removing surfacing and base to the required depth, shall be graded to a plane, watered, and compacted. The finished surface of the remaining material shall not extend above the grade established by the Engineer.

Areas of the base material which are low as a result of over excavation shall be filled, at the Contractor's expense, with asphalt concrete.

Asphalt concrete shall conform to the provisions for asphalt concrete in "Asphalt Concrete" of these special provisions except for payment.

The quantity of replace asphalt concrete surfacing to be paid for will be measured by the cubic meter. The volume to be paid for will be calculated on the basis of the dimensions shown on the plans adjusted by the amount of any change ordered by the Engineer.

The contract price paid per cubic meter for replace asphalt concrete surfacing shall include full compensation for furnishing all labor, materials (including asphalt concrete), tools, equipment, and incidentals, and for doing all the work involved in replacing asphalt concrete surfacing, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

If the aggregates for the asphalt concrete did not meet the "Contract Compliance" requirements for Sand Equivalent or gradation and if the Contractor requests the material be accepted on the basis of a penalty, in conformance with the provisions in the Section 39-2.02, "Aggregate," of the Standard Specifications, and the Engineer approves the request, the penalty shall be $4.58 per cubic meter.

**10-1.38 ASPHALT CONCRETE**

Asphalt concrete shall be Type A and shall conform to the provisions in Section 39, "Asphalt Concrete," of the Standard Specifications and these special provisions.

The grade of asphalt binder to be mixed with aggregate for Type A asphalt concrete shall be Grade AR-4000 and shall conform to the provisions in Section 39-2.01 “Asphalts” of the Standard Specifications.

The amount of asphalt binder used in asphalt concrete placed in dikes, overside drains and aprons at the ends of drainage structures shall be increased one percent by mass of the aggregate over the amount of asphalt binder determined for use in asphalt concrete placed on the traveled way.

The aggregate for Type A asphalt concrete shall conform to the 19-mm maximum, medium grading specified in Section 39-2.02, "Aggregate," of the Standard Specifications.

The asphalt content of the asphalt mixture will be determined in conformance with the requirements in California Test 379, or in conformance with the requirements in California Test 382.

Paint binder (tack coat) shall be applied to existing surfaces to be surfaced and between layers of asphalt concrete, except when eliminated by the Engineer.

Paint binder (tack coat) shall be, at the option of the Contractor, either slow-setting type asphaltic emulsion, rapid setting asphaltic emulsion or paving asphalt. Slow-setting type asphaltic emulsion and rapid setting asphaltic emulsion shall conform to the provisions in Section 39-4.02, "Prime Coat and Paint Binder (Tack Coat)," and the provisions in Section 94, “Asphaltic Emulsions," of the Standard Specifications. When paving asphalt is used for paint binder, the grade will be determined by the Engineer. Paving asphalt shall conform to the provisions in Section 39-4.02, "Prime Coat and Paint Binder (Tack Coat)," and the provisions in Section 92, "Asphalts," of the Standard Specifications.

Paint binder (tack coat) shall be applied in the liter per square meter range limits specified for the surfaces to receive asphalt concrete in the tables below. The exact application rate within the range will be determined by the Engineer.

| Application Rates for Asphaltic Emulsion Paint Binder (Tack Coat) for Asphalt Concrete (except Open Graded) and on Portland Cement Concrete Pavement | | |
|---|---|---|
| Type of surface to receive paint binder (tack coat) | Slow-Setting Asphaltic Emulsion $L/m^2$(Note A) | Rapid-Setting Asphaltic Emulsion $L/m^2$(Note B) |
| Dense, compact surfaces, between layers, and on PCCP | 0.20 – 0.35 | 0.10 – 0.20 |
| Open textured, or dry, aged surfaces | 0.35 – 0.90 | 0.20 – 0.40 |

Note A: Slow-setting asphaltic emulsion is asphaltic emulsion diluted with additional water. Water shall be added and mixed with the asphaltic emulsion (containing up to 43 percent water) so the resulting mixture contains one part asphaltic emulsion and not more than one part added water. The water shall be added by the emulsion producer or at a facility that has the capability to mix or agitate the combined blend.

Note B: Undiluted rapid-setting asphaltic emulsion.

When asphaltic emulsion is used as paint binder (tack coat), asphalt concrete shall not be placed until the applied asphaltic emulsion has completely changed color from brown to black.

Asphalt concrete placed in layers of 45 mm or less in compacted thickness or widths of less than 1.5 m shall be spread and compacted with the equipment and by the methods conforming to the provisions in Section 39, "Asphalt Concrete," of the Standard Specifications. Other asphalt concrete shall be compacted and finished in conformance with the provisions in Section 39 and the following:

A. The provisions in Section 39-5.02, "Compacting Equipment," of the Standard Specifications shall not apply.
B. The Contractor shall furnish a sufficient number of rollers to obtain the compaction specified in these special provisions and the surface finish required by the Standard Specifications and these special provisions.
C. Rollers shall be equipped with pads and water systems that prevent sticking of asphalt mixtures to the pneumatic-tired or steel-tired wheels. A parting agent that will not damage the asphalt mixture may be used.
D. The second paragraph in Section 39-6.01, "General Requirements," of the Standard Specifications shall not apply.
E. Asphalt concrete and asphalt concrete base shall be compacted by any means to obtain the specified relative compaction before the temperature of the mixture drops below 65°C. Additional rolling to achieve the specified relative compaction will not be permitted after the temperature of the mixture drops below 65°C or once the

pavement is opened to public traffic. When vibratory rollers are used as finish rollers the vibratory unit shall be turned off.

F. The fifth and seventh through tenth paragraphs of Section 39-6.03, "Compacting," of the Standard Specifications shall not apply.

G. Asphalt concrete and asphalt concrete base shall be compacted to a relative compaction of not less than 96.0 percent and shall be finished to the lines, grades, and cross section shown on the plans. In-place density of asphalt concrete and asphalt concrete base will be determined prior to opening the pavement to public traffic.

H. Relative compaction will be determined by California Test 375.

I. If the test results for a quantity of asphalt concrete or asphalt concrete base indicate that the relative compaction is below 96.0 percent, the Contractor will be notified. Asphalt concrete or asphalt concrete base spreading operations shall not continue until the Contractor has notified the Engineer of the adjustment that will be made in order to meet the specified relative compaction.

J. If the test results for a quantity of asphalt concrete or asphalt concrete base indicate that the relative compaction is less than 96.0 percent, the asphalt concrete or asphalt concrete base represented by that quantity shall be removed, except as otherwise provided in these special provisions. If requested by the Contractor and approved by the Engineer, asphalt concrete or asphalt concrete base with a relative compaction of 93.0 percent or greater may remain in place and the Contractor shall pay to the State the amount of reduced compensation for the quantity with relative compaction less than 96.0 percent and greater than or equal to 93.0 percent. The Department will deduct the amount of reduced compensation from moneys due, or that may become due, the Contractor under the contract. The amount of reduced compensation the Contractor shall pay to the State will be calculated using the total tonnes in the quantity with relative compaction less than 96.0 percent and greater than or equal to 93.0 percent multiplied by the contract price per tonne for asphalt concrete or asphalt concrete base involved multiplied by the following compensation factors:

| Relative Compaction (Percent) | Reduced Compensation Factor | Relative Compaction (Percent) | Reduced Compensation Factor |
|---|---|---|---|
| 96.0 | 0.000 | 94.4 | 0.062 |
| 95.9 | 0.002 | 94.3 | 0.068 |
| 95.8 | 0.004 | 94.2 | 0.075 |
| 95.7 | 0.006 | 94.1 | 0.082 |
| 95.6 | 0.009 | 94.0 | 0.090 |
| 95.5 | 0.012 | 93.9 | 0.098 |
| 95.4 | 0.015 | 93.8 | 0.108 |
| 95.3 | 0.018 | 93.7 | 0.118 |
| 95.2 | 0.022 | 93.6 | 0.129 |
| 95.1 | 0.026 | 93.5 | 0.142 |
| 95.0 | 0.030 | 93.4 | 0.157 |
| 94.9 | 0.034 | 93.3 | 0.175 |
| 94.8 | 0.039 | 93.2 | 0.196 |
| 94.7 | 0.044 | 93.1 | 0.225 |
| 94.6 | 0.050 | 93.0 | 0.300 |
| 94.5 | 0.056 | | |

The miscellaneous areas to be paid for at the contract price per square meter for place asphalt concrete (miscellaneous area), in addition to the prices paid for the materials involved, shall be limited to the areas listed on the plans.

Aggregate for asphalt concrete dikes shall be in conformance with the provisions for 9.5-mm Maximum grading in Section 39-2.02, "Aggregate," of the Standard Specifications.

If the Contractor selects the batch mixing method, asphalt concrete shall be produced by the automatic batch mixing method in conformance with the provisions in Section 39-3.03A(2), "Automatic Proportioning," of the Standard Specifications.

If the finished surface of the asphalt concrete on Route 80 traffic lanes does not meet the specified surface tolerances, the surfacing shall be brought within tolerance by either (1) abrasive grinding (with fog seal coat on the areas which have been ground), (2) removal and replacement or (3) placing an overlay of asphalt concrete. The method will be selected by the Engineer. The corrective work shall be at the Contractor's expense.

If abrasive grinding is used to bring the finished surface to the specified surface tolerances, additional grinding shall be performed, as necessary, to extend the area ground in each lateral direction so that the lateral limits of grinding are at a constant offset from, and parallel to, the nearest lane line or pavement edge, and in each longitudinal direction so that the

grinding begins and ends at lines normal to the pavement centerline, within any ground area. Ground areas shall be neat rectangular areas of uniform surface appearance. Abrasive grinding shall conform to the provisions in the first paragraph and the last 4 paragraphs in Section 42-2.02, "Construction," of the Standard Specifications.

**SURFACE TOLERANCE FOR AC PLACED UNDER PORTALND CEMENT CONCRETE PAVEMENT**

When Asphalt Concrete is placed as a subgrade under the Portland cement concrete pavement the finished surface of the asphalt concrete shall not extend above the grade established by the Engineer or more than 30mm below the grade established by the Engineer.

Areas of the finished asphalt concrete higher than the grade established by the Engineer shall be ground until the surface of asphalt concrete subgrade conforms to the tolerances specified. Grinding equipment shall be power driven and specifically designed to produce a smooth surface that conforms to the straight edge requirements specified in Section 39-6.03, "Compacting" of the Standard Specifications. The ground area of asphalt concrete that is to be covered with Portland cement concrete pavement shall be cleaned of all foreign material and grinding residue, and be free of holes, ridges or other defects prior to placement of Portland cement concrete pavement. The ground Asphalt Concrete shall be weighed and the amount shall be deducted from the Asphalt Concrete item payment.

Areas of the finished asphalt concrete with a surface lower than 30mm below the grade established by the Engineer shall be filled with asphalt concrete. This filling will be compensated at contract item price for Asphalt Concrete.

Areas of the finished Asphalt Concrete which are between 0mm and 30mm below the grade established by the Engineer shall be filled with Portland cement concrete at the time and in the same operation that the Portland cement concrete pavement is placed.

Full compensation for this filling will be considered as included in the contract price paid per cubic meter for Portland Cement Concrete Pavement and no additional compensation will be allowed therefor.

Except for Open Graded asphalt concrete, in addition to the provisions listed in Section 39, "Asphalt Concrete," of the Standard Specifications, the asphalt concrete shall conform to the following quality requirement when mixed with the asphalt used on the job in the amount determined to be optimum by California Test 367:

| Test | California Test | Requirement |
|---|---|---|
| Surface Abrasion | 360 | Loss not to exceed 0.4g/cm$^2$ |

In addition to the provisions in Section 39-5.01, "Spreading Equipment," of the Standard Specifications, asphalt paving equipment shall be equipped with automatic screed controls and a sensing device or devices.

When placing asphalt concrete to the lines and grades established by the Engineer, the automatic controls shall control the longitudinal grade and transverse slope of the screed. Grade and slope references shall be furnished, installed, and maintained by the Contractor. Should the Contractor elect to use a ski device, the minimum length of the ski device shall be 9 m. The ski device shall be a rigid one piece unit and the entire length shall be utilized in activating the sensor.

When placing the initial mat of asphalt concrete on existing pavement, the end of the screed nearest the centerline shall be controlled by a sensor activated by a ski device not less than 9 m long. The end of the screed farthest from centerline shall be controlled by an automatic transverse slope device set to reproduce the cross slope designated by the Engineer.

When paving contiguously with previously placed mats, the end of the screed adjacent to the previously placed mat shall be controlled by a sensor that responds to the grade of the previously placed mat and will reproduce the grade in the new mat within a 3-mm tolerance. The end of the screed farthest from the previously placed mat shall be controlled in the same way it was controlled when placing the initial mat.

Should the methods and equipment furnished by the Contractor fail to produce a layer of asphalt concrete conforming to the provisions, including straightedge tolerance, of Section 39-6.03, "Compacting," of the Standard Specifications, the paving operations shall be discontinued and the Contractor shall modify the equipment or methods, or furnish substitute equipment.

Should the automatic screed controls fail to operate properly during a day's work, the Contractor may manually control the spreading equipment for the remainder of that day. However, the equipment shall be corrected or replaced with alternative automatically controlled equipment conforming to the provisions in this section before starting another day's work.

The area to which paint binder has been applied shall be closed to public traffic. Care shall be taken to avoid tracking binder material onto existing pavement surfaces beyond the limits of construction.

A drop-off of more than 45 mm will not be allowed at any time between adjacent lanes open to public traffic.

Shoulders or median borders adjacent to a lane being paved shall be surfaced prior to opening the lane to public traffic.

## 10-1.39 TEMPORARY ASPHALT CONCRETE TAPERS

This work shall consist of constructing temporary asphalt concrete tapers in accordance with the details shown on the plans and as specified in these special provisions.

Where transverse joints are planed in the pavement at conform lines or where asphalt concrete has not been placed to match the elevation of the existing pavement, the Contractor shall construct asphalt concrete tapers prior to opening the traveled way to public traffic.

Asphalt concrete for temporary tapers shall be placed to the level of the existing pavement and tapered on a slope of 1:200 (Vertical: Horizontal) or flatter to the level of the planed area or adjacent pavement surface.

Asphalt concrete shall be commercial quality, hot or cold mix, produced at an established commercial mixing plant.

Aggregate shall conform to the 9.5 mm or 12.5 mm maximum grading specified in Section 39-2.02, "Aggregate," of the Standard Specifications, or as approved by the Engineer.

Asphalt concrete tapers shall be spread with paving equipment as specified in Section 39-5.01, "Spreading Equipment," of the Standard Specifications.

Compaction shall be performed with a steel-tired tandem roller weighing not less than 7.2 tonnes. Compaction shall consist of 3 complete coverage's.

Rolling shall be performed so that cracking, shoving, or displacement shall not occur.

The completed surface shall be of uniform smoothness and texture, compacted firmly and free from depressions, humps or irregularities. When a straightedge 3.6m +/- 0.06m long is laid on the finished surface parallel with the centerline, the surface shall not vary more than 9 mm from the lower edge of the straightedge.

The above requirements shall not apply to temporary transverse cold joints to remain in place less than 72 hours, constructed during ongoing paving operations or when the approach speed of public traffic is 45 miles per hour or less.

Temporary asphalt concrete tapers shall be completely removed, including the removal of all loose material from the underlying surface, before placing the permanent surfacing. The removed material shall be disposed of outside the highway right of way in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Temporary asphalt concrete tapers will be measured and paid for by the tonne as asphalt concrete (Type A).

Full compensation for constructing, maintaining, and removing temporary asphalt concrete tapers shall be considered as included in the contract price paid per tonne for asphalt concrete (Type A) and no additional compensation will be allowed therefor.

## 10-1. 40 CONCRETE PAVEMENT

### GENERAL

Portland cement concrete pavement shall be constructed in conformance with the provisions in Section 40, "Portland Cement Concrete Pavement," of the Standard Specifications and these special provisions, and as shown on the plans.

Insert method for forming joints in pavement shall not be used.

### PREPAVING CONFERENCE

Supervisory personnel of the Contractor and subcontractors who are to be involved in the concrete paving work shall meet with the Engineer at a prepaving conference, at a mutually agreed time, to discuss methods of accomplishing the paving work.

The Contractor shall provide a facility for the prepaving conference within 5 km of the construction site or at a nearby location agreed to by the Engineer. Attendance at the prepaving conference is mandatory for the Contractor's project superintendent, paving construction foreman, subcontractor's workers, including foremen and personnel performing saw cutting, joint sealing, concrete plant manager, and concrete plant operator. Conference attendees shall sign an attendance sheet provided by the Engineer. Production and placement shall not begin nor proceed unless the above-mentioned personnel have attended the mandatory prepaving conference.

### JUST-IN-TIME TRAINING

Attending a 4-hour Just-In-Time Training (JITT) shall be mandatory, and consist of a formal joint training class on portland cement concrete and paving techniques. Construction operations for portland cement concrete paving shall not begin until the Contractor's and the Engineer's personnel have completed the mandatory JITT. The Contractor's personnel included in the list of participants for the prepaving conference as well as the Engineer's representatives shall attend JITT. JITT shall be in addition to the prepaving conference.

The JITT class will be conducted for not less than 4 hours on portland cement concrete pavement and paving techniques. The training class may be an extension of the prepaving conference and shall be conducted at a project field location convenient for both the Contractor and the Engineer. The JITT class shall be completed at least 15 days, not including Saturdays, Sundays or holidays, prior to the start of portland cement concrete paving operations. The class shall be held during normal working hours.

The JITT instructor shall be experienced in the construction methods, materials, and test methods associated with construction of portland cement concrete pavement and paving techniques. The instructor shall not be an employee of the Contractor or a member of the Engineer's field staff. A copy of the course syllabus, handouts, and presentation material shall

be submitted to the Engineer at least 7 days before the day of the training. The Contractor and the Engineer shall mutually agree to course instructor, the course content, and training site. The instructor shall issue a certificate of completion to the participants upon completion of the class. The certificate of completion shall include the course title, date and location of the class, the name of the participant, instructor's name, location and telephone number.

The Contractor's or Engineer's personnel involved with portland cement concrete paving operations will not be required to attend JITT if they have completed equivalent training within the previous 12 months of the date of the JITT for this project. The Contractor shall provide a certificate of class completion as described above for each staff member to be excluded from the JITT class. The Engineer will provide the final determination for exclusion of staff member's participation. Attendees of the JITT shall complete, and submit to the Engineer, an evaluation of the training. The Engineer will provide the course evaluation form.

Just-In-Time Training shall not relieve the Contractor of responsibility under the contract for the successful completion of the work in conformance with the requirements of the plans and specifications.

**TEST STRIP**

At the beginning of paving operations, the Contractor shall construct an initial test strip of concrete pavement from 200 meters to 300 meters in length. The paving width for the test strip shall be the same as that intended by the contractor for production work. The Contractor shall use the same equipment used to construct the test strip for the remainder of the paving operations, except as specified in this section. The Contractor shall not perform further paving until the test strip is evaluated in conformance with the provisions in Section 40-1.10, "Final Finishing," of the Standard Specifications regarding surface straight edge requirements, and "Profile Index" in this section; for dowel and tie bar alignment verification; concrete quality (except modulus of rupture); and pavement thickness. Additional test strips will be required when a test strip fails to conform to the specifications for concrete pavement as determined by the Engineer, in conformance with the evaluation of the test strip, as specified herein:

A. Portion of a test strip fails to conform to the provisions in Section 40-1.10, "Final Finishing," of the Standard Specifications for straight edge requirements, or
B. Portion of a test strip fails to conform to the provisions in Section 40-1.10, "Final Finishing," of the Standard Specifications for straight edge requirements, or
C. Portion of the test strip fails to conform to profile requirements, or
D. The Contractor proposes different paving equipment, including a batch plant, paver, dowel inserter, tie bar inserter, tining, or curing equipment, or
E. The dowel bar tolerances are not met, or
F. The pavement thickness deficiency is greater than 15 mm after grinding, or
G. A change in concrete mix design has occurred.

The Contractor shall perform coring of the test strips, as directed by the Engineer, as part of the dowel and tie bar placement tolerance verification, and pavement thickness verification. The Engineer will select at random a minimum of six dowel bars and six randomly selected tie bars that will be cored for each test strip. After removal of cores, voids in concrete pavement shall be cleaned and filled with hydraulic cement grout conforming to the provisions in "Core Drilling for Dowel Placement Alignment Assurance Testing" in this section.

Before mechanical dowel inserters are used, the Contractor shall first demonstrate that the insertion equipment will not leave surface irregularities such as depressions, dips, or high areas adjacent to the dowel bar insertion point, or voids or segregation around dowel bars.

Prior to placement of the test strip, the Contractor shall submit a written procedure to locate transverse weakened plane joints that will coincide with the center of the dowel bars being placed. This procedure shall be submitted prior to the prepaving conference, and shall describe the control of inadvertent covering of paint markings after applying curing compound, excessive paint spray producing too large a paint dot marking for the accuracy required, misalignment by transferring marking spots, and inadequate staking of joints.

Construction of concrete pavement shall not proceed until the Engineer has completed an evaluation of the test strip. The Engineer shall be allowed five days, not including Saturdays, Sundays and legal holidays, to evaluate the test strip. If, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the Engineer not completing the evaluation of the test strip within the time specified, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. Test strips failing to conform to the specifications for concrete pavement shall be removed. Additional test strips shall be constructed until the Contractor constructs a test strip that conforms to the specifications for concrete pavement. Additional test strips shall conform to the requirements in this section, except the test strip shall be 200 meters in length.

Prior to constructing additional test strips, the Contractor shall change methods or equipment to construct a test strip that conforms to the provisions in Section 40-1.10, "Final Finishing," of the Standard Specifications, "Profile Index" of this section, and dowel bar alignment verification, without grinding or other corrective work.

The Engineer may waive the initial test strip if the Contractor proposes to use a batch plant mixer and paving equipment with the same personnel that were satisfactorily used on a Department project within the preceding 12 months. The personnel shall be individuals listed in the prepaving conference used on a preceding Department project.

Materials resulting from the construction and removal of rejected test strips shall become the property of the Contractor and shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

## MATERIALS

### Concrete

Attention is directed to Section 90, "Portland Cement Concrete," of the Standard Specifications, regarding mix proportions for concrete being determined by the Contractor.

The Contractor is required to use these materials currently stored at the optional batch plant site in the processing of the portland cement concrete and shall have no right to obtain the materials from any other source or sources until these are first exhausted. Attention is directed to “Areas For Contractor’s Use” and "State-Furnished Materials" of these special provisions.

The materials are available at the optional batch plant site for sampling and testing. The Contractor shall make arrangements 48 hours prior to visiting the site with either Phil Zink at (530)346-7996 or Dick Dier at (530)741-5364.

Full compensation for using the materials at the batch plant, processing the Portland cement concrete in accordance with this special provisions and obtaining the remainder of the materials and processing them in accordance with this special provisions for the Portland cement concrete shall be considered as included in the contract price paid per cubic meter for Portland cement concrete and no separate payment will be made therefor.

Primary aggregate gradings shall conform to the gradation requirements of Section 90-3, "Aggregate Gradings," of the Standard Specifications. When combined in the proportions determined by the Contractor, the percent passing the 9.5 mm sieve and retained on the 2.36 mm sieve shall not be less than 16 percent of the total aggregate.

In addition to aggregate quality requirements specified in Section 90-2.02A, "Course Aggregate," of the Standard Specifications, aggregate from each source shall also conform to the following requirements:

| Test | California Test | PCC Aggregates |
|---|---|---|
| | | |
| Los Angeles Rattler | 211 | |
| | | |
| Loss at 500 Rev. (Max) | | 25% |

Fine aggregate shall be obtained from a source or sources that meet the requirements for California Test Method 211 specified for course aggregate.

The cementitious material shall not exceed 400 kg/m$^3$.

An air-entraining admixture conforming to the provisions in Section 90-4, "Admixtures," of the Standard Specifications shall be added to the concrete pavement in the amount required to result in an air content of 6 ± 1.5 percent in the freshly mixed concrete.

### Tie Bars

Tie bars shall be deformed reinforcing steel bars conforming to the requirements of ASTM Designation: A 615/A 615M, Grade 300 or 420; ASTM Designation: A 616/A 616M, Grade 350 or 400; or ASTM Designation: A 706/A 706M. Tie bars shall be epoxy-coated in conformance with the requirements in ASTM Designation: A 934/A 934M or A 775/A 775M and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement," of the Standard Specifications, except the epoxy-coating thickness after curing shall be between 175 to 400 micrometers (7 and 16 mils). Fabrication, sampling and jobsite handling shall conform to the requirements in ASTM Designation: D 3963 and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement", of the Standard Specifications, except the two samples shall be 750 mm long. Epoxy-coated tie bars shall not be bent.

### Epoxy (Drill and Bond)

Epoxy for bonding tie bars to portland cement concrete shall be a two-component, epoxy-resin, conforming to the requirements of ASTM Designation: C 881, Type V, Grade 3 (Non-Sagging), Class A, B or C. The class used shall be dependent on the internal temperature of the hardened concrete at the time the epoxy is to be applied. Class A shall be used

when the internal temperature is below 4.5°C, but not lower than recommended by the manufacturer. Class B shall be used when the internal temperature is from 4.5°C to 15.5°C. Class C shall be used when the internal temperature is above 15.5°C, but not higher than recommended by the manufacturer. A Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications shall be furnished with the epoxy. A copy of the manufacturer's recommended installation procedure shall be provided to the Engineer at least 7 days prior to the start of work.

**Dowels**

Dowels shall be smooth, round, epoxy-coated steel conforming to the requirements in ASTM Designation: A 615/A 615M, Grade 300 or 420, the details shown on the plans and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement," of the Standard Specifications. Epoxy coating of dowels shall conform to the provisions in ASTM Designation: A 884/A 884M, Class A, Type 1 or Type 2, except that the bend test shall not apply. Fabrication, sampling and jobsite handling shall conform to the requirements in ASTM Designation: D 3963 and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement," of the Standard Specifications, except the two samples shall be 460 mm long.

Dowels shall be plain, smooth, round bars. Dowels shall be free from burrs or other deformations detrimental to free movement of the bars in the concrete.

**Bond Breaker**

Dowels shall be lubricated with a bond breaker over the entire bar. A bond breaker application of petroleum paraffin based lubricant or white-pigmented curing compound shall be used to coat the dowels completely prior to placement. Oil and asphalt based bond breakers shall not be used. Paraffin based lubricant shall be Dayton Superior DSC BB-Coat or Valvoline Tectyl 506 or an approved equal. Paraffin based lubricant shall be factory applied. White pigmented curing compound shall conform to the requirements of ASTM Designation: C 309, Type 2, Class A, and shall contain 22 percent minimum nonvolatile vehicles consisting of at least 50 percent paraffin wax. Curing compound shall be applied in two separate applications, the last application not more than 8 hours prior to placement of the dowel bars. Each application of curing compound shall be applied at the approximate rate of one liter per 3.7 $m^2$.

**Load Transfer Assemblies (Dowel Basket)**

Load transfer assemblies shall be manufactured with a minimum welded wire gage number of 3/0 (9.2 mm). Assemblies shall be either U-frame or A-frame shape. J-frame shapes shall not be used. Assemblies shall be fabricated in conformance with the requirements in ASTM Designation: A 82. Welding of assemblies shall conform to the requirements in AASHTO Designation: M 254. A broken weld will be a cause for rejection of the assembly. Assemblies shall be Class A, Type 1 epoxy-coated in conformance with the requirements in ASTM Designation: A 884/A 884M. Fabrication and job-site handling shall conform to the requirements in ASTM Designation: D 3963 and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement," of the Standard Specifications, except that sampling of epoxy-coated wire reinforcement will not be required. A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished for each shipment of epoxy-coated wire reinforcement certifying that the coated bars conform to the requirements in ASTM Designation: A 884/A 884M and the provisions in Section 52-1.02B, "Epoxy-coated Bar Reinforcement," of the Standard Specifications. The Certificate of Compliance shall include the certifications specified in ASTM Designation: A 884/A 884M and a statement that the coating material has been pre-qualified by acceptance testing performed by the Valley Forge Laboratories, Inc., Devon, Pennsylvania.

Concrete fasteners shall be used for anchoring dowel bar assemblies to lean concrete base or asphalt concrete base. Concrete fasteners shall be driven fasteners (concrete nails), used specifically for fastening to hardened concrete, conforming to the requirements of ASTM Designation: F 1667. Shank diameter shall be a minimum of 4 mm with a minimum shank length of 64 mm. Clips shall be commercial quality manufactured for use with dowel assemblies.

The surface of concrete fasteners, and clips shall be either zinc electroplated or galvanized with a minimum coating thickness of 0.005-mm.

**Tie Bar Assemblies and Chairs**

Tie bar assemblies and chairs shall be fabricated in conformance with the requirements in ASTM Designation: A 82. Welding of assemblies shall conform to the requirements in AASHTO Designation: M 254. A broken weld will be a cause for rejection of the assembly. Assemblies shall be Class A, Type 1 epoxy-coated in conformance with the requirements in ASTM Designation: A 884/A 884M. Fabrication and job-site handling shall conform to the requirements in ASTM Designation: D 3963 and the provisions in Section 52-1.02B,

"Epoxy-coated Reinforcement," of the Standard Specifications, except that sampling of epoxy-coated wire reinforcement will not be required. A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished for each shipment of epoxy-coated wire reinforcement certifying that the coated bars

conform to the requirements in ASTM Designation: A 884/A 884M and the provisions in Section 52-1.02B, "Epoxy-coated Bar Reinforcement," of the Standard Specifications. The Certificate of Compliance shall include the certifications specified in ASTM Designation: A 884/A 884M and a statement that the coating material has been pre-qualified by acceptance testing performed by the Valley Forge Laboratories, Inc., Devon, Pennsylvania.

**Asphalt Rubber Joint Sealant**

Asphalt rubber joint sealant shall conform to the requirements of ASTM Designation: D 3405 as modified herein or to the following:

A. Asphalt rubber joint sealant shall be a mixture of paving asphalt and ground rubber. Ground rubber shall be vulcanized or a combination of vulcanized and devulcanized materials ground so that 100 percent will pass a 2.36-mm sieve. The mixture shall contain not less than 22 percent ground rubber, by mass. Modifiers may be used to facilitate blending.
B. The asphalt rubber sealant shall have a Ring and Ball softening point of 57°C minimum, when tested in conformance with the requirements in AASHTO Designation: T 53.
C. The asphalt rubber sealant material shall be capable of being melted and applied to cracks and joints at temperatures below 204°C.

The penetration requirement of Section 4.2 of ASTM Designation: D 3405 shall not apply. The required penetration shall not exceed 120, at 25°C, 150 g, 5 s.

The resilience requirement of Section 4.5 of ASTM Designation: D 3405 shall not apply. The required resilience shall be a minimum of 50 percent recovery, when tested at 25°C.

Each lot of asphalt rubber joint sealant shipped to the job site, whether as specified herein or conforming to the requirements of ASTM Designation: D 3405, as modified herein, shall be accompanied by a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications, and shall be accompanied with storage and heating instructions and precautionary instructions for use. The Certificate shall be accompanied with a certified test report of the results of the required tests performed on the joint sealant material within the previous 12 months prior to proposed use. The Certificate and accompanying test report shall be provided for each lot of sealant prior to use on the project.

Asphalt rubber joint sealant materials shall be heated and placed in conformance with the manufacturer's written instructions and the details shown on the plans. The manufacturer's instructions shall be provided to the Engineer at the prepaving conference. Asphalt rubber joint-sealant materials shall not be placed when the pavement surface temperature is below 10°C.

**Joint Filler Material**

Joint filler material shall be preformed expansion joint filler for concrete (bituminous type), conforming to the requirements of ASTM Designation: D 994.

A Certificate of Compliance for the joint filler material shall be furnished to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate shall be accompanied with a certified test report of the results of the required tests performed on the joint filler material within the previous 12 months prior to proposed use. The Certificate and accompanying test report shall be provided for each lot of joint filler material prior to use on the project.

**Hydraulic Cement Grout (non-shrink)**

Hydraulic cement grout (non-shrink) shall conform to the requirements in ASTM Designation: C 1107. At the Contractor's option, clean, uniform rounded aggregate filler may be used to extend the grout. The extension of grout shall not exceed 60 percent of the mass or the maximum recommended by the manufacturer, whichever is less. The moisture content of the aggregate filler shall not exceed 0.5 percent. Grading of the aggregate filler shall conform to the following:

| Sieve Size | Percentage Passing |
|---|---|
| 12.5 mm | 100 |
| 9.5 mm | 85-100 |
| 4.75 mm | 10-30 |
| 2.36 mm | 0-10 |
| 1.10 mm | 0-5 |

## SUBMITTALS

If load transfer assemblies, or tie bar assemblies or chairs, are used, the Contractor shall submit working drawings in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The working drawings shall contain details and a materials list with name, address, and telephone number of the supplier of concrete nails, and clips. The Contractor shall submit the working drawings 14 days prior to constructing the initial test strip. The Engineer will have 14 days to approve the working drawings. Should the Engineer fail to complete the review of the working drawings within the time allowance and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the working drawings, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

## PAVEMENT CONCRETE MIX PROPORTIONS

The Contractor shall determine the mix proportions for pavement concrete. Section 40-1.015, "Cement Content," of the Standard Specifications shall not apply. The laboratory used to develop the mix proportions shall meet the requirements of ASTM Designation: C 1077, and shall have current AASHTO accreditation for test methods AASHTO Designation: T 97 or ASTM Designation: C 78, and AASHTO Designation: T 126 or ASTM Designation: C 192.

The minimum cementitious materials content or the maximum water to cementitious materials ratio shall be determined in conformance with the requirements in California Test 559. Trial mixtures shall be made no more than 24 months before field qualification. The maximum water to cementitious materials ratio or minimum cementitious material content shall be that determined from the trial mixtures curve to produce a minimum flexural strength of 4.5 MPa at 42 days age.

At least 14 days prior to field qualification, the Contractor shall submit the proposed pavement concrete mix proportions with laboratory test reports. Laboratory test reports shall include modulus of rupture determined for each trial mixture at ages of 7, 14, 28 and 42 days in conformance with the applicable portions of California Test 559.

### Field Qualification

Field qualification of proposed mix proportions will be required prior to placement of paving concrete. The Contractor shall perform field qualification and submit certified test data to the Engineer. Field qualification data shall be based upon the proposed use of materials, mix proportions, mixing equipment, procedures and size of batch.

A concrete mix design will be field qualified when the test results of five beams from a single batch of concrete indicate the average modulus of rupture is at least 3.9 MPa with no single beam lower than 3.8 MPa at an age of the Contractor's choice but not latter than 28 days. Beams shall be tested for modulus of rupture at a minimum of 7, 14, 28 and 42 days of age. Test specimens shall be fabricated and tested in conformance with the requirements in California Test 523.

The certified field qualification test data reports shall include the following:

A. Date of mixing,
B. Mixing equipment and procedures used,
C. Volume of batch in cubic meters and the mass or volume,
D. Type and source of ingredients used,
E. Penetration and slump of the concrete,
F. The air content of the concrete, and
G. The age at time of testing and strength of concrete specimens tested.

Field qualification test data reports shall be signed by a certified representatives in charge of the laboratory that performed the tests.

If the Contractor changes a source of supply or proportions, the Contractor shall submit a new proposed mix design and furnish samples from the new source, or sources, at least 60 days prior to their intended use. The new mix proportions shall be trial batched and field qualified, unless, the Engineer determines the change is not substantive. No extension of contract time will be allowed for the time required to perform the sampling, testing, preparing and qualifying new mix proportions for new aggregate sources proposed by the Contractor.

## INSTALLING TIE BARS

Tie bars shall be installed at longitudinal contact joints and longitudinal weakened plane joints as shown on the plans. Consecutive width of new portland cement concrete pavement tied together with tie bars shall not exceed 15 meters. Tie bars shall not be used at a joint where portland cement concrete and asphalt concrete pavements abut.

Tie bars shall be installed at longitudinal joints by one of the following methods:

A. Drilling and bonding tie bars with two-component, epoxy-resin that conforms to this section. Drilled holes shall be cleaned in conformance with the epoxy manufacturer's instructions and shall be dry at the time of placing the epoxy and tie bars. Tie bars will be rotated 180º while being inserted into the epoxy filled holes. Immediately after

inserting the tie bars into the epoxy, the tie bars shall be supported as necessary to prevent movement during curing and shall remain undisturbed until the epoxy has cured as specified by the manufacturer instructions. Tie bars that are improperly placed or bonded, as determined by the Engineer, will be rejected. If rejected, new holes shall be drilled and new tie bars shall be placed and securely bonded to the concrete. Rejected tie bars shall be cut flush with the joint face. Exposed ends of tie bars shall be epoxy coated. The center of the new holes shall be offset 75 mm horizontally from the center of the rejected hole to maintain the minimum clearance to the dowel bar. Work necessary to correct improperly bonded tie bars shall be performed at the Contractor's expense.

B. Inserting tie bars into the plastic slipformed concrete before finishing the concrete. Inserted tie bars shall have full contact between the bar and the concrete. When tie bars are inserted through the pavement surface, the concrete over the tie bars shall be reworked and refinished so that there is no evidence on the surface of the completed pavement that there has been an insertion performed. Loose tie bars shall be replaced by drilling and bonding as described in A above, at the Contractor's expense.

C. Using threaded dowel splice couplers fabricated from deformed bar reinforcement material, free of external welding or machining. Threaded dowel splice couplers shall be accompanied by a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications, and shall be accompanied with installation instructions. Installation of threaded dowel splice couplers shall conform to the requirements of the manufacturer's recommendations.

D. Using tie bar assemblies or chairs that conforms with the requirements of these special provisions.

**DOWEL PLACEMENT**

Dowels shall be centered on the joint within a tolerance of ±50 mm in the longitudinal direction directly over the contact joint or sawcut for the transverse weakened plane joints, as shown on the plans. Prior to placement of the dowels, the Contractor shall submit to the Engineer a written procedure to identify the transverse weakened plane joint locations relative to the middle of the dowel bars and the procedure for consolidating concrete around the dowels. The Contractor's procedure for dowel bar alignment, and concrete consolidation shall be verified by coring in conformance with the requirements in "Core Drilling for Dowel Placement Alignment Assurance Testing" in this section.

Dowels shall be placed at transverse weakened plane joints within shoulder areas.

Dowels shall be placed by using load transfer assemblies (dowel baskets) or by mechanical insertion. Dowels shall be oriented parallel with the pavement lane centerline and surface of the pavement at mid-slab depth. Dowel alignment shall be ±6 mm per 300 mm of dowel length in both horizontal and vertical planes.

When dowels are placed by mechanical insertion, the concrete over the dowel bars shall be reworked and refinished so that there is no evidence on the surface of the completed pavement that there has been any insertion performed.When load transfer assemblies (dowel baskets) are used, they shall be securely anchored firmly to the base to hold the dowel bars at the specified depth and alignment during concrete placement without displacement. A minimum of 8 alternating, equally spaced, concrete fasteners with clips shall be used to anchor each 3.6 m assembly (4 per lower runner wire). At least 10 concrete fasteners shall be used for assembly sections greater than 3.6 m and less than or equal to 4.9 m. Temporary spacer wires connecting load transfer assemblies shall be cut or removed after the assemblies are anchored into position prior to concrete placement. Paving shall be suspended when approved assemblies are not in place at least 60 m in advance of the concrete placement operation. The Engineer may waive this requirement upon written request by the Contractor, in areas, where access is restricted, or other construction limitations are encountered.

Approval of the initial placement of load transfer assemblies shall not constitute acceptance of the final position of the dowel bars.

**CORE DRILLING FOR DOWEL PLACEMENT ALIGNMENT ASSURANCE TESTING**

Coring, to confirm dowel bar placement and position, shall be provided by the Contractor throughout the project, at locations determined by the Engineer. Each day's paving shall be cored within 2 days by performing one test for every 1670 square meters of doweled pavement or fraction thereof. One test shall consist of drilling two cores, one on each end of a dowel bar to expose both ends and allow measurement for proper alignment. If the cores indicate that dowel bars are not within the allowable tolerances or if air voids exist surrounding the dowel bars, additional cores will be required to determine the limits and severity of unacceptable work.

The holes shall be cored by methods that will not damage the concrete adjacent to the holes. Immediately after coring, the concrete cores shall be submitted to the Engineer for inspection, and the cores shall be identified by the Contractor with a location description.

After removal of cores, core hole voids in concrete pavement shall be cleaned and filled with hydraulic cement grout (non-shrink). After placement of hydraulic cement grout, the material while still plastic shall be finished and textured to match the adjacent pavement surface. The backfill material shall be the same level as the pavement surface.

Water for core drilling operations shall be from a local domestic water supply, and shall contain not more than 1000 parts per million of chlorides as CL, nor more than 1300 parts per million of sulfates as $SO_4$, nor shall it contain impurities in a sufficient amount to cause discoloration of the concrete or produce etching of the surface.

Water from core drilling operations shall not be permitted to fall on public traffic, to flow across shoulders or lanes occupied by public traffic, or to flow into gutters or other drainage facilities.

Dowel alignment shall be within the specified tolerances. If dowels are found to be installed improperly, the paving operations shall not continue until the Contractor has demonstrated to the Engineer that the problem which caused the improper dowel positioning has been corrected.

Dowels in rejected joints shall be replaced by the Contractor by saw cutting on each side of the rejected joint a minimum of 0.9-m, lifting out concrete to be removed, installing new dowels at the new transverse joints, installing dowel bars and preformed sponge rubber expansion joint filler along the longitudinal joints, placing concrete, and installing new joints. Preformed sponge rubber expansion joint filler shall conform to the requirements in ASTM Designation: D 1752. New dowel holes shall be drilled, not more than 3 mm greater than the dowel bar diameter, by the use of an automatic dowel-drilling rig for the dowels to be installed at the contact joints. Dowels shall be placed, as shown on the plans, for the 2 new transverse contact joints. Original exposed tie bars, located within the slab replacement area, shall be cut flush with the lane or pavement edge and dowel bars shall be installed to replace the tie bars at an offset of 75 mm, horizontally from the tie bar location. Holes for dowels to be placed along the longitudinal joint shall be drilled, not more than 3 mm greater than the dowel bar diameter, by the use of an automatic dowel-drilling rig for the dowels to be installed at the contact joints

When requested by the Contractor and approved by the Engineer, dowel bars which are more than ±50 mm but less than ±75 mm from being centered directly over the sawcut for the transverse weakened plane joint, may remain in place, and the Contractor shall pay to the State the amount of $32.30 per square meter for the quantity of concrete pavement panels represented by the cores indicating incorrect dowel alignment or improper concrete consolidation around dowels. The quantity of concrete pavement area used to determine the amount payment to the State will be calculated using the panel dimensions for panels adjacent to and inclusive of the joints with incorrect dowel alignment or improper concrete consolidation around dowels. The Department will reduce compensation from moneys due, or that may become due to the Contractor under the contract. This reduced compensation shall be in addition to other adjustments for pavement thickness deficiency in conformance with the provisions in Section 40-1.135, "Pavement Thickness," of the Standard Specifications and in addition to other adjustments for deficient Cleanness Value and coarse aggregate grading; and for deficient Sand Equivalent and fine aggregate grading in conformance with the provisions in Section 90-2.02, "Aggregate," of the Standard Specifications.

**LIQUID JOINT SEALANT INSTALLATION**

The joint sealant detail for transverse and longitudinal joints, as shown on the plans, shall apply only to weakened plane joints. Weakened plane joints shall be constructed by the sawing method. Should grinding or grooving be required over or adjacent to joints after sealant has been placed, the joint materials shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, and replaced at the Contractor's expense.

Transverse weakened plane joints shall be modified Type DSC as shown on the plans. Longitudinal weakened plane joints shall be modified Type SSC.

Seven days after the concrete pavement placement and not more than 4 hours before placing joint sealant materials, the joint walls shall be cleaned by the dry sand blast method and other means as necessary to remove from the joint objectionable material such as soil, asphalt, curing compound, paint and rust. After cleaning the joint, traces of sand, dust and loose material shall be removed from and near the joint for a distance along the pavement surfaces of at least 50 mm on each side of the joint by the use of a vacuum device. Surface moisture shall be removed at the joints by means of compressed air or moderate hot compressed air or other means approved by the Engineer. Drying procedures that leave a residue or film on the joint wall shall not be used. Sandblasting equipment shall have a maximum nozzle diameter size of 6 ± 1-mm and a minimum pressure of 0.62-MPa.

Joint sealant shall be placed in the clean, dry, prepared joints as shown on the plans. The joint sealant shall be applied using a mechanical device with a nozzle shaped to fit inside the joint to introduce the sealant from inside the joint. Adequate pressure shall be applied to the sealant to ensure that the sealant material is extruded evenly and that full continuous contact is made with the joint walls. After application of the sealant, the surface of the sealant shall be recessed as shown on the plans.

Failure of the joint material in either adhesion or cohesion will be cause for rejection of the joint. The finished surface of joint sealant shall conform to the dimensions and allowable tolerances shown on the plans. Rejected joint materials or joint material whose finished surface does not conform to the dimensions shown on the plans, as determined by the Engineer, shall be repaired or replaced, at the Contractor's expense, with joint material that conforms to the requirements.

After each joint is sealed, surplus joint sealer on the pavement surface shall be removed. Traffic shall not be permitted over the sealed joints until the sealant is tack free and set sufficiently to prevent embedment of roadway debris into the sealant.

**CONSTRUCTING TRANSVERSE CONTACT JOINTS**

A transverse contact (construction) joint shall be constructed, including dowel bars, at the end of each day's work or where concrete placement is interrupted for more than 30 minutes, to coincide with the next weakened plane joint location.

If sufficient concrete has not been mixed to form a slab to match the next weakened plane joint, when an interruption occurs, the excess concrete shall be removed and disposed of back to the last preceding joint. The cost of removing and disposing of excess concrete shall be at the Contractor's expense. Excess material shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

A metal or wooden bulkhead (header) shall be used to form the joint. The bulkhead shall be designed to accommodate the installation of dowel bars.

**CONSTRUCTING LONGITUDINAL ISOLATION JOINTS**

Final alignment of perpendicular transverse weakened plane joints in pavement shall not be made to match the spacing or skew of the weakened plane joints in the existing parallel concrete pavement. Tie bars shall not be placed across longitudinal isolation joints. The edge of the existing pavement shall be saw cut a width 3 mm and to the full depth of the existing concrete pavement to produce a flat vertical face. Prior to placing concrete, joint filler material shall be placed as shown on the plans. The joint filler shall be secured to the face of the existing pavement joint face by a method that will hold the joint filler in place and prevent the new concrete from adhering to the existing concrete, during placement of concrete.

Sealant for longitudinal isolation joints shall be asphalt-rubber and placed in conformance with the requirements for liquid joint sealant installation as specified above.

**PROFILE INDEX**

The pavement surface shall be profiled, by the Contractor not more than 10 days following concrete placement, in the presence of the Engineer, using a California Profilograph or equivalent in conformance with the requirements in California Test 526, except a blanking band of zero (null) shall be used to determine the Profile Index. Two profiles shall be made within each traffic lane, one meter from and parallel with each lane line.

Profiled pavement shall conform to the following Profile Index requirements:

A. Pavement on tangent alignment and pavement on horizontal curves having a centerline radius of curve 600 m or more shall have a Profile Index of 64 mm or less for each 0.1-km.
B. Pavement on horizontal curves having a centerline radius of curve 300 m or more but less than 600 m and pavement within the superelevation transition of those curves shall have a Profile Index of 128 mm or less for each 0.1-km.

Individual high points in excess of 7.5 mm, as determined by measurements of the profilogram in conformance with the requirements in California Test 526, except using a blanking band of zero (null), shall be reduced by grinding in conformance with the requirements in Section 40-1.10, "Final Finishing," of the Standard Specifications until the high points as indicated by reruns of the profilograph do not exceed 7.5 mm.

Pavement grinding shall not be performed before 10 days have elapsed after concrete placement, nor before the concrete has developed a modulus of rupture of at least 3.8 MPa.

Residue from grinding operations shall be picked up by means of a vacuum attachment to the grinding machine and shall not be allowed to flow across the pavement nor be left on the surface of the pavement. Residue from grinding portland cement concrete pavement shall be disposed of at the location listed and in the manner specified in the “Materials Information” available to the Contractor.

**MEASUREMENT AND PAYMENT**

Sealing longitudinal and transverse weakened plane joints, and longitudinal isolation joints in portland cement concrete pavement will be measured by the meter. When a test strip conforms to the specifications for concrete pavement and remains a part of the project paving surface, the sealed pavement joints will be measured and paid for as seal pavement joint.

The contract price paid per meter for seal pavement joint shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in sealing pavement joints complete in place, including sawing, cleaning and preparing the joints in the concrete pavement, repairing and patching spalled or raveled sawed joints, and replacing or repairing rejected joints, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract price paid per meter for seal longitudinal isolation joint shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in sealing longitudinal isolation joints complete in place, including sawing, cleaning and preparing the joints in the concrete pavement, furnishing and installing joint filler material, repairing and patching spalled or raveled sawed joints, and replacing or repairing rejected joints, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Concrete pavement will be measured by the cubic meter in conformance with the provisions in Section 40-1.13, "Measurement," of the Standard Specifications. No deduction will be made for the volume of epoxy-coated dowel bars, epoxy-coated tie bars and, when used, tie bar assemblies or chairs with fasteners and dowel assemblies with fasteners, in the concrete pavement. When a test strip conforms to the specifications for concrete pavement and remains a part of the project paving surface, the concrete will be measured and paid for as concrete pavement.

The contract price paid per cubic meter for concrete pavement shall include full compensation for furnishing all labor, materials (including cementitious material in the amount determined by the Contractor), tools, equipment, and incidentals, and for doing all the work involved in constructing the portland cement concrete pavement complete in place, including furnishing and placing epoxy-coated dowel bars, epoxy-coated tie bars and, when used, any tie bar assemblies or chairs and dowel assemblies with fasteners, submittal to the Engineer all test data for determination of mix proportions of concrete for concrete pavement and for providing the facility, Contractor personnel and all the work involved in arranging and holding the prepaving conference, for constructing and repairing all joints; for performing all profile checks for Profile Index and furnishing copies of all profilograms to the Engineer as soon as they are completed; for grooving and grinding required for final finishing; and for removing, and replacing pavement for deficient thickness, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for drilling holes and bonding tie bars with epoxy resin shall be considered as included in the contract price paid per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

Full compensation for coring test strips for evaluation by the Engineer and for back-filling core holes with hydraulic cement grout when the test strip remains in place as part of the concrete pavement; and for constructing, coring and removing and disposing of test strips that are rejected shall be considered as included in the contract price paid per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

Costs for providing JITT will be determined in conformance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications, except no markups shall be added, and the Contractor will be paid for one half of the JITT cost. Costs for providing JITT shall include training materials, class site, and the JITT instructor including the JITT instructor's travel, lodging, meals and presentation materials. All costs incurred by the Contractor or Engineer for attending JITT shall be borne by the party incurring the costs.

Full compensation for core drilling for dowel bar alignment and backfilling with hydraulic cement grout shall be considered as included in the contract price per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

If the initial cores show the dowels are out of alignment and the Engineer orders additional dowel coring, full compensation for drilling the additional cores shall be considered as included in the contract price per cubic meter for concrete pavement and no additional compensation will be allowed therefor.

If the initial cores show that the dowels are within alignment tolerances and the Engineer orders more dowel coring than the one test for every 1670 square meters of doweled pavement, the additional cores will be paid for as extra work in conformance with the provisions in Section 4-1.03D, "Extra Work," of the Standard Specifications.

## 10-1.41 INTERMEDIATE PAVEMENT ANCHOR

Intermediate pavement anchors shall be constructed as shown on the plans and in conformance with the provisions in Section 40, "Portland Cement Concrete Pavement," Section 51, "Concrete Structures," and Section 52, "Reinforcement," of the Standard Specifications and these special provisions.

Class 1 permeable material and plastic slotted pipe underdrain shall conform to the provisions in Section 68-3, "Edge Drains," of the Standard Specifications and these special provisions.

Reinforcing bars, at the option of the Contractor, may be placed during construction of the pavement anchors or placed prior to constructing the concrete pavement by drilling and bonding the reinforcing bars. Drilling and bonding shall conform to the provisions in Section 83-2.02D(1), "General," of the Standard Specifications.

Intermediate pavement anchors will be measured as units as determined from actual count in place.

The contract unit price paid for intermediate pavement anchor shall include full compensation for furnishing all labor, materials (including portland cement concrete and reinforcing steel, permeable material, and plastic underdrain pipe), tools, equipment, and incidentals, and for doing all the work (including excavation and disposal of excavated material) involved in constructing the intermediate pavement anchor, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.42 SHOULDER RUMBLE STRIP (PORTLAND CEMENT CONCRETE, GROUND-IN INDENTATIONS)

This work shall consist of constructing ground-in shoulder rumble strips in portland cement concrete pavement as shown on the plans and as specified in these special provisions.

Shoulder rumble strips shall be constructed in the portland cement concrete pavement by grinding after the concrete has hardened. The indentations shall not be constructed before a period of 10 calendar days has elapsed after the concrete has been placed, nor before the concrete has developed a modulus of rupture of 3.8 MPa.

Shoulder rumble strips shall not be constructed on structures or approach slabs.

Rumble strip indentations shall not vary from the specified dimensions by more than 1.5 mm in depth or 10 percent in length and width. Rumble strips shall be constructed within 50 mm of the required alignment. The grinding equipment shall be equipped with a sighting device that will enable the operator to maintain the alignment of the rumble strip.

Residue from grinding operations shall be picked up by means of a vacuum attachment to the grinding machine and shall not be allowed to flow across the pavement nor be left on the surface of the pavement. Residue from grinding portland cement concrete pavement shall be disposed of at the location listed and in the manner specified in the "Materials Information"available to the Contractor.

At the option of the Contractor, the residue from grinding portland cement pavement may be disposed of at a site chosen by the Contractor if the Contractor obtains approval from the California Regional Water Quality Control Board having jurisdiction over the site. A copy of the approval shall be delivered to the Engineer before disposing residue at the site.

The noise level created by the combined grinding operation shall not exceed 86 dBA when measured at a distance of 15 m at right angles to the direction of travel.

Finished rumble strips not meeting the specified tolerances, shall be brought within tolerance by either abrasive grinding or removal and replacement. The corrective method will be selected by the Engineer. Ground surface areas shall be neat and uniform in appearance. The corrective work shall be at the Contractor's expense.

Shoulder rumble strip (portland cement concrete, ground-in indentations) will be measured by the station along each shoulder on which the shoulder rumble strip is constructed, parallel with the adjacent traffic lane, without deductions for gaps between the ground-in indentations.

The contract price paid per station for shoulder rumble strip (portland cement concrete, ground-in indentations) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the shoulder rumble strip (portland cement concrete, ground-in indentations), complete in place, including removing and disposing of residue from grinding, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.43 PILING

### GENERAL

Piling shall conform to the provisions in Section 49, "Piling," of the Standard Specifications, and these special provisions.

Unless otherwise specified, welding of any work performed in conformance with the provisions in Section 49, "Piling," of the Standard Specifications, shall be in conformance with the requirements in AWS D1.1.

Foundation recommendations are included in the "Information Handout" available to the Contractor as provided for in Section 2-1.03, "Examination of Plans, Specifications, Contract, and Site of Work," of the Standard Specifications.

Attention is directed to "Welding" of these special provisions.

Difficult pile installation is anticipated due to the presence of cobbles and boulders, hard rock and steep slopes.

### CAST-IN-DRILLED-HOLE CONCRETE PILES

Cast-in-drilled-hole concrete piling shall conform to the provisions in Section 49-4, "Cast-In-Place Concrete Piles," of the Standard Specifications and these special provisions.

The provisions of "Welding" of these special provisions shall not apply to temporary steel casings.

Cast-in-drilled-hole concrete piles 600 mm in diameter or larger may be constructed by excavation and depositing concrete under slurry.

#### Materials

Concrete deposited under slurry shall have a nominal penetration equal to or greater than 90 mm. Concrete shall be proportioned to prevent excessive bleed water and segregation.

Concrete deposited under slurry shall contain not less than 400 kg of cementitious material per cubic meter.

The combined aggregate grading used in concrete for cast-in-drilled-hole concrete piling shall be either the 25-mm maximum grading, the 12.5-mm maximum grading, or the 9.5-mm maximum grading and shall conform to the requirements in Section 90-3 "Aggregate Gradings," of the Standard Specifications.

Concrete for portions of cast-in-drilled-hole concrete piles to be formed shall contain not less than 400 kg of cementitious material per cubic meter and shall contain 6 ± 1 1/2 percent air entrainment in the freshly mixed concrete.

**Mineral Slurry**

Mineral slurry shall be mixed and thoroughly hydrated in slurry tanks, and slurry shall be sampled from the slurry tanks and tested before placement in the drilled hole.

Slurry shall be recirculated or continuously agitated in the drilled hole to maintain the specified properties.

Recirculation shall include removal of drill cuttings from the slurry before discharging the slurry back into the drilled hole. When recirculation is used, the slurry shall be sampled and tested at least every 2 hours after beginning its use until tests show that the samples taken from the slurry tank and from near the bottom of the hole have consistent specified properties. Subsequently, slurry shall be sampled at least twice per shift as long as the specified properties remain consistent.

Slurry that is not recirculated in the drilled hole shall be sampled and tested at least every 2 hours after beginning its use. The slurry shall be sampled midheight and near the bottom of the hole. Slurry shall be recirculated when tests show that the samples taken from midheight and near the bottom of the hole do not have consistent specified properties.

Slurry shall also be sampled and tested prior to final cleaning of the bottom of the hole and again just prior to placing concrete. Samples shall be taken from midheight and near the bottom of the hole. Cleaning of the bottom of the hole and placement of the concrete shall not start until tests show that the samples taken from midheight and near the bottom of the hole have consistent specified properties.

Mineral slurry shall be tested for conformance to the requirements shown in the following table:

| MINERAL SLURRY | | |
|---|---|---|
| PROPERTY | REQUIREMENT | TEST |
| Density ($kg/m^3$)<br><br>- before placement in the drilled hole<br>- during drilling<br><br>- prior to final cleaning<br>- immediately prior to placing concrete | 1030* to 1110*<br><br>1030* to 1200* | Mud Weight (Density)<br>API 13B-1<br>Section 1 |
| Viscosity (seconds/liter)<br><br>bentonite<br><br>attapulgite | 29 to 53<br><br>29 to 42 | Marsh Funnel and Cup<br>API 13B-1<br>Section 2.2 |
| pH | 8 to 10.5 | Glass Electrode pH Meter or pH Paper |
| Sand Content (percent)<br><br>- prior to final cleaning<br>- immediately prior to placing concrete | less than or equal to 4.0 | Sand<br>API 13B-1<br>Section 5 |
| *When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 $kg/m^3$.<br>Slurry temperature shall be at least 4 degrees Celsius when tested. | | |

Any caked slurry on the sides or bottom of hole shall be removed before placing reinforcement. If concrete is not placed immediately after placing reinforcement, the reinforcement shall be removed and cleaned of slurry, the sides of the drilled hole cleaned of caked slurry, and the reinforcement again placed in the hole for concrete placement.

**Synthetic Slurry**

Synthetic slurries shall be used in conformance with the manufacturer's recommendations and these special provisions. The following synthetic slurries may be used:

| PRODUCT | MANUFACTURER |
|---|---|
| SlurryPro EXL | KB Technologies Ltd.<br>3648 FM 1960 West<br>Suite 107<br>Houston, TX 77068<br>(800) 525-5237 |
| Super Mud | PDS Company<br>c/o Champion Equipment Company<br>8140 East Rosecrans Ave.<br>Paramount, CA 90723<br>(562) 634-8180 |
| Shore Pac GCV | CETCO Drilling Products Group<br>1350 West Shure Drive<br>Arlington Heights, IL 60004<br>(847) 392-5800 |

Inclusion of a synthetic slurry on the above list may be obtained by meeting the Department's requirements for synthetic slurries. The requirements can be obtained from the Office of Structure Design, P.O. Box 942874, Sacramento, CA 94274-0001.

Synthetic slurries listed may not be appropriate for a given site.

Synthetic slurries shall not be used in holes drilled in primarily soft or very soft cohesive soils as determined by the Engineer.

A manufacturer's representative, as approved by the Engineer, shall provide technical assistance for the use of their product, shall be at the site prior to introduction of the synthetic slurry into a drilled hole, and shall remain at the site until released by the Engineer.

Synthetic slurries shall be sampled and tested at both mid-height and near the bottom of the drilled hole. Samples shall be taken and tested during drilling as necessary to verify the control of the properties of the slurry. Samples shall be taken and tested when drilling is complete, but prior to final cleaning of the bottom of the hole. When samples are in conformance with the requirements shown in the following tables for each slurry product, the bottom of the hole shall be cleaned and any loose or settled material removed. Samples shall be obtained and tested after final cleaning and immediately prior to placing concrete.

SlurryPro EXL synthetic slurries shall be tested for conformance to the requirements shown in the following table:

| SLURRYPRO EXL<br>KB Technologies Ltd. | | |
|---|---|---|
| PROPERTY | REQUIREMENT | TEST |

| | | |
|---|---|---|
| Density (kg/m$^3$)<br><br>- during drilling<br><br>- prior to final cleaning<br>- just prior to placing concrete | less than or equal to 1075*<br><br>less than or equal to 1025* | Mud Weight (Density)<br>API 13B-1<br>Section 1 |
| Viscosity (seconds/liter)<br><br>- during drilling<br><br>-prior to final cleaning<br>- just prior to placing concrete | 53 to 127<br><br>less than or equal to 74 | Marsh Funnel and Cup<br>API 13B-1<br>Section 2.2 |
| pH | 6 to 11.5 | Glass Electrode pH Meter or pH Paper |
| Sand Content (percent)<br><br>- prior to final cleaning<br>- just prior to placing concrete | less than or equal to 0.5 | Sand<br>API 13B-1<br>Section 5 |
| *When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m$^3$.<br>Slurry temperature shall be at least 4 degrees Celsius when tested. | | |

Super Mud synthetic slurries shall be tested for conformance to the requirements shown in the following table:

| SUPER MUD<br>PDS Company | | |
|---|---|---|
| PROPERTY | REQUIREMENT | TEST |

| | | |
|---|---|---|
| Density ($kg/m^3$)<br><br>- prior to final cleaning<br>- just prior to placing concrete | less than or equal to 1025* | Mud Weight (Density)<br>API 13B-1<br>Section 1 |
| Viscosity (seconds/liter)<br><br>- during drilling<br><br>- prior to final cleaning<br>- just prior to placing concrete | 34 to 64<br><br>less than or equal to 64 | Marsh Funnel and Cup<br>API 13B-1<br>Section 2.2 |
| pH | 8 to 10.0 | Glass Electrode pH Meter or pH Paper |
| Sand Content (percent)<br><br>- prior to final cleaning<br>-just prior to placing concrete | less than or equal to 0.5 | Sand<br>API 13B-1<br>Section 5 |
| *When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 $kg/m^3$.<br>Slurry temperature shall be at least 4 degrees Celsius when tested. | | |

Shore Pac GCV synthetic slurries shall be tested for conformance to the requirements shown in the following table:

| Shore Pac GCV<br>CETCO Drilling Products Group | | |
|---|---|---|
| PROPERTY | REQUIREMENT | TEST |

| | | |
|---|---|---|
| Density (kg/m$^3$)<br><br>- prior to final cleaning<br>- just prior to placing concrete | less than or equal to 1025* | Mud Weight (Density) API 13B-1 Section 1 |
| Viscosity (seconds/liter)<br><br>- during drilling<br><br>- prior to final cleaning<br>- just prior to placing concrete | 35 to 78<br><br>less than or equal to 60 | Marsh Funnel and Cup API 13B-1 Section 2.2 |
| pH | 8.0 to 11.0 | Glass Electrode pH Meter or pH Paper |
| Sand Content (percent)<br><br>- prior to final cleaning<br>-just prior to placing concrete | less than or equal to 0.5 | Sand API 13B-1 Section 5 |
| *When approved by the Engineer, slurry may be used in salt water, and the allowable densities may be increased up to 32 kg/m$^3$.<br>Slurry temperature shall be at least 4 degrees Celsius when tested. | | |

**Water Slurry**

At the option of the Contractor water may be used as slurry when casing is used for the entire length of the drilled hole. Water slurry shall be tested for conformance to the requirements shown in the following table:

| WATER SLURRY | | |
|---|---|---|
| PROPERTY | REQUIREMENT | TEST |
| Density (kg/m$^3$)<br><br>- prior to final cleaning<br>- just prior to placing concrete | 1017 * | Mud Weight (Density) API 13B-1 Section 1 |
| Sand Content (percent)<br><br>- prior to final cleaning<br>-just prior to placing concrete | less than or equal to 0.5 | Sand API 13B-1 Section 5 |
| *When approved by the Engineer, salt water slurry may be used, and the allowable densities may be increased up to 32 kg/m$^3$. | | |

**Construction**

The Contractor shall submit a placing plan to the Engineer for approval prior to producing the test batch for cast-in-drilled-hole concrete piling and at least 10 working days prior to constructing piling. The plan shall include complete descriptions, details, and supporting calculations as listed below:

A. Requirements for all cast-in-drilled hole concrete piling:

1. Concrete mix design, certified test data, and trial batch reports.
2. Drilling or coring methods and equipment.
3. Proposed method for casing installation and removal when necessary.
4. Plan view drawing of pile showing reinforcement and inspection pipes, if required.
5. Methods for placing, positioning, and supporting bar reinforcement.
6. Methods and equipment for accurately determining the depth of concrete and actual and theoretical volume placed, including effects on volume of concrete when any casings are withdrawn.
7. Methods and equipment for verifying that the bottom of the drilled hole is clean prior to placing concrete.
8. Methods and equipment for preventing upward movement of reinforcement, including the Contractor's means of detecting and measuring upward movement during concrete placement operations.

B. Additional requirements when concrete is placed under slurry:

1. Concrete batching, delivery, and placing systems, including time schedules and capacities therefor. Time schedules shall include the time required for each concrete placing operation at each pile.
2. Concrete placing rate calculations. When requested by the Engineer, calculations shall be based on the initial pump pressures or static head on the concrete and losses throughout the placing system, including anticipated head of slurry and concrete to be displaced.
3. Suppliers' test reports on the physical and chemical properties of the slurry and any proposed slurry chemical additives, including Material Safety Data Sheet.
4. Slurry testing equipment and procedures.
5. Methods of removal and disposal of excavation, slurry, and contaminated concrete, including removal rates.
6. Methods and equipment for slurry agitating, recirculating, and cleaning.

In addition to compressive strength requirements, the consistency of the concrete to be deposited under slurry shall be verified before use by producing a test batch. The test batch shall be produced and delivered to the project under conditions and in time periods similar to those expected during the placement of concrete in the piles. Concrete for the test batch shall be placed in an excavated hole or suitable container of adequate size to allow for testing as specified herein. Depositing of test batch concrete under slurry will not be required. In addition to meeting the specified nominal penetration, the test batch shall meet the following requirements:

A. For piles where the time required for each concrete placing operation, as submitted in the placing plan, will be 2 hours or less, the test batch shall demonstrate that the proposed concrete mix design achieves either a penetration of at least 50 mm or a slump of at least 125 mm after twice that time has elapsed.
B. For piles where the time required for each concrete placing operation, as submitted in the placing plan, will be more than 2 hours, the test batch shall demonstrate that the proposed concrete mix design achieves either a penetration of at least 50 mm or a slump of at least 125 mm after that time plus 2 hours has elapsed.

The time period shall begin at the start of placement. The concrete shall not be vibrated or agitated during the test period. Penetration tests shall be performed in conformance with the requirements in California Test 533. Slump tests shall be performed in conformance with the requirements in ASTM Designation: C 143. Upon completion of testing, the concrete shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

The concrete deposited under slurry shall be carefully placed in a compact, monolithic mass and by a method that will prevent washing of the concrete. Concrete deposited under slurry need not be vibrated. Placing concrete shall be a continuous operation lasting not more than the time required for each concrete placing operation at each pile, as submitted in the placing plan, unless otherwise approved in writing by the Engineer. The concrete shall be placed with concrete pumps and delivery tube system of adequate number and size to complete the placing of concrete in the time specified. The delivery tube system shall consist of one of the following:

A. A tremie tube or tubes, each of which are at least 250 mm in diameter, fed by one or more concrete pumps.
B. One or more concrete pump tubes, each fed by a single concrete pump.

The delivery tube system shall consist of watertight tubes with sufficient rigidity to keep the ends always in the mass of concrete placed. If only one delivery tube is utilized to place the concrete, the tube shall be placed near the center of the drilled hole. Multiple tubes shall be uniformly spaced in the hole. Internal bracing for the steel reinforcing cage shall accommodate the delivery tube system. Tremies shall not be used for piles without space for a 250-mm tube.

Spillage of concrete into the slurry during concrete placing operations shall not be allowed. Delivery tubes shall be capped with a watertight cap, or plugged above the slurry level with a good quality, tight fitting, moving plug that will expel the slurry from the tube as the tube is charged with concrete. The cap or plug shall be designed to be released as the tube is charged. The pump discharge or tremie tube shall extend to the bottom of the hole before charging the tube with concrete. After charging the delivery tube system with concrete, the flow of concrete through a tube shall be induced by slightly raising the discharge end. During concrete placement, the tip of the delivery tube shall be maintained as follows to prevent reentry of the slurry into the tube. Until at least 3 m of concrete has been placed, the tip of the delivery tube shall be within 150 mm of the bottom of the drilled hole, and then the embedment of the tip shall be maintained at least 3 m below the top surface of the concrete. Rapid raising or lowering of the delivery tube shall not be permitted. If the seal is lost or the delivery tube becomes plugged and must be removed, the tube shall be withdrawn, the tube cleaned, the tip of the tube capped to prevent entrance of the slurry, and the operation restarted by pushing the capped tube 3 m into the concrete and then reinitiating the flow of concrete.

When slurry is used, a fully operational standby concrete pump, adequate to complete the work in the time specified, shall be provided at the site during concrete placement. The slurry level shall be maintained within 300 mm of the top of the drilled hole.

A log of concrete placement for each drilled hole shall be maintained by the Contractor when concrete is deposited under slurry. The log shall show the pile location, tip elevation, dates of excavation and concrete placement, total quantity of concrete deposited, length and tip elevation of any casing, and details of any hole stabilization method and materials used. The log shall include a 215 mm x 280 mm sized graph of the concrete placed versus depth of hole filled. The graph shall be plotted continuously throughout placing of concrete. The depth of drilled hole filled shall be plotted vertically with the pile tip oriented at the bottom and the quantity of concrete shall be plotted horizontally. Readings shall be made at least at each 1.5 m of pile depth, and the time of the reading shall be indicated. The graph shall be labeled with the pile location, tip elevation, cutoff elevation, and the dates of excavation and concrete placement. The log shall be delivered to the Engineer within one working day of completion of placing concrete in the pile.

After placing reinforcement and prior to placing concrete in the drilled hole, if drill cuttings settle out of the slurry, the bottom of the drilled hole shall be cleaned. The Contractor shall verify that the bottom of the drilled hole is clean.

If temporary casing is used, concrete placed under slurry shall be maintained at a level at least 1.5 m above the bottom of the casing. The withdrawal of casings shall not cause contamination of the concrete with slurry.

Material resulting from using slurry shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

**Acceptance Testing and Mitigation**

Vertical inspection pipes for acceptance testing shall be provided in all cast-in-drilled-hole concrete piles that are 600 mm in diameter or larger, except when the holes are dry or when the holes are dewatered without the use of temporary casing to control ground water.

Inspection pipes shall be Schedule 40 polyvinyl chloride pipes with a nominal inside diameter of 50 mm. Each inspection pipe shall be capped top and bottom and shall have watertight couplers to provide a clean, dry and unobstructed 50-mm diameter clear opening from 1.0 m above the pile cutoff down to the bottom of the reinforcing cage.

If the Contractor drills the hole below the specified tip elevation, the reinforcement and the inspection pipes shall be extended to 75 mm clear of the bottom of the drilled hole.

Inspection pipes shall be placed around the pile, inside the outermost spiral or hoop reinforcement, and 75 mm clear of the vertical reinforcement, at a uniform spacing not exceeding 840 mm measured along the circle passing through the centers of inspection pipes. A minimum of 2 inspection pipes per pile shall be used. When the vertical reinforcement is not bundled and each bar is not more than 26 mm in diameter, inspection pipes may be placed 50 mm clear of the vertical reinforcement. The inspection pipes shall be placed to provide the maximum diameter circle that passes through the centers of the inspection pipes while maintaining the clear spacing required herein. The pipes shall be installed in straight alignment, parallel to the main reinforcement, and securely fastened in place to prevent misalignment during installation of the reinforcement and placing of concrete in the hole.

The Contractor shall log the location of the inspection pipe couplers with respect to the plane of pile cut off, and these logs shall be delivered to the Engineer upon completion of the placement of concrete in the drilled hole.

After placing concrete and before requesting acceptance tests, each inspection pipe shall be tested by the Contractor in the presence of the Engineer by passing a 48.3-mm diameter rigid cylinder 610 mm long through the complete length of pipe. If the 48.3-mm diameter rigid cylinder fails to pass any of the inspection pipes, the Contractor shall attempt to pass a 32.0-mm diameter rigid cylinder 1.375 m long through the complete length of those pipes in the presence of the Engineer. If an inspection pipe fails to pass the 32.0-mm diameter cylinder, the Contractor shall immediately fill all inspection pipes in the pile with water.

The Contractor shall replace each inspection pipe that does not pass the 32.0-mm diameter cylinder with a 50.8-mm diameter hole cored through the concrete for the entire length of the pile. Cored holes shall be located as close as possible to the inspection pipes they are replacing and shall be no more than 150 mm inside the reinforcement. Coring shall not damage the pile reinforcement. Cored holes shall be made with a double wall core barrel system utilizing a split tube type inner barrel. Coring with a solid type inner barrel will not be allowed. Coring methods and equipment shall provide intact cores for the entire length of the pile concrete. The coring operation shall be logged by an Engineering Geologist or Civil Engineer licensed in the State of California and experienced in core logging. Coring logs shall include complete descriptions of inclusions and voids encountered during coring, and shall be delivered to the Engineer upon completion. Concrete cores shall be preserved, identified with the exact location the core was recovered from within the pile, and made available for inspection by the Engineer.

Acceptance tests of the concrete will be made by the Engineer, without cost to the Contractor. Acceptance tests will evaluate the homogeneity of the placed concrete. Tests will include gamma-gamma logging. Tests may also include crosshole sonic logging and other means of inspection selected by the Engineer. The Contractor shall not conduct operations within 8.0 m of the gamma-gamma logging operations. The Contractor shall separate reinforcing steel as necessary to allow the Engineer access to the inspection pipes to perform gamma-gamma logging or other acceptance testing. After requesting acceptance tests and providing access to the piling, the Contractor shall allow 3 weeks for the Engineer to conduct these tests and make determination of acceptance if the 48.3-mm diameter cylinder passed all inspection pipes, and 4 weeks if only the 32.0-mm diameter cylinder passed all inspection pipes. Should the Engineer fail to complete these tests within the time allowance, and if in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in inspection, the delay will be considered a right of way delay as specified in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

All inspection pipes and cored holes in a pile shall be dewatered and filled with grout after notification by the Engineer that the pile is acceptable. Placement and removal of water in the inspection pipes shall be at the Contractor’s expense. Grout shall conform to the provisions in Section 50-1.09, "Bonding and Grouting," of the Standard Specifications. The inspection pipes and holes shall be filled using grout tubes that extend to the bottom of the pipe or hole or into the grout already placed.

If acceptance testing performed by the Engineer determines that a pile does not meet the requirements of the specifications, then that pile will be rejected and all depositing of concrete under slurry or concrete placed using temporary casing for the purpose of controlling groundwater shall be suspended until written changes to the methods of pile construction are approved in writing by the Engineer.

The Contractor shall submit to the Engineer for approval a mitigation plan for repair, supplementation, or replacement for each rejected cast-in-drilled-hole concrete pile, and this plan shall conform to the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. Prior to submitting this mitigation plan, the Engineer will hold a repair feasibility meeting with the Contractor to discuss the feasibility of repairing rejected piling. The Engineer will consider the size of the defect, the location of the defect, and the design information and corrosion protection considerations for the pile. This information will be made available to the Contractor, if appropriate, for the development of the mitigation plan. If the Engineer determines that it is not feasible to repair the rejected pile, the Contractor shall not include repair as a means of mitigation and shall proceed with the submittal of a mitigation plan for replacement or supplementation of the rejected pile.

If the Engineer determines that a rejected pile does not require mitigation due to structural, geotechnical, or corrosion concerns, the Contractor may elect to 1) repair the pile per the approved mitigation plan, or 2) not repair anomalies found during acceptance testing of that pile. For such unrepaired piles, the Contractor shall pay to the State, $400 per cubic meter for the portion of the pile affected by the anomalies. The volume, in cubic meters, of the portion of the pile affected by the anomalies, shall be calculated as the area of the cross-section of the pile affected by each anomaly, in square meters, as determined by the Engineer, multiplied by the distance, in meters, from the top of each anomaly to the specified tip of the pile. If the volume calculated for one anomaly overlaps the volume calculated for additional anomalies within the pile, the calculated volume for the overlap shall only be counted once. In no case shall the amount of the payment to the State for any such pile be less than $400. The Department may deduct the amount from any moneys due, or that may become due the Contractor under the contract.

Pile mitigation plans shall include the following:

A. The designation and location of the pile addressed by the mitigation plan.
B. A review of the structural, geotechnical, and corrosion design requirements of the rejected pile.

C. A step by step description of the mitigation work to be performed, including drawings if necessary.
D. An assessment of how the proposed mitigation work will address the structural, geotechnical, and corrosion design requirements of the rejected pile.
E. Methods for preservation or restoration of existing earthen materials.
F. A list of affected facilities, if any, with methods and equipment for protection of these facilities during mitigation.
G. The State assigned contract number, bridge number, full name of the structure as shown on the contract plans, District-County-Route-Kilometer Post, and the Contractor's (and Subcontractor's if applicable) name on each sheet.
H. A list of materials, with quantity estimates, and personnel, with qualifications, to be used to perform the mitigation work.
I. The seal and signature of an engineer who is licensed as a Civil Engineer by the State of California.

For rejected piles to be repaired, the Contractor shall submit a pile mitigation plan that contains the following additional information:

A. An assessment of the nature and size of the anomalies in the rejected pile.
B. Provisions for access for additional pile testing if required by the Engineer.

For rejected piles to be replaced or supplemented, the Contractor shall submit a pile mitigation plan that contains the following additional information:

A. The proposed location and size of additional piling.
B. Structural details and calculations for any modification to the structure to accommodate the replacement or supplemental piling.

All provisions for cast-in-drilled-hole concrete piling shall apply to replacement piling.

The Contractor shall allow the Engineer 3 weeks to review the mitigation plan after a complete submittal has been received.

Should the Engineer fail to review the complete pile mitigation submittal within the time specified, and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the pile mitigation plan, an extension of time commensurate with the delay in completion of the work thus caused will be granted in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

When repairs are performed, the Contractor shall submit a mitigation report to the Engineer within 10 days of completion of the repair. This report shall state exactly what repair work was performed and quantify the success of the repairs relative to the submitted mitigation plan. The mitigation report shall be stamped and signed by an engineer that is licensed as a Civil Engineer by the State of California. The mitigation report shall show the State assigned contract number, bridge number, full name of the structure as shown on the contract plans, District-County-Route-Kilometer Post, and the Contractor (and Subcontractor if applicable) name on each sheet. The Engineer will be the sole judge as to whether a mitigation proposal is acceptable, the mitigation efforts are successful, and to whether additional repairs, removal and replacement, or construction of a supplemental foundation is required.

## 10-1.44 CONCRETE STRUCTURES

Portland cement concrete structures shall conform to the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

### GENERAL

Shotcrete shall not be used as an alternative construction method for reinforced concrete members unless otherwise specified.

Concrete used in the portions of structures listed in the following table shall contain not less than 400 kilograms of cement per cubic meter and shall be air-entrained as provided under "Materials" of these special provisions.

| Structure | Portion of Bridge |
|---|---|
| Alta Road UC | All except footings and piles |
| Blue Canyon Road UC | All except footings and piles |
| Towle OH | All except footings and piles |

### MEASUREMENT AND PAYMENT

Measurement and payment for concrete in structures shall conform to the provisions in Section 51-1.22, "Measurement," and Section 51-1.23, "Payment," of the Standard Specifications and these special provisions.

## 10-1.45 STRUCTURE APPROACH SLABS (TYPE R)

Structure approach slabs (Type R) shall consist of removing joint seals, portions of existing structures, existing pavement and base including reinforced concrete approach slabs, asphalt concrete surfacing, portland cement concrete pavement, subsealing material, and cement treated base and constructing new reinforced concrete approach slabs at structure approaches as shown on the plans and in conformance with these special provisions.

### GENERAL

The thickness shown on the plans for structure approach slabs is the minimum thickness. The thickness will vary depending on the thickness of the pavement and base materials removed.

Where pavement subsealing has been performed under existing approach slabs, the subsealing material shall be removed for its full depth. Where removal of cement treated base is required to construct the approach slab, the entire thickness of the cement treated base shall be removed.

Voids between the new reinforced structure approach slab and the base material remaining in place that are caused by removal of subsealing material or cement treated base shall be filled, at the option of the Contractor, with aggregate base (approach slab) or structure approach slab concrete.

The Contractor shall establish a grade line for new approach slabs which shall provide a smooth profile grade. The profile grade will be subject to the approval of the Engineer.

The Contractor shall schedule his operations so that the pavement and base materials removed during a work period shall be replaced, in that same work period, with approach slab concrete that shall be cured for at least 6 hours prior to the time the lane is to be opened to public traffic as designated in "Maintaining Traffic" of these special provisions. In the event the existing pavement and base materials are removed and the Contractor is unable to construct, finish, and cure the new approach slab by the time the lane is to be opened to public traffic, the excavation shall be filled with a temporary roadway structural section as specified in this section, "Structure Approach Slabs (Type R)."

### TEMPORARY ROADWAY STRUCTURAL SECTION

A standby quantity of asphalt concrete and aggregate base, equal to the quantity of pavement removed during the work shift, shall be provided at the project site for construction of a temporary roadway structural section where existing approaches to structures are being replaced. The temporary structural section shall be maintained and later removed as a first order of work when the Contractor is able to construct and cure the approach slab within the prescribed time limit. The temporary structural section shall consist of 90-mm thick layer of asphalt concrete over aggregate base.

The aggregate base for the temporary structural section shall conform to the requirements specified under "Aggregate Base (Approach Slab)" of these special provisions.

The asphalt concrete for the temporary structural section shall be produced from commercial quality aggregates and asphalt binder. The grading of the aggregate shall conform to the 19-mm maximum medium grading in Section 39-2.02, "Aggregate," of the Standard Specifications and the asphalt binder shall conform to the requirements of liquid asphalt SC-800 in Section 93, "Liquid Asphalts," of the Standard Specifications. The amount of asphalt binder to be mixed with the aggregate shall be approximately 0.3-percent less than the optimum bitumen content as determined by California Test 367.

Aggregate base and asphalt concrete for the temporary structural section shall be spread and compacted by methods that will produce a well-compacted, uniform base, free from pockets of coarse or fine material and a surfacing of uniform smoothness, texture, and density. The aggregate base and the asphalt concrete may each be spread and compacted in one layer. The finished surface of the asphalt concrete shall not vary more than 15 mm from the lower edge of a 3.6-m straightedge placed parallel with the centerline and shall match the elevation of the existing concrete pavement and structure along the joints between the existing pavement and structure and the temporary surfacing.

The material from the removed temporary structural section shall be disposed of in conformance with Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications except that removed aggregate base may be stockpiled at the project site and reused for construction of another temporary structural section. When no longer required, standby material or stockpiled material for construction of temporary structural sections shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

### REMOVING PORTIONS OF EXISTING STRUCTURES

Attention is directed to "Existing Highway Facilities" of these special provisions.

## REMOVING EXISTING PAVEMENT AND BASE MATERIALS

The outline of portland cement concrete to be removed shall be sawed full depth with a power-driven concrete saw.

The outlines of excavations in asphalt concrete shall be cut on a neat line to a minimum depth of 75 mm with a power-driven concrete saw or wheel-type rock cutting excavator before any asphalt concrete material is removed. These excavations shall be permanently or temporarily backfilled to conform to the grade of the adjacent pavement prior to opening the lane to public traffic. Surplus excavated material may be used as temporary backfill material.

Regardless of the type of equipment used to remove concrete within the sawed outline, the surface of the concrete to be removed shall not be impacted within 0.5-m of the pavement to remain in place. Removing existing pavement and base materials shall be performed without damage to the adjacent structure or pavement that is to remain in place. Damage to the structure or to the pavement that is to remain in place shall be repaired in conformance with the provisions in Section 7-1.11, "Preservation of Property," of the Standard Specifications.

Materials removed shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

The base material remaining in-place, after removing the existing pavement and base materials to the required depth, shall be graded uniformly, watered, and compacted. The finished surface of the base material at any point shall not extend above the grade approved by the Engineer.

Areas of the base material that are low as a result of over excavation shall be filled, at the Contractor's expense, with structure approach slab concrete at the time and in the same operation that the new concrete is placed.

## AGGREGATE BASE (APPROACH SLAB)

The aggregate base (approach slab) for filling voids below the reinforced structure approach slab concrete shall be produced from commercial quality aggregates consisting of broken stone, crushed gravel or natural rough-surfaced gravel, and sand, or any combination thereof. The grading of the aggregate base shall conform to the 19-mm maximum grading specified in Section 26-1.02A, "Class 2 Aggregate Base," of the Standard Specifications.

Aggregate base (approach slab) for filling voids below the reinforced structure approach slab concrete shall be spread and compacted by methods that will produce a well-compacted, uniform base, free from pockets of coarse or fine material. The aggregate base shall be watered and compacted to the grade approved by the Engineer. Where the required thickness of aggregate base is 200 mm or less, the base may be spread and compacted in one layer. Where the required thickness of aggregate base is more than 200 mm, the base shall be spread and compacted in 2 or more layers of approximately equal thickness. The maximum compacted thickness of any one layer shall not exceed 200 mm. The finished surface of the base material at any point shall not extend above the grade approved by the Engineer. Areas of the base material that are lower than the grade approved by the Engineer, shall be filled with structure approach slab concrete at the time and in the same operation that the new concrete is placed.

## STRUCTURE APPROACH SLAB

Reinforced concrete approach slabs shall conform to the provisions for approach slabs in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

Steel components of abutment ties including plates, nuts, washers, and rods shall conform to the provisions in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

Concrete for use in approach slabs shall contain not less than 400 kg of cement per cubic meter and shall be air-entrained in conformance with the provisions in "Materials" of these special provisions.

Steel components of abutment ties including plates, nuts, washers, and rods shall conform to the provisions in Section 75-1.03, "Miscellaneous Metal," of the Standard Specifications and shall be epoxy-coated in conformance with the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement" of the Standard Specifications. Galvanizing will not be required.

Bar reinforcement shall be epoxy-coated and shall conform to the provisions in Section52-1.02B, "Epoxy-coated Reinforcement" of the Standard Specifications.

The steel angles at the concrete barrier joint shall conform to the provision in Section 75-1.03, "Miscellaneous Metal," of the Standard Specifications.

Approach slab concrete that requires a minimum curing period of 6 hours shall be constructed using a non-chloride Type C chemical admixture. Mineral admixture will not be required in this concrete.

Portland cement for use in concrete using a non-chloride Type C chemical admixture shall be Type II Modified, Type II Prestress, or Type III. Type II Modified and Type III cement shall conform to the provisions in Section 90-2.01, "Cement," of the Standard Specifications. Type II Prestress cement shall conform to the requirements of Type II Modified cement, except the mortar containing the portland cement to be used and Ottawa sand, when tested in conformance with California Test 527, shall not contract in air more than 0.053-percent.

The non-chloride Type C chemical admixture shall be approved by the Engineer and shall conform to the requirements in ASTM Designation: C 494 and Section 90-4, "Admixtures," of the Standard Specifications.

The concrete with non-chloride Type C chemical admixture shall be prequalified prior to placement in conformance with the provisions for prequalification of concrete specified by compressive strength in Section 90-9.01, "General," of the Standard Specifications and the following:

A. Immediately after fabrication of the 5 test cylinders, the cylinders shall be stored in a temperature medium of 21 ± 1.5°C until the cylinders are tested.
B. The 6-hour average strength of the 5 test cylinders shall not be less than 5.85 MPa. No more than 2 test cylinders shall have a strength of less than 5.5 MPa.

Building paper shall be commercial quality No. 30 asphalt felt.

Polyvinyl chloride (PVC) conduit used to encase the abutment tie rod shall be commercial quality.

Bar reinforcement or abutment tie rods in drilled holes shall be bonded in conformance with the provisions for drilling and bonding dowels in Section 83-2.02D(1), "General," of the Standard Specifications.

If reinforcement is encountered during drilling before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole, in which reinforcement is not encountered, shall be drilled adjacent to the rejected hole to the depth shown on the plans.

The top surface of approach slabs shall be finished in conformance with the provisions in Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications. Approach slabs shall be cured with pigmented curing compound (1) in conformance with the provisions for curing structures in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications. The minimum curing period as specified herein shall be considered to begin at the start of discharge of the last truck load of concrete to be used in the slab. Fogging of the surface with water after the curing compound has been applied will not be required. Should the film of curing compound be damaged from any cause before the approach slab is opened to public traffic, the damaged portion shall be repaired immediately with additional compound, at the Contractor's expense. Damage to the curing compound after the approach slab is opened to public traffic shall not be repaired.

If the ambient temperature is below 18°C during the curing period, an insulating layer or blanket shall cover the surface. The insulation layer or blanket shall have an R-value rating given in the table below. At the Contractor's option, a heating tent may be used in lieu of or in combination with the insulating layer or blanket:

| Temperature range during curing period | R-value, minimum |
|---|---|
| 13°C to 18°C | 1 |
| 7°C to 13°C | 2 |
| 4°C to 7°C | 3 |

Tests to determine the coefficient of friction of the final textured surface will be made only if the Engineer determines by visual inspection that the final texturing may not have produced a surface having the specified coefficient of friction. Tests to determine the coefficient of friction will be made after the approach slab is opened to public traffic, but not later than 5 days after concrete placement. The coefficient of friction will be measured by California Test 342. Portions of completed concrete surfaces that are found to have a coefficient of friction less than 0.35 shall be ground or grooved parallel to the center line in conformance with the provisions for bridge decks in Section 42, "Groove and Grind Pavement," of the Standard Specifications.

**JOINTS**

Hardboard and expanded polystyrene shall conform to the provisions in Section 51-1.12D, "Sheet Packing, Preformed Pads and Board Fillers," of the Standard Specifications.

Type AL joint seals shall conform to the provisions in Section 51-1.12F, "Sealed Joints," of the Standard Specifications. The sealant may be mixed by hand-held power-driven agitators and placed by hand methods.

The pourable seal between the steel angle and concrete barrier shall conform to the requirements for Type A and AL seals in Section 51-1.12F(3), "Materials and Installation," of the Standard Specifications. The sealant may be mixed by hand-held power-driven agitators and placed by hand methods. Immediately prior to placing the seal, the joint shall be thoroughly cleaned, including abrasive blast cleaning of the concrete surfaces, so that all foreign material and concrete spillage are removed from all joint surfaces. Joint surfaces shall be dry at the time the seal is placed.

**MEASUREMENT AND PAYMENT**

Structural concrete, approach slab (Type R) will be measured and paid for in conformance with the provisions in Section 51-1.22, "Measurement," and Section 51-1.23, "Payment," of the Standard Specifications and these special provisions.

Full compensation for removing and disposing of portions of existing structures and pavement materials,for furnishing and placing miscellaneous metal, epoxy-coated materials, and pourable seals shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab (Type R) and no separate payment will be made therefor.

The quantity of aggregate base (approach slab) to be paid for shall include the actual volume of aggregate base (approach slab) used to fill voids below the reinforced structure approach slab concrete, except for the volume of areas low as a result of over excavation. The volume to be paid for will be calculated on the basis of the constructed length, width, and thickness of the filled voids. Structure approach slab concrete used to fill voids lower than the approved grade of the base, except for the areas low as a result of over excavation by the Contractor, will be measured and paid for by the cubic meter as aggregate base (approach slab).

The contract price paid per cubic meter for aggregate base (approach slab) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing aggregate base (approach slab), complete in place, including excavation and removing and disposing of base and subsealing materials, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for furnishing, stockpiling, and disposing of standby material for construction of temporary structural sections; and for constructing, maintaining, removing, and disposing of temporary structural sections shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab (Type R) and no separate payment will be made therefor.

Full compensation for drilling and bonding of bar reinforcement or abutment tie rods shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab (Type R) and no separate payment will be made therefor.

**10-1.46 PAVING NOTCH EXTENSION**

This work shall consist of extending existing paving notches in conformance with the details shown on the plans and these special provisions.

Concrete for paving notch extension shall be a high-strength material consisting of either magnesium phosphate concrete, modified high alumina based concrete, or portland cement based concrete. Magnesium phosphate concrete shall conform to the provisions for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications and these special provisions. Modified high alumina based concrete and portland cement based concrete shall be water activated and shall conform to the provisions for single component (water activated) magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications and these special provisions.

At least one hour shall elapse between the time of placing concrete for the paving notch extension and placing concrete for the structure approach slab.

A clean uniform rounded aggregate filler may be used to extend the concrete. The moisture content of the aggregate shall not exceed 0.5-percent. Grading of the aggregate shall conform to the following:

| Sieve Sizes | Percentage Passing |
|---|---|
| 12.5-mm | 100 |
| 1.18-mm | 0-5 |

The amount of aggregate filler shall conform to the manufacturer's recommendation, but in no case shall the concrete strengths be less than that specified for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications.

The components of dual component (with a prepackaged liquid activator) magnesium phosphate shall be combined by mixing complete units supplied by the manufacturer. Portions of units shall not be used. Water shall not be added to dual component magnesium phosphate.

Magnesium phosphate concrete shall not be mixed in containers or worked with tools containing zinc, cadmium, aluminum or copper. Modified high alumina based concrete shall not be mixed in containers or worked with tools containing aluminum.

Concrete shall not be retempered. Finishing tools that are cleaned with water shall be thoroughly dried before working the concrete.

When placing concrete on slopes exceeding 5 percent, the Engineer may require the Contractor to provide a flow controlled modified material.

Modified high alumina based concrete and portland cement based concrete shall be cured in conformance with the provisions in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications. Magnesium phosphate concrete shall not be cured.

The surface temperature of the areas to receive the concrete shall be 5°C or above when the concrete is placed. The contact surface to receive the magnesium phosphate concrete shall be dry. The contact surfaces to receive the modified high alumina concrete or portland cement based concrete may be damp but not saturated.

The construction joint between the paving notch extension and the existing abutment shall conform to the provisions for horizontal construction joints in Section 51-1.13, "Bonding," of the Standard Specifications. Concrete shall be placed in the spalled portions of the existing paving notch concurrently with the concrete for the paving notch extension.

Attention is directed to "Reinforcement" of these special provisions.

Structure excavation and backfill shall conform to the provisions in Section 19-3, "Structure Excavation and Backfill," of the Standard Specifications, except for payment.

Drilling of holes and bonding of reinforcing steel dowels shall conform to the provisions for drilling and bonding dowels in Section 83-2.02D(1), "General," of the Standard Specifications. If reinforcement is encountered during drilling before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole, in which reinforcement is not encountered, shall be drilled adjacent to the rejected hole to the depth shown on the plans.

The quantity of concrete for paving notch extension will be measured by the cubic meter as determined in conformance with the dimensions shown on the plans or other dimensions that may be ordered in writing by the Engineer.

The contract price paid per cubic meter for paving notch extension shall include full compensation for furnishing all labor, materials (including concrete for the paving notch spalled areas), tools, equipment, and incidentals, and for doing all the work involved in constructing the paving notch extension, complete in place, including structure excavation and backfill, reinforcement, and drilling and bonding dowels, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### 10-1.47 DRILL AND BOND DOWELS

Drilling and bonding dowels shall conform to the details shown on the plans, the provisions in Section 83-2.02D(1), "General," of the Standard Specifications, and these special provisions.

Dowels shall conform to the provisions for bar reinforcement in "Reinforcement" of these special provisions.

If reinforcement is encountered during drilling before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole, in which reinforcement is not encountered, shall be drilled adjacent to the rejected hole to the depth shown on the plans.

Unless otherwise provided, dowels to be bonded into drilled holes will be paid for as bar reinforcing steel, epoxy coated (bridge).

Unless otherwise provided, drilling and bonding dowels will be measured and paid for by the meter determined by the number and the required depth of holes as shown on the plans or as ordered by the Engineer.

The contract price paid per meter for drill and bond dowel shall include full compensation for furnishing all labor, materials (except reinforcing steel dowels), tools, equipment, and incidentals, and for doing all the work involved in drilling the holes, including coring through reinforcement when approved by the Engineer, and bonding the dowels, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### 10-1.48 REINFORCED CONCRETE CRIB WALL

Reinforced concrete crib walls shall be constructed as shown on the plans and in conformance with the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

Attention is directed to "State-Furnished Materials" of these special provisions. Any additional quantities needed to complete the contractor work shall be obtained by the Contractor and shall be considered as included in the contract price paid per square meter for reinforced crib wall (type A) and no additional compensation will be allowed therefor.

Crib walls shall consist of a series of rectangular cells composed of interlocking, precast, reinforced concrete headers, stretchers, and blocks.

Reinforcing steel shall conform to the requirements in ASTM Designation: A 615, Grade 60, or A 706 and reinforcing wire shall conform to the requirements in ASTM Designation: A 496.

When crib members are fabricated of concrete with a penetration of more than 25 mm, the concrete shall contain not less than 400 kilograms of cement per cubic meter and shall be air-entrained in conformance with the provisions in Section 90-4, "Admixtures," of the Standard Specifications. The air content after mixing and prior to placing shall be 6.0 ± 1.5 percent.

Hoops or stirrups may be reinforcing wire or deformed steel welded wire fabric of the size equivalent to the reinforcing steel shown on the plans. Deformed steel welded wire fabric shall conform to the requirements in ASTM Designation: A 497.

External vibration resulting in adequate consolidation may be used, at the option of the Contractor.

Concrete test cylinders shall conform to the provisions in Section 90-9, "Compressive Strength," of the Standard Specifications, except that when the penetration of fresh concrete is less than 25 mm, the concrete in the test mold shall be consolidated by vibrating the mold equivalent to the consolidating effort being used to consolidate the concrete in the members.

Crib members shall be cured in conformance with the provisions in Section 90-7.04, "Curing Precast Concrete Members," of the Standard Specifications.

The provisions in Section 51-1.18, "Surface Finishes," of the Standard Specifications shall not apply to concrete crib members.

When removed from the forms, the members shall present a true surface of even texture free from honeycombs and voids larger than 25 mm in diameter and 8 mm in depth.

All pockets that are larger than the voids described above shall be cleaned and filled with mortar as directed by the Engineer. Mortar shall conform to the provisions in Section 51-1.135, "Mortar," of the Standard Specifications.

If rock pockets are of the extent or character as to affect the strength of the member materially or to endanger the life of the steel reinforcement, as determined by the Engineer, the member shall be replaced by the Contractor at the Contractor's expense.

The members shall be handled in such a manner as to prevent breakage. Members that are damaged during handling and placing shall be removed and replaced with new members by the Contractor at the Contractor's expense.

Crib walls shall be placed to the lines and grades established by the Engineer. The foundation for crib walls shall be excavated as shown on the plans and in conformance with the provisions in Section 19, "Earthwork," of the Standard Specifications. The foundation shall be approved by the Engineer before any crib members are placed.

Structure backfill for crib walls shall conform to the provisions in Section 19-3.06, "Structure Backfill," of the Standard Specifications.

Reinforced concrete crib walls will be measured by the square meter for the type or types shown in the Engineer's Estimate. The square meter area will be measured on the batter at the outer face for the height from the bottom of the bottom stretcher to the top of the top stretcher and for a length measured from end to end of each section of wall.

The contract price paid per square meter for reinforced concrete crib wall shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing crib walls, except excavation and backfill, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Excavation and backfill for the crib walls will be measured and paid for by the cubic meter as structure excavation (crib wall) and structure backfill (crib wall), respectively.

## 10-1.49 POLYESTER CONCRETE OVERLAY

### GENERAL

This work shall consist of constructing a polyester concrete overlay, including application of a prime coat, in conformance with the details shown on the plans and these special provisions.

Before starting deck overlay work on the project, the Contractor shall submit for approval by the Engineer, a program for public safety associated with the use of methacrylate resin and polyester concrete during the construction of the project. This program shall identify materials, equipment, and methods to be used. The Contractor shall not perform any deck overlay work on the project, other than that specifically authorized in writing by the Engineer, until the program has been approved.

If the measures being taken by the Contractor are inadequate to provide for public safety associated with the use of methacrylate resin and polyester concrete, the Engineer will direct the Contractor to revise the operations and public safety program. These directions will be in writing and will specify the items of work for which the Contractor's program for public safety associated with the use of methacrylate resin and polyester concrete is inadequate. No further work shall be performed on these items until the public safety measures are adequate, and if required, a revised program for public safety associated with the use of methacrylate resin and polyester concrete has been approved.

The Engineer will notify the Contractor in writing of the approval or rejection of any submitted or revised program for public safety associated with the use of methacrylate resin and polyester concrete in not more than 10 working days following submittal.

The State will not be liable to the Contractor for failure to approve all or any portion of an originally submitted or revised program for public safety associated with the use of methacrylate resin and polyester concrete, nor for any delays to the work due to the Contractor's failure to submit an acceptable program for public safety associated with the use of methacrylate resin and polyester concrete.

Surface preparation shall be as specified in "Prepare Concrete Bridge Deck Surface" of these special provisions.

When determined by the Engineer, the smoothness of existing concrete surfaces which are to be covered with polyester concrete overlay will be tested by the Engineer with a bridge profilograph in conformance with the provisions in Section 51-l.17, "Finish Bridge Decks," of the Standard Specifications. Conforming to the bridge profilograph test requirements on existing bridge decks will be paid for as extra work as provided in Section 4-l.03D, "Extra Work," of the Standard Specifications.

### MATERIALS

Polyester concrete shall consist of polyester resin binder and dry aggregate. The resin shall be an unsaturated isophthalic polyester-styrene co-polymer conforming to the following:

| POLYESTER RESIN BINDER | | |
|---|---|---|
| PROPERTY | REQUIREMENT | TEST METHOD |
| * Viscosity | 0.075 to 0.200 Pa·s (RVT, No. 1 Spindle, 20 RPM at 25°C) | ASTM D 2196 |
| * Specific Gravity | 1.05 to 1.10 at 25°C | ASTM D 1475 |
| Elongation | 35 percent, minimum Type I at 11.5 mm/min. Thickness= 6.5±1 mm | ASTM D 638 |
| | Sample Conditioning: 18/25/50 + 5/70 | ASTM D 618 |
| Tensile Strength | 17.5 MPa, minimum Type I at11.5 mm/min. Thickness= 6.5±1 mm | ASTM D 638 |
| | Sample Conditioning: 18/25/50 + 5/70 | ASTM D 618 |
| * Styrene Content | 40 percent to 50 percent (by weight) | ASTM D 2369 |
| Silane Coupler | 1.0 percent, minimum (by mass of polyester styrene resin) | |
| PCC Saturated Surface-Dry Bond Strength | 3.5 MPa, minimum at 24 hours and 21±1°C | California Test 551 |
| * Static Volatile Emission | 60 gram per square meter, loss, maximum | South Coast Air Quality Management District, Standard Method |
| * Test shall be performed prior to adding initiator. | | |

The silane coupler shall be an organosilane ester, gammamethacryloxypropyltrimethoxysilane. The promoter shall be compatible with suitable methyl ethyl ketone peroxide (MEKP) and cumene hydroperoxide (CHP) initiators.

Aggregate for polyester concrete shall conform to the provisions in Section 90-2.02, "Aggregates," of the Standard Specifications and either of the following combined aggregate gradings:

| COMBINED AGGREGATE | | |
|---|---|---|
| Sieve Size | Percentage Passing | |
| | 9.5-mm Max. | 4.75-mm Max. |
| 12.5-mm | 100 | 100 |
| 9.5-mm | 83 - 100 | 100 |
| 4.75-mm | 65 - 82 | 62 - 85 |
| 2.36-mm | 45 - 64 | 45 - 67 |
| 1.18-mm | 27 - 48 | 29 - 50 |
| 600-µm | 12 - 30 | 16 - 36 |
| 300-µm | 6 - 17 | 5 - 20 |
| 150-µm | 0 - 7 | 0 - 7 |
| 75-µm | 0 - 3 | 0 - 3 |

Aggregate retained on the 2.36-mm sieve shall have a maximum of 45 percent crushed particles when tested in conformance with California Test 205. Fine aggregate shall consist of natural sand.

The polyester resin binder in the concrete shall be approximately 12 percent by mass of the dry aggregate; the exact percentage will be determined by the Engineer.

The average of coarse and fine aggregate absorption shall not exceed one percent as determined by California Tests 206 and 207.

At the time of mixing with the resin, the moisture content of the aggregate, as determined by California Test 226, shall not exceed one half of the aggregate absorption.

The prepared surface shall receive a wax-free, low odor, high molecular weight methacrylate prime coat. The prime coat shall be a resin, and prior to adding initiator, the resin shall have a maximum volatile content of 30 percent when tested in conformance with the requirements in ASTM Designation: D 2369, and shall conform to the following:

| High Molecular Weight Methacrylate (HMWM) Resin | | |
|---|---|---|
| PROPERTY | REQUIREMENT | TEST METHOD |
| * Viscosity | 0.025 Pa·s, maximum, (Brookfield RVT with UL adaptor, 50 RPM at 25°C) | ASTM D 2196 |
| * Specific Gravity | 0.90, minimum, at 25°C | ASTM D 1475 |
| * Flash Point | 82°C, minimum | ASTM D 3278 |
| * Vapor Pressure | 1.0 mm Hg, maximum, at 25°C | ASTM D 323 |
| Tack-free time | 400 minutes, maximum at 25°C | California Test 551 |
| PCC Saturated Surface-Dry Bond Strength | 3.5 MPa, minimum at 24 hours and 21±1°C | California Test 551 |
| * Test shall be performed prior to adding initiator. | | |

The promoter/initiator system for the methacrylate resin shall consist of a metal drier and peroxide. If supplied separately from the resin, at no time shall the metal drier be mixed with the peroxide directly. The containers shall not be stored in a manner that will allow leakage or spillage from one material to contact the containers or material of the other.

A Material Safety Data Sheet shall be furnished prior to use for each shipment of polyester resin binder and high molecular weight methacrylate resin.

The Contractor shall allow 14 days for sampling and testing of the polyester resin binder and high molecular weight methacrylate resin prior to proposed use.

If bulk resin is to be used, the Contractor shall notify the Engineer in writing 10 days prior to the delivery of the bulk resin to the jobsite. Bulk resin is any resin that is stored in containers in excess of 209 liters.

**CONSTRUCTION**

Prior to constructing the overlay, one or more trial overlays shall be placed on a previously constructed concrete base to determine the initial set time and to demonstrate the effectiveness of the mixing, placing, and finishing equipment proposed. Each trial overlay shall be 3.6-m wide, at least 1.8-m long, and the same thickness as the overlay to be constructed. Conditions during the construction of the trial overlays and equipment used shall be similar to those expected and those to be used for the construction of the polyester concrete overlay.

All materials used in the trial overlays, including the concrete base, shall become the property of the Contractor and shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Right of Way," of the Standard Specifications.

When magnesium phosphate concrete is placed prior to the deck overlay, the magnesium phosphate concrete shall be placed at least 72 hours prior to placing the prime coat.

When modified high alumina based concrete is placed prior to the deck overlay, the prime coat shall not be placed on the concrete until at least 30 minutes after final set.

Expansion joints shall be adequately isolated prior to overlaying or may be sawed within 4 hours after overlay placement, as approved by the Engineer. The exact time of sawing will be determined by the Engineer.Prior to applying the prime coat, the area to receive the prime coat shall be dry and blown clean by compressed air to remove accumulated dust and any other loose material. The surface temperature shall be at least 10°C and the relative humidity less than 85 percent when the prime coat is applied.

The prime coat shall be uniformly applied to completely cover the surface to receive the polyester concrete. The rate of spread shall be approximately 1.5 square meters per liter.

The prime coat shall be allowed to cure a minimum of 30 minutes before placing polyester concrete. If the primed surface becomes contaminated, the contaminated area shall be cleaned by abrasive blasting and reprimed at the Contractor's expense.

Polyester concrete shall be mixed in mechanically operated mixers. Mixer size shall be limited to a 0.25-cubic meter capacity, unless approved by the Engineer.

A continuous mixer, employing an auger screw/chute device, may be approved for use by the Engineer upon demonstrating its ability to produce a satisfactory product. The continuous mixer shall 1) be equipped with a metering device that automatically measures and records the aggregate volumes and the corresponding resin volumes, and 2) have a readout gage, visible to the Engineer at all times, that displays the volumes being recorded. The volumes shall be recorded at no greater than 5 minute intervals along with the time and date of each recording. A printout of the recordings shall be furnished to the Engineer at the end of each workshift.

The amount of initiator used in polyester concrete shall be sufficient to produce an initial set time between 30 and 120 minutes during placement. The initial set time will be determined by using an initial-setting time Gillmore needle in conformance with the requirements in ASTM Designation: C 266. Accelerators or inhibitors may be required to achieve proper set times and shall be used as recommended by the resin supplier.

The resin binder shall be initiated and thoroughly blended just prior to mixing with aggregate. The polyester concrete shall be mixed a minimum of 2 minutes prior to placing.

Polyester concrete shall be placed prior to gelling and within 15 minutes following addition of initiator, whichever occurs first. Polyester concrete that is not placed within this time shall be discarded.

The surface temperature of the area to receive polyester concrete shall be the same as specified above for the prime coat.The finishing equipment used shall strike off the polyester concrete to the established grade and cross section. Finishing equipment shall be fitted with vibrators or other means of consolidating the polyester concrete to the required compaction.

The polyester concrete shall be consolidated to a relative compaction of not less than 97 percent in conformance with California Test 552.

The finished surface of the polyester concrete overlay shall conform to the provisions in Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications and these special provisions.

Polyester concrete surfaces shall receive an abrasive sand finish. The sand shall be commercial quality blast sand conforming to the quality and dryness requirements for polyester concrete aggregate as specified in these special provisions. Ninety-five percent of the sand shall pass the 2.36-mm sieve, and 95 percent shall be retained on the 850-µm sieve.

The sand finish shall be uniformly applied immediately after overlay strike-off and before gelling occurs to provide a minimum uniform coverage of 0.4-kilogram per square meter.

The surface texture of polyester concrete overlay surfaces shall be uniform and shall have a coefficient of friction of not less than 0.35 as measured by California Test 342. Portions of surfaces that do not meet the above provision shall be ground or grooved parallel to the centerline in conformance with the provisions of Section 42, "Groove and Grind Pavement," of the Standard Specifications until the above tolerance is met.

Traffic and equipment shall not be permitted on the overlay for a minimum of 4 hours following final finishing. Overlays shall be protected from moisture for a minimum of 4 hours after finishing.

**MEASUREMENT AND PAYMENT**

Furnishing polyester concrete overlay will be measured by the cubic meter. The volume to be paid for will be determined from calculations based on the quantity of resin binder used and the yield of the specified mix design. The Contractor shall furnish suitable measuring devices to assure correct proportioning of materials and accurate measurements for calculating pay quantities. The pay quantity shall be the calculated quantity of polyester concrete overlay used in the work, exclusive of material used in trial overlays, and any wasted or unused material.

Placing polyester concrete overlay will be measured by the square meter. The area to be paid for will be based on the dimensions shown on the plans.

The contract price paid per cubic meter for furnish polyester concrete overlay shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing polyester concrete, including polyester resin binder, promoter/initiator, and aggregate, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract price paid per square meter for place polyester concrete overlay shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the polyester concrete overlay, complete in place, including application of prime coat and furnishing, constructing, and disposing of trial overlays and base, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for compliance with the requirements for a program for public safety associated with use of methacrylate resin and polyester concrete shall be considered as included in the contract prices paid for the items of work involving polyester concrete overlay and no additional compensation will be allowed therefor.

## 10-1.50 SEALING JOINTS

Joints in concrete bridge decks and joints between concrete structures and concrete approach slabs shall be sealed in conformance with the details shown on the plans, the provisions in Section 51, "Concrete Structures," of the Standard Specifications, and these special provisions.

Where polyurethane seals are shown on the plans, a silicone sealant conforming to the provisions in Section 51–1.12F, "Sealed Joints," of the Standard Specifications may be used.

When ordered by the Engineer, a joint seal larger than called for by the Movement Rating shown on the plans shall be furnished and installed. Payment to the Contractor for furnishing the larger seal and for saw cutting the increment of additional depth of groove required will be determined as provided in Section 4-1.03, "Changes," of the Standard Specifications.

Saw cutting of grooves will not be required at existing joints that are to be sealed with Type A joint seal unless ordered by the Engineer. The Contractor shall make saw cuts as ordered by the Engineer and the saw cutting will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

## 10-1.51 RAPID SETTING CONCRETE PATCHES

This work shall consist of cleaning the surfaces and furnishing, placing, and finishing concrete patches. Concrete patches shall be placed in conformance with the details shown on the plans, the provisions of the Standard Specifications, and these special provisions.

The concrete material shall be a high-strength material consisting of either magnesium phosphate concrete, modified high alumina based concrete or portland cement based concrete. Magnesium phosphate concrete shall conform to the requirements for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications and these special provisions. Modified high alumina based concrete and portland cement based concrete shall be water activated and shall conform to the requirements for single component (water activated) magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications and these special provisions.

A clean uniform rounded aggregate filler may be used to extend the concrete. The moisture content of the aggregate shall not exceed 0.5 percent. Grading of the aggregate shall conform to the following:

| Sieve Size | Percentage Passing |
|---|---|
| 12.5 mm | 100 |
| 1.18 mm | 0-5 |

The amount of aggregate filler shall conform to the manufacturer's recommendations, but in no case shall the concrete strengths be less than that specified for magnesium phosphate concrete in Section 83-2.02D(1), "General," of the Standard Specifications.

Mixing of components of dual component (with a prepackaged liquid activator) magnesium phosphate shall be by complete units, supplied by the manufacturer. Portions of units shall not be used. Water shall not be added to dual component magnesium phosphate.

Cleaning the contact surfaces of existing concrete shall be accomplished by abrasive blast cleaning the concrete and exposed reinforcing steel, as necessary, to remove all rust, paint, grease, asphalt or other foreign materials. A minimum of 3 mm of concrete shall be removed. Immediately prior to applying the new concrete, the surfaces shall be recleaned by sweeping and pressure jetting, or by other approved means, as necessary to remove debris which has accumulated during construction or after abrasive blast cleaning. The surface temperature of the areas to be covered shall be 4°C or above when the concrete is applied. Methods proposed to heat said surfaces are subject to approval by the Engineer. The contact surface for the magnesium phosphate concrete shall be dry. The contact surfaces for modified high alumina based concrete or portland cement based concrete may be damp but not saturated.

Magnesium phosphate concrete shall not be mixed in containers or worked with tools containing zinc, cadmium, aluminum or copper. Modified high alumina based concrete shall not be mixed in containers or worked with tools containing aluminum.

Concrete shall not be retempered. Finishing tools that are cleaned with water shall be thoroughly dried before working the concrete.

When placing concrete on slopes exceeding 5 percent, the Engineer may require the Contractor to provide a flow controlled modified material.

Modified high alumina based concrete and portland cement based concrete shall be cured in conformance with the provisions in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications. Magnesium phosphate concrete shall not be cured.

Unless otherwise permitted in writing by the Engineer, public traffic shall not be permitted on the new concrete until at least one hour after final set.

Rapid setting concrete (patch) will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

## 10-1.52 REINFORCEMENT

Reinforcement shall conform to the provisions in Section 52, "Reinforcement," of the Standard Specifications and these special provisions.

The Department's mechanical splices prequalified list can be found at the following internet site:

http://www.dot.ca.gov/hq/esc/approved_products_list/

The provisions of "Welding Quality Control" of these special provisions shall not apply to resistance butt welding.

### EPOXY-COATED REINFORCEMENT

All longitudinal and transverse bar reinforcement in bridge decks, all other bar reinforcement within or which extends to within 150 mm of the top surface of the bridge deck or approach slabs, and bar reinforcement within or which extends to within 150 mm of the top surface of abutment backwalls shall be epoxy-coated.

## 10-1.53 STEEL STRUCTURES

Construction of steel structures shall conform to the provisions in Section 55, "Steel Structures," of the Standard Specifications and these special provisions.

Attention is directed to "Welding" in Section 8, "Materials," of these special provisions.

The following substitutions of high-strength steel fasteners shall be made:

| METRIC SIZE SHOWN ON THE PLANS | SIZE TO BE SUBSTITUTED |
|---|---|
| ASTM Designation: A 325M (Nominal bolt diameter (mm or mm x thread pitch)) | ASTM Designation: A 325 (Nominal bolt diameter (inch)) |
| 13 or 12.70, M12, M12 x 1.75 | 1/2 |
| 16 or 15.88, M16, M16 x 2 | 5/8 |
| 19 or 19.05, M20, M20 x 2.5 | 3/4 |
| 22 or 22.22, M22, M22 x 2.5 | 7/8 |
| 24, 25, or 25.40, M24, M24 x 3 | 1 |
| 29 or 28.58, M27, M24 x 3 | 1 1/8 |
| 32 or 31.75, M30, M30 x 3.5 | 1 1/4 |
| 38 or 38.10, M36, M36 x 4 | 1 1/2 |

**MATERIALS**

High-strength fastener assemblies and other bolts attached to structural steel with nuts and washers shall be zinc-coated. When direct tension indicators are used in these assemblies, the direct tension indicator and all components of the fastener assembly shall be zinc-coated by the mechanical deposition process.

**ROTATIONAL CAPACITY TESTING PRIOR TO SHIPMENT TO JOB SITE**

Rotational capacity tests shall be performed on all lots of high-strength fastener assemblies prior to shipment of these lots to the project site. Zinc-coated assemblies shall be tested after all fabrication, coating, and lubrication of components has been completed. One hardened washer shall be used under each nut for the tests.

Each combination of bolt production lot, nut lot, and washer lot shall be tested as an assembly.

A rotational capacity lot number shall be assigned to each combination of lots tested. Each shipping unit of fastener assemblies shall be plainly marked with the rotational capacity lot number.

Two fastener assemblies from each rotational capacity lot shall be tested.

The following equipment, procedure, and acceptance criteria shall be used to perform rotational capacity tests on and determine acceptance of long bolts. Fasteners are considered to be long bolts when full nut thread engagement can be achieved when installed in a bolt tension measuring device:

A. Long Bolt Test Equipment:

1. Calibrated bolt tension measuring device with adequate tension capacity for the bolts being tested.
2. Calibrated dial or digital torque wrench. Other suitable tools will be required for performing Steps 7 and 8 of the Long Bolt Test Procedure. A torque multiplier may be required for large diameter bolts.
3. Spacer washers or bushings. When spacer washers or bushings are required, they shall have the same inside diameter and equal or larger outside diameter as the appropriate hardened washers conforming to the requirements in ASTM Designation: F436.
4. Steel beam or member, such as a girder flange or cross frame, to which the bolt tension measuring device will be attached. The device shall be accessible from the ground.

B Long Bolt Test Procedure:

1. Measure the bolt length. The bolt length is defined as the distance from the end of the threaded portion of the shank to the underside of the bolt head.
2. Install the nut on the bolt so that 3 to 5 full threads of the bolt are located between the bearing face of the nut and the underside of the bolt head. Measure and record the thread stickout of the bolt. Thread stickout is determined by measuring the distance from the outer face of the nut to the end of the threaded portion of the shank.
3. Insert the bolt into the bolt tension measuring device and install the required number of washers, and additional spacers as needed, directly beneath the nut to produce the thread stickout measured in Step 2 of this procedure.
4. Tighten the nut using a hand wrench to a snug-tight condition. The snug tension shall not be less than the Table A value but may exceed the Table A value by a maximum of 2 kips.

Table A

| High-Strength Fastener Assembly Tension Values to Approximate Snug-Tight Condition | |
|---|---|
| Bolt Diameter (inches) | Snug Tension (kips) |
| 1/2 | 1 |
| 5/8 | 2 |
| 3/4 | 3 |
| 7/8 | 4 |
| 1 | 5 |
| 1 1/8 | 6 |
| 1 1/4 | 7 |
| 1 3/8 | 9 |
| 1 1/2 | 10 |

5. Match-mark the assembly by placing a heavy reference start line on the face plate of the bolt tension measuring device which aligns with 1) a mark placed on one corner of the nut, and 2) a radial line placed across the flat on the end of the bolt, or on the exposed portions of the threads of tension control bolts. Place an additional mark on the outside of the socket that overlays the mark on the nut corner such that this mark will be visible while turning the nut. Make an additional mark on the face plate, either 2/3 of a turn, one turn, or 1 1/3 turn clockwise from the heavy reference start line, depending on the bolt length being tested as shown in Table B.

Table B

| Required Nut Rotation for Rotational Capacity Tests[a,b] | |
|---|---|
| Bolt Length (measured in Step 1) | Required Rotation (turn) |
| 4 bolt diameters or less | 2/3 |
| Greater than 4 bolt diameters but no more than 8 bolt diameters | 1 |
| Greater than 8 bolt diameters, but no more than 12 bolt diameters[c] | 1 1/3 |
| (a) Nut rotation is relative to bolt, regardless of the element (nut or bolt) being turned. For bolts installed by 1/2 turn and less, the tolerance shall be plus or minus 30 degrees; for bolts installed by 2/3 turn and more, the tolerance shall be plus or minus 45 degrees.<br>(b) Applicable only to connections in which all material within grip of the bolt is steel.<br>(c) When bolt length exceeds 12 diameters, the required rotation shall be determined by actual tests in a suitable tension device simulating the actual conditions. | |

6. Turn the nut to achieve the applicable minimum bolt tension value listed in Table C. After reaching this tension, record the moving torque, in foot-pounds, required to turn the nut, and also record the corresponding bolt tension value in pounds. Torque shall be measured with the nut in motion. Calculate the value, T (in ft-lbs), where T=[(the measured tension in pounds) x (the bolt diameter in inches) / 48 in/ft].

Table C

| Minimum Tension Values for High-Strength Fastener Assemblies | |
|---|---|
| Bolt Diameter (inches) | Minimum Tension (kips) |
| 1/2 | 12 |
| 5/8 | 19 |
| 3/4 | 28 |
| 7/8 | 39 |
| 1 | 51 |
| 1 1/8 | 56 |
| 1 1/4 | 71 |
| 1 3/8 | 85 |
| 1 1/2 | 103 |

7. Turn the nut further to increase bolt tension until the rotation listed in Table B is reached. The rotation is measured from the heavy reference line made on the face plate after the bolt was snug-tight. Record this bolt tension.
8. Loosen and remove the nut and examine the threads on both the nut and bolt.

C. Long Bolt Acceptance Criteria:

1. An assembly shall pass the following requirements to be acceptable: 1) the measured moving torque (Step 6) shall be less than or equal to the calculated value, T (Step 6), 2) the bolt tension measured in Step 7 shall be greater than or equal to the applicable turn test tension value listed in Table D, 3) the nut shall be able to be removed from the bolt without signs of thread stripping or galling after the required rotation in Step 7 has been achieved, 4) the bolt does not shear from torsion or fail during the test, and 5) the assembly does not seize before the final rotation in Step 7 is reached. Elongation of the bolt in the threaded region between the bearing face of the nut and the underside of the bolt head is expected and will not be considered a failure. Both fastener assemblies tested from one rotational capacity lot shall pass for the rotational capacity lot to be acceptable.

Table D

| Turn Test Tension Values | |
|---|---|
| Bolt Diameter (inches) | Turn Test Tension (kips) |
| 1/2 | 14 |
| 5/8 | 22 |
| 3/4 | 32 |
| 7/8 | 45 |
| 1 | 59 |
| 1 1/8 | 64 |
| 1 1/4 | 82 |
| 1 3/8 | 98 |
| 1 1/2 | 118 |

The following equipment, procedure, and acceptance criteria shall be used to perform rotational capacity tests on and determine acceptance of short bolts. Fasteners are considered to be short bolts when full nut thread engagement cannot be achieved when installed in a bolt tension measuring device:

A. Short Bolt Test Equipment:

1. Calibrated dial or digital torque wrench. Other suitable tools will be required for performing Steps 7 and 8 of the Short Bolt Test Procedure. A torque multiplier may be required for large diameter bolts.
2. Spud wrench or equivalent.
3. Spacer washers or bushings. When spacer washers or bushings are required, they shall have the same inside diameter and equal or larger outside diameter as the appropriate hardened washers conforming to the requirements in ASTM Designation: F436.

4. Steel plate or girder with a hole to install bolt. The hole size shall be 1.6 mm greater than the nominal diameter of the bolt to be tested. The grip length, including any plates, washers, and additional spacers as needed, shall provide the proper number of threads within the grip, as required in Step 2 of the Short Bolt Test Procedure.

B. Short Bolt Test Procedure:

1. Measure the bolt length. The bolt length is defined as the distance from the end of the threaded portion of the shank to the underside of the bolt head.
2. Install the nut on the bolt so that 3 to 5 full threads of the bolt are located between the bearing face of the nut and the underside of the bolt head. Measure and record the thread stickout of the bolt. Thread stickout is determined by measuring the distance from the outer face of the nut to the end of the threaded portion of the shank.
3. Install the bolt into a hole on the plate or girder and install the required number of washers and additional spacers as needed between the bearing face of the nut and the underside of the bolt head to produce the thread stickout measured in Step 2 of this procedure.
4. Tighten the nut using a hand wrench to a snug-tight condition. The snug condition shall be the full manual effort applied to the end of a 305 mm long wrench. This applied torque shall not exceed 20 percent of the maximum allowable torque in Table E.

Table E

| Maximum Allowable Torque for High-Strength Fastener Assemblies | |
|---|---|
| Bolt Diameter (inches) | Torque (ft-lbs) |
| 1/2 | 145 |
| 5/8 | 285 |
| 3/4 | 500 |
| 7/8 | 820 |
| 1 | 1220 |
| 1 1/8 | 1500 |
| 1 1/4 | 2130 |
| 1 3/8 | 2800 |
| 1 1/2 | 3700 |

5. Match-mark the assembly by placing a heavy reference start line on the steel plate or girder which aligns with 1) a mark placed on one corner of the nut and 2) a radial line placed across the flat on the end of the bolt or on the exposed portions of the threads of tension control bolts. Place an additional mark on the outside of the socket that overlays the mark on the nut corner such that this mark will be visible while turning the nut. Make 2 additional small marks on the steel plate or girder, one 1/3 of a turn and one 2/3 of a turn clockwise from the heavy reference start line on the steel plate or girder.
6. Using the torque wrench, tighten the nut to the rotation value listed in Table F. The rotation is measured from the heavy reference line described in Step 5 made after the bolt was snug-tight. A second wrench shall be used to prevent rotation of the bolt head during tightening. Measure and record the moving torque after this rotation has been reached. The torque shall be measured with the nut in motion.

Table F

| Nut Rotation Required for Turn-of-Nut Installation[a,b] | |
|---|---|
| Bolt Length (measured in Step 1) | Required Rotation (turn) |
| 4 bolt diameters or less | 1/3 |
| (a) Nut rotation is relative to bolt, regardless of the element (nut or bolt) being turned. For bolts installed by 1/2 turn and less, the tolerance shall be plus or minus 30 degrees.<br>(b) Applicable only to connections in which all material within grip of the bolt is steel. | |

7. Tighten the nut further to the 2/3-turn mark as indicated in Table G. The rotation is measured from the heavy reference start line made on the plate or girder when the bolt was snug-tight. Verify that the radial line on the bolt end or on the exposed portions of the threads of tension control bolts is still in alignment with the start line.

Table G

| Required Nut Rotation for Rotational Capacity Test | |
|---|---|
| Bolt Length (measured in Step 1) | Required Rotation (turn) |
| 4 bolt diameters or less | 2/3 |

8. Loosen and remove the nut and examine the threads on both the nut and bolt.

C. Short Bolt Acceptance Criteria:

1. An assembly shall pass the following requirements to be acceptable: 1) the measured moving torque from Step 6 shall be less than or equal to the maximum allowable torque from Table E, 2) the nut shall be able to be removed from the bolt without signs of thread stripping or galling after the required rotation in Step 7 has been achieved, 3) the bolt does not shear from torsion or fail during the test, and 4) the assembly shall not seize before the final rotation in Step 7 is reached. Elongation of the bolt in the threaded region between the bearing face of the nut and the underside of the bolt head will not be considered a failure. Both fastener assemblies tested from one rotational capacity lot shall pass for the rotational capacity lot to be acceptable.

**INSTALLATION TENSION TESTING AND ROTATIONAL CAPACITY TESTING AFTER ARRIVAL ON THE JOB SITE**

Installation tension tests and rotational capacity tests on high-strength fastener assemblies shall be performed by the Contractor prior to acceptance or installation and after arrival of the fastener assemblies on the project site. Installation tension tests and rotational capacity tests shall be performed at the job-site, in the presence of the Engineer, on each rotational capacity lot of fastener assemblies.

Installation tension tests shall be performed on 3 representative fastener assemblies in conformance with the provisions in Section 8, "Installation," of the RCSC Specification. For short bolts, Section 8.2, "Pretensioned Joints," of the RCSC Specification shall be replaced by the "Pre-Installation Testing Procedures," of the "Structural Bolting Handbook," published by the Steel Structures Technology Center, Incorporated.

The rotational capacity tests shall be performed in conformance with the requirements for rotational capacity tests in "Rotational Capacity Testing Prior to Shipment to Job Site" of these special provisions.

At the Contractor's expense, additional installation tension tests, tests required to determine job inspecting torque, and rotational capacity tests shall be performed by the Contractor on each rotational capacity lot, in the presence of the Engineer, if 1) any fastener is not used within 3 months after arrival on the jobsite, 2) fasteners are improperly handled, stored, or subjected to inclement weather prior to final tightening, 3) significant changes are noted in original surface condition of threads, washers, or nut lubricant, or 4) the Contractor's required inspection is not performed within 48 hours after all fasteners in a joint have been tensioned.

Failure of a job-site installation tension test or a rotational capacity test will be cause for rejection of unused fasteners that are part of the rotational capacity lot.

When direct tension indicators are used, installation verification tests shall be performed in conformance with Appendix Section X1.4 of ASTM Designation: F959, except that bolts shall be initially tensioned to a value 5 percent greater than the minimum required bolt tension.

**SURFACE PREPARATION**

For all bolted connections, the contact surfaces and inside surfaces of bolt holes shall be cleaned and coated before assembly in conformance with the provisions for cleaning and painting structural steel of these special provisions.

**SEALING**

The perimeter around all direct tension indicator gaps shall be completely sealed with non-silicone type sealing compound conforming to the provisions in Federal Specification TT-S-230, Type II. The sealant shall be gray in color and have a minimum thickness of 1.3 mm. If painting is required, the sealing compound shall be applied prior to painting.

When zinc-coated tension control bolts are used, the sheared end of each fastener shall be completely sealed with non-silicone type sealing compound conforming to the provisions in Federal Specification TT-S-230, Type II. The sealant shall be gray in color and shall have a minimum thickness of 1.3 mm. The sealant shall be applied to a clean sheared surface on the same day that the splined end is sheared off.

**WELDING**

Dimensional details and workmanship for welded joints in tubular and pipe connections shall conform to the provisions in Part A, "Common Requirements of Nontubular and Tubular Connections," and Part D, "Specific Requirements for Tubular Connections," in Section 2 of AWS D1.1.

**MEASUREMENT AND PAYMENT**

If a portion of or all check samples are removed at a mill more than 480 air line kilometers from both Sacramento and Los Angeles, shop inspection expenses will be sustained by the State which are in addition to expenses incurred for fabrication site inspection. Payment to the Contractor for furnishing structural steel will be reduced $2,000 for each mill located more than 480 air line kilometers from both Sacramento and Los Angeles.

## 10-1.54 SIGN STRUCTURES

Sign structures and foundations for overhead signs shall conform to the provisions in Section 56-1, "Overhead Sign Structures," of the Standard Specifications and these special provisions.

Difficult drilling is anticipated due to the presence of cobbles, boulders, hard rock and steep slopes. No additional compensation will be made when difficult drilling is encountered.

Before commencing fabrication of sign structures, the Contractor shall submit 2 sets of working drawings to the Engineer in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings," of the Standard Specifications. The working drawings shall include sign panel dimensions, span lengths, post heights, anchorage layouts, proposed splice locations, a snugging and tensioning pattern for anchor bolts and high strength bolted connections, and details for permanent steel anchor bolt templates. The working drawings shall be supplemented with a written quality control program that includes methods, equipment, and personnel necessary to satisfy the requirements specified herein and in the special provisions.

Working drawings shall be 559 mm x 864 mm or 279 mm x 432 mm in size and each drawing and calculation sheet shall include the State assigned designations for the contract number, sign structure type and reference as shown on the contract plans, District-County-Route-Kilometer Post, and contract number.

The Engineer shall have 20 working days to review the sign structure working drawings after a complete submittal has been received. No fabrication or installation of sign structures shall be performed until the working drawings are approved in writing by the Engineer.

Should the Engineer fail to complete the review within the time allowance and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the sign structure working drawings, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

A permanent steel template shall be used to maintain the proper anchor bolt spacing.

One top nut, one leveling nut, and 2 washers shall be provided for the upper threaded portion of each anchor bolt.

Surfaces of base plates which are to come in contact with concrete, grout, or washers and leveling nuts shall be flat to within 3 mm tolerance in 305 mm, and to within 5 mm tolerance overall. Faying surfaces of plates in high-strength bolted connections including flange surfaces of field splices, chord joints, and frame junctures, and contact surfaces of plates used for breakaway slip base assemblies shall be flat to within 2 mm tolerance in 305 mm, and within 3 mm tolerance overall.

Thermally cut holes made in tubular members of sign supports, other than holes in base and flange plates, shall initially be made a minimum of 2 mm undersized, and then be mechanically enlarged by reaming or grinding to the final required size and shape. All edges shall have a surface roughness of not greater than 6.35 μm. Round holes may be drilled to the exact final diameter. No holes shall be made in members unless the holes are shown on the plans or are approved in writing by the Engineer.

Steel members used for overhead sign structures shall receive nondestructive testing (NDT) in conformance with AWS D1.1 and the following:

A.

| Weld Location | Weld Type | Minimum Required NDT |
|---|---|---|
| Welds for butt joint welds in tubular sections, nontubular sections, and posts | CJP groove weld with backing ring | 100% UT or RT |
| Longitudinal seam welds* | PJP groove weld | 25% MT |
| | CJP groove weld | 100% UT or RT |
| Welds for base plate, flange plate, or end cap to post or mast arm | CJP groove weld | 25% UT or RT |
| | Fillet weld | 25% MT |
| * Longitudinal seam welds shall have 60% minimum penetration, except that within 150 mm of any circumferential weld, longitudinal seam welds shall be CJP groove welds. | | |

B. A written procedure approved by the Engineer shall be used when performing UT on material less than 8 mm thick. Contoured shoes shall be used when performing UT on round tubular sections under 1270 mm in diameter.

C. When less than 100 percent of a weld is specified for NDT, and if defects are found during this inspection, additional NDT shall be performed. This additional NDT shall be performed on 25 percent of the total weld for all similar welds, as determined by the Engineer, produced for sign structures in the project. If any portion of the additional weld inspected is found defective, 100 percent of all similar welds produced for sign structures in the project, as determined by the Engineer, shall be tested.

Circumferential welds and base plate to post welds may be repaired only one time without written permission from the Engineer.

All ferrous metal parts of tubular sign structures shall be galvanized and shall not be painted.

Full compensation for furnishing anchor bolt templates and for testing of welds shall be considered as included in the contract price paid per kilogram for furnish sign structure and no additional compensation will be allowed therefor.

### 10-1.55 ROADSIDE SIGNS

Roadside signs shall be installed at the locations shown on the plans or where designated by the Engineer and in conformance with the provisions in Section 56-2, "Roadside Signs," of the Standard Specifications and these special provisions.

Wood posts shall be pressure treated after fabrication in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," of the Standard Specifications with creosote, creosote coal tar solution, creosote petroleum solution (50-50), pentachlorophenol in hydrocarbon solvent, copper naphthenate, ammoniacal copper arsenate, or ammoniacal copper zinc arsenate. In addition to the preservatives listed above, Southern yellow pine may also be pressure treated with chromated copper arsenate. When other than one of the creosote processes is used, blocks shall have a minimum retention of 6.4 $kg/m^3$, and need not be incised.

### 10-1.56 INSTALL SIGN PANEL ON EXISTING FRAME

Sign panels shall be installed on existing mounting beams and new mounting beams at the locations shown on the plans or where designated by the Engineer and in conformance with the provisions in Section 56-1.06, "Sign Panels and Fastening Hardware," of the Standard Specifications and these special provisions.

Existing sign panels, as shown on the plans, shall be removed and disposed of as provided in Section 15, "Existing Highway Facilities," of the Standard Specifications.

New mounting beams shall be furnished and installed as shown on the plans. Existing mounting beams shall be repositioned as shown on the plans.

Installing sign panels on existing frames will be measured by the square meter and the quantity to be paid for will be the total area, in square meters, of sign panels installed in place.

The contract price paid per square meter for install sign panel on existing frame shall include full compensation for furnishing all labor, materials (except State-furnished sign panels and mounting bolts), tools, equipment, and incidentals, and for doing all the work involved in installing sign panels on existing frames, complete in place (including removing and disposing of existing sign panels, furnishing and installing new mounting beams and repositioning existing mounting beams), as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**10-1.57 ALTERNATIVE PIPE**

Alternative pipe culverts shall conform to the provisions in Section 62, "Alternative Culverts," of the Standard Specifications and these special provisions.

Attention is directed to "State-Furnished Materials" of these special provisions. Any additional quantities needed to complete the contractor work shall be obtained by the Contractor and shall be considered as included in the contract price paid per meter for 600mm alternative pipe culvert and no additional compensation will be allowed therefor.

**10-1.58 PERMEABLE MATERIAL**

Permeable material shall conform with the details shown on the plans, and to the provisions in Section 68-1, "Underdrains," of the Standard Specifications, and these special provisions.Class 3 permeable material shall conform to the following grading requirements:

Grading Requirements

| Sieve Sizes | Percentage Passing |
|---|---|
| 37.5-mm | 100 |
| 25-mm | 88-100 |
| 19-mm | 52-85 |
| 9.5-mm | 15-38 |
| 4.75-mm | 0-16 |
| 2.36-mm | 0-6 |

Class 3 permeable material shall have a Durability Index of not less than 40.

At least 90 percent by mass of Class 3 permeable material shall be crushed particles as determined by California Test 205.

The contract price paid per cubic meter for permeable material shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in placing the permeable material complete in place as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Filter fabric for use with permeable material shall conform to the provisions for filter fabric for underdrains in Section 88, "Engineering Fabrics," of the Standard Specifications and the following:

A. The subgrade and trench to receive the filter fabric, immediately prior to placing, shall conform to the compaction and elevation tolerance specified for the material involved.
B. Filter fabric shall be handled and placed in conformance with the manufacturer's recommendations.
C. The fabric shall be aligned and placed in a wrinkle-free manner.
D. Within 72 hours after the filter fabric has been placed, the fabric shall be covered with the planned thickness of overlying material as shown on the plans.

**10-1.59 OVERSIDE DRAIN**

Steel entrance tapers, slip joints, metal pipe downdrain anchor assemblies, and steel pipe downdrains shall conform to the provisions in Section 69, "Overside Drains," of the Standard Specifications and these special provisions.

Attention is directed to "State-Furnished Materials" of these special provisions. Any additional quantities needed to complete the contractor work shall be obtained by the Contractor and shall be considered as included in the contract unit price paid for the various sizes of metal pipe downdrain anchor assemblies, steel pipe downdrains, and slip joints in the Engineer’s estimate and no additional compensation will be allowed therefor.

Steel entrance tapers and pipe downdrains shall be fabricated from zinc-coated steel sheet. Downdrains shall be painted black.

Full compensation for painting the downdrains shall be considered as included in the contract price paid per meter for the various sizes of corrugated steel pipe downdrain in the Engineer’s estimate and no additional compensation will be allowed therefor.

## 10-1.60 MISCELLANEOUS FACILITIES

Steel flared end sections shall conform to the provisions in Section 70, "Miscellaneous Facilities," of the Standard Specifications and these special provisions.

Attention is directed to "State-Furnished Materials" of these special provisions. Any additional quantities needed to complete the contractor work shall be obtained by the Contractor and shall be considered as included in the contract unit price paid for the various sizes of steel flared end sections in the Engineer's estimate and no additional compensation will be allowed therefor.

## 10-1.61 SLOPE PROTECTION

Slope protection shall be placed or constructed in conformance with the provisions in Section 72, "Slope Protection," of the Standard Specifications and these special provisions.

Rock slope protection fabric shall be woven or nonwoven type fabric, Type A or Type B, at the option of the Contractor.

## 10-1.62 MISCELLANEOUS CONCRETE CONSTRUCTION

Minor Concrete (miscellaneous construction) shall conform to the provisions in Section 73, "Concrete Curbs and Sidewalks," of the Standard Specifications.

## 10-1.63 MISCELLANEOUS IRON AND STEEL

Miscellaneous iron and steel shall conform to the provisions in Section 75, "Miscellaneous Metal," of the Standard Specifications.

## 10-1.64 SNOW PLOW DEFLECTOR

Snow plow deflector shall consist of installing steel plates with anchorages as shown on the plans and in these special provisions.

Steel plates, threaded rods, and nuts shall conform to the provisions in Section 75, "Miscellaneous Metal," of the Standard Specifications. Threaded rods and nuts shall be stainless steel, Alloy 316.

Drilling and bonding threaded rods shall conform to the details shown on the plans, the provisions in Section 83-2.02D(1), "General," of the Standard Specifications.

If reinforcement is encountered during drilling before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole, in which reinforcement is not encountered, shall be drilled adjacent to the rejected hole to the depth shown on the plans.

Silicone sealant shall conform to the provisions in Section 51-1.12F(3)(a), "Type A and AL Seal," of the Standard Specifications.

The contract unit price paid for snow plow deflector shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in drilling the holes, including coring through reinforcement when approved by the Engineer, and bonding the threaded rods, installing steel plates and nuts, and applying silicone sealant, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for sawcutting and removing existing concrete for installing the snow plow deflectors shall be considered as included in the contract unit price paid for snow plow deflector and no additional compensation will be allowed therefor.

## 10-1.65 CHAIN LINK FENCE

Chain link fence and gates shall be Type CL-1.8 and shall conform to the provisions in Section 80, "Fences," of the Standard Specifications.

Chain link fence and gates shall be painted black.

Full compensation for painting the fence shall be considered as included in the contract price paid per meter for chain link fence (Type CL-1.8) and no additional compensation will be allowed therefor.

Full compensation for painting the gates shall be considered as included in the contract unit price paid for the various sizes of chain link gates in the Engineer's estimate and no additional compensation will be allowed therefor.

## 10-1.66 MARKERS AND DELINEATORS

Markers and delineators shall conform to the provisions in Section 82, "Markers and Delineators," of the Standard Specifications and these special provisions.

Markers and delineators on flexible posts shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. Flexible posts shall be made from a flexible white plastic which shall be resistant to impact, ultraviolet light, ozone, and hydrocarbons. Flexible posts shall resist stiffening with age and shall be free of burns, discoloration, contamination, and other objectionable marks or defects which affect appearance or serviceability.

Retroreflective sheeting for metal and flexible target plates shall be the retroreflective sheeting designated for channelizers, markers, and delineators conforming to the requirements in ASTM Designation: D 4956-95 and in conformance with the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Concrete barrier markers (non-impactable) and concrete barrier delineators (400 mm) shall be as specified in "Prequalified and Tested Sigining and Delineation Materials," elsewhere in these special provisions. The concrete barrier markers (non-impactable) and concrete barrier delineators (400 mm) shall be cemented to the median barrier with rapid set type epoxy adhesive as provided for cementing pavement markers to pavement in Section 85-1.06, "Placement." of the Standard Specifications. Rapid set type epoxy adhesive shall conform to the requirements in Section 95-2.04 "Rapid Set Epoxy Adhesive for Pavement Markers" of the Standard Specifications. Concrete barrier markers (non-impactable) and concrete barrier delineators (400 mm) shall be applied only on clean dry surfaces.

Quantities of concrete barrier markers (non-impactable) and concrete barrier delineators (400 mm) to be paid for will be determined as units from actual count in place.

The contract unit price paid for concrete barrier marker (non-impactable) and concrete barrier delineators (400 mm) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing markers and concrete barrier delineators (400 mm), complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## 10-1.67 METAL BEAM GUARD RAILING

Metal beam guard railing shall be constructed in conformance with the provisions in Section 83-1, "Railings," of the Standard Specifications and these special provisions.

Attention is directed to "Order of Work" of these special provisions.

Line posts and blocks shall be wood.

### TERMINAL SYSTEM (TYPE SRT)

Terminal system (Type SRT) shall be furnished and installed as shown on the plans and in conformance with these special provisions.

Terminal system (Type SRT) shall be a SRT-350 Slotted Rail Terminal (8 post system) as manufactured by Trinity Industries, Inc., and shall include all the items detailed for terminal system (Type SRT) shown on the plans.

The 5 mm x 44 mm x 75 mm plate washer shown on the elevation view and in Section D-D at Wood Post No. 1 shall be omitted.

Arrangements have been made to insure that any successful bidder can obtain the SRT-350 Slotted Rail Terminal (8 post system) from the manufacturer, Trinity Industries, Inc., P.O. Box 99, 950 West 400S, Centerville, UT 84014, Telephone 1-800-772-7976. The price quoted by the manufacturer for the SRT-350 Slotted Rail Terminal (8 post system), FOB Centerville, Utah is $845.00, not including sales tax.

The above price will be firm for orders placed on or before December 31, 2004, provided delivery is accepted within 90 days after the order is placed.

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall certify that terminal systems (Type SRT) conform to the contract plans and specifications, conform to the prequalified design and material requirements and were manufactured in conformance with the approved quality control program.

The terminal system (Type SRT) shall be installed in conformance with the manufacturer's installation instructions and these requirements. The steel foundation tubes with soil plates attached, shall be, at the Contractor's option, either driven, with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. Wood terminal posts shall be inserted into the steel foundation tubes by hand. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood terminal posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

Surplus excavated material remaining after the terminal system (Type SRT) has been constructed shall be disposed of in a uniform manner along the adjacent roadway where designated by the Engineer.

## 10-1.68 DOUBLE METAL BEAM BARRIER

Double metal beam barrier shall conform to the provisions in Section 83-2, "Barriers," of the Standard Specifications and these special provisions.

Attention is directed to "Order of Work" of these special provisions.

## 10-1.69 CONCRETE BARRIER

Concrete barriers and concrete barrier transitions shall conform to the provisions in Section 83-2, "Barriers," of the Standard Specifications and these special provisions.

Concrete barrier (Type 60R) shall be measured and paid for in the same manner as concrete barrier (Type 60E).

Concrete barrier (Transition) will be measured by the meter along the centerline of the barrier and paid for by the meter.

## 10-1.70 THERMOPLASTIC TRAFFIC STRIPE RECESSED)

Thermoplastic traffic stripes (Recessed) shall be applied in conformance with the provisions in Section 84.1,General and 84-2, "Thermoplastic Traffic Stripes and Pavement Markings," of the Standard Specifications and these special provisions.

Thermoplastic material shall be free of lead and chromium, and shall conform to the requirements in State Specification PTH-02ALKYD.

Retroreflectivity of the thermoplastic traffic stripes (Recessed) shall conform to the requirements in ASTM Designation: D 6359-99. White thermoplastic traffic stripes (Recessed) shall have a minimum initial retroreflectivity of 250 $mcd \cdot m^{-2} \cdot lx^{-1}$. Yellow thermoplastic traffic stripes(Recessed) shall have a minimum initial retroreflectivity of 150 $mcd \cdot m^{-2} \cdot lx^{-1}$.

Where striping joins existing striping, as shown on the plans, the Contractor shall begin and end the transition from the existing striping pattern into or from the new striping pattern a sufficient distance to ensure continuity of the striping pattern.

Thermoplastic materials for recessed applications shall be formulated for use in freeze-thaw environments and shall comply in all respects to Section 84,"Traffic Stripes and Pavement Markings," of the Standard Specifications except:

When tested according to California Test 423, white material shall have a maximum Yellowness Index of 8.

White and yellow thermoplastic materials shall have Hardness values between 40 and 60.

Materials shall be extruded type.

In addition to other labeling requirements, packages of thermoplastic shall be clearly marked "FOR RECESSED APPLICATION".

Possible suppliers:

Cataphote, Inc.
P.O. Box 2369
Jackson, MS 39225-2369
Phone 1-800-221-2574

Stimsonite Corporation
P.O. Box 94108
Atlanta, GA 30318
Phone 1-800-327-5917

Morton International
1021 North Mission Blvd.
Los Angeles, CA 90033
Phone 1-800-338-7680

Pavement recesses shall be constructed in new or existing pavement. The method of recess construction shall be selected by the Contractor. Equipment for recess construction shall be power-operated, mechanical and capable of removing the pavement to the dimensions shown on the plans. Parallel recesses for double-yellow lines shall be cut in a single pass using an appropriate cutting head.

Residue from recessing operations shall be picked up by means of a vacuum attachment to the grinding machine and shall not be allowed to flow across the pavement nor be left on the surface of the pavement. Residue from recessing operations shall be disposed of at the location listed and in the manner specified in the "Materials Information" available to the Contractor.

All recesses shall be completely clean and dry before applying thermoplastic. A power-operated blower shall be used to remove debris from recesses prior to thermoplastic application.

All recesses shall be restriped prior to the end of each work shift and before the road is opened to public traffic.

The contract price paid per meter for thermoplastic traffic stripe (recessed) of the widths and patterns designated in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and placing thermoplastic traffic stripe in pavement recesses, complete in place, including constructing the recess regardless of the type of recess required and removing and disposing of residue from recess construction, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

### 10-1.71 TWO-COMPONENT PAINT TRAFFIC STRIPE AND PAVEMENT MARKING

Two-component paint traffic stripes (traffic lines) and pavement markings shall be applied in conformance with the provisions in Section 84, "Traffic Stripes and Pavement Markings," of the Standard Specifications and these special provisions.

Two-component paint traffic stripes and pavement markings consist of one coat of paint and two applications of retroreflective glass beads of two gradations.

The two-component paint shall be slow curing traffic paint selected from the list titled "Qualified Products List of Two-Component Traffic Striping Paints and Large Gradation Retroreflective Glass Beads" which is available from the Transportation Laboratory. The two-component paint shall be free of lead, chromium, barium and heavy metals. The large gradation glass beads shall be selected from the Qualified Products List. The small gradation glass beads shall conform to the requirements in AASHTO Designation: M247, Type 1. Both gradations of glass beads shall be coated with an adhesion promoting and water repellant coating as recommended by the paint manufacturer. Alternative types of glass beads may be used if recommended by the paint manufacturer and approved by the Engineer in writing.

Retroreflectivity of the two-component paint traffic stripes and pavement markings shall conform to the requirements in ASTM Designation: D 6359-99. The two-component white paint traffic stripes and pavement markings shall have a minimum initial level of retroreflectivity of 250 $mcd \cdot m^{-2} \cdot lx^{-1}$. The two-component yellow paint traffic stripes and pavement markings shall have a minimum initial level of retroreflectivity of 175 $mcd \cdot m^{-2} \cdot lx^{-1}$.

Daytime and nighttime color of the two-component paint traffic stripes and pavement markings with beads shall conform to the requirements in ASTM Designation: D 6628-01.

The Contractor shall furnish to the Engineer a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the paint and glass beads furnished. The certificate shall specify the name, batch number, and manufactured date of the products. The Contractor shall provide the Engineer a Material Safety Data Sheet (MSDS) for the paint and beads upon the Engineer's request.

Existing traffic stripes and pavement markings shall be removed as specified in "Remove Traffic Stripe and Pavement Marking" of these special provisions.

New pavement surfaces to receive two-component paint shall be prepared in conformance with the provisions in Section 84-2.04, "Application," of the Standard Specifications.

The Contractor shall not begin application of the two-component traffic paint stripes and pavement markings without approval of the Engineer.

Two-component paint traffic stripes and pavement markings shall be applied only to clean and completely dry surfaces and when pavement surface temperature is above 4ºC and the atmospheric temperature is above 2ºC. During application, the temperature of the two-component paint shall be as recommended by the paint manufacturer.

Two-component paint traffic stripes and pavement markings shall be applied at a minimum thickness of 510 μm ± 50 μm and a minimum application rate of 1.96 $m^2$/L. The application rate is based on the actual area of the paint and marking. During application of the two-component paint and glass beads, the striping machine shall not travel faster than 16 km/hr.

Two-component paint traffic stripes and pavement markings shall be applied in one pass. The two-component paint shall be applied first, followed by the large gradation glass beads and then the small gradation glass beads. Glass beads shall be applied using two separate applicator guns.

Glass beads shall be uniformly distributed in the traffic stripes and on pavement markings. The large glass beads shall be applied at a minimum rate of 1.4 kg of large beads per liter of two-component paint. The smaller glass beads shall be applied at a minimum rate of one kg per liter of two-component paint. The combined weight of the two gradations of glass beads shall be greater than 2.4 kg per liter of two-component paint.

Prior to beginning application, in the presence of the Engineer, the Contractor shall apply a test section of the two-component paint stripe on roofing felt or other suitable material to demonstrate the Contractor's abilities to properly apply the two-component paint traffic stripes. The test section shall be at least 15 m in length.

Two-component paint traffic stripes and pavement markings will be measured and paid for in the same manner specified for thermoplastic traffic stripes and thermoplastic pavement marking in Sections 84-2.05, "Measurement" and 84-2.06, "Payment" of the Standard Specifications. Full compensation for placing test stripes shall be considered as included in the contract price paid per meter for two-component paint traffic stripe and per square meter for two-component paint pavement marking and no additional compensation will be allowed therefor.

## SECTION 10-2. (BLANK)

## SECTION 10-3. SIGNALS, LIGHTING AND ELECTRICAL SYSTEMS

### 10-3.01 DESCRIPTION

Modifying and installing highway lighting, sign illumination, Traffic Monitoring Station, Closed Circuit Television Systems (CCTV), Extinguishable Message Sign System (EMS), fiber optic system, roadway weather information system, changeable message sign, and highway advisory radio shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions.

Locations of traffic monitoring installations are shown on the electrical plans.

Communication conduit is included in the following structures:

A. Towle OH Br No. 19-0040

### 10-3.02 COST BREAK-DOWN

Cost break-downs shall conform to the provisions in Section 86-1.03, "Cost Break-Down," of the Standard Specifications and these special provisions.

The Engineer shall be furnished a cost break-down for each contract lump sum item of work described in this Section 10-3.

The cost break-down shall be submitted to the Engineer for approval within 15 days after the contract has been approved. The cost break-down shall be approved, in writing, by the Engineer before any partial payment for the items of electrical work will be made.

The cost breakdown shall include the following items in addition to those listed in the Standard Specifications:

A. cabinet foundations-each type including service, controller and demarcation foundation
B. service equipment enclosures-each type by wiring diagram
C. telephone demarcation cabinet
D. training

Full compensation for preparing and furnishing the cost break-down for each lump sum electrical item shall be considered as included in the contract lump sum price paid for the various items of electrical work and no additional compensation will be allowed therefor.

## 10-3.03 EQUIPMENT LIST AND DRAWINGS

The controller cabinet schematic wiring diagram and intersection sketch shall be combined into one drawing, so that, when the cabinet door is fully open, the drawing is oriented with the intersection.

A maintenance manual shall be furnished for all controller units, auxiliary equipment, and vehicle detector sensor units, control units, and amplifiers. The maintenance manual and operation manual may be combined into one manual. The maintenance manual or combined maintenance and operation manual shall be submitted at the time the controllers are delivered for testing or, if ordered by the Engineer, prior to purchase. The maintenance manual shall include, but need not be limited to, the following items:

A. Specifications
B. Design characteristics
C. General operation theory
D. Function of all controls
E. Trouble shooting procedure (diagnostic routine)
F. Block circuit diagram
G. Geographical layout of components
H. Schematic diagrams
I. List of replaceable component parts with stock numbers

Before fabricating signal and lighting support structures, the Contractor shall submit 7 sets of working drawings to the Office of Structure Design, with written notification of the submittal to the Engineer in conformance with the provisions in Section 5-1.02, "Plans and Working Drawings" of the Standard Specifications. The working drawings shall include the following:

A. Dimensions
B. Span lengths
C. Post heights
D. Proposed splice locations
E. Mounting locations for signal head tenons, signs and other appurtenances
F. Snugging and tensioning patterns and procedures for anchor bolts, high-strength cap screws, high-strength bolted connections
G. Anchorage layouts,
H. Assembly and tensioning procedures for slip base connections,
I. Details for top and bottom anchor bolt templates.

Working drawings shall be supplemented with a written quality control program that includes methods, equipment, and personnel necessary to satisfy the requirements specified in these special provisions. Each submittal shall contain no more than one type of signal and lighting support structure.

Working drawings shall be 559 mm x 864 mm or 279 mm x 432 mm in size and each drawing and calculation sheet shall include the State assigned designations for the contract number, signal and lighting support structures and reference as shown on the contract plans, District-County-Route-Kilometer Post, and contract number.

Unless otherwise specified the Engineer will have 4 weeks to review working drawings for signal and lighting support structures after a complete submittal has been received. The Engineer will have an additional 4 weeks to review corrected working drawings each time they are resubmitted for review. No fabrication or installation of signal and lighting support structures shall be performed until the working drawings are approved in writing by the Engineer.

Should the Engineer fail to complete the review of the working drawings within the time allowance and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the signal and lighting support structure working drawings, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.In the event that several working drawing submittals are submitted simultaneously, or an additional working drawing submittal is submitted for review before the review of a previously submitted working drawing submittal has been completed, the Contractor shall designate the sequence in which the working drawing submittals are to be reviewed. In such event, the time to be provided for the review of any plan in the sequence shall be not less than the review time specified above for that working drawing submittal, plus 2 weeks for each working drawing submittal of higher priority which is still under review.

## 10-3.04 MAINTAINING EXISTING AND TEMPORARY ELECTRICAL SYSTEMS

Traffic signal system shutdowns shall be limited to periods allowed for lane closures listed or specified in "Maintaining Traffic" of these special provisions.

**10-3.05 FOUNDATIONS**

Reinforced cast-in-drilled-hole concrete pile foundations for traffic signal and lighting standards shall conform to the provisions in "Piling" of these special provisions.

**10-3.06 STANDARDS, STEEL PEDESTALS AND POSTS**

Standards, steel pedestals and posts for traffic signal and lighting standards shall conform to the provisions in "Steel Structures" of these special provisions.

Where the plans refer to the side tenon detail at the end of the signal mast arm, the applicable tip tenon detail may be substituted.

The sign mounting hardware shall be installed at the locations shown on the plans.

The sign panels will be State-furnished in conformance with the provisions in "Materials" of these special provisions.

All ferrous metal parts of tubular sign structures shall be galvanized and shall not be painted.

**10-3.07 CONDUIT**

Conduit to be installed underground shall be Type 3 unless otherwise specified.

Conduit sizes shown on the plans and specified in the Standard Specifications and these special provisions are referenced to metallic type conduit. When rigid non-metallic conduit is required or allowed, the nominal equivalent industry size shall be used as shown in the following table:

| Size Designation for Metallic Type Conduit | Equivalent Size for Rigid Non-metallic Conduit |
|---|---|
| 21 | 20 |
| 27 | 25 |
| 41 | 40 |
| 53 | 50 |
| 63 | 65 |
| 78 | 75 |
| 103 | 100 |

After conductors have been installed, the ends of conduits terminating in service equipment enclosures, and controller cabinets shall be sealed with an approved type of sealing compound.

At those locations where conduit is required to be installed under pavement and existing underground facilities require special precautions in conformance with the provisions in "Obstructions" of these special provisions, conduit shall be placed by the "Trenching in Pavement Method" in conformance with the provisions in Section 86-2.05C, "Installation," of the Standard Specifications.

At the option of the Contractor, the final 0.6-m of conduit entering a pull box in a reinforced concrete structure may be Type 4.

**FIBER OPTIC CONDUIT**

**INTERNAL TRENCH MARKERS**

Fiber optic trench marker tape shall be placed in all trenches containing fiber optic cable. The tape shall be at least 75 mm wide shall be placed inside the cement or PCC backfill, approximately halfway between the uppermost conduit and the top of the trench.

**EXTERNAL TRENCH MARKERS**

Vertical fiber optic cable markers, at least 1200 mm tall and buried at least 300 mm in the soil, shall be placed along the roadway as close as possible to any trench wherever fiber optic conduits have been installed. Markers shall be placed at not less than 100-meter increments and the warning label shall face the oncoming traffic. The markers shall be not less than 1 meter or more than 3 meters from outside edge of the pavement if the conduits are under the pavement. A similar marker shall be placed at each vault, within 1 meter of the lid of the vault.

**TRENCH SIZE AND DEPTH**

**TRENCHES IN PAVEMENT TO BE OVERLAID WITH NEW SURFACES**

A majority of the fiber optic conduit consisting of eight (8) each size 32 HDPE, has been placed in the paved shoulder in a slurry cement trench on a previous project. This project will complete the system by connecting the gaps in the conduit

system, by installing State furnished size 32 HPDE conduit. . Trenches cut into existing paved shoulder that will be overlaid with new pavement shall be cut into the existing asphalt shoulder at least 450 mm deep, and at least 150 mm wide. The centerline of the trench shall be located not more than 1 meter from the outside edge of the pavement. The top of the uppermost conduit shall be at least 150 mm below the existing surface, and the entire trench back-filled with PCC or Portland cement having a 6 sack or better mix.

**INTERNAL TRENCH MARKERS**

Fiber optic trench marker tape shall be placed in all trenches containing fiber optic cable. The tape shall be at least 75 mm wide and shall be placed as shown on the plans.

**EXTERNAL TRENCH MARKERS**

A marker shall be placed at 100 meter increments and at each vault. Markers shall comply with Class 1, Flexible Post Delineators as shown on Standard Plan Sheet A73C. In the reflectorized portion there shall be placed the letters "FO".

Full compensation for furnishing and installing pull box markers and applying "FO" markings shall be considered as included in the contract lump sum price paid for the fiber optic system and no separate payment will be made therefore.

**TRENCH TRACER WIRE**

A #8 copper tracer wire shall be installed in all trenches containing fiber optic cable. The wire shall be placed as shown on the plans. Each tracer wire shall have continuity between adjacent vaults and controller cabinet, and must terminate inside each vault and controller cabinet with 150 mm of wire extending into the vault.

**CONDUIT MATERIAL**

High Density polyethylene (HDPE) with a minimum sidewall rating of SDR 11 or Type 3. All ducts for fiber optic cable located on or inside bridges or other highway structures, or conduit that is exposed in any way to the elements (not buried in a trench), shall be composed of UVB resistant, bulletproof fiberglass, rated for – 40 degrees C to + 275 degrees C operation, and capable of withstanding a direct hit from a .45 caliber pistol at not less than 6 meters. Exposed conduits shall be black in color. Bulletproof conduits shall have a minimum inside diameter of 100 mm, and have 4 inner-ducts having an inside diameter of not less than 32 mm. The same material shall be used for all conduits between vaults located at the ends of bridges or other structures. No conversion from one type of conduit to another in between vaults is permitted.

**CONDUIT TIES**

Non-metallic cable ties or nonmetallic, manufacturer installed conduit locks shall be placed not less than every 1500 mm apart.

**CONDUIT BENDS**

The minimum bend radius for all fiber optic conduits shall be 1200 mm.

**EXPANSION JOINTS**

Fiber optic conduits on bridge structures shall have watertight expansion joints installed at the abutments, bridge expansion joint, in the center of the span, or every 50 meters, whichever is less. Expansion joints shall have a minimum of 100 mm of longitudinal movement. Exposed fiber optic conduit shall have four individual inner-ducts having an inside diameter of 32-mm.

**ATTACHMENT TO STRUCTURES**

All exposed fiber optic conduits, attached to bridges shall be attached to the underside of the structure as shown on the plans. Fiber optic conduits shall be installed with hangers recommended by the manufacturer of the fiber optic conduits, or as otherwise approved by the Engineer. Fiber optic conduit hangers shall be spaced as recommended by the manufacturer or 1500-mm, whichever is less. Hangers shall in no way impact the design of the structure. Metallic surfaces on hangers shall not come in contact with fiber optic conduits located on bridges or structures. Test reports showing the selected hangers' support capacity, bridge design impact, and environmental limits shall be provided to the engineer for review and approval prior to installation on any structure.

**CONDUIT TESTING**

After conduit installation, trench backfill, and conduit termination into vaults at each end, each conduits and inner-duct used shall be tested for uniformity over the entire length between adjacent vaults by pulling or blowing a non-deforming

mandrel through the entire length of the conduit. The mandrel shall have a minimum diameter of 25.4-mm. The mandrel may be pulled or blown simultaneously with fiber or pull tape.

**SEALING CONDUITS**

After verification of uniformity throughout the length of each conduit, and after pull tape has been installed, unused conduits shall be watertight plugged with devices installable with hand tools. Conduits with fiber optic cable installed shall have watertight sealing plugs installed around the cable at both ends.

**CONDUIT SPLICING**

Conduits shall be spliced with couplings rated at 869 kPa and installable with hand tools.

**10-3.08 PULL BOXES**

Grout shall be place in the bottom of pull boxes.

Pull boxes shall be the non-PCC type when not in a concrete surface, Asphalt Concrete surface, or where the pull box is not adjacent to a standard.

A pull box marker shall be placed at each pull box not in a concrete surface, Asphalt Concrete surface, or where the pull box is not adjacent to a standard. Markers shall comply with Class 1, Flexible Post Delineators as shown on Standard Plan Sheet A73C except no reflectorization will be required. A State-furnished non-reflective green identification strip shall be applied to each marker.

Full compensation for furnishing and installing pull box markers and applying State-furnished green identification strips shall be considered as included in the contract lump sum price paid for the electrical work requiring the pull box marker and no separate payment will be made therefor.

**VAULTS**

Complete and incomplete vaults are placed at the locations shown on the plans.

**VAULTS IN PAVEMENT**

Some locations along the roadway may have existing conduits, pipes, or drains parallel to the roadway and next to the shoulder, which may make it difficult to route conduits to the vault. At other locations, buried objects, steep drop-offs, or other object may make installation of a vault outside the pavement inappropriate. At these locations or as directed by the engineer, vaults may be installed in the pavement of the shoulder or in the pavement of chain on/off areas. Vaults placed inside the pavement shall be installed with the centerline of the vault not more than 900 mm from the edge of pavement or back of dike, and with the entire top of vault lid 2.5 mm +/- 1.25 mm below the surface of the pavement, when all pavement work is completed.

**VAULT CONSTRUCTION**

Vaults shall meet ASSTHO H20-44 (designed for heavy truck traffic with a cast iron cover and lid), and be constructed of Fiberglass Reinforced Polymer resin (FRP), and fiberglass reinforced polymer concrete (FRPC). The materials used for making vaults shall be non-bio-degradable when buried in the ground, exposed to water, and when exposed to ambient temperatures of -20 to +50 degrees Centigrade. All resins shall be commercial grade unsaturated polyester resin. Reinforcing materials shall be commercial grade "E" type glass in the form of roving, continuous strand mat and chop strand mat, having a coupling agent that will provide a suitable bond between the glass reinforcement and the resin. Chopped FRP construction is not acceptable.

Polymer Concrete shall be made from the same polyester resin as the FRP with suitable fillers and aggregates to produce a workable mix and high quality finishes. Materials used in manufacture shall have a PC Flexural Modulus of 1.22 million, as described in ASTM C790.

**VAULT SIZE**

Vaults shall have an inside volume of 2.0 cubic meter, with outside dimensions not less than 1200 mm wide by 1200 mm long by 1500 mm deep. Alternate shaped vaults, such as round or rectangular may be used at the discretion of the engineer if the total inside volume exceeds 2.0 cubic meter and the completely installed product meets the ASSTHO H20-44 standard for heavy truck traffic.

**VAULT SPACER RINGS**

A minimum of one FRP spacer ring, with a minimum thickness of 150mm shall be used for each vault. The design of the vault shall accommodate a total of up to six 75mm rings or three 150 mm rings to accommodate future roadwork or lane additions without requiring removal or relocation of the vault.

**VAULT INTERIOR SURFACES**

The interior surface shall be formed against a solid mold with no exposed fibers. The surface shall be free of crazing, delamination, blisters.

**VAULT COVER AND FRAME**

Frames and covers are State furnished and are class 30B cast iron according to ASSHTO M 105 and meet all applicable requirements of Federal Specification RR-F-612E. Iron castings shall be free from pouring faults, sponginess, cracks, blowholes, and other defects in positions affecting their strength and value for the service intended. Castings shall be boldly filleted at angles and the arises shall be sharp and perfect. All castings must be sandblasted or otherwise effectively cleaned of scale and sand so as to present a clean, smooth surface. All covers shall have a CALTRANS FIBER OPTIC cast into the top surface along with the manufacturer's name and logo. Covers shall be 37 mm (1.5 inches) thick at the outer edges. Covers shall retard the entrance of sheet free flow run-off water.

**VAULT CABLE RACKS**

Vaults have eight (8) equally spaced galvanized cable racks for hanging fiber and splice enclosures. Each rack is not less than 900-mm long, bolted vertically to the wall, and spaced nominally 300 mm from the center of each wall. The lower end of each rack shall be 150 mm from the bottom of the vault.

**VAULT BACKFILL**

Excavations for incomplete vaults shall be backfilled with 110 kg slurry cement or commercial quality concrete containing not less than 350 kg of cement as shown on the plans. Backfill will be used on all sides, from the bottom of the excavation to the top of the encasement ring with a minimum of 300-mm thickness. Acceptable materials include PCC, cement, pea gravel, crushed stone, or sand. If sand, crushed stone, or pea gravel is used, the backfill shall be placed in layers of not more than 300 mm and mechanically tamped to 95% Standard Proctor Density. Flooding will not be permitted. Backfill shall be placed in such a manner as to prevent any wedging action against the fiberglass vault structure. If cement is used, cement having a 2-sack mix shall be used.

**10-3.09 CONDUCTORS AND WIRING**

Splices shall be insulated by "Method B" or, at the Contractor's option, splices of conductors shall be insulated with heat-shrink tubing of the appropriate size after thoroughly painting the spliced conductors with electrical insulating coating.

The minimum insulation thickness, at any point, for Type USE, RHH or RHW wire shall be 1.0 mm for conductor sizes No. 14 to No. 10, inclusive, and 1.3 mm for No. 8 to No. 2, inclusive. The minimum insulation thickness, at any point, for Type THW and TW wires shall be 0.69 mm for conductor sizes No. 14 to No. 10, inclusive, 1.02 mm for No. 8, and 1.37 mm for No. 6 to No. 2, inclusive.

**TELEPHONE CABLE**

The telephone cable (TC) shall consist of 6 pairs of No. 19 solid copper conductors. Conductors shall be twisted in pairs. Each conductor shall be insulated with a high molecular weight, heat stabilized, color coded polyethylene material. The insulation shall be 440 µm nominal.

Color code for TC cable shall be as follows:

1. White/Blue
2. White/Orange
3. White/Green
4. White/Brown
5. White/Gray
6. Red/Blue

The core shall be protected by a non-hygroscopic polyester film with a single longitudinally applied 120 µm thick corrugated copper shield (or 190 µm thick plastic coated aluminum shield). A moisture barrier of petrolatum-polyethylene compound shall be applied over the core tape and over and under the cable shield to fill all cable interstices.

The cable shall be provided with an outer jacket of extruded, black, high molecular weight, heat stabilized polyethylene material. The outer jacket shall have a thickness of 1.5 mm nominal. The outer diameter of the cable shall be 15.25 mm maximum.

Splices will not be allowed, except where shown on the plans.

All conductors shall be terminated inside the telephone demarcation cabinet and the controller cabinet as shown on the plans. All connections from the TBO terminal block to the 8-position connecting block shall be via a cable consisting of 2 pairs of No. 22 solid conductors and shall meet the same specifications as the TC cable.

**RS-232 SERIAL CABLE.**

The RS-232 serial cable shall consist of 6 No. 22, stranded tinned copper conductors. Each conductor shall be insulated with 0.25 mm, minimum nominal thickness, color coded polypropylene material. Conductors shall be in twisted pairs. Each pair shall be wrapped with an aluminum polyester shield and shall have a No. 22 or larger, stranded, tinned copper drain wire inside the shielded pair. The cable jacket shall be polyvinyl chloride, rated for a minimum of 300 volts and 60° C., and shall have a nominal wall thickness of 1.0 mm, minimum. The cable shall be 0.9 meter long with a connector for termination to the Front End Processor or the CMS RS-232 serial port on the AMTS Server, and a DB25 termination to the device server with pinouts as required by the device server manufacturer .

## 10-3.10 FIBER OPTIC SYSTEM

The Fiber Optic System shall consist of Fiber Optic Cable, Fiber Optic Conduit, Vaults, Communications Equipment, Transportation Management Center (TMC) Equipment and System Testing and Documentation as specified in these special provisions.

**FIBER OPTICS GLOSSARY**

**Breakout.--**The cable "breakout" is produced by (1) removing the jacket just beyond the last tie-wrap point, (2) exposing 0.9 to 1.8 meters (3 to 6 feet) of the cable buffers, aramid strength yarn and central fiberglass strength member, and (3) cutting the aramid yarn, central strength member and the buffer tubes to expose the individual glass fibers for splicing or connection to the appropriate device.

**Communications Equipment.—**Equipment or devices used to transport data from a field element to a communications hub or the TMC. Examples of communications equipment are device servers, UTP to SMFO media converter modules, Ethernet power switch and Ethernet telephone extender.

**Connector.--**A mechanical device used to provide a means for attaching to and decoupling from a transmitter, receiver, or another fiber (such as on a patch panel).

**Connectorized.--**A term that describes a fiber to which a connector has been affixed.

**Connector Module Housing (CMH).--**A patch panel used in the FDF to terminate singlemode or multimode fibers with most common connector types. It may include a jumper storage shelf and a hinged door.

**Couplers.--**Couplers are devices which mate 2 fiber optic connectors to facilitate the transition of optical light signals from one connector into another. Couplers may also be referred to as: adapters, feed-throughs, and barrels. They are normally located within FDFs mounted in panels. They may also be used unmounted, to join 2 simplex fiber runs.

**Fiber Distribution Frame (FDF).--**A rack mounted system that is installed in the communication hub, that consists of a standard equipment rack, fiber routing guides, horizontal jumper troughs, fiber distribution units (FDU), connector module housings (CMH), and splice module housings (SMH).

The FDF serves as the "home" for the passive fiber optic components from cable breakout, for connection by jumpers, to the electronics.

**Fiber Distribution Unit (FDU).--**An enclosure containing both a Connector Module Housing (CMH) and a Splice Module Housing enclosure.

**F/O.--**Fiber optic

**FOIP.--**Fiber optic inside plant cable

**FOOP.--**Fiber optic outside plant cable

**FOTP.--**Fiber optic test procedure(s) as defined by EIA/TIA Standards.

**Interconnect/Termination Unit (ITU)--**A patch panel used to terminate fibers with most common connector types. It may include a jumper storage shelf and a hinge door.

**Jumper.--**A short fiber optic cable that has connectors installed on both ends and is typically used for connection within a FDF.

**Light Source.--**Portable fiber optic test equipment that, in conjunction with a power meter, is used to perform end-to-end attenuation testing. It contains a stabilized light source operating at the designed wavelength of the system under test.

**Link.--**A passive section of the system, the ends of which are connectorized. A link may include splices and couplers. For example, a data link may be from a SMFO media converter module to another SMFO media converter module.

**Link Loss Budget.--**A calculation of the overall permissible attenuation from the fiber optic transmitter (source) to the fiber optic receiver (detector).

**Loose Tube Cable.--**Type of cable construction in which fibers are placed in filled buffer tubes to isolate them from outside forces (stress). A flooding compound is applied to the interstitial cable core to prevent water migration and penetration. This type of cable is primarily for outdoor applications.

**Optical Time Domain Reflectometer (OTDR).--**Fiber optic test equipment (similar in appearance to an oscilloscope) that is used to measure the total amount of power loss between two points and the corresponding distance. It provides a visual and printed display of the relative location of system components such as fiber sections, splices and connectors and the losses that are attributed to each component or defect in the fiber.

**Patchcord.--**A short jumper used to join 2 Connector Module Housing (CMH) couplers or a CMH and an active device (electronics).

**Pigtail.--**Short fiber optic cable that has a connector installed on only one end.

**Plenum Cable.--**NEC approved cable installed in air plenums (the area between a drop ceiling and the floor above it) without the use of conduit.

**Power Meter.--**Portable fiber optic test equipment that, in conjunction with a light source, is used to perform end-to-end attenuation testing. It contains a detector that is sensitive to light at the designed wavelength of the system under test. Its display indicates the amount of power injected by the light source that arrives at the receiving end of the link.

**Riser Cable.--**NEC approved cable installed in a riser (a vertical shaft in a building connecting one floor to another).

**Segment.--**A section of F/O cable that is not connected to any active device and may or may not have splices per the design.

**Splice Closure.--**Normally installed in a splice vault, a splice closure is an environmentally sealed container used to organize and protect splice trays. The container allows splitting or routing of fiber cables from multiple locations.

**Splice Module Housing (SMH).--**The SMH stores splice trays as well as pigtails and short cable lengths.

**Splice Tray.--**A container used to organize and protect spliced fibers.

**Splice Vault.--**A splice vault is used to house splice closures and F/O cable slack.

**Tight Buffered, Non-Breakout Cable (Tight Buffer Cable).--**Type of cable construction where each glass fiber is tightly buffered (directly coated) with a protective thermoplastic coating to 900 µm (compared to 250 µm for loose tube fibers). Increased buffering is desirable over loose tube cables because of its resulting ease of handling and connectorization (increased physical flexibility, smaller bend radius requirements), and ability to meet NEC flammability requirements.

**FIBER OPTIC OUTSIDE PLANT CABLE**

**GENERAL**

Each fiber optic outside plant cable (FOP) for this project shall be all dielectric, gel filled, duct type, with loose buffer tubes and shall conform to these special provisions. Cables with singlemode fibers shall contain 12 or 48 singlemode (SM) dual-window (1310 nm and 1550 nm) fibers. The optical fibers shall be contained within loose buffer tubes. The loose buffer

tubes shall be stranded around an all dielectric central member. Aramid yarn and/or fiberglass shall be used as a primary strength member, and a polyethylene outside jacket shall provide for overall protection.

All fiber optic (F/O) cable on this project shall be from the same manufacturer, who is regularly engaged in the production of this material.

The cable shall comply with all the requirements of the RUS Federal Rule 7CFR1755.900.

Type C fiber optic cable shall contain 48 singlemode fibers.

Type D fiber optic cable shall contain 12 singlemode fibers.

**FIBER CHARACTERISTICS**

Each optical fiber shall be glass and consist of a doped silica core surrounded by concentric silica cladding. All fibers in the buffer tube shall be usable fibers, and shall be sufficiently free of surface imperfections and inclusions to meet the optical, mechanical, and environmental requirements of these specifications. The required fiber grade SM shall reflect the maximum individual fiber attenuation, to guarantee the required performance of each and every fiber in the cable.

The coating shall be a dual layered, UV cured acrylate. The coating shall be mechanically or chemically strippable without damaging the fiber.

The cable shall comply with the optical and mechanical requirements over an operating temperature range of -40° C. to +70° C. The cable shall be tested in accordance with EIA-455-3A (FOTP-3), "Procedure to Measure Temperature Cycling Effects on Optical Fiber, Optical Cable, and Other Passive Fiber Optic Components." The change in attenuation at extreme operational temperatures (-40° C. to +70° C.) for singlemode fiber shall not be greater than 0.20 dB/km, with 80 percent of the measured values no greater than 0.10 dB/km. The singlemode fiber measurement is made at 1550 nm.

For all fibers the attenuation specification shall be a maximum attenuation for each fiber over the entire operating temperature range of the cable.

Singlemode fibers within the finished cable shall meet the requirements in the following table:

| Fiber Characteristics Table | |
|---|---|
| Parameters | Singlemode |
| Type | Step Index |
| Core diameter | 8.3 µm (nominal) |
| Cladding diameter | 125 µm ±1.0 µm |
| Core to Cladding Offset | ≤0.8 µm |
| Coating Diameter | 250 µm ±15 µm |
| Cladding Non-circularity defined as:<br>[1-(min. cladding dia ÷ max. cladding dia.)]x100 | ≤1.0% |
| Proof/Tensile Test | 345 MPa, min. |
| Attenuation: (-40°C to +70°C)<br>@1310 nm<br>@1550 nm | <br>≤0.4 dB/km<br>≤0.3 dB/km |
| Attenuation at the Water Peak | ≤2.1 dB/km @ 1383 ±3 nm |
| Chromatic Dispersion:<br>Zero Dispersion Wavelength<br>Zero Dispersion Slope | <br>1301.5 to 1321.5 nm<br>≤0.092 ps/(nm$^2$∗km) |
| Maximum Dispersion: | ≤3.3 ps/(nm∗km) for 1285 – 1330 nm<br><18 ps/(nm∗km) for 1550 nm |
| Cut-Off Wavelength | <1250 nm |
| Mode Field Diameter<br>(Petermann II) | 9.3 ±0.5 µm at 1300 nm<br>10.5 ±1.0 µm at 1550 nm |

**COLOR CODING**

Optical fibers shall be distinguishable from others in the same buffer tube by means of color coding according to the following:

1. Blue (BL)
2. Orange (OR)
3. Green (GR)
4. Brown (BR)
5. Slate (SL)
6. White (WT)
7. Red (RD)
8. Black (BK)
9. Yellow (YL)
10. Violet (VL)
11. Rose (RS)
12. Aqua (AQ)

Buffer tubes containing fibers shall also be color coded with distinct and recognizable colors according to the same table listed above for fibers.

The colors shall be targeted in accordance with the Munsell color shades and shall meet EIA/TIA-598 "Color Coding of Fiber Optic Cables".

The color formulation shall be compatible with the fiber coating and the buffer tube filling compound, and be heat stable. It shall not fade or smear or be susceptible to migration and it shall not affect the transmission characteristics of the optical fibers and shall not cause fibers to stick together.

**CABLE CONSTRUCTION**

**General.--**The fiber optic cable shall consist of, but not be limited to, the following components:

A. Buffer tubes
B. Central member
C. Filler rods
D. Stranding
E. Core and cable flooding
F. Tensile strength member
G. Ripcord
H. Outer jacket

**Buffer tubes.--**Loose buffer tubes shall provide clearance between the fibers and the inside of the tube to allow for expansion without constraining the fiber. The fibers shall be loose or suspended within the tubes and shall not adhere to the inside of the tube. Each buffer tube shall contain 6 or 12 fibers.

The loose buffer tubes shall be extruded from a material having a coefficient of friction sufficiently low to allow free movement of the fibers. The material shall be tough and abrasion resistant to provide mechanical and environmental protection of the fibers, yet designed to permit safe intentional "scoring" and breakout, without damaging or degrading the internal fibers.

Buffer tube filling compound shall be a homogenous hydrocarbon-based gel with anti-oxidant additives and used to prevent water intrusion and migration. The filling compound shall be non-toxic and dermatologically safe to exposed skin. It shall be chemically and mechanically compatible with all cable components, non-nutritive to fungus, non-hygroscopic and electrically non-conductive. The filling compound shall be free from dirt and foreign matter and shall be readily removable with conventional nontoxic solvents.

Buffer tubes shall be stranded around a central member by a method that will prevent stress on the fibers when the cable jacket is placed under strain, such as the reverse oscillation stranding process.

Each buffer tube shall be distinguishable from other buffer tubes in the cable by means of color coding as specified above for fibers.

**Central Member.--**The central member, which functions as an anti-buckling element, shall be a glass reinforced plastic rod with similar expansion and contraction characteristics as the optical fibers and buffer tubes. To provide the proper spacing between buffer tubes during stranding a linear overcoat of polyethylene may be applied to the central member to achieve the optimum diameter.

**Filler rods.--**Fillers may be included in the cable to lend symmetry to the cable cross-section where needed. Filler rods shall be solid medium or high density polyethylene. The diameter of filler rods shall be the same as the outer diameter of the buffer tubes.

**Stranding.--**Completed buffer tubes shall be stranded around the overcoated central member using stranding methods, lay lengths and positioning such that the cable shall meet mechanical, environmental and performance specifications. A polyester binding shall be applied over the stranded buffer tubes to hold them in place. Binders shall be applied with sufficient tension to secure the buffer tubes to the central member without crushing the buffer tubes. The binders shall be non-hygroscopic, non-wicking (or rendered so by the flooding compound), and dielectric with low shrinkage.

**Core and Cable Flooding.--**The cable core interstices shall be filled with a polyolefin based compound to prevent water ingress and migration. The flooding compound shall be homogeneous, non-hygroscopic, electrically non-conductive, and non-nutritive to fungus. The compound shall also be nontoxic, dermatologically safe and compatible with all other cable components.

**Tensile Strength Member.--**Tensile strength shall be provided by high tensile strength aramid yarns and/or fiberglass which shall be helically stranded evenly around the cable core and shall not adhere to other cable components.

**Ripcord.--**The cable shall contain at least one ripcord under the jacket for easy sheath removal.

**Outer jacket.--**The jacket shall be free of holes, splits, and blisters and shall be medium or high density polyethylene (PE), or medium density cross-linked polyethylene with minimum nominal jacket thickness of 40 ±3 mils. Jacketing material shall be applied directly over the tensile strength members and flooding compound and shall not adhere to the aramid strength material. The polyethylene shall contain carbon black to provide ultraviolet light protection and shall not promote the growth of fungus.

The jacket or sheath shall be marked with the manufacturer's name, the words "Optical Cable", the number of fibers, "SM", year of manufacture, and sequential measurement markings every meter. The actual length of the cable shall be within -0/+1 percent of the length marking. The marking shall be in a contrasting color to the cable jacket. The height of the marking shall be approximately 2.5 mm.

**GENERAL CABLE PERFORMANCE SPECIFICATIONS**

The F/O cable shall withstand water penetration when tested with a one meter static head or equivalent continuous pressure applied at one end of a one meter length of filled cable for one hour. No water shall leak through the open cable end. Testing shall be done in accordance with EIA-455-82 (FOTP-82), "Fluid Penetration Test for Fluid-Blocked Fiber Optic Cable."

A representative sample of cable shall be tested in accordance with EIA-455-81A (FOTP-81), "Compound Flow (Drip) Test for Filled Fiber Optic Cable". No preconditioning period shall be conducted. The cable shall exhibit no flow (drip or leak) at 80° C. as defined in the test method.

Crush resistance of the finished F/O cables shall be 220 N/cm applied uniformly over the length of the cable without showing evidence of cracking or splitting when tested in accordance with EIA-455-41 (FOTP-41), "Compressive Loading Resistance of Fiber Optic Cables". The average increase in attenuation for the fibers shall be _0.10 dB at 1550 nm (singlemode) for a cable subjected to this load. The cable shall not exhibit any measurable increase in attenuation after removal of load. Testing shall be in accordance with EIA-455-41 (FOTP-41), except that the load shall be applied at the rate of 3 mm to 20 mm per minute and maintained for 10 minutes.

The cable shall withstand 25 cycles of mechanical flexing at a rate of 30 ±1 cycles/minute. The average increase in attenuation for the fibers shall be _0.20 dB at 1550 nm (singlemode) at the completion of the test. Outer cable jacket cracking or splitting observed under 10x magnification shall constitute failure. The test shall be conducted in accordance with EIA-455-104 (FOTP-104), "Fiber Optic Cable Cyclic Flexing Test," with the sheave diameter a maximum of 20 times the outside diameter of the cable. The cable shall be tested in accordance with Test Conditions I and II of (FOTP-104).

Impact testing shall be conducted in accordance with EIA-455-25 (FOTP-25) "Impact Testing of Fiber Optic Cables and Cable Assemblies." The cable shall withstand 20 impact cycles. The average increase in attenuation for the fibers shall be _0.20 dB at 1550 nm (singlemode). The cable jacket shall not exhibit evidence of cracking or splitting.

The finished cable shall withstand a tensile load of 2700 N (600 lbs) without exhibiting an average increase in attenuation of greater than .20 dB (singlemode). The test shall be conducted in accordance with EIA-455-33 (FOTP-33), "Fiber Optic Cable Tensile Loading and Bending Test." The load shall be applied for one-half hour in Test Condition II of the EIA-455-33 (FOTP-33) procedure.

**PACKAGING AND SHIPPING REQUIREMENTS**

Documentation of compliance to the required specifications shall be provided to the Engineer prior to ordering the material.

Attention is directed to "Fiber Optic Testing" elsewhere in these special provisions.

The completed cable shall be packaged for shipment on reels. The cable shall be wrapped in a weather and temperature resistant covering. Both ends of the cable shall be sealed to prevent the ingress of moisture.

Each end of the cable shall be securely fastened to the reel to prevent the cable from coming loose during transit. Four meters (Twelve feet) of cable length on each end of the cable shall be accessible for testing.

Each cable reel shall have a durable weatherproof label or tag showing the manufacturer's name, the cable type, the actual length of cable on the reel, the Contractor's name, the contract number, and the reel number. A shipping record shall

also be included in a weatherproof envelope showing the above information and also include the date of manufacture, cable characteristics (size, attenuation, bandwidth, etc.), factory test results, cable identification number and any other pertinent information.

The minimum hub diameter of the reel shall be at least 30 times the diameter of the cable. The F/O cable shall be in one continuous length per reel with no factory splices in the fiber. Each reel shall be marked to indicate the direction the reel should be rolled to prevent loosening of the cable.

Installation procedures and technical support information shall be furnished at the time of delivery.

## FIBER OPTIC LABELING

### GENERAL

The Contractor shall label all fiber optic cabling in a permanent consistent manner. All tags shall be of a material designed for long term permanent labeling of fiber optic cables and shall be marked with permanent ink on non-metal types, or embossed lettering on metal tags. Metal tags shall be constructed of stainless steel. Non-metal label materials shall be approved by the Engineer. Labels shall be affixed to the cable per the manufacturer's recommendations and shall not be affixed in a manner which will cause damage to the fiber. Handwritten labels shall not be allowed.

### LABEL IDENTIFICATION

**Labeling of Cables.—**Labeling of the backbone, distribution and drop fiber optic cables shall conform to the following unique identification code elements: **Labeling schemes**

**UNIQUE IDENTIFICATION CODE ELEMENTS**
**FOR BACKBONE, DISTRIBUTION OR DROP CABLES**

| No. | DESCRIPTION | CODE | NUMBER OF CHARACTERS |
|---|---|---|---|
| 1 | Cable Type | Fiber:<br>S: Singlemode | 1 |
| 2 | Fiber Count | Number of fibers or conductor pairs (example: 144 fibers) | 3 |
| 3 | Begin Function | T: TMC; H: Hub; V: Video Node; D: Data Node; C: Cable Node; M: CCTV Camera; N: CMS; P: Traffic Signal; Z: Ramp Meter; U: Traffic Monitoring/Count Station/Vehicle Count Station (VDS, TOS); S: Splice Vault | 1 |
| 4 | County | County Number; Example: 024 (for Sacramento) | 3 |
| 5 | Route Number | Hwy, Rte (example: 005) | 3 |
| 6 | Post Mile | Example: xxx.xx | 5 |
| 7 | End Function | T: TMC; H: Hub; V: Video Node; D: Data Node; C: Cable Node; M: CCTV Camera; N: CMS; P: Traffic Signal; Z: Ramp Meter; U: Traffic Monitoring/Count Station / Vehicle Count Station (VDS, TOS); S: Splice Vault | 1 |
| 8 | County | County Number; Example: 024 (for Sacramento) | 3 |
| 9 | Route Number | Hwy, Rte (example: 005) | 3 |
| 10 | Post Mile | Example: xxx.xx | 5 |
| 11 | Unique ID | Identifies when two or more fiber cables are involved (example: xx) | 2 |

Example:

S 048 S 024 080 02569 S 024 080 02610 03

1 2 3 4 5 6 7 8 9 10 11

The label in the example can be translated as a singlemode (S) 48 strand cable (048) that starts from a splice vault (S) in Sacramento County (024) on I-80 (080) post mile 25.69 (02569) ends at another splice vault (S) in Sacramento County (024) on I-80 (080) at postmile 26.10 (02610). This fiber optic cable is uniquely identified as 03. This means the cable is the 3rd of the fiber optic cables in the pull box or the vault.

Each cable shall display one unique identification, regardless of where the cable is viewed. The begin function and end function correspond to the end points of each cable. The order of the begin and end function follow a hierarchy as listed below, where the lowest number corresponding to the begin/end function is listed first.

| List of Hierarchy | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| TMC | HUB | Video Node (VN) | Data Node (DN) | Cable Node | CCTV Camera | CMS | Traffic Signal | Ramp Meter | Traffic Monitoring/ Count Station | Splice Vault |

This scheme will work as follows: A cable between the TMC and a HUB will always have the TMC listed as the start function and the HUB as the end function. Between a CMS and a Splice Vault, the start function will always be listed as the CMS, and so on. If a cable is connected between HUBs, for example HUB-01 and HUB-03, the lowest number, in this case HUB-01, will be listed as the start function and HUB-03 as the end function.

At each FDU or ITU the Contractor shall provide a listing of the cable or cables terminated and where each fiber appears on the connector panel, a list of all jumpers and the equipment that they are connected to, and a geographical layout of all the equipment installed by the Contractor. In field cabinets these shall be placed in a waterproof pouch mounted on the cabinet door.

**LABEL PLACEMENT**

**Abbreviations**

| | |
|---|---|
| HUB | HUB.X |
| VAULT | VXX.X |
| CAMERA | CXX.X |
| CMS | SXX.X |
| TMC | ZXX.X |
| TOS | TXX.X |
| PULLBOX | FXX.X |
| EMS | IXX.X |
| WEIGHT | WXX.X |
| RWIS | EXX.X |
| RAMP METER | RXX.X |

The X's denote the postmile of the above elements.

**Cables**.—All cables shall be clearly labeled with the unique identification code element method described elsewhere in these special provisions, at all terminations, even if no connections or splices are made, and at all splice vault entrance and exit points.

**Cable to Cable Splices**.— All cable jackets entering the splice closure shall be labeled in accordance with the identification method described elsewhere in these special provisions.

**Cable to Fiber Distribution Units**.—The cable jackets shall be clearly labeled at entry to the FDU in accordance with the unique identification code element method described elsewhere in these special provisions. In addition, each fiber shall be labeled with the Fiber ID and pigtails shall be labeled at the connector with the Fiber ID. The FDU shall be clearly labeled with the Cable ID on the face of the FDU. If multiple cables are connected to the FDU, each block of connectors

relating to each individual cable shall be clearly identified by a single label with the Cable ID. Individual connections shall be clearly marked on the face of the FDU in the designated area with the Fiber ID.

**Fiber**.—Fibers labels shall be placed next to the connectors of the individual fibers.

**Patch Panels**.—The cable jackets shall be clearly labeled at entry to the Patch Panel in accordance with the unique identification code element method described elsewhere in these special provisions. In addition, each fiber shall be labeled with the Fiber ID and pigtails shall be labeled at the connector with the Fiber ID. The Patch panel shall be clearly labeled with the Cable ID on the face of the Panel. If multiple cables are connected to the Patch Panel, each block of connectors relating to each individual cable shall be clearly identified by a single label with the Cable ID. Individual connections shall be clearly marked on the face of the Panel in the designated area with the Fiber ID.

**Jumpers**.—Equipment to FDU jumpers shall be labeled as to the equipment type connected and shall be labeled at both ends. FDU to FDU jumpers shall be labeled at each end in accordance with the unique identification code element method described elsewhere in these special provisions.

| Equipment From | Equipment To |
|---|---|
| ID No. by Contractor | ID No. by Contractor |

Both ends shall be labeled near the connector. The label shall be the same on both ends. The label denotes where the ends of the jumper are plugged into.

**Pigtails**.—Pigtails shall be labeled at the connector in accordance with the unique identification code element method described elsewhere in these special provisions.

| Cable Type | From | To | Fiber No. |
|---|---|---|---|
| X | XXX.X | XXX.X | XX |

A label shall be placed on each pigtail near the connector showing the point of origin of the link and the termination of the link. A label with the fiber number being spliced shall be placed on the end of the pigtal near the splice.

Example: C-HUB-C - C044.5 - 10

**Copper Cable Labels**.—All twisted-pair communications cables shall be clearly labeled in a in accordance with the unique identification code element method described elsewhere in these special provisions.

**Splice Vaults**

| Cable Type | From | To |
|---|---|---|
| X | XXX.X | XXX.X |

A label shall be placed on Type C, and Type D cables as the cables enter and exit each splice vault. A label shall be placed on the cable in the No. 5 and No. 6 pullboxes by the camera poles and TOS cabinets. A label shall be placed on the cable inside the closed circuit television and TOS assemblies.

Example: C-HUB.B - HUB.C
Example: D-C044.5 - V044.8

**Splice Trays**
A label shall be placed on each splice tray explaining the splices in each tray.

**CABLE INSTALLATION**

Installation procedures shall be in conformance with the procedures specified by the cable manufacturer for the specific cable being installed. The Contractor shall submit the manufacturer's recommended procedures for pulling fiber optic cable at least 20 working days prior to installing cable. Mechanical aids may be used provided that a tension measuring device, and a break away swivel are placed in tension to the end of the cable. The tension in the cable shall not exceed 2225 N or the manufacturer's recommended pulling tension, whichever is less.

During cable installation, the bend radius shall be maintained at a minimum of twenty times the outside diameter. The cable grips for installing the fiber optic cable shall have a ball bearing swivel to prevent the cable from twisting during installation.

F/O cable shall be installed using a cable pulling lubricant recommended by the F/O cable and/or the innerduct manufacturer, and a pull tape conforming to the provisions described under "conduit" elsewhere in these special provisions. Contractor's personnel shall be stationed at each splice vault and pull box through which the cable is to be pulled to lubricate and prevent kinking or other damage.

F/O cable shall be installed without splices except where specifically allowed on the plans. If splice locations are not shown on the plans, splicing shall be limited to one cable splice every 6 km. Any midspan access splice or FDU termination shall involve only those fibers being spliced as shown on the plans. Cable splices shall be located in splice closures, installed in splice vaults shown on the plans. A minimum of 20 m of slack shall be provided for each F/O cable at each splice vault. Slack shall be divided equally on each side of the F/O splice closure.

Unless shown or provided otherwise, only F/O cable shall be installed in each innerduct. Pulling a separate F/O cable into a spare duct to replace damaged fiber will not be allowed.

At the Contractor's option, the fiber may be installed using the air blown method. If integral innerduct is used, the duct splice points or any temporary splices of innerduct used for installation must withstand a static air pressure of 758 kPa.

The fiber installation equipment must incorporate a mechanical drive unit or pusher, which feeds cable into the pressurized innerduct to provide a sufficient push force on the cable, which is coupled with the drag force created by the high-speed airflow. The unit must be equipped with controls to regulate the flow rate of compressed air entering the duct and any hydraulic or pneumatic pressure applied to the cable. It must accommodate longitudinally ribbed, or smooth wall ducts from nominal 16 mm to 51 mm inner diameter. Mid assist or cascading of equipment must be for the installation of long cable runs. The equipment must incorporate safety shutoff valves to disable the system in the event of sudden changes in pneumatic or hydraulic pressure.

The equipment must not require the use of a piston or any other air capturing device to impose a pulling force at the front end of the cable, which also significantly restricts the free flow of air through the inner duct. It must incorporate the use of a counting device to determine the speed of the cable during installation and the length of the cable installed.

**SPLICING**

Field splices shall be done either in splice vaults or cabinets as shown on the plans. All splices in splice vaults shall be done in splice trays, housed in splice closures. All splices in cabinets shall be done in splice trays housed in FDUs.

Unless otherwise specified, fiber splices shall be the fusion type. The mean splice loss shall not exceed 0.07 dB per splice. The mean splice loss shall be obtained by measuring the loss through the splice in both directions and then averaging the resultant values.

All splices shall be protected with a metal reinforced thermal shrink sleeve.

The mid-span access method shall be used to access the individual fibers in a cable for splicing to another cable as shown on the plans. Cable manufacturers recommended procedures and approved tools shall be used when performing a mid-span access. Only the fibers to be spliced may be cut. All measures shall be taken to avoid damaging buffer tubes and individual fibers not being used in the mid-span access.

The individual fibers shall be looped one full turn within the splice tray to avoid micro bending. A 45 mm minimum bend radius shall be maintained during installation and after final assembly in the optical fiber splice tray. Each bare fiber shall be individually restrained in a splice tray. The optical fibers in buffer tubes and the placement of the bare optical fibers in the splice tray shall be such that there is no discernable tensile force on the optical fiber.

The Contractor will be allowed to splice a total of 2 fibers to repair any damage done during mid-span access splicing without penalty. The Contractor will be assessed a penalty of $300.00 for each additional and unplanned splice. Any single fiber may not have more than 3 unplanned splices. If any fiber requires more than 3 unplanned splices, the entire length of F/O cable must be replaced at the Contractor's expense.

**SPLICE CLOSURES**

The F/O field splices shall be enclosed in splice closures which shall be complete with splice organizer trays, brackets, clips, cable ties, seals and sealant, as needed. The splice closure shall be suitable for a direct burial or pull box application. Manufacturer's installation instructions shall be supplied to the Engineer prior to the installation of any splice closures. Location of the splice closures shall be where a splice is required as shown on the plans, designated by the Engineer, or described in these special provisions.

The splice closure shall conform to the following specifications:

A. Non-filled thermoplastic case
B. Rodent proof, water proof, re-enterable and moisture proof
C. Expandable from 2 cables per end to 8 cables per end by using adapter plates

D. Cable entry ports shall accommodate 10 mm to 25 mm diameter cables
E. Multiple grounding straps
F. Accommodate up to 8 splice trays
G. Suitable for "butt" or "through" cable entry configurations
H. Place no stress on finished splices within the splice trays

The splice closure shall be bolted to the side wall of the splice vault.

The Contractor shall verify the quality of each splice prior to sealing the splice closure. Final acceptance of splices will be made after testing is completed. The Contractor shall resplice any defective splices at his own expense.

**SPLICE TRAYS**

Splice trays must accommodate a minimum of 12 fusion splices and must provide for a minimum bend radius of 45 mm. Individual fibers must be looped one full turn within the splice tray to allow for future splicing. No stress is to be applied on the fiber when it is located in its final position. Buffer tubes must be secured near the entrance of the splice tray to reduce the chance that an inadvertent tug on the pigtail will damage the fiber. The splice tray cover may be transparent.

Splice trays in the splice closure shall conform to the following:

A. Accommodate up to 24 fusion splices
B. Place no stress on completed splices within the tray
C. Stackable with a snap-on hinge cover
D. Buffer tubes securable with channel straps
E. Must be labeled after splicing is completed.

Only one single splice tray may be secured by a bolt through the center of the tray in the fiber termination unit. Multiple trays must be securely held in place as per the manufacturer's recommendation.

**PASSIVE CABLE ASSEMBLIES AND COMPONENTS**

The F/O cable assemblies and components shall be compatible components, designed for the purpose intended, and manufactured by a company regularly engaged in the production of material for the fiber optic industry. All components or assemblies shall be best quality, non-corroding, with a design life of at least 20 years. All components or assemblies of the same type shall be from the same manufacturer.

**FIBER OPTIC CABLE TERMINATIONS**

**GENERAL**

Fiber optic outside plant (FOOP) cable entering a building shall be routed as described in these special provisions and as shown on the plans. The cable shall continue within the conduit to the designated termination point for cable termination. All components shall be the size and type required for the specified fiber. Fiber optic cable terminations may take place in several locations such as TMCs, hubs, data nodes, cable nodes, TOS cabinets, camera sites, etc.

**DISTRIBUTION BREAKOUT**

Once the fiber optic cable arrives within communications hub, the cable shall be routed within conduit to a rack mounted cable storage shelf, as shown on the plans, where a minimum of 6 meters of spare cable shall be coiled for future use. The cable shall then be routed to the fiber distribution frame (FDF).

The jacketed cable shall be lashed with hook and loop fastener-wraps to the rack prior to entering the FDF. The cable shall also be hook and loop fastener-wrapped to the inside of the FDF near the point of entry.

**Note: DO NOT USE PLASTIC TIE-WRAPS ON ANY F/O FIBERS OR F/O CABLES.**

The cable jacket, aramid yarn, and filler rods shall be removed exposing the buffer tubes. The exposed length of the buffer tubes shall be at least the length recommended by the hardware manufacturer which allows the tubes to be secured to the splice tray. Buffer tubes shall be secured to the splice tray. The remainder of the tubes shall be removed to expose lengths of the individual fibers sufficient for routing on the splice tray as described in "Splicing" elsewhere in these special provisions. Moisture blocking gel shall be removed from the exposed buffer tubes and fibers. Manufacturer directions shall be followed to ensure that throughout the specified temperature range gel will not flow from the end of the buffer tube. The individual fibers shall be stripped and prepared for splicing.

## DISTRIBUTION INTERCONNECT PACKAGE

**General.**--Distribution involves connecting the fibers to the active electronic components. The distribution interconnect package consists of FDF with connector panels, couplers, splice trays, fiber optic pigtails and cable assemblies with connectors. The distribution interconnect package shall be assembled and tested by a company who is regularly engaged in the assembly of these packages. Attention is directed to "Fiber Optic Testing" elsewhere in these special provisions. All distribution components shall be products of the same manufacturers, who are regularly engaged in the production of these components, and the respective manufacturers shall have quality assurance programs.

## FIBER OPTIC CABLE ASSEMBLIES AND PIGTAILS

**General.**--Cable assemblies (jumpers and pigtails) shall be products of the same manufacturer. The cable used for cable assemblies shall be made of fiber meeting the performance requirements of these special provisions for the F/O cable being connected.

**Pigtails.**--Pigtails shall be of simplex (one fiber) or duplex (2 fibers for transmit and receive pairs) construction, in 900 μm tight buffer form, surrounded by aramid for strength, with a PVC jacket with the manufacturer's identification information and a nominal outer jacket diameter of 3 mm. Singlemode simplex cable jackets shall be yellow in color. All pigtails shall be at least one meter in length.

**Jumpers.**--Jumpers may be of simplex or duplex design. Duplex jumpers shall be of duplex round cable construction, and shall not have zipcord (siamese) construction. All jumpers shall be at least 2 meters in length, sufficient to avoid stress and allow orderly routing.

The outer jacket of duplex jumpers shall be colored according to the singlemode color (yellow) specified above. The 2 inner simplex jackets shall be contrasting colors to provide easy visual identification for polarity.

**SC Connectors.**-- SC type connectors and shall meet the requirements of EIA/TIA-568A except as specified below. SC connector body housings shall be of polymer construction.

All F/O connectors shall have a 2.5 mm diameter, Zirconia Ceramic, SC connector ferrule with a PC (Physical Contact) pre-radiused tip.

The SC connector operating temperature range shall be -40° C. to +70° C. Insertion loss shall not exceed 0.5 dB, for either multimode or singlemode, and the return reflection loss on singlemode connectors shall be at least 40 dB. Connection durability shall be less than a 0.2 dB change per 500 mating cycles per EIA-455-21A (FOTP-21). Factory test results shall be documented and submitted to the Engineer prior to installing any of the connectors. Singlemode connectors shall have a blue color on the shroud and a white color on the boot in accordance with the Munsell color shades specified elsewhere, that renders them easily identifiable from multimode connectors.

Field terminations shall be limited to splicing of adjoining cable ends and/or cables to SC pigtails.

**SC Couplers.**--The SC couplers shall be made of polymer construction that is consistent with the material forming the associated SC connector body. The design mechanism for mounting the couplers to the ITU connector panel may be achievable using metal clips or fasteners but shall coincide with the ITU panel punch-outs.

All coupler sleeves shall be of the cylinder split ceramic or clover leaf design.

The temperature operating range for couplers shall be the same as that specified for the SC connectors.

## FIBER DISTRIBUTION FRAME (FDF)

FDF equipment shall fit and be housed in a standard 482mm rack and cabinet with lockable doors such that access is limited to the breakout side of the FDF interconnection.

FDF shall be the rack type.

FDF racks shall be EIA standard 482mm racks.

The connector panel shall be located internal to the unit so as not expose fiber optic connections. Cable accesses shall have grommets.

FDF shall be sized to contain sufficient connector module housings (CMHs) to handle the associated cables and their respective breakouts. FDF shall be capable of housing and securing required splice trays in splice module housings (SMH).

The FDF shall house the splice trays needed to store the "breakout to pigtail" splices.

Connector module housings (CMH), splice module housings (SMH) and fiber distribution units shall be rack mounted with adjustable mounting brackets for horizontal positioning. The front covers shall be lexan with a rear cover of metal of the same gage and color as the remainder of the FDF rack. Panels shall have at least 6-coupler capacity and all panel positions shall be filled with couplers. Where panels have spare SC positions, the couplers shall have dust covers on both sides.

Couplers shall be mounted in panels housed within the FDF. Spare panel positions within the FDF shall have blanks or panels ready for future expansion. The front and back covers of the FDF shall be retractable or removable to facilitate internal installation.

All fibers, including unused fibers, shall be terminated and identified, at the FDF.

## FIBER OPTIC TESTING

### GENERAL

Testing shall include the tests on elements of the passive fiber optic components: (1) at the factory, (2) after delivery to the project site but prior to installation, (3) after installation but prior to connection to any other portion of the system. The Contractor shall provide all personnel, equipment, instrumentation and materials necessary to perform all testing. The Engineer shall be notified two working days prior to all field tests. The notification shall include the exact location or portion of the system to be tested.

Documentation of all test results shall be provided to the Engineer within 2 working days after the test involved.

A minimum of 15 working days prior to arrival of the cable at the site, the Contractor shall provide detailed test procedures for all field testing for the Engineer's review and approval. The procedures shall include the tests involved and how the tests are to be conducted. Included in the test procedures shall be the model, manufacturer, configuration, calibration date and operating procedures.

### FACTORY TESTING

Documentation of compliance with the fiber specifications as listed in the Fiber Characteristics Table shall be supplied by the original manufacturer. Before shipment, but while on the shipping reel, 100 percent of all fibers shall be tested for attenuation. Copies of the results shall be (1) maintained on file by the manufacturer with a file identification number for a minimum of seven years, (2) attached to the cable reel in a waterproof pouch, and (3) submitted to the Contractor and to the Engineer.

### ARRIVAL ON SITE

The cable and reel shall be physically inspected on delivery and 100 percent of the fibers shall be attenuation tested to confirm that the cable meets requirements. Test results shall be recorded, dated, compared and filed with the copy accompanying the shipping reel in a weather proof envelope. Attenuation deviations from the shipping records greater than 5 percent shall be brought to the attention of the Engineer. The cable shall not be installed until completion of this test sequence and the Engineer provides written approval. Copies of traces and test results shall be submitted to the Engineer. If the test results are unsatisfactory, the reel of F/O cable shall be considered unacceptable and all records corresponding to that reel of cable shall be marked accordingly. The unsatisfactory reels of cable shall be replaced with new reels of cable at the Contractor's expense. The new reels of cable shall then be tested to demonstrate acceptability. Copies of the test results shall be submitted to the Engineer.

### AFTER CABLE INSTALLATION

Index matching gel shall not be allowed in connectors during testing. After the fiber optic cable has been pulled but before breakout and termination, 100 percent of all the fibers shall be tested with an OTDR for attenuation. Test results shall be recorded, dated, compared, and filed with the factory tests. Copies of traces and test results shall be submitted to the Engineer. If the OTDR test results are unsatisfactory, the F/O cable segment will be unacceptable. The unsatisfactory segment of cable shall be replaced with a new segment, without additional splices, at the Contractor's expense. The new segment of cable shall then be tested to demonstrate acceptability. Copies of the test results shall be submitted to the Engineer.

Attenuation tests shall be performed with an OTDR capable of recording and displaying anomalies of 0.02 dB as a minimum. Singlemode fibers (SM) shall be tested at 1310 nm. Attenuation readings shall be recorded on a cable data sheet showing factory and after installation results.

The OTDR shall have a printer capable of producing a verifying test trace with fiber identification as shown in Appendix A "Link Loss Budget Work Sheet", numerical loss values, the date, and the operator's name. The printer shall also have a DOS based 89mm disk recording capability that has associated software to do comparisons and reproductions on 216mmX279mm paper, via a personal computer.

### PASSIVE (DISTRIBUTION INTERCONNECT PACKAGE) TESTING AND DOCUMENTATION

All the components of the passive interconnect package (FDF, ITU's, pigtails, jumpers, couplers, and splice trays) shall comprise a unit from a manufacturer who is regularly engaged in the production of the fiber optic components described.

In developing the distribution interconnect package, each SC termination (pigtail or jumper) shall be tested for insertion attenuation loss with the use of an optical power meter and source. In addition, all singlemode terminations shall be tested

for return reflection loss. These values shall meet the loss requirements specified earlier and shall be recorded on a tag attached to the pigtail or jumper.

Once assembly is complete, the manufacturer shall visually verify that all tagging, including loss values, is complete. Then as a final quality control measure, the manufacturer shall do an "end to end" optical power meter/light source test from pigtail end to jumper lead end to assure continuity and overall attenuation loss values.

The final test results shall be recorded, along with previous individual component values, on a special form assigned to each FDF. The completed form shall be dated and signed by the Manufacturer's Quality Control supervisor. One copy of this form will be attached in a plastic envelope to the assembled FDF unit. Copies will be provided separately to the Contractor and to the Engineer, and shall be also be maintained on file by the manufacturer or supplier.

The assembled and completed FDF unit shall then be protectively packaged for shipment to the Contractor for installation.

**FIBER OPTIC SYSTEM DESIGN CRITERIA**

The design system gain margin shall be at least 6 dB for each and every active link. If the design system gain margin is less than 6 dB, the Engineer shall be notified and informed of the Contractor's plan to meet the design requirement. Test results shall be recorded in Appendix B.

**ACTIVE COMPONENT TESTING**

The UTP TO SMFO media converters shall be tested using SNMP.

**SYSTEM VERIFICATION AT COMPLETION**

**OTDR Testing.--**Once the passive cabling system has been installed and is ready for activation, 100 percent of the fiber links shall be tested with the OTDR for attenuation at both wavelengths. Test results shall be recorded, dated, compared, and filed with previous copies. Copies of traces and test results shall be submitted to the Engineer. If the OTDR test results are unsatisfactory (greater than the loss specified in "Outdoor Splices" of these special provisions), the link shall be replaced at the Contractor's expense. The new link shall then be tested to demonstrate acceptability. Copies of the test results shall be submitted to the Engineer. During construction, a hard copy printout and an electronic copy on a DOS formatted 89mm disc of the traces and test results with a licensed copy of the associated software shall be submitted to the Engineer.

**Installed System Link Loss Budget.--**The Link Loss Budget Worksheet shown in Appendix A shall be completed for each link in the fiber optic system, using the data gathered throughout the installation process. The completed worksheets shall be included as part of the system documentation in the As-Built Plans.

The Total System Gain shall be calculated by subtracting the measured Optical Receiver Sensitivity (line 1B on the "The Link Loss Budget Worksheet") from the measured Optical Transmitter Average Power (line 1A), which were obtained using a power meter and source. The resulting difference shall be the maximum allowable loss between the transmitter and the receiver, within -0% to +10% of the manufacturers specified loss budget for the transmitter/receiver pair. The Total System Gain shall be recorded on line 1C.

The Fiber Losses for a link shall be calculated by multiplying the length of the fiber link (line 2A) by the averaged (after cable installation) cable attenuation (dB/km, line 2B) at the operating wavelength. The averaged attenuation (line 2A) for this calculation shall be the maximum value throughout the operating temperature range of the cable. The product shall be recorded on line 2C.

The total connector losses shall be calculated by summing the individual attenuation values for each connector pair in the link, excluding the transmitter and receiver connectors. The sum shall be recorded on line 2D.

The total splice losses shall be calculated by summing the individual attenuation values for each splice in the link. The sum shall be recorded on line 2E.

The total of other losses shall be calculated by summing the individual attenuation values for each component in the link not previously addressed. The sum shall be recorded on line 2F. These items may include, but are not limited to, couplers, splitters, routers, and switches.

The Total System Loss shall be recorded on line 2G of the Link Loss Budget Worksheet .

The Design System Gain Margin shall be calculated by subtracting the Total System Loss (line 2G) from the Total System Gain (line 1C). The resulting difference shall be recorded on line 3A. The Contractor's attention is directed to "F/O System Design Criteria," elsewhere in these special provisions.

**Power Meter and Light Source.--**At the conclusion of the final OTDR testing, 100 percent of all fiber links shall be tested end to end with a power meter and light source, in accordance with EIA Optical Test Procedure 171 and in the same wavelengths specified for the OTDR tests. These tests shall be conducted in both directions. Test results shall be recorded, compared and proven to be within the design link loss budgets, and filed with the other recordings of the same links. Test results shall be submitted to the Engineer.

**Test Failures.--**If during any of these system verification tests, the results prove to be unsatisfactory, the F/O cable will not be accepted. The unsatisfactory segments of cable shall be replaced with a new segment of cable at the Contractor's expense. The new segment of cable shall undergo the same testing procedure to determine acceptability. Copies of the test results shall be submitted to the Engineer. The removal and replacement of a segment of cable shall be interpreted as the removal and replacement of a single contiguous length of cable connecting 2 splices, 2 connectors or a splice and a connector. The removal of only the small section containing the failure and therefore introducing new unplanned splices, will not be allowed.

## APPENDIX A

### Cable Verification Worksheet

*End-to-End Attenuation (Power Meter and Light Source) Testing and OTDR Testing*

Contract No. _____ Contractor: _____

Operator: _____ Date: _____

Link Number: _____ Fiber Number: _____

Expected Location of fiber ends: End 1: _____ End 2: _____

| Power Meter and Light Source Test Results: | 1310 nm | 1550 nm | |
|---|---|---|---|
| Power In: | | | |
| Output Power: | _____ dBm | _____ dBm | 1A |
| Insertion Loss [1A - 1B]: | _____ dBm | _____ dBm | 1B |
| | _____ dB | _____ dB | 1C |

| OTDR Test Results: | | | |
|---|---|---|---|
| Forward Loss: | _____ dB | _____ dB | 2A |
| Reverse Loss: | _____ dB | _____ dB | 2B |
| Average Loss [(2A + 2B)/2]: | _____ dB | _____ dB | 2C |

---

To Be Completed by Caltrans:
Resident Engineer's Signature: _____
Cable Link Accepted: _____

## APPENDIX B

### Fiber System Performance Margin Calculations Worksheet

**A. Calculate the Passive Cable Attenuation**

| | | |
|---|---|---|
| 1. Calculate Fiber Loss at Operating Wavelength: _____ nm | Cable Distance (times)<br>Individual Fiber Loss (equal)<br>@ 1310 nm (0.4 dB/km)<br>@ 1550 nm (0.3 dB/km) | _____ km x ___ dB/km = |
| | **Total Fiber Loss:** | _____ dB |

**B. Calculate the Total Connector/Splice Loss**

| | | |
|---|---|---|
| 2. Calculate Connectors/couplers Loss:<br>(exclude Tx and Rx connectors) | Individual Connector Loss (times)<br>Number of Connector Pairs (equal)<br>**Total Connector Loss:** | 0.4 dB x ____ =<br>_____ dB |
| 3. Calculate Splice Loss: | Individual Splice Loss (times)<br>Number of Splices (equal)<br>**Total Splice Loss:** | 0.1 dB x ____ =<br>_____ dB |
| 4. Calculate Other Components Loss: | **Total Components:** | _____ dB |
| 5. Calculate Total Losses: | Total Connector Loss (plus)<br>Total Splice Loss (plus)<br>Total Components (equal) | + dB<br>+ dB<br>+ dB = |
| | **Total Connector/Splice Loss:** | _____ dB |

**C. Calculate Active Component Link Loss Budget**

| | | |
|---|---|---|
| System Wavelength:<br>Fiber Type:<br>Average Transmitter Output (Launch Power): | | _____ nm<br>singlemode<br>_____ dBm |
| Receiver MAX Sensitivity ($10^9$ BER) (minus)<br>Receiver MIN Sensitivity (equal)<br>**Receiver Dynamic Range:** | | _____ dBm<br>- _____ dBm =<br>_____ dB |
| 6. Calculate Active Component Link Loss Budget: | Average Transmitter Output (Launch Power) (minus)<br>Receiver MAX Sensitivity (equal) | _____ dBm<br>- _____ dBm = |
| | **Active Component Link Loss Budget:** | _____ dB |

**D. Verify Performance**

| | | |
|---|---|---|
| 7. Calculate System Performance Margin to Verify Adequate Power: | Active Component Link Loss Budget **[C]** (minus)<br>Passive Cable Attenuation **[A]** (minus)<br>Total Connector/Splice Lost **[B]** (equal) | _____ dB<br>- _____ dB<br>- _____ dB = |
| | **System Performance Margin:** | _____ dB |

## 10-3.11 BONDING AND GROUNDING

Bonding and grounding shall conform to the provisions in Section 86-2.10, "Bonding and Grounding," of the Standard Specifications and these special provisions.

Bonding jumpers in standards with handholes and traffic pull box lid covers shall be attached by a UL listed lug using 4.5-mm diameter or larger brass or bronze bolts and shall run to the conduit or bonding wire in the adjacent pull box. The grounding jumper shall be visible after the standard has been installed and the mortar pad and cap have been placed on the foundation.

Standards without handholes shall have bonding accomplished by jumpers attached to UL listed ground clamps on each anchor bolt.

For slip base standards or slip base inserts, bonding shall be accomplished by jumpers attached to UL listed ground clamps on each anchor bolt, or a UL listed lug attached to the bottom slip base plate with a 4.5-mm diameter or larger brass or bronze bolt.

Equipment bonding and grounding conductors are required in conduits, except when the conduits contain combinations of loop lead-in cable, fiber optic cable, or signal interconnect cable. A No. 8 minimum, bare copper wire shall run continuously in circuits, except for series lighting circuits, where No. 6 bare copper wire shall run continuously. The bonding wire size shall be increased to match the circuit breaker size in conformance with the Code, or shall be as shown on the plans. Conduits to be installed for future conductors, may omit the copper wire.

Bonding of metallic conduits in metal pull boxes shall be by means of bonding bushings and bonding jumpers connected to the bonding wire running in the conduit system.

## 10-3.12 SERVICE

Continuous welding of exterior seams in service equipment enclosures is not required.

Type III service equipment enclosures shall be the aluminum type.

Circuit breakers shall be the cable-in/cable-out type, mounted on non-energized clips. All circuit breakers shall be mounted vertically with the up position of the handle being the "ON" position.

Circuit breakers used as service disconnect equipment shall have a minimum interrupting capacity of 42 000 A, rms, for 120/240 V(ac) services and 30 000 A, rms, for 480 V(ac) services.

## 10-3.13 NUMBERING ELECTRICAL EQUIPMENT

The numbers shall be placed on the equipment where designated by the Engineer.

Where new numbers are to be placed on existing or relocated equipment, the existing numbers shall be removed.

Reflective numbers shall be applied to a clean surface.

Where shown on the plans, self-adhesive equipment numbers shall be placed for all electroliers, soffit lighting, and sign lighting On electroliers, the numbers shall be placed as shown on the plans.

Numbers for illuminated signs mounted on overcrossings or for soffit luminaires shall be placed on the nearest adjacent bent or abutment at approximately the same station as the sign or soffit luminaire. Where no bent or abutment exists near the sign or soffit luminaire, the number shall be placed on the underside of the structure adjacent to the sign or soffit luminaire. Arrangement of numbers shall be the same as those used for electroliers.

Numbers for overhead sign bridges shall be placed on both posts.

Numbers for wood poles shall be 75-mm embossed aluminum fastened to the pole with 30-mm aluminum nails.

Adhesive numbers for all locations except wood poles shall be white reflective adhesive sheeting, 76 mm in width, with 76 mm, Black Series D letters and numbers. The letters and numbers may be screened on to the reflective sheeting or may be die cut and adhesively attached. The labels for each location may be individual characters applied or a continuous strip applied. Reflective sheeting, numbers and letters shall comply with the respective specifications in the Department of Transportation publication, "Specifications for Aluminum Reflective Sheeting Signs".

## 10-3.14 CONTROLLER CABINETS

The 334 cabinets shall conform to the provisions in Section 86-3.03, "Model 170 and Model 2070 Controller Assemblies," of the Standard Specifications and these special provisions.

Police panels will not be required.

Prior to shipping to the project site, each 334 cabinet shall be submitted to the Transportation Laboratory for acceptance testing. The costs of transportation to and from the Laboratory shall be at the Contractor's expense.

Foundations for Type 1 housing shall conform to the details in the plans for Model 332 and 334 cabinetsThe Engineer shall be notified when each 334 cabinet is ready for the functional test. The functional test will be conducted by State forces.

The following equipment shall be provided with each power distribution assembly:

A. Two each of Duplex NEMA Type 5-20R controller receptacle

B. One each of 30 A, 1-pole, 120 V (ac) Main circuit breaker
C. One each of 15 A, 1- pole, 120 V (ac) circuit breaker
D. Two each of 20 A, 1- pole, 120 V (ac) circuit breaker

Six steel supporting angles extending from the front to the back rails shall be supplied to support the State-furnished fixed shelf and 2 Contractor-furnished shelves. The shelf shall be attached to the top of 2 supporting angles with 4 screws. The front of the shelf shall abut the front member of the mounting cage. The shelves shall be arranged as shown on the plans. The angles shall be designed to support a minimum of 23 kilograms each. The horizontal side of each angle shall be a minimum of 76 mm. The angles shall be vertically adjustable.

Three terminal blocks shall be furnished as shown on the plans. Terminal blocks shall conform to the requirements in Chapter 6, Section 5, Subsection 6.5.3, "Terminal Blocks," Paragraph 5.3.1 of the TSCES, except that the screw size shall be 8-32.

### 10-3.15 DETECTORS

Loop detector sensor units will be State-furnished in conformance with the provisions in "Materials" of these special provisions.

Loop wire shall be Type 2.

Loop detector lead-in cable shall be Type B

Slots shall be filled with elastomeric sealant or hot-melt rubberized asphalt sealant, except when dense graded asphalt concrete surfacing will be placed over installed loop conductors, asphaltic emulsion sealant may be used.

At the Contractor's option, where a Type A or a Type B loop is designated on the plans, a Type E loop may be substituted.

For Type E detector loops, sides of the slot shall be vertical and the minimum radius of the slot entering and leaving the circular part of the loop shall be 40 mm. Slot width shall be a maximum of 20 mm. Loop wire for circular loops shall be Type 2. Slots of circular loops shall be filled with elastomeric sealant or hot melt rubberized asphalt sealant.

The depth of loop sealant above the top of the uppermost loop wire in the sawed slots shall be 50 mm, minimum. The depth of loop sealant may be reduced to 25mm, if additional dense graded asphalt concrete will be placed over the loop conductors.

Slots in portland cement concrete shall be filled with elastomeric sealant or hot-melt rubberized asphalt sealant, or shall be filled with an epoxy sealant conforming to the provisions in Section 95-2.09, "Epoxy Sealant for Inductive Loops (State Specification 8040-06)," of the Standard Specifications.

### 10-3.16 LUMINAIRES

Ballasts shall be the lag or lead regulator type.

### 10-3.17 SIGN LIGHTING FIXTURES-INDUCTION

Induction sign lighting fixtures shall conform to the provisions for mercury sign lighting fixtures in Section 86-6.05, "Sign Lighting Fixtures-Mercury," of the Standard Specifications and these special provisions.

Fixtures shall have a minimum average rating of 60 000 hours. Fixtures shall be for a wattage of 87 W, 120/240 V (ac). The power factor of the fixtures shall be greater than 90 percent and the total harmonic distortion shall be less than 10 percent. Fixtures shall be Underwriter’s Laboratories (UL) approved for wet locations and be Federal Communications Commission (FCC) Class A listed.

The weight of the fixture shall not exceed 20 kg. The manufacturer's brand name, trademark, model number, serial number and date of manufacture shall be located on the packaged assembly and permanently marked on the outside and inside of the housing.

#### MATERIALS

##### Mounting Assembly

The mounting assembly may be either cast aluminum, hot-dip galvanized steel plate or steel plate that has been galvanized and finished with a polymeric coating system or the same finish that is used for the housing.

##### Housing

Housings shall have a door designed to hold a refractor or lens. Housing doors shall be designed to be opened without the use of special tools. Housings and doors shall have a powder coat or polyester paint finish of a gray color resembling unfinished fabricated aluminum.

The maximum height of the fixture shall be 325 mm above the top of the mounting rails.

**Reflector**

Reflectors shall be designed to be removed as single units with common hand tools to access the generator.

**Refractor**

Refractors or lenses shall have smooth exteriors. Lenses shall be flat or convex. Convex lenses shall be made from heat resistant, high-impact resistant, tempered glass.

Convex lenses shall be designed or shielded so that no fixture luminance is visible when the fixture is approached directly from the rear and the viewing level is the bottom of the fixture. When a shield is used it shall be an integral part of the door casting.

**Lamp**

Each fixture shall be furnished with an 85-W induction lamp. Interior lamp walls shall be fluorescent phosphor coated. Lamp light output shall be at least 70 percent at 60 000 hours. Lamps shall have a minimum color-rendering index of 80. Lamps shall be rated at a color temperature of 4 000Kelvin. Lamps shall be removable without the use of tools.

**Power Coupler**

Power couplers shall consist of a construction base with antenna, heat sink and electrical connection cable.

The power coupler shall be designed so that it can be removed with common hand tools.

**High Frequency Generator**

High frequency generators shall start and operate lamps at an ambient temperature of -25°C or greater for the rated life of the lamp.

Generator output frequency shall be 2.65 MHz +/- 10 percent. The generator radio frequency interference shall meet the requirements of the Federal Communications Commission Title 47, Part 18, regulations concerning harmful interference.

High frequency generators shall operate continuously at ambient air temperatures from -25°C to 25°C without reduction in generator life. High frequency generators shall have a design life of at least 100 000 hours at 55°C.

High frequency generators shall be capable of being replaced with common hand tools. Conductor terminals shall be identified as to the component terminal to which they connect.

High frequency generators shall be mounted to use the fixture upon which they are mounted as a heat sink.

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications, and a copy of the high frequency generator test methods and results shall be submitted by the manufacturer with each lot of fixtures. The certificate shall state that the high frequency generators meet the requirements of this section and the generator specifications of the lamp manufacturer.

## 10-3.18 EXTINGUISHABLE MESSAGE SIGN (LED)

Each extinguishable message sign (EMS) shall use the technologies of Light Emitting Diodes (LED) in clusters (pixels), the clusters forming a module, and the module forming legends in nominal 250 mm size letters. The LED messages shall automatically adjust its light output by means of one or more photosensors installed in the housing. All EMSs may be controlled from the same photosensor. The light output shall be proportional to the ambient light (more light / more output and less light / less output). There shall be at least three (3) adjustable levels of luminance: 100 percent, 30 percent and other levels between 30 percent and 100 percent. The signs shall have a 30 percent luminance manual control per the plans.

**CONSTRUCTION**

The sign shall be constructed so that a clear front face panel shall be contained within an extruded aluminum frame.

As an option, the signs engineering specifications may allow a 6mm minimum transparent anti-glare polycarbonate or hardened acrylic front face panel. The extruded aluminum frame shall be hinged to allow access to the interior of the sign and have fully welded seams with a high gloss textured black finish powder coat paint meeting the color standards of Federal specifications 595b, 17038. A 9.5mm nominal black anodized aluminum hex cell louver having 95 percent open area and providing 60 degree shielding shall be installed between the lamp cluster and the front face panel to enhance resistance to sun phantom. The louvers shall be secured in front of the module with captive type retainers.

The sign shall be gasketed with a closed cell neoprene gasket making the sign rain tight. Stainless steel latches shall provide for quick access to the interior of the sign. The sign shall be provided with devices to retain the face panel in a fully open mode assisting the servicing of the sign. All exterior hardware shall be of stainless steel or cadmium plated materials.

The sign shall be vented on the bottom and shall be provided with an interior temperature controlled ventilation fan. Additional ventilation shall be provided such as to ensure the interior of the housing remains below 55 degrees centigrade without compromising the rain tight integrity. All vents shall have an insect screen installed.

LED sign clusters shall be rated for a minimum useful life of 48 months and shall maintain not less than 85 percent of the standard light output values found below in Photometric Requirements section. The LED sign clusters shall be UV stabilized.

Each letter module shall be comprised of multiple pixels in a 5w x 7h configuration. Each pixel shall consist of at least 12 high intensity LEDs. Each pixel shall be 590 nm nominal amber in color having an initial nominal luminous intensity of 9.5 cd on the maximum setting. Each module shall consume no more than 16 watts. Each pixel shall be removable or replaceable on the module without the use of any tools other than a screwdriver, and each module shall be removable from the housing in the same way, thus making the sign fully serviceable.

All LEDs shall be soldered in place, and the LED leads shall not be shortened or a removal device installed. All LED's shall be from the same manufacturer and same color bin.

**OPERATION**

The unit shall be turned ON and OFF by means of 120VAC, 60 Hz inputs. The LED messages shall be steadily lit when activated. The sign shall be provided with internal power supplies and dimming capability to fully operate the sign. The unit power factor shall be greater than 90 percent, and current total harmonic distortion shall be less than 25 percent.

LED extinguishable message sign shall operate from a 60Hz +/- 3Hz AC line over a voltage ranging from 80 to 135 volts. The LED circuitry shall prevent perceptible flicker over the voltage range specified. The line voltage fluctuations shall show no visible effect on the luminous intensity of the LED sign. Rated voltage for all measurements shall be 120 volts AC.

The sign on-board circuitry shall include voltage surge protection to withstand high repetition noise transient as stated in section 2.1.6 of NEMA Standard TS-2, 1992.

LED sign and associated on-board circuitry must meet Federal Communications Commission (FCC) Title 47, SubPart B, Section 15 regulations concerning the emission of electronic noise.

**PHOTOMETRIC REQUIREMENTS**

The LED extinguishable message sign cluster shall meet at least 85 percent of the minimum intensity requirements while operating throughout the operating range of –37°C to +74°C.

The minimum initial luminous intensity values for LED sign cluster shall be 650 cd/m$^2$ at 25°C. Each sign cluster shall have a viewing angle of 30 degrees.

The measured chromatic coordinates of the LED sign cluster shall conform to the chromaticity requirements of section 5.3.2.1. and Figure C of the Equipment and Materials Standards of the Institute of Transportation Engineers (ITE Publication No. ST-017A).

**TERMINAL BLOCKS**

Terminal blocks shall be installed in the interior bottom of the sign housing with sufficient number of terminals to accommodate all of the wiring. All wiring shall be bundled, wrapped, and labeled.

**DOCUMENTATION**

All documents shall be submitted to the engineer for approval before ordering or fabrication of any equipment. Complete shop drawings shall be provided and shall include mechanical, electrical, and physical drawings.

The following operating characteristics shall be identified: Rated Voltage, Power Consumption, Amperes and volt-ampere. Each LED sign shall have the manufacturers name, trademark, and other necessary identification permanently marked on the side of sign. Each individual LED sign shall be identified with a serial number for warranty purposes.

**GUARANTEE AND WARRANTY**

The manufacturer shall provide a Certificate of Conformance to this standard and shall replace or repair any sign that exhibits a failure due to workmanship or material defects within 60 months of delivering the sign to the State. Further, the manufacturer shall replace or repair any sign which exhibits light degradation greater than 50 percent within the first 36 months of delivery, or if more than 20 percent of the LEDs fail during that period.

## 10-3.19 HIGHWAY ADVISORY RADIO

The highway advisory radio (HAR) system work shall consist of materials and labor needed to relocate the existing HAR antenna onto a new wood pole with a new ground rod, as specified in these Special Provisions and as shown on the Plans.

**HAR TRANSIENT/LIGHTNING PROTECTION**

The transient/lightning (T/L) protection shall be provided for the antenna system.

The (T/L) (lightning arrestor) protection for the antenna system shall provide as a minimum protection the following:

| | |
|---|---|
| Clamping voltage: | 90 V ±10% |
| RF power (minimum): | 35 W |
| Frequency range: | 500 kHz to 2 MHz |
| VSWR (maximum): | 1.2 to 1 |
| Insertion loss (maximum): | 0.2 dB |
| Surge current (minimum): IEEE 8/20 waveform | 17 000 A |
| Response time (maximum): | 5 ns |

**ANTENNA**

The antenna shall be a center-loaded vertical whip type with loading coil.

The antenna shall be designed to be mounted on a wood pole or on a fiberglass pole as shown on the plans. The length of the antenna shall be tuned for the selected frequency and shall not be less than 3.1 m and not more than 7.6 m. The top of the antenna shall extend from a minimum of 12 m to a maximum of 15 m above ground level.

The antenna shall be anodized aluminum with a tuning tip. The tip shall be adjustable for precise tuning and shall be made of stainless steel tubing.

The antenna shall be the weather resistant type and shall operate within a temperature range of -40°C to 85°C. It shall withstand wind velocities of 129 km/h without any discernible damage while remaining functional.

The maximum weight of the complete antenna including lower base, loading coil form, mid tip pipe and adjustable stainless steel tip shall not exceed 5.5 kg.

The lower base of the antenna shall be aluminum with gold anodized finish.

The loading coil shall be a continuous filament glass fabric and the coil shall be made of enameled close wound copper wire.

The antenna mounts shall be the "high impact thermoplastic split" type and shall provide 360 degree support to the antenna. All other mounting hardware shall be stainless steel or cadmium plated.

Attention is directed to the requirements for fiberglass highway advisory radio (HAR) pole, elsewhere in these special provisions.

**GROUND SYSTEM**

The ground system shall the triad ground type as shown on the plans and described in these special provisions.

The ground system shall allow the maximum FCC field strength to be achieved on any frequency from 530 kHz to 1710 kHz with 10 W or less of output power.

**Triad Ground System**.--The triad ground system shall use three 50 mm x 6.1 m copper pipes placed in 150 mm, minimum, vertically drilled holes and backfilled with bentonite slurry.

Each ground rod shall be a UL listed ground electrode designed for the purpose. The Contractor shall provide the Engineer with a certificate of compliance from the manufacturer in accordance with the provisions of Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the ground rods and bentonite backfill material. The certificate of compliance shall be provided to the Engineer for approval, prior to ordering or shipping the material.

Each ground rod shall be a 53.97 mm outside diameter hollow tube of Type K copper, with nominal 2.11 mm wall thickness, 6.1 m in length. The top end of each rod shall have a shop welded ground connection with a 4/0 gage, minimum, copper pigtail. The ends of the rods shall have a press-on end caps.

The breather and weep holes on the top and bottom of the rods, as shown on the plans, shall be protected with tape until the installation of the rod. The Contractor shall remove the tapes and provide them to the Engineer before installation.

The drilled hole shall be backfilled with 100 percent bentonite clay slurry and consolidated around the rod. The bentonite slurry shall be placed in the presence of the Engineer. Two working days notice shall be provided to the Engineer prior to backfilling.

The bentonite backfill material shall be a natural volcanic, non-corrosive form of bentonite clay grout. The backfill material shall be capable of absorbing 14 gallons of water per 50 pounds to obtain an optimal 30 percent solids density. The pH value shall be 8-10 with maximum resistivity of 3 ÿ•m's at 30 percent solids density.

The ground rods shall be connected to surge arrestor ground lugs. The ground wire splice to the pigtails shall be made by a UL listed exothermic (Cadweld, or similar) connection method. Soldering, brazing, or field welding will not be acceptable.

The ground rods shall be filled with non-hazardous Calsolyte to enhance grounding performance. The filler shall hygroscopically extract moisture from the air to activate the electrolytic process, improving ground performance. The ground rods system shall be 100 percent self activating and maintenance free. No additions of chemicals or water solutions shall be required.

**Protective Pull Box.--**The protective pull box shall be made of reinforced concrete with lift holes and a vented cast iron grate cover to permit air circulation into the "breather" holes of the ground rod(s).

**HAR INSTALLATION**

HAR equipment shall be installed at the locations shown on the plans. The Contractor shall terminate the power conductors on the TBS terminal of the controller cabinet enclosure.

The installation shall be under the immediate supervision of a person holding a general class radio telephone operators license.

**ARRESTOR ENCLOSURE**

The arrestor enclosure shall be a NEMA Type 3R with hinged cover, as shown on the plans and shall have provisions for padlocking. An aluminum plate shall be installed vertically, facing the door, in the enclosure as shown on the plans. The Contractor shall terminate the ground conductor(s) with an aluminum-copper NEMA one and/or three bolt hold tongue. The ground conductor(s) and lightning arrestor shall be mounted on the aluminum plate.

**ANTENNA COAXIAL CABLE**

The ACC shall consist of an RG-8/U single foil single braid flexible coaxial cable with a solid bare copper center conductor, Cellular Polyethylene dielectric, 97 percent tinned copper braid, and 100 percent shield coverage and shall conform to the following requirements:

| Electrical Characteristics | |
|---|---|
| Capacitance | 75 pF/m (nominal) |
| Impedance | 50 ÿ (nominal) |
| Velocity of propagation | 78% (nominal) |
| DC loop resistance | 3.9 mÿ/m (nominal) @ 20°C. |

| Attenuation at 20° C. | |
|---|---|
| Frequency (MHz) | Nominal dB/100 m |
| 10.0 | 1.64 |
| 50.0 | 3.94 |
| 100.0 | 5.25 |
| 200.0 | 7.87 |

| Physical Dimensions | |
|---|---|
| | Nominal O.D. (mm) |
| Center conductor | 2.62 |
| Dielectric | 7.24 |
| Outer jacket | 10.29 |

**ANTENNA FEEDING CABLE (AFC)**

The AFC shall consist of a No. 12 AWG solid copper conductor. The AFC shall have a length necessary to connect the lighting arrestor and the antenna without causing stress to the cable and shall be terminated with a UHF plug and a reducing adapter as specified elsewhere in these special provisions.

After installing the AFC between the arrestor enclosure and the antenna, the Contractor shall seal the 41C nipple near the top of the fiberglass pole.

**COAXIAL CABLE CONNECTORS**

Coaxial cable connectors for attaching Type ACC and AFC including the reducing adapter shall be UHF Standard and meet the following requirements:

| Electrical Characteristics | |
|---|---|
| Impedance: | 50 ÿ (nominal) |
| Frequency range: | 0 - 300 MHz |
| Voltage rating: | 500 V (peak) |

| Mechanical | |
|---|---|
| Mating: | Standard size: 5/8- 24 threaded coupling. Push-on mates with any standard size threaded receptacle |
| Method of attachment: | Clamp and Crimp. |
| Composition: | Bodies- Brass or die cast zinc<br>Contacts- brass, silver plated<br>Insulators- TFC, copolymer of styrene, polystyrene, mica-filled phenolic and/or, PBT polyester or equal<br>Plating- ASTRO plate and silver<br>Other metal parts- Brass |

| Environmental | |
|---|---|
| Temperature | -55°C to +165°C |
| Moisture | Weather resistant design. |

**HIGHWAY ADVISORY RADIO TESTING**

**Ground System Testing.--**The Contractor shall take certified measurements after the installation of the ground system.

The testing shall utilize an earth resistance meter and be conducted in accordance with IEEE Standard 3-point fall of potential method.

The Contractor provide all test equipment, take and document resistivity measurements on the grounding system as specified elsewhere in these special provisions and submit them to the Engineer for approval.

**Cable Testing.--**The antenna coaxial cable (ACC) will be tested by the Engineer. Those cables found to have faults shall be replaced. The testing shall utilize a time domain reflectometer.

A fault in a length of cable is defined as any of the following:

1. A return loss measurement indicating that there is a short in the cable.
2. A return loss measurement indicating a cut or open circuit in the cable.
3. A visual inspection which reveals exposure or damage to the cable shielding.

**HAR Testing.--**The Contractor shall tune the HAR with the impedance matching network of the coupling unit by adjusting the stainless steel tip of the antenna.

The HAR shall be considered tuned when the system's voltage standing-wave ratio (vswr) is at the lowest possible value as directed by the Engineer.

The Contractor shall make actual on-the-air field strength measurements. A sufficient number of points shall be selected in order to determine the distance at which the attenuated field of 2 mV/m exists, as measured with a calibrated standard field strength meter. This may be done in a 5 to 8 radial directions facilitating a plot of a 2 mV/m at a distance of 1.5 km from the HAR antenna. If the measured field exceeds 2 mV/m at a distance of 1.5 km, the transmitter output power shall be decreased accordingly and if the measured field is less than 2 mV/m at the same distance then the power may be increased as directed by the Engineer.

At the completion of all HAR testing the Contractor shall submit a written report of all measurements to the Engineer for approval. The report shall include a map, with scale, showing a 2 mV/m contour based on the actual on-the-air field strength measurements. The VSWR, percent modulation and transmitter output power measurements shall be tabulated.

## 10-3.20 CLOSED CIRCUIT TELEVISION SYSTEM

The furnishing and installation of the Closed Circuit Television (CCTV) System shall be done by the Contractor as shown on the plans and as detailed in these Special Provisions.

### GENERAL REQUIREMENTS

The Contractor shall be responsible for providing any mounting adapter and/or attachment required for installation of the CCTV System. All materials furnished, assembled, fabricated or installed under this item shall be new, corrosion resistant and in strict accordance with the details shown on the plans and in the specifications.

The CCTV System shall cover the installation and testing of all components of the CCTV System (Camera, Weatherproof Outdoor Enclosures, Positioning Unit, Camera Control Unit, and associated cables). All elements of the CCTV System shall be tested by the Caltrans Laboratory, 5900 Folsom Blvd., Sacramento, CA 95819.

The Contractor shall be responsible for all deliveries. Working drawings, material lists, and descriptive data specified in these special provisions shall be submitted for approval in accordance with the provisions to the Engineer and a copy sent to:

TMC Office of Electrical Systems
3165 Gold Valley Dr., Rancho Cordova, CA 95742.

The CCTV System shall be installed as a complete and operational system.

All components of the CCTV System, except the cameras, shall have a minimum one year manufacturer's warranty for parts and labor. The cameras shall have a minimum of a one year manufacturer's warranty for parts and labor to begin from installation acceptance. The Resident Engineer and the CCTV System vendor representative shall verify the installation acceptance date.

### FUNCTIONAL REQUIREMENTS

For the purposes of this specification the CCTV System shall be broken up into functional blocks. The Contractor may at his discretion combine the required functionality into one or more units.

### CAMERA

The CCTV cameras shall output video, compliant with the NTSC color line standard. No interlace jitter or line pairing on the viewing monitor shall be discernible. The system shall be capable of providing clear, low-bloom and low-lag video pictures under all conditions from bright sunlight to nighttime scene illumination.

The Camera and Camera Lens shall meet the following requirements:

Imager: CCD
Resolution: NTSC Color - 460 TV lines
Sensitivity: 3 lux, (color day)
Optical Zoom Range: 23X
Digital Zoom Range: 1X (Off) through 10X
Horizontal Angle of View: Optical: 45°
Auto Focus: Selectable Auto/Manual
Zoom & Focus Presets: 6 preset positions
Manual Shutter: Selectable shutter speeds
Auto Shutter: Automatically controls shutter speed to maintain correct video level output.
Auto Iris: Iris automatically adjusts to compensate for changes in scene illumination to maintain constant video level output.
Manual Iris: In the manual iris mode the iris opens and closes in incremental steps
Signal to Noise Ratio: 49 dB
Video Output: NTSC 1 V p-p @ 75 ohms, unbalanced.
Synchronization: Internal

Unless otherwise shown on the plans, all field equipment installed shall be operational in all weather conditions and shall be able to withstand a wind load of 129 kph without permanent damage to mechanical and electrical equipment.

Equipment used shall be identical at each field location and shall be completely interchangeable.

### CAMERA CONTROL UNIT

The Camera Control Unit shall be capable of remote communication using a third party interface that emulates the COHU 392x Rev. 2.1 communications protocol. All camera and pan/tilt functions are operable remotely via serial

communications. The firmware used shall be programmable via the serial port (no UV-EPROMS). As a minimum the system shall comply with the following serial communication protocol.

The unit shall provide the following remote control functions as a minimum:

Pan left
Pan right
Tilt up
Tilt down
Zoom in
Zoom out
Focus near
Focus far
Manual and auto iris control
Iris open/Iris close
Pan/tilt/zoom position preset
Programmable power-up "home" position
Azimuth, Elevation and Zoom positional feedback data

**Communication Protocol:** RS-422 or 232 Serial Communication 8 data, parity none, 1 stop with adjustable data rate from 1200 to 9600 BPS.

**POSITIONING UNIT**

The Positioning Unit shall receive and decode the digital command data, perform error checking and act on valid data to drive the pan/tilt unit and the camera controls. The communications transmission interface shall be terminated in a DB-9F at the CCTV Camera Systems cabinet.

The Positioning Unit shall provide the following:

Standard Pan/Tilt functions:
Pan range of 360°
Elevation range of +30° to –80°
Programmable power-up "home" position
Azimuth, Elevation and Zoom position feedback data in degrees

Each unit shall have a unique identification value programmable via the serial interface.

The Positioning Unit shall communicate asynchronously (via EIA-422 or EIA-232 at a minimum of 9600 baud, 8-bit data, 1 stop-bit, and no-parity. Each block of data shall include an identifier and be accompanied by a checksum calculated on the entire block. Data blocks with a bad checksum shall be NAK. Data block with a good checksum shall be ACK. Complete hardware interface and protocol description shall be supplied to the State as part of the required documentation.

The positioning unit shall be an all-weather outdoor unit with control feed-thru for zoom, focus, iris and all other camera functional controls. The positioning unit shall be rated to handle twice the static mass and dynamic load of the camera / enclosure assembly.

The protocol and message structure for camera control shall be common for all cameras. The protocol shall be public domain.

**ENVIRONMENTAL ENCLOSURE**

The Camera shall be installed in a corrosion resistant, tamperproof sealed and pressurized environmental enclosure. The enclosure shall be designed to withstand the effects of sand, dust, snow, and hose-directed water. All connections shall be watertight.

Wiring to external connectors shall be sealed with a potting compound.

If needed, a sun shroud shall be provided to shield the entire housing from direct sunlight. It shall be constructed in such a way as to allow the free passage of air between the housing and the shield, but shall not form a "sail" to place an excessive load on the pan/tilt unit in high winds.

The enclosure shall be provided with an internal low temperature heater with its own thermostat control.

Unless otherwise shown on the plans, all field equipment installed shall be operational in all weather conditions and shall withstand wind loading to 80 mph without damage.

**ENVIRONMENTAL SPECIFICATIONS**

The equipment shall meet all its specified requirements during and after subjecting to any combination of the following conditions:

Operate in an ambient temperature range of –20 to 60°C.
Temperature shock not to exceed 16°C per hour, during which the relative humidity shall not exceed 95%.
Relative humidity range not to exceed 95% over the temperature range of 5 to 45°C.
Moisture condensation on all surfaces caused by temperature changes.

The camera and environmental housing assembly shall perform to stated specifications over an ambient temperature range of –40° C to +60° C and a humidity range of 0% to 100% condensing. The camera shall operate without sustaining damage over temperature range of -40°C to 70°C

The equipment shall meet all its specified requirements during and after subjecting to any combination of the following conditions:

**Ambient Temperature Limits (Operating):** -20°C to 50°C
Temperature shock not to exceed 16°C per hour, during which the relative humidity shall not exceed 95%.

**Humidity:** Up to 100% relative humidity (per MIL-E-5400T, paragraph 3.2.24.4)
Relative humidity range not to exceed 95% over the temperature range of 20° C to 50° C

**Other:** Withstands exposure to sand, dust, fungus, and salt atmosphere per MIL-E-5400T, paragraph 3.2.24.7, 3.2.24.8, and 3.2.24.9.

The camera and environmental housing assembly shall perform to the stated specifications over an ambient temperature range of –20° C to +50° C and a humidity range of 0% to 100% condensing.

**CONNECTORS AND HARNESSES**

All external connections shall be made by means of a pressure tight multi-conductor Mil-Spec type connectors. The connectors shall be keyed to preclude improper hookups. All wires to and from the connectors shall be color-coded and/or appropriately marked. In order to assure compatibility and performance compliance, the cables from the camera unit shall be assembled by the camera manufacturer. Connecting harnesses of appropriate length and terminated with matching connectors shall be provided for interconnection with the communications system equipment.

All pins and mating connectors shall be plated to provide good electrical connection and resist corrosion. Connectors utilizing solder type connections shall have each soldered connection covered by a piece of heat shrink tubing securely shrunk to insure that it protects the connection.

All connectors and cable assemblies shall be potted with a silicon-based, non-hardening sealant, and weatherproofed. A potting sleeve shall be used with all cables. All conductors and connectors shall adhere to the manufacturers recommended pin configurations.

The camera conductor cables shall be terminated as follows:

120VAC power conductors terminated in the CCTV Camera Cabinet shall be terminated with a NEMA 15P plug.

The video cable shall be terminated with a crimp type BNC male connector.

At the camera pole top, the camera interface cable shall be terminated with a PT06A-14-18P Mil-Spec connector. The cable configured as follows:

| PIN | FUNCTION |
|---|---|
| A | N/C |
| B | N/C |
| C | 115VAC CAMERA POWER LO |
| D | 115VAC CAMERA POWER HI |
| E | TX+ |
| F | TX- |
| G | DATA GND |
| H | VIDEO GND |
| J | P/T TX+ |
| K | 24 VAC HI |
| L | 24VAC LO |
| M | RXD+ (RX+ FOR RS422) |
| N | RXD- (RX- FOR RS422) |
| P | AC GND |
| R | LOW PRESSURE ALARM |
| S | VIDEO OUT |
| T | P/T TX- |
| U | OVERALL SHIELD |

**CCTV SYSTEM TESTING**

Upon installation of the CCTV System in the field, the Contractor shall perform the following testing in the presence of an engineer.

The following tests shall be performed locally using a Contractor provided controller. If a manual controller is used, the Contractor shall also furnish a laptop computer to perform the following tests with the CCTV System DB-9F control cable connector plugged into the laptop computer and using the latest version of the vendor supplied camera control software.

**IRIS AUTO/MANUAL OPERATION**

With IRIS Auto/Manual switch in Manual, open Iris and verify that the video image lightens.

Close the Iris and verify that the video image darkens.

Open the Iris to lighten the image and then switch IRIS Auto/ Manual switch to auto. Verify that the camera iris closes to produce the original video image.

Close the Iris to darken the image and then switch IRIS Auto/ Manual switch to auto. Verify that the camera iris opens to produce the original video image.

**FOCUS AUTO/MANUAL OPERATION**

With FOCUS Auto/Manual switch in Manual, demonstrate that the camera can focus on objects both near and far in the field of view.

Focus near, then switch FOCUS Auto/ Manual switch to auto and demonstrate that the camera focus adjusts automatically to bring the image back in focus.

Focus far, then switch FOCUS Auto/ Manual switch to auto and demonstrate that the camera focus adjusts automatically to bring the image back in focus.

**ZOOM TELEPHOTO/WIDE OPERATION**

With the IRIS and FOCUS Auto /Manual switches in Auto the contractor shall demonstrate that the auto IRIS & FOCUS adjustments operate with a focused picture present in the video image and that the picture zooms in and out.

With IRIS and FOCUS Auto /Manual switch in Manual and operating the Zoom from wide angle to Telephoto the Contractor shall demonstrate that all IRIS & FOCUS adjustments do not operate as if in Auto and that picture still zooms in and out.

Demonstrate that the Digital zoom functions through 10 times the focal length.

**TILT OPERATION**

The Contractor shall demonstrate that with Iris and Focus in Auto & Zoom in wide mode that the camera has free movement with a minimum of +30° to – 80° Elevation range travel.

**PAN RIGHT / LEFT OPERATION**

The Contractor shall demonstrate that with Iris and Focus in Auto, and Zoom in wide mode and with the camera tilted at +30° to -80° the camera will rotate with free movement, with a minimum of 360° pan travel range.

**CAMERA PRESET OPERATION**

Using camera vendor control software the Contractor shall demonstrate that the camera system will execute a minimum of 6 various preset positions employing various degrees of zoom, pan and tilt. The camera must move freely from on preset position to the next. The camera system shall not take more than 4 seconds to go to a preset position. Once in the preset position the camera shall not move unless directed by another command.

**ID GENERATION**

Using camera ID Generator and vendor supplied camera control software the contractor shall demonstrate the insertion of 20 text characters into the video image.

The Contractor shall demonstrate that the text can be cleared using the control software.

The Contractor shall provide a controller and software that will automatically and continuously test each preset position in successive order for a minimum of one hour.

**TRAINING**

The Contractor shall provide a minimum of 8 hours of training to State personnel. The Contractor shall provide enough documentation for up to 15 Caltrans Personnel. The Contractor shall provide two weeks notice prior to the start of training. The State shall make facilities available for training. A training area will be provided by the State at the District 03 RTMC, 3165 Gold Valley Drive, Rancho Cordova, CA 95742.

**ID GENERATOR**

The Camera System shall be capable of inserting one text line, 20 characters minimum, on the final camera system video image. The ID shall be changed using the camera control unit serial communications interface.

**VIDEO TIME INSERTER (VTI)**

A VTI shall be provided to overlay time onto the video signal. The location of the time insertion on the video image shall be user programmable. The time resolution shall also be programmable. User to select date, minutes, seconds and milliseconds. The VTI shall be rackmountable or sit on a shelf provided by the Contractor.

**LOGO INSERTER**

A logo insertion device (character generator) shall be provided to insert a color graphic logo on the final camera image. The electronic logo data file shall be provided to the Contractor by the State. The logo inserter shall be EIA 482 mm rack mountable and no more than 2 rack units in height.

**10-3.21 VIDEO ENCODER**

The Contractor shall furnish two (2) video encoders as specified in these special provisions. The Contractor shall deliver the encoders to the engineer for testing, configuration and installation.

**SYSTEM CONFIGURATION**

1. The encoder shall be based on the following:

    1.1 The encoder shall be EIA 482 mm rack mountable.
    1.2 The Operating System shall be Microsoft® Window XP Professional, running the latest version of Microsoft® Windows Media™ Encoder.
    1.3 The network interface shall be 10/100 Base-T.
    1.4 A one-year warranty shall cover defects in materials and workmanship.
    1.5 No proprietary external hardware limiting/locking devices.
    1.6 The Video Encoder shall meet or exceed the following specifications.

| System Requirements | |
|---|---|
| Central Processing Unit | Intel P4 3.0GHz or better |
| RAM | 512Mbytes DDR |
| Hard Drive | 62.5 mm 20Gbyte |
| Graphics port | VGA compatible support 800x600 |
| Operating System | Windows XP Professional |
| Network | 10/100 Base-T |
| Network Interface Connector | RJ-45 |
| Serial (RS232) Port Control | Remote TCP/IP control of 2 serial ports |
| Serial USB | USB 2.0 |
| **UPS Control** | TCP/IP10/100 Base-T |
| **Video Capture and Output Performance** | |
| Video Input Card | Winnov Videum 4400 or better |
| Video Graphics | Image Overlay |
| CODEC Algorithm | Windows Media Encoder: MPEG-4 |
| Video Formats | 160x120, 176x144 (QCIF), 320X240, 352X288 (CIF), 640X480 |
| Data Rates | 28.8Kbs, 56Kbs, 64Kbs, 128Kbs, 192Kbs, 384Kbs, 512Kbs, 784Kbs |
| **Physical Requirements** | |
| Video Input Connector | BNC |
| Enclosure | Industrial 2U size, 482 mm~~(19")~~ rack mountable |
| Operating Temperature | -20 to +70˚C |

**INPUT REQUIREMENTS**

The encoder shall support the following video inputs:

A. Capture four independent NTSC video streams simultaneously.
B. Ability to overlay graphics (logo insertion) using a unique color BMP format file as a source on all four video inputs.
C. Image overlay shall be possible on all video output. The image overlay software must allow the image to be "keyed". This has the appearance of the background showing through the fill or logo.

**OUTPUT REQUIREMENTS**

1. The video source shall be encoded and delivered as follows.

   1.1 Four video streams delivered simultaneously at various display sizes and data rates.
   1.2 Provide at least one JPEG capture output capability while maintaining three video streams simultaneously. The JPEG output shall be selectable in various size, resolution, and sample rate.
   1.3 The JPEG image file naming convention shall be user definable using a single file name (rewritten) or an auto incremented file name using date and time.
   1.4 The JPEG image shall support time and date insertion.
   1.5 The JPEG image storage destination shall be selected to local disk and/or remote disk.

**SERIAL PORT INTERFACE**

1. The two RS232 ports shall be capable of the following:

   1.1 Speed shall range from 110bps to 115kbps bits per second
   1.2 Parity shall be selected from even, odd, none
   1.3 Data bits shall be selected from 7 or 8
   1.4 Stop bits shall be selected from 1 or 2
   1.5 Flow Control shall be selected from Xon/Xoff, Hardware, None

**PERFORMANCE**

1. The encoder shall behave as follows:

1.1 Upon power-up, reset, or power interrupt the encoder shall automatically initialize and begin encoding the current video profiles. e.g. the following two streaming outputs: 640x480@15FPS, 176x144@6FPS, and a 320x240-JPEG image pushed to a file every minute.
1.2 A thermal monitor may be enabled to maintain the processor within the published specification.

**ACCEPTANCE TEST PROCEDURE**

1. Caltrans shall test encoders to operate at +70°C for 4 hours under the following configuration:

   1.1 The encoder shall be configured to encode a 640x480 stream and archive to local disk.
   1.2 The frame rate used shall generate and maintain 90% CPU usage. Additional encoding sessions can be generated to meet the required testing load.
   1.3 This video output stream generated from the test archive file shall be continuous/seamless and without error/glitches when played back through the decoder.

2. If a failure occurs the complete lot shall be individually tested and any failed units returned in warranty exchange for a new unit to test. This testing shall continue until the order is complete.

**10-3.22 CCTV UNINTERRUPTABLE POWER SUPPLY**

The Contractor shall furnish two (2) Uninterruptible Power Supplies (UPS). The Contractor shall deliver the encoders to the engineer for testing, configuration and installation. The UPS shall meet or exceed the following specifications.

**UPS SPECIFICATIONS**

| | |
|---|---|
| **PHYSICAL REQUIREMENTS** | |
| Enclosure | EIA 482mm Rack Mount - 1U (H) |
| Operating Temperature | -20 °C to +40 °C |
| Audible Alarm | Alarm when on battery |
| Network Port | Ethernet (network management) |
| Interface Port | DB-9 RS-232 |
| Power Down | Intelligent interface allows safe shut down |
| **ELECTRICAL CHARACTERISTICS** | |
| Input Voltage | 120V 60Hz |
| Rated Power | 450VA |
| Output Power | 270 Watts |
| Nominal Output Voltage | 120V |

**10-3.23 MULTIPLE OUTLET STRIP-RACK MOUNT**

One multiple outlet strip-rack mount shall be furnished and installed in each camera controller cabinet (State-furnished Model 334C cabinet or Contractor Furnished ITS/ATC Cabinet). The multiple outlet strip-rack mount shall conform to the following requirements:

Mounting: 482mm rack mount
Number of outlets: 6 or greater
Electrical rating: 15A, 125 VAC. 60 Hz
Circuit Breaker: 12A, 125 VAC
Maximum Surge Current (8x20 Microsecond Surge Pulse): 6500 A or greater
Maximum Energy Dissipation: 210 J or greater for total combined Hot-to-Neutral, Hot-to-Ground and Neutral-to-Ground
Modes of Surge Protection: Hot-to-Neutral
Clamping Response Time: less than 1 nanosecond
Modes of Noise Protection: Transverse and Common
Noise Attenuation: 20 to 40 dB
Noise Frequency range: 150 kHz-to-100 Mhz
UL 1449 Rating: 400 V minimum
Type of cordset: SJT 14/3

## 10-3.24 COMMUNICATIONS EQUIPMENT GLOSSARY

| | |
|---|---|
| ADPCM | Adaptive Differential Pulse Code Modulation |
| ALBO | Automatic Line Buildout. ALBO provides automatic cable equalization in T1 span-line interface equipment. |
| AMI | Alternate Mark Inversion |
| AT&T | American Telephone and Telegraph Company |
| BER | Bit error rate |
| BERTS | Bit error rate test set |
| bps | bits per second |
| CCITT | Consultative Committee on International Telegraphy and Telephony |
| CGA | Carrier Group Alarm. A service alarm showing out-of-frame (OOF) conditions in the multiplexer. |
| CRC | Cyclic Redundancy Check |
| DS0 | Digital Signal, level zero. A 64 kbps signal. It is equal to one voice conversation digitized under pulse code modulation. |
| DS1 | Digital Signal, level one. A 1.544 Mbps digital signal carried on a T1 transmission facility. |
| DSP | Digital Signal Processor |
| DSU | Data Service Unit |
| DSX-1 | Digital Signal Cross-connect Level 1. A set of standard electrical parameters for cross-connecting DS1 lines. |
| DSX | Digital System Cross-connect frame. A bay or panel to which T1 lines and DS1 circuit packs are wired and that permits cross-connections by patch cords and plugs. |
| DTMF | Dual Tone Multi-Frequency |
| E & M | Ear and Mouth |
| ERL | Echo Return Loss |
| ESF | Extended Super Frame. A T1 format that uses the 193rd bit as a framing bit. ESF provides frame synchronization, cyclic redundancy checking and data link bits. |
| FXO | Foreign Exchange Office |
| FXS | Foreign Exchange Subscriber |
| GS | Ground Start |
| HAR | Highway Advisory Radio |
| I/O | Input / Output |
| IRE | An IRE is 1/100 part of the luminance (blanking to reference white) range. The zero IRE shall be at the blanking level and 100 IRE at reference white level. IRE below blanking level shall be referred to as negative values. |
| ISDN | Integrated Services Digital Network |
| LBO | Electrical Line Build Out |
| LGS | Loop Ground Start |
| LS | Loop Start |
| OCU | Office Channel Unit |
| MVDS | Microwave Vehicle Detection System |
| NTSC | National Television Systems Committee |
| PCM | Pulse Code Modulation |
| PLAR | Private line, Automatic Ringdown |
| PLR | Pulse Link Repeater |
| ppm | periodic pulse metering |
| QRSS | Quasi Random Signal Source |
| SRL | Signal Return Loss |
| Switched 56 | A switched line digital service provided by the Private Telephone Network. The service supports 56,000 bits per second data rate only. |
| T1 | A standard digital transmission link with a capacity of 1.544 Mbps normally handles 24-voice channels at 64 kbps. |
| TLP | Transmission Level Point |
| VF | Voice Frequency |
| μ-law | PCM coding and companding standard used in North America. |

**GENERAL**

Communications equipment shall conform to all rules and regulations of the Federal Communications Commission (FCC) and shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions.

The Contractor shall arrange to have a technician, qualified to work on the communications equipment and employed by the communications equipment manufacturer or his representative, present at the time the equipment is turned on.

Prototype equipment is not acceptable. All equipment shall be current standard production units and shall have been in use for a minimum of 6 months. Rebuilt or reconditioned equipment will not be allowed.

All rack mounted equipment and card cage assemblies shall have metal filler plates to cover any unused channel slots or card slots.

**10-3.25 COMMUNICATION HUB**

The communication hub shall consist of the following:

| Qty (EA) | Description |
|---|---|
| 1 | 482mm ~~(19")~~ EIA RACK AND CABINET SYSTEM |
| 2 | Fiber Distribution Frame (4 FDUs Minimum Per Frame) |
| 1 | Cable Ladder System |
| 24 | SMFO Jumpers |
| 1 | Media Converter Chassis Assembly |
| 19 | UTP to SMFO Media Converter modules |
| 24 | CAT5e UTP Jumper Cables |
| 1 | State-furnished 10/100 Ethernet Switch |
| 1 | State-furnished 10/100 Ethernet Router |
| 2 | Uninterruptible Power Supply |
| 2 | Portable Air Cooling Unit |
| 1 | Ethernet Telephone Extender |
| 1 | Network Management Workstation |

All communications hub assembly equipment shall be rack mounted and located in a 482mm EIA Rack and Cabinet System.

All electrical work for the 482mm EIA Rack and Cabinet System shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions.

The 482mm EIA Rack and Cabinet System shall be wired for a 100 A, 60-cycle, 3-wire, 120/240-volt single phase service.

The fiber distribution frame and fiber distribution unit (FDU) are specified in, "Fiber Optic Communication System" elsewhere in these special provisions.

**482 mm EIA RACK AND CABINET SYSTEM**

All communications hub equipment shall be rack mounted and located in a 482mm EIA Rack and Cabinet System. All electrical work for the 482mm EIA Rack and Cabinet System shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions.

Alarm features shall be provided in the 482mm EIA Rack and Cabinet System shall provide local audio and visional indicators and remotely be connected to an alarm terminal port for connection to the transportation management center, as shown on the plans and described in "Communications Equipment" elsewhere in these special provisions. The following is a list of alarms and conditions for the alarms, that shall be provided showing the status of the 482mm EIA Rack and Cabinet System:

High Humidity Alarm-activated over an adjustable humidity range, set between 20 to 85 percent.

High and Low Temperature Alarm-activated over an adjustable high temperature range between +26.5°C to +70°C and an adjustable low temperature range between -1°C to +24°C.

Commercial Power Alarm (failure)-activated whenever commercial power is interrupted for more than 2 cycles.

The EIA Rack and Cabinet covers the furnishing and installation of one (1) 482 mm EIA Racks and Cabinet. The Contractor shall provide one (1) standard 482 mm EIA racks in the cabinet with all hardware and necessary equipment. The 482 mm EIA Rack and Cabinet shall meet the following specifications:

The cabinet shall be designed with vertical extrusions that incorporate integral front and rear flanges for 482 mm mounting. This shall provide a fixed 482 mm mounting plane recessed 60 mm from the front of the cabinet.

The side covers shall be installed on the right and left side of the outer cabinets.

Grounding terminals shall be available on each rack.

The 482 mm EIA racks shall be standard 38U x 600 mm x 800 mm frame.

The cabinet shall have a top fan tray and 115 AC fans installed that allow airflow at a minimum of 14.2 $m^3$/min. Fans shall be powered by rack mounted surge suppressors. The cabinet shall have a full length lockable tempered glass front and back door.

The Contractor shall provide 6 full size cabinet shelves and 1 keyboard pullout shelves.

The cabinet shall have access for the installation of various types of cables from the top. All power and data access holes shall be separated.

The cabinet shall be securely attached to the floor at its base.

The cabinet shall be securely attached to the cabinet beside it using manufacturer hardware.

The Contractor shall provide all necessary hardware, screws, nuts, washers and auxiliary materials required to assemble the 482 mm EIA Rack and Cabinet.

The Contractor shall furnish the following rack mountable items:

3-482 mm x 2134 mm racks.

2 rack mounted surge suppressors for each rack.

6-Five-ring, 482 mm wide, 44 mm high low profile cord manager.

2-48 port RJ-45, Category 5e, T568B, 16 gauge steel black painted finish patch panel with white write-on areas silk-screened below each connector (front has 48 ports RJ-45 four pair connectors; rear has 110 IDC punch downs). Printed circuit boards and plastic components are fire-retardant materials rated UL 94V-O.

Two keyboard pull out trays.

24-3 m CAT 5, 4 pair, 24 AWG, network patch cables, terminated on T568B terminal.

24-1.8 m CAT 5, 4 pair, 24 AWG, network patch cables, terminated on T568B terminal.

**CABLE LADDER SYSTEM**

The Contractor shall provide a cable ladder system suspended 80 mm above the cabinet and shall run from the incoming fiber optic conduits to the EIA Rack and Cabinet as shown.

Ladder system shall be grounded at the nearest wall outlet.

Ladder system shall be a minimum of 305 mm wide and 51 mm deep. The Contractor shall furnish all brackets and shall install ladder in accordance with the manufacturer specifications.

**UNINTERRUPTIBLE POWER SUPPLY**

One uninterruptible power supply shall be furnished and installed by the Contractor in the 482mm EIA Rack and Cabinet System. The uninterruptible power supply shall meet the following requirements:

Input: 108-132 VAC, 55-65 Hz minimum range without battery drain
Output: 120 +/- 3% VAC, 60 Hz
Output Waveform: sinewave 2 percent THD maximum
Design type: double conversion, on-line
Backup Capacity: 1400 watts/2000 VA for 0.5 hours minimum at full load
Operating Temperature: -30 to +60° C minimum range
Mounting: 482 mm rack

**10/100 ETHERNET ROUTER**.

The 10/100 Ethernet router will State-furnished in accordance with "Materials" of these special provisions.

**24 PORT 10/100 ETHERNET SWITCH**.

The two 24 port 10/100 Ethernet switches will be State-furnished in accordance with "Materials" of these special provisions.

**MEDIA CONVERTER CHASSIS ASSEMBLY**

The media converter chassis assembly shall have capacity for 19 stand-alone UTP to SMFO media converters. Overall dimensions for the media converter chassis assembly shall be 89 mm (H) x 432 mm (W) x 352 mm (D) made from an aluminum chassis, and having an unloaded mass of under 10 kg. Operating temperature shall have a range of 0º C. to 60º C.

Media converter module chassis assembly shall have two (2) power supplies for the UTP to SMFO media converters with line cord with input power range of 115 V(ac) ± 15 percent, 60 Hz.

**UTP TO SMFO MEDIA CONVERTER MODULE.**

The UTP to SMFO media converter module shall have an SC type connector for single mode fiber, UTP port with 10/100 and half/full duplex auto-negotiation and a crossover switch, backplane Ethernet ports for connectivity to adjacent modules, graphical SNMP-based network management, hot-swappable mounting in a chassis, LED displays for immediate visual status of each port, conform to IEEE 802.3, 10Base-T, 100Base-Tx and 100Base-Fx specifications. The UTP to SMFO media converter module shall meet the following requirements:

Protocols: 100Base-Fx, 10Base-T , 100Base-Tx
Copper Connectors: RJ-45
Fiber Connectors: SC
Controls: UTP X-over, LS/LP, RFD, Auto/Man, 10/100, FD/HD
LED Displays: Power, FO link, UTP link, BP link, Auto, FD/HD, 10/100
Compliance: UL, CE, FCC Class A
Operating Temperature: -30° to 60°C
Distance: 60km
Wavelength: 1310nm
Minimum Transmit Power: -5 dBm
Minimum Receive Sensitivity: -31 dBm
Link Budget: 26 dBm

**CAT5e UTP CABLE**

CAT5e UTP Cable shall meet requirements as specified in the TIA/EIA-568B standards. CAT5e UTP Cable shall be 2 meters long and terminated with RJ-45 connectors.

**10-3.26 PORTABLE AIR COOLING UNIT.**

The Portable Air Cooling Unit shall be a portable, compact and self contained air cooling unit for localized cooling of the communications room. The Portable Air Cooling Unit shall have energy saving, programmable on/off feature that shall allow setting the unit power schedule. The Portable Air Cooling Unit shall have an auto restart feature eliminating the need for to tend the unit after unexpected power outages. The Portable Air Cooling Unit shall have redundancy through standard dual condensate pumps to prevent unit shutdown if one condensate pump fails. The warm air discharge shall be vented out of the communications room using a ducting system that meets the manufacturer's requirements. The Portable Air Cooling Unit shall meet the following requirements:

Cooling capacity: 4 kW
Nominal input voltage: 120 V
Input Power: 700 watts
Input frequency: 60 Hz +/- 3 Hz
Air Flow: 14.2 $m^3$/min.
UL Approved

**10-3.27 NETWORK MANAGEMENT WORKSTATION**

The network management workstation shall be capable of managing and monitoring network elements consisting routers, switches, video encoders, Ethernet telephone extenders, UTP to SMFO media converters and device servers using a standard management protocol such as SNMP or CMIP. The network management workstation shall run an ISO compliant network management program to collect, process and display information from all managed network elements. The network management program shall have features as listed below:

Fault Management: Detect, isolate, notify, and correct faults encountered in the network.
Configuration Management: Configuration aspects of network devices such as configuration file management, inventory management, and software management.
Performance Management: Monitor and measure various aspects of performance so that overall performance can be maintained at an acceptable level.
Security Management: Provide access to network devices authorized individuals.
Accounting Management: Usage information of network resources.
The Network Management Workstation shall meet the following requirements:

CPU: Pentium 4, 3.0GHz, or better
Memory: 512DDR RAM,
Hard drive: 80GB, or better
CD-RW: 52X24X52, or better
Video: 8X AGP Graphics card with 128DDR memory,
Network interface: 10/100 Ethernet,
Mouse: three button mouse,
Keyboard: 101 keyboard,
Floppy drive: 1.44MB, 89 mm,
Rack mount: 482 mm, 2U maximum,
Power: 350 W, ATX type,
Monitor: 428 mm Flat Panel LCD color monitor, small footprint, minimum rack space, low-energy consumption, native resolution of 1280 x 1024 pixels, tested and validated to work with the Network Management Workstation.
Operating system: Windows 2000 professional or Unix with X-windows

**10-3.28 TRANSPORTATION MANAGEMENT CENTER (TMC) EQUIPMENT**

New equipment added to the existing TMC on this project shall consist of the following:

| Qty (EA) | Description |
|---|---|
| 2 | Device Server |
| 2 | RS-232 Serial Cable |
| 1 | Ethernet Telephone Extender |
| 1 | Power Strip |

All equipment shall be rack mounted and located in the TMC. The TMC is located at 3165 Gold Valley Drive, Rancho Cordova, California..

The Contractor shall deliver the TMC equipment to the engineer for testing, configuration and installation by others.

**DEVICE SERVER.**

The Device Server shall connect the Front End Processor to the Caltrans Wide Area Network using the IP protocol family as required through a TCP data channel. The Device Server shall have provisions for a web browser based or Telnet connection, locally or remotely from a computer , laptop or workstation. Provisions shall be made so datagrams can also be sent by UDP. The device server shall pass all RS-232 signals and shall drop the carrier without delay after the last byte sequence is transmitted. Carrier Detect signal shall be asserted whenever data is transmitted. The Device Server shall meet the following requirements:

Controllers: Ethernet Controller
Serial Interface: RS-232C DB25 connector (DCE pinout)
Speed: software selectable (300 to 115 kBaud)
Network Interface: 10Base-T (RJ45 connector)
Power Supply: External adapter included 110VAC
Power Input: 9-30VDC (3 W maximum)
Temperature Operating range: -40° to +60°
Temperature Storage range: -40° to +70° C
Relative Humidity Operating: 10% to 90% noncondensing, 40% to 60% recommended
Relative Humidity Storage: 10% to 90% noncondensing

Another Device Server shall connect a CMS RS-232 serial port on the ATMS Server to the Caltrans Wide Area Network using the same IP protocols and technical requirements as described above.

Device Servers shall be connected to CMS and TMS 170 controllers and RWIS RS-232 serial using the same IP protocols and technical requirements as described above.

**ETHERNET TELEPHONE EXTENDER.**

The Ethernet telephone extender shall be connected to the HAR control computer. The Ethernet Telephone Extender shall enable HAR telephone service over the Caltrans Wide Area Network via 10Base-T Ethernet connections. It shall have a

built-in Codec that digitizes voice and converts it to packets for transmission between the HAR and the HAR computer at the TMC with designated IP addresses. It shall be compatible with PBX and Key Systems (POTS). A Web-based Configuration user interface is to be provided to view network settings or change IP addresses from the factory default.

The Ethernet telephone extender shall have a minimum of two (2) RJ-11 ports for analog voice grade telephone to full communications functionality with existing Caltrans field elements. The Ethernet telephone extender shall support standard analog modems capable of functioning as voice-over-Ethernet gateway to existing PBXs. Multiple Ethernet telephone extenders distributed across the Ethernet network shall behave as a single system providing 100% feature transparency between remote field element sites and the TMC. All Ethernet telephone extenders shall have the capability to be extension dialed, and calling parties be routed throughout the network making every site seem like a part of the system. The Ethernet telephone extender shall be configured for voice encoding of linear G.711, ADPCM, and G.729a to optimize system performance and network bandwidth. The Ethernet telephone extender shall have dynamic echo cancellation, dynamic jitter buffering and lost packet handling to deliver low latency and toll quality voice performance. The Ethernet telephone extender shall have SNMP capabilities.

The Ethernet telephone extender shall meet the following minimum technical requirements:

**Audio Bandwidth:** Telephone 300Hz to 3.4Khz
Ethernet Bandwidth with ADPCM 32Kbit/s compression 113K bps, With ADPCM 16Kbit/s compression 57K bps
**Electrical:** Phone Connector RJ-11 Female (2-wire), Ring voltage 80V(rms) at 20Hz, FXO Input Impedance 600 Ohm, FXS output Impedance 600 Ohm, Ethernet (10Base-T) RJ-45 Female, Baud Rate 10Mbps
**Alarm/Ring:** Dry Contact Normal OPEN
**Indicators:**
System status ALARM, PWR A, PWR B, VccA, VccB
Telephone Signal Status Tx and Rx Volume, Off-hook, FXO, FXS, Ring, Hot Link
Ethernet Signal Status Sync, Link Status, Activity, DHCP, Master/Slave
**Power Source:** Standard 12VDC @ 600mA (Max), Optional 24VDC, or 115/230VAC with an external power cube
**Temperature:** Operating –20 C to 70 C, Storage –40 C to 90 C, Humidity 95% non-condensing
**Physical: 482 mm** rack mount unit
The Contractor shall deliver the encoders to the engineer for testing, configuration and installation. The Contractor shall deliver the encoders to the engineer for testing, configuration and installation.

## 10-3.29 CHANGEABLE MESSAGE SIGN/TRAFFIC MONITORING STATION INTERCONNECT

The changeable message sign/traffic monitoring station (CMS/TMS) Interconnect shall consist of the following equipment located in the 334 controller cabinet:

| Qty (EA) | Description |
|---|---|
| 1 | 170 Interface Cable |
| 1 | Device Server |
| 1 | CAT5e UTP Jumper Cable |
| 1 | **Remote Site Media Converter Chassis** |
| 2 | Remote UTP to SMFO media converter module |
| 2 | SMFO Jumpers |
| 1 | Interconnect/Termination Unit |
| 1 | Power Strip |

The Contractor shall furnish and install CMS/TMS Interconnect to the engineer for testing, configuration and installation as shown on the plans.

### 170 INTERFACE CABLE.

Interface cable shall consist of 6 No. 22, stranded tinned copper conductors. Each conductor shall be insulated with 0.25 mm, minimum nominal thickness, color coded polypropylene material. Conductors shall be in twisted pairs. Each pair shall be wrapped with an aluminum polyester shield and shall have a No. 22 or larger, stranded, tinned copper drain wire inside the shielded pair.

The cable jacket shall be polyvinyl chloride, rated for a minimum of 300 volts and 60° C., and shall have a nominal wall thickness of 1.0 mm, minimum. The cable shall be 0.9 meter long with a connector for termination to the Model 170

controller and a DB25 termination to the device server with pinouts as required by the manufacturer. The connector at the Model 170 controller shall meet the following requirements:

| Amphenol or Equivalent | |
|---|---|
| Part | Number |
| Shield | 201378-2 |
| Block | 201298-1 |
| Guide Pin | 200390-4 |
| Socket | 200389-4 |

The cable shall have the following pin configuration:

| | Model 170 Controller | |
|---|---|---|
| Function | Pin No. | Function |
| Ground | N | DC Ground |
| Carrier Detect | H | DCD |
| Data Out | L | Rx Data |
| Data Ground | N | DC Ground |
| Data In | K | Tx Data |
| Ready To Send | J | RTS |
| Clear To Send | M | CTS |

**REMOTE SITE MEDIA CONVERTER CHASSIS ASSEMBLY**

The remote site media converter chassis assembly shall have capacity for 2 stand-alone UTP to SMFO media converters. Overall dimensions for the media converter chassis assembly shall be mm (H) x 150 mm (W) x 132 mm (D) made from an aluminum chassis, and having an unloaded weight of under 1.25kilograms. Operating temperature shall have a range of -20ºC. to +60°C. The remote site media converter chassis assembly shall be fully UL, CE and FCC Class A compliant The remote site media converter chassis assembly shall have one (1) power supply for the UTP to SMFO media converters with line cord with input power range of 115 VAC ± 15 percent, 60 Hz that can be remotely managed In-Band or Out-of-Band. The power management feature shall have the capability to report voltage, current and temperature of the power supply.

**REMOTE UTP TO SMFO MEDIA CONVERTER**

The remote UTP to SMFO media converter shall have an SC type connector for single mode fiber, UTP port with 10/100 and half/full duplex auto-negotiation and a crossover switch, backplane Ethernet ports for connectivity to adjacent modules, graphical SNMP-based network management, hot-swappable mounting in a 2-Unit chassis, LED displays for immediate visual status of each port, conform to IEEE 802.3, 10Base-T, 100Base-Tx and 100Base-Fx specifications. The UTP to SMFO media converter shall meet the following requirements:

A. Protocols: 100Base-Fx, 10Base-T , 100Base-Tx
B. Copper Connectors: RJ-45
C. Fiber Connectors: SC
D. Controls: UTP X-over, LS/LP, RFD, Auto/Man, 10/100, FD/HD
E. LED Displays: Power, FO link, UTP link, BP link, Auto, FD/HD, 10/100
F. Compliance: UL, CE, FCC Class A
G. Operating Temperature: -20 to 60°C
H. Distance: 60km
I. Wavelength: 1310 nm
J. Minimum Transmit Power: -5 dBm
K. Minimum Receive Sensitivity: -31 dBm
L. Link Budget: 26 dBm

**POWER STRIP**.

One power strip-rack mount shall be furnished and installed. The power strip-rack mount shall conform to the following requirements:

Mounting: 482mm(19-inch) rack mount
Number of outlets: 6 or greater
Electrical rating: 15A, 125 VAC. 60 Hz
Circuit Breaker: 12A, 125 VAC
Maximum Surge Current (8x20 Microsecond Surge Pulse): 6500 A or greater
Maximum Energy Dissipation: 210 J or greater for total combined Hot-to-Neutral, Hot-to-Ground and Neutral-to-Ground
Modes of Surge Protection: Hot-to-Neutral
Clamping Response Time: less than 1 nanosecond
Modes of Noise Protection: Transverse and Common
Noise Attenuation: 20 to 40 dB
Noise Frequency range: 150 kHz-to-100 Mhz
UL 1449 Rating: 400 V minimum
Type of cordset: SJT 14/3

**INTERCONNECT/TERMINATION UNIT (ITU)**

The Contractor shall furnish and install all related equipment to interface the interconnect/termination unit to the incoming fiber optic communications cable and the patchcord fiber optic cable.

The ITU shall be a modular enclosure that provides interconnect capability of one multi-fiber cable to a minimum of 12 single-fiber cables. The ITU shall be environmentally sealed and contain grommets at the cable entrances to prevent any ingress of dirt or moisture. Strain relief shall be provided for the fiber optic cable. The ITU shall have 2 splice trays. All unused fibers shall be terminated on the connector panel even though the cables are not to be used at this time. Brackets shall be provided to spool the incoming fiber optic cable a minimum of 3 turns before separating out individual fibers to the connector panel.

The ITU shall meet the following physical requirements:

482 mm rack mountable
Size (DxH): 305 mm X 45 mm, maximum
Style: Metal enclosure with hinged door. Door shall have a latch or thumbscrew to hold the door in the closed position.

An opening shall be provided on the back side for the incoming fiber optic communications cable. Connector panels (for up to 12 SC connectors) shall be provided inside the enclosure. Strain relief shall be provided for the incoming fiber optic cable. A guard shall be provided to protect the patchcord fiber optic cables plugged into this enclosure.

**10-3.30 EXTINGUISHABLE MESSAGE SIGN INTERCONNECT**

The EMS Interconnect shall consists of the following equipment located in a Model 334 controller cabinet without the 170 controller, input files or input file chassis:

| Qty (EA) | Description |
|---|---|
| 1 | Ethernet Power Switch |
| 1 | CAT5e UTP Cable |
| 1 | Remote Site Media Converter Chassis |
| 2 | Remote UTP to SMFO media converter Module |
| 2 | SMFO Jumpers |
| 1 | Interconnect/Termination Unit |
| 1 | Power Strip |

The Contractor shall deliver three (3) EMS Interconnect to the engineer for testing, configuration and installation.

**ETHERNET POWER SWITCH.**

The Ethernet power switch shall provide Remote Power Management and Control using two methods for accessing configuration and switching functions. The Web Browser Interface method shall consists of a series of simple, easy-to-use web page menus that allows the selection of configuration parameters or initiation of switching operations using the Ethernet network. The Command Line Interface shall be an ASCII menu system, which allows configuration and operation of the

Ethernet power switch via telnet over TCP/IP network, via modem connection or via local PC using a terminal program such as Hyperterminal or TeraTerm. The Ethernet power switch shall meet the following minimum technical requirements:

Features:
- Web Browser Access for Easy Setup and Operation
- Encrypted Password Security
- Expandable to Five (5) Individual Outlets
- Each Outlet can Switch a 15 Amp Load
- On / Off / Reboot Switching
- IP Addressed, 10Base-T Interface
- RS232 Modem / Console Port
- Network Security Features
- Manual Power Control Button

Power Input/Output: (115VAC)
- AC Inputs: 15 Amps Max
- Voltage: 105 - 120 VAC, 60 Hz
- Connectors: IEC-320 Inlet, Line Cord

Supplied
- AC Outputs: One (1), Expandable to Five
- Connector: NEMA 5-15 Outlet
- Load: 15 Amps Total

Console / Modem Port Interface:
- Connector: DB9M, RS232C, DTE
- (9-to-9 Pin null Cable Provided)
- Coding: Serial ASCII, 8 Bits, No Parity

Physical / Environmental
- Size:
- Height: 45 mm
- Depth: 178 mm
- Operation Temperature: 0°C to 60°C
- Humidity: 10 - 90% RH

### 10-3.31 HIGHWAY ADVISORY RADIO INTERCONNECT

The highway advisory radio (HAR) Interconnect shall consists of the following equipment in the existing HAR cabinet:

| Qty (EA) | Description |
|---|---|
| 1 | RJ-11 Telephone Cable |
| 1 | Ethernet Telephone Extender |
| 1 | CAT5e UTP Cable |
| 1 | Remote Site Media Converter Chassis |
| 2 | Remote UTP to SMFO media converter Module |
| 2 | SMFO Jumpers |
| 1 | Interconnect/Termination Unit |
| 1 | Power Strip |

The Contractor shall furnish three (3) HAR Interconnect to be delivered to the engineer for testing, configuration and installation.

**RJ-11 TELEPHONE CABLE.**

RJ-11 Telephone Cable shall meet USOC specifications and shall be 28 AWG.

### 10-3.32 ROADWAY WEATHER INFORMATION SYSTEM INTERCONNECT

The roadway weather information system (RWIS) Interconnect shall consist of the following equipment located in a NEMA 4X cabinet with slide out trays as required:

| Qty (EA) | Description |
|---|---|
| 1 | RWIS Interconnect Cabinet |
| 1 | RWIS Interface Cable |
| 1 | Device Server |
| 1 | CAT5e UTP Cable |
| 1 | Remote Site Media Converter Chassis |
| 2 | Remote UTP to SMFO media converter Module |
| 2 | SMFO Jumpers |
| 1 | Interconnect/Termination Unit |
| 1 | Power Strip |

The Contractor shall furnish one (1) RWIS Interconnect to be delivered to the engineer for testing, configuration and installation.

**ROADWAY WEATHER INFORMATION SYSTEM INTERCONNECT CABINET**

The roadway weather information system (RWIS) Interconnect Cabinet shall be mounted to the existing RWIS support pole and is an outdoor cabinet with a 482 mm equipment rack and thermostat controlled dual fan that contains all of the equipment listed under RWIS Interconnect. The RWIS Interconnect Cabinet shall be installed as shown on the plans at each RWIS location. The RWIS Interconnect Cabinet shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions:

Electrical: The RWIS Interconnect Cabinet shall be wired for a 5 A, 60-cycle, 120/240-volt single phase service from the RWIS cabinet power supply.

Housing: includes enclosure, doors, latches/locks, hinges, and door catches, ventilation, gasketing, and cage supports and mounting.

Cabinet Cage: standard EIA 482 mm equipment rack to be mounted inside of the RWIS Interconnect Cabinet.

Cabinet size: Outside: 907 mm H x 604 mm W x 504 mm D +/-1.26 mm

Openings with doors open: 737 mm H x 546 mm W +/-1.26 mm

Available inside rack space: 692 mm H x 504 mm W x 400 mm D +/- 1.26 mm

**ROADWAY WEATHER INFORMATION SYSTEM INTERFACE CABLE**

The roadway weather information system (RWIS) Interface cable shall consist of 6 No. 22, stranded tinned copper conductors. Each conductor shall be insulated with 0.25 mm~~)~~, minimum nominal thickness, color coded polypropylene material. Conductors shall be in twisted pairs. Each pair shall be wrapped with an aluminum polyester shield and shall have a No. 22 or larger, stranded, tinned copper drain wire inside the shielded pair.

The cable jacket shall be polyvinyl chloride, rated for a minimum of 300 volts and 60° C., and shall have a nominal wall thickness of 1.0 mm, minimum. The cable shall be 1 m long with a connector for termination to the RWIS controller and a DB25 termination to the device server with pinouts as required by the device server manufacturer. The connector at the RWIS controller shall be terminated with pinouts for the RWIS controller.

**10-3.33 DISPOSING OF ELECTRICAL EQUIPMENT**

Ballasts and transformers and fluorescent and mercury lamps shall be disposed of in conformance with California Department of Health Services Regulations set forth in Title 22, Division 4, Chapter 30, of the California Code of Regulations.

Ballasts and transformers that contain polychlorinated biphenyl (PCB) are designated as extremely hazardous wastes and fluorescent tubing and mercury lamps are designated as hazardous wastes under Title 22, Chapter 30, Article 9, Section 66680, of the California Code of Regulations.

When 25 or more fluorescent lamps and mercury lamps, in combination, are to be disposed of, the lamps shall be treated as recyclable hazardous waste and shall be recycled within the State of California in conformance with Title 22, Chapter 30, Article 12, of the California Code of Regulations by a currently certified recycler such as, but not limited to, the following:

A. Exceltrans Inc., P.O. Box 866, Benicia, CA 94510, Telephone (707) 745-8907.
B. Roberts Enterprises, 2021 South Myrtle Avenue, Monrovia, CA 91016, Telephone (818) 303-2053.

The recyclable hazardous waste shall be packaged and then shipped via a currently certified hauler in conformance with Title 22, Chapter 30, Article 12, of the California Code of Regulations and other applicable local, State, and Federal regulations.

The Engineer shall be furnished with a statement noting which certified hauler and which certified recycler is proposed for utilization, together with a copy of the recycler's interim status document or a copy of the variance letter from the Department of Health Services. The statement shall be furnished within 15 calendar days after the contract has been approved by the Attorney General.

The State assumes generator responsibility for these wastes. The Engineer will prepare the Hazardous Waste Manifest for Shipment.

Full compensation for hauling, stockpiling, and disposing of fluorescent tubing and mercury lamps shall be considered as included in the contract price paid for the electrical item involved and no additional compensation will be allowed therefor.

After removal, handling and disposing of electrical material containing polychlorinated biphenyl (PCB) will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

## 10-3.34 SYSTEM TESTING AND DOCUMENTATION

This specification covers the system integration testing which is required to validate the operational performance of the communications system.

The Contractor shall be responsible for testing and shall provide documentation of State-furnished equipment and material installed by others that are part of the communications system. The Contractor shall not be responsible for the performance of State-furnished material or material installed by others beyond verifying its performance, isolating inferior performance to State-furnished material or material installed by others when applicable, and reporting detailed findings to the Engineer. In no way does this relieve the Contractor of his responsibility to install properly and correct at his expense any damage to State-furnished material caused by his operations.

### PRE-INSTALLATION TESTING

This section relates to the tests of all material, equipment, and cable in a laboratory environment prior to delivery to the project site. All material, except test equipment and special tools, shall be bench tested in accordance with these special provisions and with the "Pre-Installation Testing" sections elsewhere in these special provisions for each individual item where applicable.

All active equipment shall be connected to normal operating power, energized and subjected to normal operating conditions for a continuous period of time in the laboratory of not less than 48 hours.

Functional Testing shall be performed by the manufacturer on all material prior to delivery to the site. The functional tests shall be performed in accordance with an approved test plan. Any material or equipment which fails to meets the requirements of the Contract shall be repaired or replaced and the test shall be repeated until satisfactory. All functional test results, including results of failed tests or retests, shall be submitted and delivered with all material and equipment delivered to the site.

Full performance test shall be performed by the manufacturer or by the Contractor on not less than 5 percent or at least one unit of material selected at random from the normal production run. The full performance test shall be performed in accordance with an approved test plan. The tests shall demonstrate that the design and production of material and equipment meets the requirements of the Contract. Full environmental conditions shall be tested as part of the functional tests for field equipment.

### PHYSICAL

The Contractor shall provide documentation to prove delivery of all material, equipment, cable, and documentation. If any material or documentation is outstanding or have been replaced under pre-acceptance warranty a physical inspection and documentation shall be provided for this material. The physical inspection shall consist of inspecting all installed material to ensure workmanship satisfies the specified requirements.

### SUBSYSTEM TESTING

**General.--**The Contractor shall test all material, equipment, and cable after installation prior to acceptance tests. These tests shall be done in accordance with the "Performance Testing" sections elsewhere in these special provisions for each individual item where applicable.

The Contractor shall supply all test equipment required.

The Contractor shall submit an installation and test plan which details the method of installation and site testing for all material, equipment, and cable and the associated schedule of activities. Five copies of the installation and test plan shall be submitted to the Engineer for approval.

This equipment and hardware shall be installed in accordance with the plans and special provisions. All material, equipment and cable shall be tested upon delivery and after installation at the site. Tests and inspections shall include:

Visual inspection for damaged or incorrect installation;
Adjustments and alignment; and
Measurement of parameters and operating conditions.

These tests shall be performed in accordance with the approved installation and test plan.

The Contractor shall notify the Engineer of his intent to proceed with installation and testing 48 hours prior to commencement of each test.

Installation documentation and test results shall be provided for all material, equipment and cable prior to submission of the acceptance test plan and commencement of acceptance tests. This documentation shall be in accordance with the Contract and shall include the following as appropriate:

Model and part number for all material.
Test equipment model number, serial number, settings, and date of last calibration.
All strap and switch settings.
Record of all adjustments and levels.
Alignment measurements.
Identification of interconnections.
All factory, laboratory and site test results.

**Fiber Optic Cable Testing.--**Fiber optic cable testing shall conform to the provisions in Section 10-4, "Fiber Optic Communication System" of these special provisions.

**Data Link Testing.--**This section is for the testing of the data system. The activities shall include verification of all data circuits.

The test shall be conducted in accordance to an approved installation and test plan.

The Contractor shall adjust levels required for the data system to operate.

**ACCEPTANCE TESTING**

This section relates to the requirements for acceptance tests and includes the preparation of an acceptance test plan, conducting acceptance tests and subsequent retests, and documentation of the results.

Final acceptance tests shall be conducted after the Site test results have been reviewed and accepted by the Engineer. These tests include the complete system in normal operations.

The Contractor shall submit 5 copies of the acceptance test plan to the Engineer for approval prior to commencement of acceptance testing. The acceptance test plan shall address the full testing requirements of the specifications. The acceptance test plan shall detail all tests to be performed, the test results which are expected and the test schedule. The acceptance test plan will include the following major test and acceptance categories:

Physical Inspection
Functional tests
Performance tests

The Contractor shall test the communications system according to the approved acceptance test plan and shall provide all test equipment, labor and ancillary items required to perform the testing. The test equipment shall be certified to be calibrated to the manufacturers' specifications. The model and part numbers and date of last calibration of all test equipment shall be included with the test results.

Acceptance testing shall not commence until all material required by the contract is delivered, installed, and aligned and all production test and site test documentation and results have been approved by the Engineer.

All acceptance test results shall be fully documented and such documentation provided as a condition of acceptance.

**FUNCTIONAL TESTS**

The Contractor shall test all system functions to demonstrate that all circuits, cameras, camera control, CMS, TMS, EMS and all equipment satisfies the functional requirements of the specifications.

This testing shall include subjective testing of each camera image and verification of camera control from the camera control unit. The connectivity of each data channel shall be demonstrated.

The Contractor shall document all functional test results.

In the event that any aspect of the functional tests are determined by the Engineer to have failed, the Contractor shall cease all acceptance testing and determine the cause of the failure and make repairs to the satisfaction of the Engineer. Acceptance testing shall, at the discretion of the Engineer, be repeated from the start of functional tests.

**Performance Tests.--**The Contractor shall conduct operational performance tests on the following:
All data circuits operational from the communications hub assembly to the field element equipment.

**FINAL ACCEPTANCE**

The system will not be accepted until all of the following conditions have been met as follows:

Physical, functional, subsystem, and performance tests have been completed and the results are approved by the Engineer.
All documentation has been completed and submitted to the Engineer.
All connections that were changed to perform acceptance tests are restored and tested.

**10-3.35 SYSTEM DOCUMENTATION**

The Contractor shall submit a draft copy of all documentation for review and approval prior to production of documentation. The Engineer will review and approve or reject the draft documentation within 4 weeks of submittal.

The Contractor shall modify the documentation if required and submit provisional documentation. The Engineer will approve or reject the provisional documentation within 3 weeks of submittal. The Contractor shall arrange for resubmission in a timely manner to meet the schedule in the case that the documents are being rejected.

Draft documentation shall be submitted 8 weeks prior to the start of installation. The draft documentation shall show the general approach in preparing the final manuals.

Upon approval of the draft documentation, provisional documentation shall be supplied 3 weeks prior to the start of site testing. The provisional documentation shall be of the same format as the final manuals but with temporary insertion for items which cannot be finalized until the system is completed, tested and accepted. Final documentation shall be submitted no later than 4 weeks after completion of the acceptance tests and shall incorporate all comments made during the approval stages. The Contractor shall be responsible for all delay caused by non-compliance to the specified requirements.

Final documentation shall be approved prior to its production.

Twenty copies of all final documents shall be delivered. The copies shall be 8.5" x 11" and bound in 3-ring, hard-covered binders, complete with dividers.

Documentation shall consist of the following types of manuals and drawings and shall include the information described:

The operations and maintenance (O and M) manual shall provide all the information necessary to operate, maintain, and repair the equipment and cable to the lowest module or component level. The manual shall contain as a minimum the following:

**MASTER ITEMS INDEX**

This shall be the first section of the O and M manual. The section shall describe the purpose of each manual and brief description to the directory of the manual. It shall also reference equipment manuals as required for additional and support material.

**SYSTEM DECSCRIPTION AND TECHICAL DATA**

This section shall contain an overall description of the system and associated equipment and cables with illustrative block diagrams. This section shall identify all equipment and cables in the system stating the exact module and option number that are employed in the system. Technical data, specification and settings for every type of equipment or cable shall be provided. Any modification that has been done on the equipment shall be clearly described.

**THEORY OF OPERATION**

The manual shall contain a functional description of each element of the system, explaining how each function is being achieved separately and how each element works together to form the complete system.

**SOFTWARE DOCUMENTATION**

Proper documentation for all software shall be provided. The software documentation shall include a clear description of the system's functionalities and specifications. Description on each software modules and programs shall be provided. The Contractor shall supply related programming and system user manuals, application and utilities software user manual and all associated proprietary software manuals. Software listing of all custom programs shall also be provided.

**OPERATIONS**

The manual shall describe how to operate the system and each particular type of equipment and software. Equipment layout, layout of controls, displays, software operating procedures, and all other information required to correctly operate the system and each functional unit shall be provided. Procedures shall be provided for initial tune-up of the system and adjustment and checkout required to ensure that the system is functioning within the performance requirements. Warning of special procedures shall be given. The functions and setting of all parameters shall be explained.

**CORRECTIVE MAINTENANCE**

The manual shall include fault diagnostic and repair procedures to permit the location and correction of faults to the level of each replaceable modules. Procedures shall include alignment and testing of the equipment following repair, the test equipment, tools, diagnostic software required and the test set up.

**PREVENTIVE MAINTENANCE**

The manual shall include procedures for preventative maintenance in order to maintain the performance parameters of the system, equipment and cables within the requirements of the specifications.

**PARTS LIST**

The manual shall include a list of all replaceable parts with exact parts description and number and a directory of recommended suppliers with correspondence address, telephone, and fax numbers.

**TEST RESULTS**

This section shall include a copy of the results for all the tests that has been conducted for the contract.

System schematic drawings shall be provided to identify the type of equipment at each location and the function of all equipment. The drawings shall also show how the system is interconnected. A comprehensive list of cabling and wiring shall be provided to clearly identify the interconnection and labeling of all equipment supplied under this contract, State-furnished or existing.

Full compensation for system testing and documentation shall be considered as included in the contract limp sum price paid for fiber optic system and no separate payment will be made therefor.

## 10-3.36 TRAINING FOR FIBER OPTIC OPERATION AND MAINTENANCE

A training course shall be developed by the Contractor and shall be given to the Engineer and designated personnel. A training area will be provided by the State at the District 03 RTMC, 3165 Gold Valley Drive, Rancho Cordova, CA 95742.

The contractor shall notify the engineer two (2) weeks prior to start of training. The course shall provide training for technical personnel and shall follow a training outline prepared by the Contractor. The Contractor shall provide all materials and instructors for the course. The course shall be not less than four 8-hour (excluding lunch and breaks) days in duration. No more than 25 State employees with technical backgrounds will attend this course. Each person shall receive a training manual. The training manual shall be written especially for the freeway CCTV and communications system and shall provide complete procedures for operating, maintaining, and troubleshooting the cable plant, CCTV assembly, communication hub assembly, TMS cabinet assembly, and Model 170 controller interface. The maintenance section of the training course shall cover preventive, routine, and emergency maintenance procedures. The emergency maintenance discussion shall provide recommendations for the provisioning and use of emergency repair kits to assist maintenance crews. Ten copies of the project manual shall be given to the Engineer.

A maintenance training manual shall also be provided following the 4-day course and shall be written so that maintenance personnel will be able to use the manual in trouble shooting, installing, preventive maintenance, and designing future fiber optic systems. Each student shall be given a manual. A copy of the manual shall be given to the Design Engineer 90 days after the job has started and 90 days before the class is to be held for approval. Nine extra copies shall be provided (4 copies for design, 3 copies for construction, and 2 copies for operations).

The 4-day part of the training course shall consist of 20 hours of class work and 12 hours of hands-on lab work.

Classroom shall consist of the following:

| | |
|---|---|
| Introduction of Fiber Optics | Fiber Optics Installations |
| Applications | Optical Testing |
| Fiber Optic Theory | Fiber Optics Maintenance |
| Optical Fiber | Fiber Optics Restoration |
| Connectors | Fiber Optic Safety |
| Patch Panels | Advance Technologies Review |
| Splices/Splicing | Light Sources |

| | |
|---|---|
| Detectors | Repeators |
| Physical Plant Systems Design | Design Examples |

Classroom Lab shall consist of the following (Hands-On):

| | |
|---|---|
| Acceptance Testing | Optical Testing |
| Cable Preparation | Patch Panel Preparation |
| Connectorization | OTDR Testing |
| Splicing | Test Documentation |

The lab groups or stations shall consist of not more then 3 people per station.

The course shall also include field training using operational equipment at the communication hub, camera locations, CMS, and TMS locations. The field training shall include operational checkout of the camera site and shall discuss the location of access to the various system field elements. The field training shall also cover how the different systems were installed, problems with the installation, and trouble shooting and maintenance tips for the different systems.

The field training shall be in groups not larger then 5 but may be performed in more than one day upon choice of the Contractor.

The training course shall be designed to assist maintenance and other personnel in trouble shooting and understanding not only the system that was installed but also existing and future projects.

The Engineer will notify the Contractor of the number of State personnel who will attend. The course shall be completed prior to the acceptance of the contract.

Full compensation for training for fiber optic operation and maintenance shall be considered as included in the contract lump sum price paid for fiber optic system and no separate payment will be made therefor.

## 10-3.37 TEMPORARY LIGHTING SYSTEM

The temporary lighting system (TLS) shall consist of installing and maintaining temporary lighting and flashing beacons for traffic control during stages 1, 2, and 3 in conformance with the provisions in "Maintaining Traffic" of these special provisions, the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications, and these special provisions. Locations of the luminaires and flashing beacons shall be determined in the field by the Engineer. At a minimum, all channelized areas shall be illuminated.

The provisions in this section shall not relieve the Contractor from the responsibility to provide the additional devices or take the measures as may be necessary to conform to the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications.

Materials and equipment for a temporary lighting system including, but not limited to, flashing beacons, luminaires, wood poles, conductors, and hardware shall be furnished by the Contractor.

Materials and equipment to be used in the temporary lighting system shall be either new or used suitable for the intended use.

### OPERATION

Lighting shall operate at 120 VAC or 240 VAC.

Unless otherwise directed by the Engineer, the system shall be operated on a continuous 24-hour basis.

### MAINTAINING TEMPORARY LIGHTING SYSTEM

Maintaining a temporary lighting system shall be the sole responsibility of the Contractor.

If components in the temporary lighting system are damaged, displaced or cease to operate or function as specified, from any cause during the progress of the work, the Contractor shall immediately repair the components to the original condition or replace the components and shall restore the components to the original location. Components shall include signs and flashing beacons.

### CONDUIT

At those locations where conduit is to be installed under pavement, if delay to vehicles will not exceed 5 minutes, conduit may be installed by the trenching in pavement method in conformance with the provisions for "Trenching in Pavement Method" in Section 86-2.05C, "Installation," of the Standard Specifications and these special provisions.

### CONDUCTORS AND WIRING

Conductors shall be the types specified in Section 86-2.08, "Conductors," of the Standard Specifications or shall be Type UF cable of the size and number of conductors shown on the plans. Minimum conductor size shall be No. 12.

Where conductors are to be placed across paved areas, the conductors shall be placed in conduit or in slots cut in the pavement as specified for inductive loop detectors in Section 86-5.01A(5), "Installation Details," of the Standard Specifications, including placing sealant over the conductors, or the conductors shall be suspended at least 7.6 m above the roadway.

Conductors to be placed outside of paved areas shall be placed by one of the following methods:

A. Direct burial method with Type UF cable installed at a minimum depth of 600 mm below grade.
B. Placed in conduit. If Type 1 or Type 2 conduit is used, minimum depth shall be 300 mm. If Type 3 conduit is used, the minimum depth shall be 450 mm.
C. Suspended from wood poles with a minimum clearance at any point of 3 m. Conductors on the pole within 3 m above ground shall be enclosed in a Type 3 or Type 4 conduit.

Conductors to be placed across structures shall be placed in a Type 1, Type 2 or Type 3 conduit. The conduit shall be installed on the outside face of the railing and secured by a method determined by the Engineer.

**BONDING AND GROUNDING**

Flashing beacons and standards with metal bases shall be mechanically and electrically secure to form a continuous system effectively grounded by the grounding conductor.

**SERVICE**

At the option of the Contractor, one of the following methods shall be used to provide power for the TLS:

Obtain commercial power from an existing utility company.

**COMMERCIAL POWER**

Commercial power shall be 120 VAC or 120/240 VAC. Power sources shall be protected in locked enclosures. The Engineer shall be provided with keys to all locks.

Power shall not be obtained from private parties, other than a direct connection to a utility company service point.

Electrical power shall not be used from existing highway facilities, except when approved in writing by the Engineer.

The Contractor shall make arrangements with the utility company for providing service. The cost to provide the commercial power shall be at the expense of the Contractor.

Commercial electrical power is available at the work site.

Other Materials and equipment shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Materials Outside the Highway Right of Way," of the Standard Specifications. Pole holes shall be backfilled.

Conductors placed in slots across paved areas as specified herein, when no longer required, shall be abandoned in place when determined by the Engineer. Direct buried conductors, installed 300 mm or more below the ground surface, and conduit may be abandoned in place.

**PAYMENT**

The contract lump sum price paid for temporary lighting system shall include full compensation for furnishing all labor, materials (except State-furnished materials), tools, equipment, and incidentals, and for doing all the work involved in installing, maintaining, and removing the temporary lighting, flashing beacon system, and hauling State-furnished materials from and to the location specified, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**10-3.38 PAYMENT**

Full compensation for hauling and stockpiling electrical materials shall be considered as included in the contract price paid for the item requiring the material to be salvaged and no additional compensation will be allowed therefor.

The contract lump sum price paid for extinguishable message sign system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in extinguishable message sign system, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for roadway weather information system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in roadway weather information system, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for changeable message sign system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in changeable message sign system, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for fiber optic system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in fiber optic system, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## SECTION 10-4. HIGHWAY ADVISORY RADIO SYSTEM

### 10-4.01 DESCRIPTION

The highway advisory radio (HAR) system work shall consist of materials and labor needed to relocate the existing HAR antenna onto a new wood pole with a new ground rod, as specified in these Special Provisions and as shown on the Plans.

### 10-4.02 HAR TRANSIENT/LIGHTNING PROTECTION

The transient/lightning (T/L) protection shall be provided for the antenna system.

The (T/L) (lightning arrestor) protection for the antenna system shall provide as a minimum protection the following:

| | |
|---|---|
| Clamping voltage: | 90 V ±10% |
| RF power (minimum): | 35 W |
| Frequency range: | 500 kHz to 2 MHz |
| VSWR (maximum): | 1.2 to 1 |
| Insertion loss (maximum): | 0.2 dB |
| Surge current (minimum): IEEE 8/20 waveform | 17 000 A |
| Response time (maximum): | 5 ns |

### 10-4.03 ANTENNA

The antenna shall be a center-loaded vertical whip type with loading coil.

The antenna shall be designed to be mounted on a wood pole or on a fiberglass pole as shown on the plans. The length of the antenna shall be tuned for the selected frequency and shall not be less than 3.1 m and not more than 7.6 m. The top of the antenna shall extend from a minimum of 12 m to a maximum of 15 m above ground level.

The antenna shall be anodized aluminum with a tuning tip. The tip shall be adjustable for precise tuning and shall be made of stainless steel tubing.

The antenna shall be the weather resistant type and shall operate within a temperature range of -40°C to 85°C. It shall withstand wind velocities of 129 km/h without any discernible damage while remaining functional.

The maximum weight of the complete antenna including lower base, loading coil form, mid tip pipe and adjustable stainless steel tip shall not exceed 5.5 kg.

The lower base of the antenna shall be aluminum with gold anodized finish.

The loading coil shall be a continuous filament glass fabric and the coil shall be made of enameled close wound copper wire.

The antenna mounts shall be the "high impact thermoplastic split" type and shall provide 360 degree support to the antenna. All other mounting hardware shall be stainless steel or cadmium plated.

Attention is directed to the requirements for fiberglass highway advisory radio (HAR) pole, elsewhere in these special provisions.

### 10-4.04 GROUND SYSTEM

The ground system shall the ground rod type as shown on the plans and described in these special provisions.

The ground system shall allow the maximum FCC field strength to be achieved on any frequency from 530 kHz to 1710 kHz with 10 W or less of output power.

**12.2 m Ground Rod.--**The ground rod system shall consist of a 12.2 m ground rod placed in a 150 mm, minimum, vertically drilled hole. The hole shall be backfilled with bentonite slurry. Each pipe shall be bonded to No. 4 bare stranded copper wires which shall be routed to the arrestor enclosure as shown on the plans. Conductors shall enter the conduit below grade. Each pipe shall be capped before surface materials are restored.

The ground rod shall be a UL listed ground electrode designed for the purpose. The Contractor shall provide the Engineer a certificate of compliance from the manufacturer in accordance with the provisions of Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the ground rod and bentonite backfill material. The certificate of compliance shall be provided to the Engineer for approval, prior to ordering or shipping the material.

The ground rod shall be a 53.97 mm outside diameter hollow tube of Type K copper, with nominal 2.11 mm wall thickness, 12.2 m in length. A rod formed from two 6.1 m sections and joined with an outside threaded copper coupler will be acceptable. The top end of the rod shall have a shop welded ground connection with a 4/0 gage, minimum, copper pigtail. The ends of the rod shall have press-on end caps.

The breather and weep holes on the top and bottom of the rod, as shown on the plans, shall be protected with tape until the installation of the rod. The Contractor shall remove the tapes and provide them to the Engineer before installation.

The drilled hole shall be backfilled with 100 percent bentonite clay slurry and consolidated around the rod. The bentonite slurry shall be placed in the presence of the Engineer. Two working days notice shall be provided to the Engineer prior to backfilling.

The bentonite backfill material shall be a natural volcanic, non-corrosive form of bentonite clay grout. The bac kfill material shall be capable of absorbing 53 L of water per 22.68 kg to obtain an optimal 30 percent solids density. The pH value shall be 8-10 with maximum resistivity of 3 ÿ•m's at 30 percent solids density.

The ground rod shall be connected to a surge arrestor ground lug. The ground wire splice to the pigtail shall be made by a UL listed exothermic (Cadweld, or similar) connection method. Soldering, brazing, or field welding will not be acceptable.

The ground rod shall be filled with non-hazardous Calsolyte to enhance grounding performance. The filler shall hygroscopically extract moisture from the air to activate the electrolytic process, improving ground performance. The ground rod system shall be 100 percent self activating and maintenance free. No additions of chemicals or water solutions shall be required.

**Protective Pull Box.--**The protective pull box shall be made of reinforced concrete with lift holes and a vented cast iron grate cover to permit air circulation into the "breather" holes of the ground rod(s).

### 10-4.05 HAR INSTALLATION

HAR equipment shall be installed at the locations shown on the plans. The Contractor shall terminate the power conductors on the TBS terminal of the controller cabinet enclosure.

The installation shall be under the immediate supervision of a person holding a general class radio telephone operators license.

### 10-4.06 ARRESTOR ENCLOSURE

The arrestor enclosure shall be a NEMA Type 3R with hinged cover, as shown on the plans and shall have provisions for padlocking. An aluminum plate shall be installed vertically, facing the door, in the enclosure as shown on the plans. The Contractor shall terminate the ground conductor(s) with an aluminum-copper NEMA one and/or three bolt hold tongue. The ground conductor(s) and lightning arrestor shall be mounted on the aluminum plate.

### 10-4.07 ANTENNA COAXIAL CABLE

The ACC shall consist of an RG-8/U single foil single braid flexible coaxial cable with a solid bare copper center conductor, Cellular Polyethylene dielectric, 97 percent tinned copper braid, and 100 percent shield coverage and shall conform to the following requirements:

| Electrical Characteristics | |
|---|---|
| Capacitance | 75 pF/m (nominal) |
| Impedance | 50 ÿ (nominal) |
| Velocity of propagation | 78% (nominal) |
| DC loop resistance | 3.9 mÿ/m (nominal) @ 20°C. |
| Attenuation at 20° C. | |
| Frequency (MHz) | Nominal dB/100 m |
| 10.0 | 1.64 |
| 50.0 | 3.94 |
| 100.0 | 5.25 |
| 200.0 | 7.87 |
| Physical Dimensions | |

| | Nominal O.D. (mm) |
|---|---|
| Center conductor | 2.62 |
| Dielectric | 7.24 |
| Outer jacket | 10.29 |

**10-4.08 ANTENNA FEEDING CABLE (AFC)**

The AFC shall consist of a No. 12 AWG solid copper conductor. The AFC shall have a length necessary to connect the lighting arrestor and the antenna without causing stress to the cable and shall be terminated with a UHF plug and a reducing adapter as specified elsewhere in these special provisions.

After installing the AFC between the arrestor enclosure and the antenna, the Contractor shall seal the 41C nipple near the top of the fiberglass pole.

**10-4.09 COAXIAL CABLE CONNECTORS**

Coaxial cable connectors for attaching Type ACC and AFC including the reducing adapter shall be UHF Standard and meet the following requirements:

| Electrical Characteristics | |
|---|---|
| Impedance: | 50 ÿ (nominal) |
| Frequency range: | 0 - 300 MHz |
| Voltage rating: | 500 V (peak) |

| Mechanical | |
|---|---|
| Mating: | Standard size: 5/8- 24 threaded coupling. Push-on mates with any standard size threaded receptacle |
| Method of attachment: | Clamp and Crimp. |
| Composition: | Bodies- Brass or die cast zinc<br>Contacts- brass, silver plated<br>Insulators- TFC, copolymer of styrene, polystyrene, mica-filled phenolic and/or, PBT polyester or equal<br>Plating- ASTRO plate and silver<br>Other metal parts- Brass |

| Environmental | |
|---|---|
| Temperature | -55°C to +165°C |
| Moisture | Weather resistant design. |

**10-4.10 SYSTEM TESTING**

**Ground System Testing.--**The Contractor shall take certified measurements after the installation of the ground system.

The testing shall utilize an earth resistance meter and be conducted in accordance with IEEE Standard 3-point fall of potential method.

The Contractor provide all test equipment, take and document resistivity measurements on the grounding system as specified elsewhere in these special provisions and submit them to the Engineer for approval.

**Cable Testing.--**The antenna coaxial cable (ACC) will be tested by the Engineer. Those cables found to have faults shall be replaced. The testing shall utilize a time domain reflectometer.

A fault in a length of cable is defined as any of the following:

1. A return loss measurement indicating that there is a short in the cable.
2. A return loss measurement indicating a cut or open circuit in the cable.
3. A visual inspection which reveals exposure or damage to the cable shielding.

**HAR Testing.--**The Contractor shall tune the HAR with the impedance matching network of the coupling unit by adjusting the stainless steel tip of the antenna.

The HAR shall be considered tuned when the system's voltage standing-wave ratio (vswr) is at the lowest possible value as directed by the Engineer.

The Contractor shall make actual on-the-air field strength measurements. A sufficient number of points shall be selected in order to determine the distance at which the attenuated field of 2 mV/m exists, as measured with a calibrated standard field strength meter. This may be done in a 5 to 8 radial directions facilitating a plot of a 2 mV/m at a distance of 1.5 km from the HAR antenna. If the measured field exceeds 2 mV/m at a distance of 1.5 km, the transmitter output power shall be decreased accordingly and if the measured field is less than 2 mV/m at the same distance then the power may be increased as directed by the Engineer.

At the completion of all HAR testing the Contractor shall submit a written report of all measurements to the Engineer for approval. The report shall include a map, with scale, showing a 2 mV/m contour based on the actual on-the-air field strength measurements. The VSWR, percent modulation and transmitter output power measurements shall be tabulated.

### 10-4.11 PAYMENT

The contract lump sum price paid for the highway advisory radio system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in the installation of the highway advisory radio system, complete in place, including testing of the highway advisory radio system, the fiberglass pole and the grounding system, and providing service manuals, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## SECTION 10-5. FIBER OPTIC SYSTEM

### 10-5.01 DESCRIPTION

The Fiber Optic System shall consist of Fiber Optic Outside Plant Cable, Fiber Opic Conduit, Vaults, Communication Equipment, Transportation Management Center (TMC) Equipment and System Testing and Documentation as specified in the Special Provisions.

### 10-5.02 FIBER OPTICS GLOSSARY

**Breakout.--**The cable "breakout" is produced by (1) removing the jacket just beyond the last tie-wrap point, (2) exposing 0.9 to 1.8 meters (3 to 6 feet) of the cable buffers, aramid strength yarn and central fiberglass strength member, and (3) cutting the aramid yarn, central strength member and the buffer tubes to expose the individual glass fibers for splicing or connection to the appropriate device.

**Connector.--**A mechanical device used to provide a means for attaching to and decoupling from a transmitter, receiver, or another fiber (such as on a patch panel).

**Connectorized.--**A term that describes a fiber to which a connector has been affixed.

**Connector Module Housing (CMH).--**A patch panel used in the FDF to terminate singlemode or multimode fibers with most common connector types. It may include a jumper storage shelf and a hinged door.

**Couplers.--**Couplers are devices which mate 2 fiber optic connectors to facilitate the transition of optical light signals from one connector into another. Couplers may also be referred to as: adapters, feed-throughs, and barrels. They are normally located within FDFs mounted in panels. They may also be used unmounted, to join 2 simplex fiber runs.

**Fiber Distribution Frame (FDF).--**A rack mounted system that is installed in the communication hub, that consists of a standard equipment rack, fiber routing guides, horizontal jumper troughs, fiber distribution units (FDU), connector module housings (CMH), and splice module housings (SMH).

The FDF serves as the "home" for the passive fiber optic components from cable breakout, for connection by jumpers, to the electronics.

**Fiber Distribution Unit (FDU).--**An enclosure containing both a Connector Module Housing (CMH) and a Splice Module Housing enclosure.

**F/O.--**Fiber optic

**FOIP.--**Fiber optic inside plant cable

**FOP.--**Fiber optic outside plant cable

**FOTP.--**Fiber optic test procedure(s) as defined by EIA/TIA Standards.

**Interconnect/Termination Unit (ITU)--**A patch panel used to terminate fibers with most common connector types. It may include a jumper storage shelf and a hinge door.

**Jumper.--**A short fiber optic cable that has connectors installed on both ends and is typically used for connection within a FDF.

**Light Source.--**Portable fiber optic test equipment that, in conjunction with a power meter, is used to perform end-to-end attenuation testing. It contains a stabilized light source operating at the designed wavelength of the system under test.

**Link.--**A passive section of the system, the ends of which are connectorized. A link may include splices and couplers. For example, a video link may be from a F/O transmitter to a video multiplexer (MUX).

**Link Loss Budget.--**A calculation of the overall permissible attenuation from the fiber optic transmitter (source) to the fiber optic receiver (detector).

**Loose Tube Cable.--**Type of cable construction in which fibers are placed in filled buffer tubes to isolate them from outside forces (stress). A flooding compound is applied to the interstitial cable core to prevent water migration and penetration. This type of cable is primarily for outdoor applications.

**Optical Time Domain Reflectometer (OTDR).--**Fiber optic test equipment (similar in appearance to an oscilloscope) that is used to measure the total amount of power loss between two points and the corresponding distance. It provides a visual and printed display of the relative location of system components such as fiber sections, splices and connectors and the losses that are attributed to each component or defect in the fiber.

**Patchcord.--**A short jumper used to join 2 Connector Module Housing (CMH) couplers or a CMH and an active device (electronics).

**Pigtail.--**Short fiber optic cable that has a connector installed on only one end.

**Plenum Cable.--**NEC approved cable installed in air plenums (the area between a drop ceiling and the floor above it) without the use of conduit.

**Power Meter.--**Portable fiber optic test equipment that, in conjunction with a light source, is used to perform end-to-end attenuation testing. It contains a detector that is sensitive to light at the designed wavelength of the system under test. Its display indicates the amount of power injected by the light source that arrives at the receiving end of the link.

**Riser Cable.--**NEC approved cable installed in a riser (a vertical shaft in a building connecting one floor to another).

**Segment.--**A section of F/O cable that is not connected to any active device and may or may not have splices per the design.

**Splice Closure.--**Normally installed in a splice vault, a splice closure is an environmentally sealed container used to organize and protect splice trays. The container allows splitting or routing of fiber cables from multiple locations.

**Splice Module Housing (SMH).--**The SMH stores splice trays as well as pigtails and short cable lengths.

**Splice Tray.--**A container used to organize and protect spliced fibers.

**Splice Vault.--**A splice vault is used to house splice closures and F/O cable slack.

**Tight Buffered, Non-Breakout Cable (Tight Buffer Cable).--**Type of cable construction where each glass fiber is tightly buffered (directly coated) with a protective thermoplastic coating to 900 µm (compared to 250 µm for loose tube fibers). Increased buffering is desirable over loose tube cables because of its resulting ease of handling and connectorization (increased physical flexibility, smaller bend radius requirements), and ability to meet NEC flammability requirements.

## 10-5.03 FIBER OPTIC OUTSIDE PLANT CABLE

### GENERAL

Each fiber optic outside plant cable (FOP) for this project shall be all dielectric, gel filled, duct type, with loose buffer tubes and shall conform to these special provisions. Cables with singlemode fibers shall contain 12 or 48 singlemode (SM) dual-window (1310 nm and 1550 nm) fibers. 1b

The optical fibers shall be contained within loose buffer tubes. The loose buffer tubes shall be stranded around an all dielectric central member. Aramid yarn and/or fiberglass shall be used as a primary strength member, and a polyethylene outside jacket shall provide for overall protection.

All fiber optic (F/O) cable on this project shall be from the same manufacturer, who is regularly engaged in the production of this material.

The cable shall comply with all the requirements of the RUS Federal Rule 7CFR1755.900.

Type C fiber optic cable shall contain 48 singlemode fibers.

Type D fiber optic cable shall contain 12 singlemode fibers.

### FIBER CHARACTERISTICS

Each optical fiber shall be glass and consist of a doped silica core surrounded by concentric silica cladding. All fibers in the buffer tube shall be usable fibers, and shall be sufficiently free of surface imperfections and inclusions to meet the optical, mechanical, and environmental requirements of these specifications. The required fiber grade SM shall reflect the maximum individual fiber attenuation, to guarantee the required performance of each and every fiber in the cable.

The coating shall be a dual layered, UV cured acrylate. The coating shall be mechanically or chemically strippable without damaging the fiber.

The cable shall comply with the optical and mechanical requirements over an operating temperature range of -40° C. to +70° C. The cable shall be tested in accordance with EIA-455-3A (FOTP-3), "Procedure to Measure Temperature Cycling Effects on Optical Fiber, Optical Cable, and Other Passive Fiber Optic Components." The change in attenuation at extreme operational temperatures (-40° C. to +70° C.) for singlemode fiber shall not be greater than 0.20 dB/km, with 80 percent of the measured values no greater than 0.10 dB/km. The singlemode fiber measurement is made at 1550 nm.

For all fibers the attenuation specification shall be a maximum attenuation for each fiber over the entire operating temperature range of the cable.

Singlemode fibers within the finished cable shall meet the requirements in the following table:

| Fiber Characteristics Table | |
|---|---|
| Parameters | Singlemode |
| Type | Step Index |
| Core diameter | 8.3 µm (nominal) |
| Cladding diameter | 125 µm ±1.0 µm |
| Core to Cladding Offset | ≤0.8 µm |
| Coating Diameter | 250 µm ±15 µm |
| Cladding Non-circularity defined as:<br>[1-(min. cladding dia ÷ max. cladding dia.)]x100 | ≤1.0% |
| Proof/Tensile Test | 345 MPa, min. |
| Attenuation: (-40°C to +70°C)<br>@1310 nm<br>@1550 nm | <br>≤0.4 dB/km<br>≤0.3 dB/km |
| Attenuation at the Water Peak | ≤2.1 dB/km @ 1383 ±3 nm |
| Chromatic Dispersion:<br>Zero Dispersion Wavelength<br>Zero Dispersion Slope | <br>1301.5 to 1321.5 nm<br>≤0.092 ps/(nm$^2$∗km) |
| Maximum Dispersion: | ≤3.3 ps/(nm∗km) for 1285 – 1330 nm<br><18 ps/(nm∗km) for 1550 nm |
| Cut-Off Wavelength | <1250 nm |
| Mode Field Diameter<br>(Petermann II) | 9.3 ±0.5 µm at 1300 nm<br>10.5 ±1.0 µm at 1550 nm |

**COLOR CODING**

Optical fibers shall be distinguishable from others in the same buffer tube by means of color coding according to the following:

| | |
|---|---|
| 1. Blue (BL) | 7. Red (RD) |
| 2. Orange (OR) | 8. Black (BK) |
| 3. Green (GR) | 9. Yellow (YL) |
| 4. Brown (BR) | 10. Violet (VL) |
| 5. Slate (SL) | 11. Rose (RS) |
| 6. White (WT) | 12. Aqua (AQ) |

Buffer tubes containing fibers shall also be color coded with distinct and recognizable colors according to the same table listed above for fibers.

The colors shall be targeted in accordance with the Munsell color shades and shall meet EIA/TIA-598 "Color Coding of Fiber Optic Cables".

The color formulation shall be compatible with the fiber coating and the buffer tube filling compound, and be heat stable. It shall not fade or smear or be susceptible to migration and it shall not affect the transmission characteristics of the optical fibers and shall not cause fibers to stick together.

**CABLE CONSTRUCTION**

**General.--**The fiber optic cable shall consist of, but not be limited to, the following components:

A. Buffer tubes
B. Central member
C. Filler rods
D. Stranding
E. Core and cable flooding
F. Tensile strength member
G. Ripcord
H. Outer jacket

**Buffer tubes.--**Loose buffer tubes shall provide clearance between the fibers and the inside of the tube to allow for expansion without constraining the fiber. The fibers shall be loose or suspended within the tubes and shall not adhere to the inside of the tube. Each buffer tube shall contain 6 or 12 fibers.

The loose buffer tubes shall be extruded from a material having a coefficient of friction sufficiently low to allow free movement of the fibers. The material shall be tough and abrasion resistant to provide mechanical and environmental protection of the fibers, yet designed to permit safe intentional "scoring" and breakout, without damaging or degrading the internal fibers.

Buffer tube filling compound shall be a homogenous hydrocarbon-based gel with anti-oxidant additives and used to prevent water intrusion and migration. The filling compound shall be non-toxic and dermatologically safe to exposed skin. It shall be chemically and mechanically compatible with all cable components, non-nutritive to fungus, non-hygroscopic and electrically non-conductive. The filling compound shall be free from dirt and foreign matter and shall be readily removable with conventional nontoxic solvents.

Buffer tubes shall be stranded around a central member by a method that will prevent stress on the fibers when the cable jacket is placed under strain, such as the reverse oscillation stranding process.

Each buffer tube shall be distinguishable from other buffer tubes in the cable by means of color coding as specified above for fibers.

**Central Member.--**The central member, which functions as an anti-buckling element, shall be a glass reinforced plastic rod with similar expansion and contraction characteristics as the optical fibers and buffer tubes. To provide the proper spacing between buffer tubes during stranding a linear overcoat of polyethylene may be applied to the central member to achieve the optimum diameter.

**Filler rods.--**Fillers may be included in the cable to lend symmetry to the cable cross-section where needed. Filler rods shall be solid medium or high density polyethylene. The diameter of filler rods shall be the same as the outer diameter of the buffer tubes.

**Stranding.--**Completed buffer tubes shall be stranded around the overcoated central member using stranding methods, lay lengths and positioning such that the cable shall meet mechanical, environmental and performance specifications. A polyester binding shall be applied over the stranded buffer tubes to hold them in place. Binders shall be applied with sufficient tension to secure the buffer tubes to the central member without crushing the buffer tubes. The binders shall be non-hygroscopic, non-wicking (or rendered so by the flooding compound), and dielectric with low shrinkage.

**Core and Cable Flooding.--**The cable core interstices shall be filled with a polyolefin based compound to prevent water ingress and migration. The flooding compound shall be homogeneous, non-hygroscopic, electrically non-conductive, and non-nutritive to fungus. The compound shall also be nontoxic, dermatologically safe and compatible with all other cable components.

**Tensile Strength Member.--**Tensile strength shall be provided by high tensile strength aramid yarns and/or fiberglass which shall be helically stranded evenly around the cable core and shall not adhere to other cable components.

**Ripcord.--**The cable shall contain at least one ripcord under the jacket for easy sheath removal.

**Outer jacket.--**The jacket shall be free of holes, splits, and blisters and shall be medium or high density polyethylene (PE), or medium density cross-linked polyethylene with minimum nominal jacket thickness of 40 ±3 mils. Jacketing material shall be applied directly over the tensile strength members and flooding compound and shall not adhere to the aramid strength material. The polyethylene shall contain carbon black to provide ultraviolet light protection and shall not promote the growth of fungus.

The jacket or sheath shall be marked with the manufacturer's name, the words "Optical Cable", the number of fibers, "SM", year of manufacture, and sequential measurement markings every meter. The actual length of the cable shall be within -0/+1 percent of the length marking. The marking shall be in a contrasting color to the cable jacket. The height of the marking shall be approximately 2.5 mm.

**GENERAL CABLE PERFORMANCE SPECIFICATIONS**

The F/O cable shall withstand water penetration when tested with a one meter static head or equivalent continuous pressure applied at one end of a one meter length of filled cable for one hour. No water shall leak through the open cable end. Testing shall be done in accordance with EIA-455-82 (FOTP-82), "Fluid Penetration Test for Fluid-Blocked Fiber Optic Cable."

A representative sample of cable shall be tested in accordance with EIA-455-81A (FOTP-81), "Compound Flow (Drip) Test for Filled Fiber Optic Cable". No preconditioning period shall be conducted. The cable shall exhibit no flow (drip or leak) at 80° C. as defined in the test method.

Crush resistance of the finished F/O cables shall be 220 N/cm applied uniformly over the length of the cable without showing evidence of cracking or splitting when tested in accordance with EIA-455-41 (FOTP-41), "Compressive Loading Resistance of Fiber Optic Cables". The average increase in attenuation for the fibers shall be _0.10 dB at 1550 nm (singlemode) for a cable subjected to this load. The cable shall not exhibit any measurable increase in attenuation after removal of load. Testing shall be in accordance with EIA-455-41 (FOTP-41), except that the load shall be applied at the rate of 3 mm to 20 mm per minute and maintained for 10 minutes.

The cable shall withstand 25 cycles of mechanical flexing at a rate of 30 ±1 cycles/minute. The average increase in attenuation for the fibers shall be _0.20 dB at 1550 nm (singlemode) at the completion of the test. Outer cable jacket cracking or splitting observed under 10x magnification shall constitute failure. The test shall be conducted in accordance with EIA-455-104 (FOTP-104), "Fiber Optic Cable Cyclic Flexing Test," with the sheave diameter a maximum of 20 times the outside diameter of the cable. The cable shall be tested in accordance with Test Conditions I and II of (FOTP-104).

Impact testing shall be conducted in accordance with EIA-455-25 (FOTP-25) "Impact Testing of Fiber Optic Cables and Cable Assemblies." The cable shall withstand 20 impact cycles. The average increase in attenuation for the fibers shall be 0.20 dB at 1550 nm (singlemode). The cable jacket shall not exhibit evidence of cracking or splitting.

The finished cable shall withstand a tensile load of 2700 N (600 lbs) without exhibiting an average increase in attenuation of greater than .20 dB (singlemode). The test shall be conducted in accordance with EIA-455-33 (FOTP-33), "Fiber Optic Cable Tensile Loading and Bending Test." The load shall be applied for one-half hour in Test Condition II of the EIA-455-33 (FOTP-33) procedure.

**PACKAGING AND SHIPPING REQUIREMENTS**

Documentation of compliance to the required specifications shall be provided to the Engineer prior to ordering the material.

Attention is directed to "Fiber Optic Testing" elsewhere in these special provisions.

The completed cable shall be packaged for shipment on reels. The cable shall be wrapped in a weather and temperature resistant covering. Both ends of the cable shall be sealed to prevent the ingress of moisture.

Each end of the cable shall be securely fastened to the reel to prevent the cable from coming loose during transit. Four meters (Twelve feet) of cable length on each end of the cable shall be accessible for testing.

Each cable reel shall have a durable weatherproof label or tag showing the manufacturer's name, the cable type, the actual length of cable on the reel, the Contractor's name, the contract number, and the reel number. A shipping record shall also be included in a weatherproof envelope showing the above information and also include the date of manufacture, cable characteristics (size, attenuation, bandwidth, etc.), factory test results, cable identification number and any other pertinent information.

The minimum hub diameter of the reel shall be at least 30 times the diameter of the cable. The F/O cable shall be in one continuous length per reel with no factory splices in the fiber. Each reel shall be marked to indicate the direction the reel should be rolled to prevent loosening of the cable.

Installation procedures and technical support information shall be furnished at the time of delivery.

## 10-5.04 FIBER OPTIC LABELING

### GENERAL

The Contractor shall label all fiber optic cabling in a permanent consistent manner. All tags shall be of a material designed for long term permanent labeling of fiber optic cables and shall be marked with permanent ink on non-metal types, or embossed lettering on metal tags. Metal tags shall be constructed of stainless steel. Non-metal label materials shall be approved by the Engineer. Labels shall be affixed to the cable per the manufacturer’s recommendations and shall not be affixed in a manner which will cause damage to the fiber. Handwritten labels shall not be allowed.

### LABEL IDENTIFICATION

**Labeling of Cables.—**Labeling of the backbone, distribution and drop fiber optic cables shall conform to the following unique identification code elements:

| UNIQUE IDENTIFICATION CODE ELEMENTS for Backbone, Distribution or Drop Cables | | |
|---|---|---|
| DESCRIPTION | CODE | NUMBER OF CHARACTERS |
| District | District number | 2 |
| Cable Type | Fiber:<br>S: Singlemode<br>M: Multimode<br>Copper:<br>T: 18 AWG, U: 19 AWG,<br>V: 20AWG, W: 22AWG<br>X: 24 AWG | 1 |
| Cable fiber (or copper pairs) Count | Number of fibers or conductor pairs (Examples: 144 fibers; or 100 TWP) | 3 |
| Route Number | Hwy. Rte (Example: 005) | 3 |
| Begin Function | T: TMC; H: HUB; V: Video Node;<br>D: Data Node; C: Cable Node;<br>M: CCTV Camera; N: CMS;<br>P: Traffic Signal; Z: Ramp Meter;<br>U: Traffic Monitoring/Count Station/Vehicle Count Station (VDS, TOS);<br>S: Splice Vault | 1 |
| Begin Function Number | Unique ID number corresponds to Begin Function (Example: H02 [Hub 02]) | 2 |
| End Function | T: TMC; H: HUB; V: Video Node;<br>D: Data Node; C: Cable Node;<br>M: CCTV Camera; N: CMS;<br>P: Traffic Signal; Z: Ramp Meter;<br>U: Traffic Monitoring/Count Station;<br>S: Splice Vault | 1 |
| End Function Number | Unique ID number corresponds to Begin Function (Example: H03 [Hub 03]) | 2 |
| Unique Identifier | XX: If two or more cables of the same count are in the same run | 2 |
| TOTAL | | 17 |

S 048 S 080 024 02569 S 080 024 02610 03

The label in the example can be translated as a singlemode (S) 48 strand cable (048) that starts from a splice vault (S) on I-80 (080) in Sacramento County (024) post mile 25.69 (02569) ends at another splice vault (S) on I-80 (080) in Sacramento County (024) at postmile 26.10 (02610). This fiber optic cable is uniquely identified as 03. This means the cable is the 3rd of the fiber optic cables in the pull box or the vault.

Each cable shall display one unique identification, regardless of where the cable is viewed. The begin function and end function correspond to the end points of each cable. The order of the begin and end function follow a hierarchy as listed below, where the lowest number corresponding to the begin/end function is listed first.

| List of Hierarchy | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** | **11** |
| TMC | HUB | Video Node (VN) | Data Node (DN) | Cable Node | CCTV Camera | CMS | Traffic Signal | Ramp Meter | Traffic Monitoring/ Count Station | Splice Vault |

This scheme will work as follows: A cable between the TMC and a HUB will always have the TMC listed as the start function and the HUB as the end function. Between a CMS and a Splice Vault, the start function will always be listed as the CMS, and so on. If a cable is connected between HUBs, for example HUB-01 and HUB-03, the lowest number, in this case HUB-01, will be listed as the start function and HUB-03 as the end function.

**EXAMPLE 1**: 08S060010H02H0302

This cable is located in District 8, identified as a singlemode fiber optic cable containing 60 fibers, installed along highway Route 10, beginning in Hub 2, and ending in Hub 3, with unique ID of number 2. The implication for the unique ID is that there may be another 60 fiber optic cable between those hubs. This is an example for a backbone cable.

**EXAMPLE 2**: 11S048008H01S04

This cable is located in District 11, identified as a singlemode fiber optic cable containing 48 fibers, installed along highway Route 8, beginning in Hub 1, and ending in Splice Vault 04. In this case, no additional digits are necessary for a unique ID. This is an example for a distribution cable.

**EXAMPLE 3**: 11S006163N03S04

This cable is located in District 11, identified as a singlemode fiber optic cable containing 6 fibers, installed along highway Route 163, beginning at CMS-03, and ending in Splice Vault 04. In this case, no additional digits are necessary for a unique ID. This is an example for a drop cable.

| UNIQUE IDENTIFICATION CODE ELEMENTS for JUMPERS (active component to FDU) and PIGTAILS (to connector # on patch panel) | | |
|---|---|---|
| DESCRIPTION | CODE | NUMBER OF CHARACTERS |
| Hub Identifier | Hub, TMC, VN or DN ID Numbers or Alphanumeric or both | 2 |
| From (Source) Device | MU: Multiplexer<br>FD: FDU (Fiber Distribution Unit)<br>RP: Repeater | 2 |
| From (Source) Device Identifier | Numbers or Alphanumeric or both | 2 |
| Transmitter or Receiver | T or R | 1 |
| To (Destination) Device | MU: Multiplexer<br>FD: FDU (Fiber Distribution Unit)<br>RP: Repeater | 2 |
| To (Destination) Device Identifier | Numbers or Alphanumeric or both | 2 |
| Connector Identifier | Connector ID | 2 |
| TOTAL | | 13 |

**EXAMPLE 1**: 01MU01TFD0203.

This pigtail is located in Hub 1, from multiplexer 01, transmitting to FDU 02 to patch panel position (connector) 03.

**EXAMPLE 2**: 02MUA1TFD0B08.

This jumper is located in Hub 2, from multiplexer A1, transmitting to FDU B, to patch panel position (connector) 08.

**LABEL PLACEMENT**

**Abbreviations**

| | |
|---|---|
| HUB | HUB.X |
| VAULT | VXX.X |
| CAMERA | CXX.X |
| CMS | SXX.X |
| TMC | ZXX.X |
| TOS | TXX.X |
| PULLBOX | FXX.X |
| IDS | IXX.X |
| WEIGHT | WXX.X |
| ESU | EXX.X |
| RAMP METER | RXX.X |

The X's denote the postmile of the above elements.

**Cables**.—All cables shall be clearly labeled with the unique identification code element method described elsewhere in these special provisions, at all terminations, even if no connections or splices are made, and at all splice vault entrance and exit points.

**Cable to Cable Splices**.— All cable jackets entering the splice closure shall be labeled in accordance with the identification method described elsewhere in these special provisions.

**Cable to Fiber Distribution Units**.—The cable jackets shall be clearly labeled at entry to the FDU in accordance with the unique identification code element method described elsewhere in these special provisions. In addition, each fiber shall be labeled with the Fiber ID and pigtails shall be labeled at the connector with the Fiber ID. The FDU shall be clearly labeled with the Cable ID on the face of the FDU. If multiple cables are connected to the FDU, each block of connectors relating to each individual cable shall be clearly identified by a single label with the Cable ID. Individual connections shall be clearly marked on the face of the FDU in the designated area with the Fiber ID.

**Fiber**.—Fibers labels shall be placed next to the connectors of the individual fibers.

**Patch Panels**.—The cable jackets shall be clearly labeled at entry to the Patch Panel in accordance with the unique identification code element method described elsewhere in these special provisions. In addition, each fiber shall be labeled with the Fiber ID and pigtails shall be labeled at the connector with the Fiber ID. The Patch panel shall be clearly labeled with the Cable ID on the face of the Panel. If multiple cables are connected to the Patch Panel, each block of connectors relating to each individual cable shall be clearly identified by a single label with the Cable ID. Individual connections shall be clearly marked on the face of the Panel in the designated area with the Fiber ID.

**Jumpers**.—Equipment to FDU jumpers shall be labeled as to the equipment type connected and shall be labeled at both ends. FDU to FDU jumpers shall be labeled at each end in accordance with the unique identification code element method described elsewhere in these special provisions.

| Equipment From | Equipment To |
|---|---|
| ID No. by Contractor | ID No. by Contractor |

Both ends shall be labeled near the connector. The label shall be the same on both ends. The label denotes where the ends of the jumper are plugged into.

**Pigtails**.—Pigtails shall be labeled at the connector in accordance with the unique identification code element method described elsewhere in these special provisions.

| Cable Type | From | To | Fiber No. |
|---|---|---|---|
| X | XXX.X | XXX.X | XX |

A label shall be placed on each pigtail near the connector showing the point of origin of the link and the termination of the link. A label with the fiber number being spliced shall be placed on the end of the pigtal near the splice.

**Example: C-HUB-C - C044.5 - 10**

**Copper Cable Labels**.—All twisted-pair communications cables shall be clearly labeled in a in accordance with the unique identification code element method described elsewhere in these special provisions.

**Splice Vaults**

| Cable Type | From | To |
|---|---|---|
| X | XXX.X | XXX.X |

A label shall be placed on Type A, Type B, Type C, and Type D cables as the cables enter and exit each splice vault. A label shall be placed on the cable in the No. 5 and No. 6 pullboxes by the camera poles and TOS cabinets. A label shall be placed on the cable inside the closed circuit television and TOS assemblies.

**Example: C-HUB.B - HUB.C**
**Example: D-C044.5 - V044.8**

**Splice Trays**
A label shall be placed on each splice tray explaining the splices in each tray.

**10-5.05 CABLE INSTALLATION**

Installation procedures shall be in conformance with the procedures specified by the cable manufacturer for the specific cable being installed. The contractor shall submit the manufacturer's recommended procedures for pulling fiber optic cable at least 20 working days prior to installing cable. Mechanical aids may be used provided that a tension measuring device, and a break away swivel are placed in tension to the end of the cable. The tension in the cable shall not exceed 2225 N or the manufacturer's recommended pulling tension, whichever is less.

During cable installation, the bend radius shall be maintained at a minimum of twenty times the outside diameter. The cable grips for installing the fiber optic cable shall have a ball bearing swivel to prevent the cable from twisting during installation.

F/O cable shall be installed using a cable pulling lubricant recommended by the F/O cable and/or the innerduct manufacturer, and a pull tape conforming to the provisions described under "conduit" elsewhere in these special provisions. Contractor's personnel shall be stationed at each splice vault and pull box through which the cable is to be pulled to lubricate and prevent kinking or other damage.

F/O cable shall be installed without splices except where specifically allowed on the plans. If splice locations are not shown on the plans, splicing shall be limited to one cable splice every 6 km. Any midspan access splice or FDU termination shall involve only those fibers being spliced as shown on the plans. Cable splices shall be located in splice closures, installed in splice vaults shown on the plans. A minimum of 20 m of slack shall be provided for each F/O cable at each splice vault. Slack shall be divided equally on each side of the F/O splice closure.

Unless shown or provided otherwise, only F/O cable shall be installed in each innerduct. Pulling a separate F/O cable into a spare duct to replace damaged fiber will not be allowed.

At the Contractor's option, the fiber may be installed using the air blown method. If integral innerduct is used, the duct splice points or any temporary splices of innerduct used for installation must withstand a static air pressure of 758 kPa.

The fiber installation equipment must incorporate a mechanical drive unit or pusher, which feeds cable into the pressurized innerduct to provide a sufficient push force on the cable, which is coupled with the drag force created by the high-speed airflow. The unit must be equipped with controls to regulate the flow rate of compressed air entering the duct and any hydraulic or pneumatic pressure applied to the cable. It must accommodate longitudinally ribbed, or smooth wall ducts from nominal 16 mm to 51 mm inner diameter. Mid assist or cascading of equipment must be for the installation of long cable runs. The equipment must incorporate safety shutoff valves to disable the system in the event of sudden changes in pneumatic or hydraulic pressure.

The equipment must not require the use of a piston or any other air capturing device to impose a pulling force at the front end of the cable, which also significantly restricts the free flow of air through the inner duct. It must incorporate the use of a counting device to determine the speed of the cable during installation and the length of the cable installed.

## 10-5.06 SPLICING

Field splices shall be done either in splice vaults or cabinets as shown on the plans. All splices in splice vaults shall be done in splice trays, housed in splice closures. All splices in cabinets shall be done in splice trays housed in FDUs.

Unless otherwise specified, fiber splices shall be the fusion type. The mean splice loss shall not exceed 0.07 dB per splice. The mean splice loss shall be obtained by measuring the loss through the splice in both directions and then averaging the resultant values.

All splices shall be protected with a metal reinforced thermal shrink sleeve.

The mid-span access method shall be used to access the individual fibers in a cable for splicing to another cable as shown on the plans. Cable manufacturers recommended procedures and approved tools shall be used when performing a mid-span access. Only the fibers to be spliced may be cut. All measures shall be taken to avoid damaging buffer tubes and individual fibers not being used in the mid-span access.

The individual fibers shall be looped one full turn within the splice tray to avoid micro bending. A 45 mm minimum bend radius shall be maintained during installation and after final assembly in the optical fiber splice tray. Each bare fiber shall be individually restrained in a splice tray. The optical fibers in buffer tubes and the placement of the bare optical fibers in the splice tray shall be such that there is no discernable tensile force on the optical fiber.

The Contractor will be allowed to splice a total of fibers to repair any damage done during mid-span access splicing without penalty. The Contractor will be assessed a penalty of $300.00 for each additional and unplanned splice. Any single fiber may not have more than 3 unplanned splices. If any fiber requires more than 3 unplanned splices, the entire length of F/O cable must be replaced at the Contractor's expense.

## 10-5.07 SPLICE CLOSURES

The F/O field splices shall be enclosed in splice closures which shall be complete with splice organizer trays, brackets, clips, cable ties, seals and sealant, as needed. The splice closure shall be suitable for a direct burial or pull box application. Manufacturer's installation instructions shall be supplied to the Engineer prior to the installation of any splice closures. Location of the splice closures shall be where a splice is required as shown on the plans, designated by the Engineer, or described in these special provisions.

The splice closure shall conform to the following specifications:

A. Non-filled thermoplastic case
B. Rodent proof, water proof, re-enterable and moisture proof
C. Expandable from 2 cables per end to 8 cables per end by using adapter plates
D. Cable entry ports shall accommodate 10 mm to 25 mm diameter cables
E. Multiple grounding straps
F. Accommodate up to 8 splice trays
G. Suitable for "butt" or "through" cable entry configurations
H. Place no stress on finished splices within the splice trays

The splice closure shall be bolted to the side wall of the splice vault.

The Contractor shall verify the quality of each splice prior to sealing the splice closure. Final acceptance of splices will be made after testing is completed. The Contractor shall resplice any defective splices at his own expense.

## 10-5.08 SPLICE TRAYS

Splice trays must accommodate a minimum of 12 fusion splices and must allow for a minimum bend radius of 45 mm. Individual fibers must be looped one full turn within the splice tray to allow for future splicing. No stress is to be applied on the fiber when it is located in its final position. Buffer tubes must be secured near the entrance of the splice tray to reduce the chance that an inadvertent tug on the pigtail will damage the fiber. The splice tray cover may be transparent.

Splice trays in the splice closure shall conform to the following:

A. Accommodate up to 24 fusion splices
B. Place no stress on completed splices within the tray
C. Stackable with a snap-on hinge cover
D. Buffer tubes securable with channel straps
E. Must be able to accommodate a fusion splice with the addition of an alternative splice holder
F. Must be labeled after splicing is completed.

Only one single splice tray may be secured by a bolt through the center of the tray in the fiber termination unit. Multiple trays must be securely held in place as per the manufacturer's recommendation.

## 10-5.09 PASSIVE CABLE ASSEMBLIES AND COMPONENTS

The F/O cable assemblies and components shall be compatible components, designed for the purpose intended, and manufactured by a company regularly engaged in the production of material for the fiber optic industry. All components or assemblies shall be best quality, non-corroding, with a design life of at least 20 years. All components or assemblies of the same type shall be from the same manufacturer.

## 10-5.10 FIBER OPTIC CABLE TERMINATIONS

### GENERAL

Fiber optic outside plant (FOOP) cable entering a building shall be routed as described in these special provisions and as shown on the plans. The cable shall continue within the conduit to the designated termination point for cable termination. All components shall be the size and type required for the specified fiber. Fiber optic cable terminations may take place in several locations such as TMCs, hubs, data nodes, cable nodes, TOS cabinets, camera sites, etc.

### DISTRIBUTION BREAKOUT

Once the fiber optic cable arrives within communications hub, the cable shall be routed within conduit to a rack mounted cable storage shelf, as shown on the plans, where a minimum of 6 meters (20 feet) of spare cable shall be coiled for future use. The cable shall then be routed to its appropriate fiber distribution frame (FDF).

The jacketed cable shall be lashed with Velcro-wraps to the rack prior to entering the FDF. The cable shall also be velcro-wrapped to the inside of the FDF near the point of entry.

Note: DO NOT USE PLASTIC TIE-WRAPS ON ANY F/O FIBERS OR F/O CABLES.

The cable jacket, aramid yarn, and filler rods shall be removed exposing the buffer tubes. The exposed length of the buffer tubes shall be at least the length recommended by the hardware manufacturer which allows the tubes to be secured to the splice tray. Buffer tubes shall be secured to the splice tray. The remainder of the tubes shall be removed to expose lengths of the individual fibers sufficient for routing on the splice tray as described in "Splicing" elsewhere in this Section 10-4. Moisture blocking gel shall be removed from the exposed buffer tubes and fibers. Manufacturer directions shall be followed to ensure that throughout the specified temperature range gel will not flow from the end of the buffer tube. The individual fibers shall be stripped and prepared for splicing.

## 10-5.11 DISTRIBUTION INTERCONNECT PACKAGE

**General.--**Distribution involves connecting the fibers to the active electronic components. The distribution interconnect package consists of FDFs with connector panels, couplers, splice trays, fiber optic pigtails and cable assemblies with connectors. The distribution interconnect package shall be assembled and tested by a company who is regularly engaged in the assembly of these packages. Attention is directed to "Fiber Optic Testing" elsewhere in these special provisions. All distribution components shall be products of the same manufacturers, who are regularly engaged in the production of these components, and the respective manufacturers shall have quality assurance programs.

### FIBER OPTIC CABLE ASSEMBLIES AND PIGTAILS

**General.--**Cable assemblies (jumpers and pigtails) shall be products of the same manufacturer. The cable used for cable assemblies shall be made of fiber meeting the performance requirements of these special provisions for the F/O cable being connected.

**Pigtails.--**Pigtails shall be of simplex (one fiber) or duplex (2 fibers for transmit and receive pairs) construction, in 900 μm tight buffer form, surrounded by aramid for strength, with a PVC jacket with the manufacturer's identification information and a nominal outer jacket diameter of 3 mm. Singlemode simplex cable jackets shall be yellow in color. All pigtails shall be at least one meter in length.

**Jumpers.--**Jumpers may be of simplex or duplex design. Duplex jumpers shall be of duplex round cable construction, and shall not have zipcord (siamese) construction. All jumpers shall be at least 2 meters in length, sufficient to avoid stress and allow orderly routing.

The outer jacket of duplex jumpers shall be colored according to the singlemode color (yellow) specified above. The 2 inner simplex jackets shall be contrasting colors to provide easy visual identification for polarity.

**SC Connectors.--** SC type connectors and shall meet the requirements of EIA/TIA-568A except as specified below. SC connector body housings shall be of polymer construction.

All F/O connectors shall have a 2.5 mm diameter, Zirconia Ceramic, SC connector ferrule with a PC (Physical Contact) pre-radiused tip.

The SC connector operating temperature range shall be -40° C. to +70° C. Insertion loss shall not exceed 0.5 dB, for either multimode or singlemode, and the return reflection loss on singlemode connectors shall be at least 40 dB. Connection durability shall be less than a 0.2 dB change per 500 mating cycles per EIA-455-21A (FOTP-21). Factory test results shall be documented and submitted to the Engineer prior to installing any of the connectors. Singlemode connectors shall have a blue color on the shroud and a white color on the boot in accordance with the Munsell color shades specified elsewhere, that renders them easily identifiable from multimode connectors.

Field terminations shall be limited to splicing of adjoining cable ends and/or cables to SC pigtails.

**SC Couplers.**--The SC couplers shall be made of polymer construction that is consistent with the material forming the associated SC connector body. The design mechanism for mounting the couplers to the ITU connector panel may be achievable using metal clips or fasteners but shall coincide with the ITU panel punch-outs.

All coupler sleeves shall be of the cylinder split ceramic or clover leaf design.

The temperature operating range for couplers shall be the same as that specified for the SC connectors.

### FIBER DISTRIBUTION FRAME (FDF)

FDF equipment shall fit and be housed in a standard 482mm rack and cabinet with lockable doors such that access is limited to the breakout side of the FDF interconnection.

FDFs shall be the rack type.

FDF racks shall be EIA standard 482mm(19-inch) racks.

The connector panel shall be located internal to the unit so as not expose fiber optic connections. Cable accesses shall have grommets.

FDFs shall be sized to contain sufficient connector module housings (CMHs) to handle the associated cables and their respective breakouts. In cables with smaller fiber counts, more than one cable may be assigned to an FDF but fibers from the same cable shall not be split between two FDFs. In all cases, FDFs shall be capable of housing and securing required splice trays in splice module housings (SMH).

All FDFs shall house the splice trays needed to store the "breakout to pigtail" splices.

Connector module housings (CMH), splice module housings (SMH) and fiber distribution units shall be rack mounted with adjustable mounting brackets for horizontal positioning. The front covers shall be lexan with a rear cover of metal of the same gage and color as the remainder of the FDF rack. Panels shall have at least 6-coupler capacity and all panel positions shall be filled with couplers. Where panels have spare SC positions, the couplers shall have dust covers on both sides.

Couplers shall be mounted in panels housed within the FDF. Spare panel positions within the FDF shall have blanks or panels ready for future expansion. The front and back covers of the FDF shall be retractable or removable to facilitate internal installation.

All fibers, including unused fibers, shall be terminated and identified, at the FDF.

## 10-5.12 FIBER OPTIC TESTING

### GENERAL

Testing shall include the tests on elements of the passive fiber optic components: (1) at the factory, (2) after delivery to the project site but prior to installation, (3) after installation but prior to connection to any other portion of the system. The Contractor shall provide all personnel, equipment, instrumentation and materials necessary to perform all testing. The Engineer shall be notified two working days prior to all field tests. The notification shall include the exact location or portion of the system to be tested.

Documentation of all test results shall be provided to the Engineer within 2 working days after the test involved.

A minimum of 15 working days prior to arrival of the cable at the site, the Contractor shall provide detailed test procedures for all field testing for the Engineer's review and approval. The procedures shall include the tests involved and how the tests are to be conducted. Included in the test procedures shall be the model, manufacturer, configuration, calibration date and operating procedures.

### FACTORY TESTING

Documentation of compliance with the fiber specifications as listed in the Fiber Characteristics Table shall be supplied by the original manufacturer. Before shipment, but while on the shipping reel, 100 percent of all fibers shall be tested for attenuation. Copies of the results shall be (1) maintained on file by the manufacturer with a file identification number for a minimum of seven years, (2) attached to the cable reel in a waterproof pouch, and (3) submitted to the Contractor and to the Engineer.

**ARRIVAL ON SITE**

The cable and reel shall be physically inspected on delivery and 100 percent of the fibers shall be attenuation tested to confirm that the cable meets requirements. Test results shall be recorded, dated, compared and filed with the copy accompanying the shipping reel in a weather proof envelope. Attenuation deviations from the shipping records greater than 5 percent shall be brought to the attention of the Engineer. The cable shall not be installed until completion of this test sequence and the Engineer provides written approval. Copies of traces and test results shall be submitted to the Engineer. If the test results are unsatisfactory, the reel of F/O cable shall be considered unacceptable and all records corresponding to that reel of cable shall be marked accordingly. The unsatisfactory reels of cable shall be replaced with new reels of cable at the Contractor's expense. The new reels of cable shall then be tested to demonstrate acceptability. Copies of the test results shall be submitted to the Engineer.

**AFTER CABLE INSTALLATION**

Index matching gel shall not be allowed in connectors during testing. After the fiber optic cable has been pulled but before breakout and termination, 100 percent of all the fibers shall be tested with an OTDR for attenuation. Test results shall be recorded, dated, compared, and filed with the factory tests. Copies of traces and test results shall be submitted to the Engineer. If the OTDR test results are unsatisfactory, the F/O cable segment will be unacceptable. The unsatisfactory segment of cable shall be replaced with a new segment, without additional splices, at the Contractor's expense. The new segment of cable shall then be tested to demonstrate acceptability. Copies of the test results shall be submitted to the Engineer.

Attenuation tests shall be performed with an OTDR capable of recording and displaying anomalies of 0.02 dB as a minimum. Singlemode fibers (SM) shall be tested at 1310 nm. Attenuation readings shall be recorded on a cable data sheet showing factory and after installation results.

The OTDR shall have a printer capable of producing a verifying test trace with fiber identification as shown in Appendix A "Link Loss Budget Work Sheet", numerical loss values, the date, and the operator's name. The printer shall also have a DOS based 89mm(3.5-inch) disk recording capability that has associated software to do comparisons and reproductions on 216mmX279mm(8.5-inch x 11-inch) paper, via a personal computer.

**OUTDOOR SPLICES**

At the conclusion of all outdoor splices at one location, and before they are enclosed and sealed, all splices shall be tested with the OTDR, in both directions. Splices in singlemode segments shall be tested at 1310 nm and at 1550 nm. Individual fusion splice losses shall not exceed 0.10 dB. Measurement results shall be recorded, dated, validated by the OTDR trace printout and filed with the records of the respective cable runs. Copies of traces and test results shall be submitted to the Engineer. If the OTDR test results are unsatisfactory, the splice shall be unacceptable. The unsatisfactory splice shall be replaced at the Contractor's expense. The new splice shall then be tested to demonstrate acceptability. Copies of the test results shall be submitted to the Engineer.

**PASSIVE (DISTRIBUTION INTERCONNECT PACKAGE) TESTING AND DOCUMENTATION**

All the components of the passive interconnect package (FDFs, ITU's, pigtails, jumpers, couplers, and splice trays) shall comprise a unit from a manufacturer who is regularly engaged in the production of the fiber optic components described.

In developing the distribution interconnect package, each SC termination (pigtail or jumper) shall be tested for insertion attenuation loss with the use of an optical power meter and source. In addition, all singlemode terminations shall be tested for return reflection loss. These values shall meet the loss requirements specified earlier and shall be recorded on a tag attached to the pigtail or jumper.

Once assembly is complete, the manufacturer shall visually verify that all tagging, including loss values, is complete. Then as a final quality control measure, the manufacturer shall do an "end to end" optical power meter/light source test from pigtail end to jumper lead end to assure continuity and overall attenuation loss values.

The final test results shall be recorded, along with previous individual component values, on a special form assigned to each FDF. The completed form shall be dated and signed by the Manufacturer's Quality Control supervisor. One copy of this form will be attached in a plastic envelope to the assembled FDF unit. Copies will be provided separately to the Contractor and to the Engineer, and shall be also be maintained on file by the manufacturer or supplier.

The assembled and completed FDF unit shall then be protectively packaged for shipment to the Contractor for installation.

**FIBER OPTIC SYSTEM DESIGN CRITERIA**

The design system gain margin shall be at least 6 dB for each and every active link. If the design system gain margin is less than 6 dB, the Engineer shall be notified and informed of the Contractor's plan to meet the design requirement. Test results shall be recorded in Appendix B.

**ACTIVE COMPONENT TESTING**

The UTP TO SMFO media converters shall be tested using SNMP.

**SYSTEM VERIFICATION AT COMPLETION**

**OTDR Testing.--**Once the passive cabling system has been installed and is ready for activation, 100 percent of the fiber links shall be tested with the OTDR for attenuation at both wavelengths. Test results shall be recorded, dated, compared, and filed with previous copies. Copies of traces and test results shall be submitted to the Engineer. If the OTDR test results are unsatisfactory (greater than the loss specified in Section 10-4.10E, "Outdoor Splices" of these special provisions), the link shall be replaced at the Contractor's expense. The new link shall then be tested to demonstrate acceptability. Copies of the test results shall be submitted to the Engineer. During construction, a hard copy printout and an electronic copy on a DOS formatted 89mm(3.5-inch) disc of the traces and test results with a licensed copy of the associated software shall be submitted to the Engineer.

**Installed System Link Loss Budget.--**The Link Loss Budget Worksheet shown in Appendix A shall be completed for each link in the fiber optic system, using the data gathered throughout the installation process. The completed worksheets shall be included as part of the system documentation in the As-Built Plans.

The Total System Gain shall be calculated by subtracting the measured Optical Receiver Sensitivity (line 1B on the "The Link Loss Budget Worksheet") from the measured Optical Transmitter Average Power (line 1A), which were obtained using a power meter and source. The resulting difference shall be the maximum allowable loss between the transmitter and the receiver, within -0% to +10% of the manufacturers specified loss budget for the transmitter/receiver pair. The Total System Gain shall be recorded on line 1C.

The Fiber Losses for a link shall be calculated by multiplying the length of the fiber link (line 2A) by the averaged (after cale installation) cable attenuation (dB/km, line 2B) at the operating wavelength. The averaged attenuation (line 2A) for this calculation shall be the maximum value throughout the operating temperature range of the cable. The product shall be recorded on line 2C.

The total connector losses shall be calculated by summing the individual attenuation values for each connector pair in the link, excluding the transmitter and receiver connectors. The sum shall be recorded on line 2D.

The total splice losses shall be calculated by summing the individual attenuation values for each splice in the link. The sum shall be recorded on line 2E.

The total of other losses shall be calculated by summing the individual attenuation values for each component in the link not previously addressed. The sum shall be recorded on line 2F. These items may include, but are not limited to, couplers, splitters, routers, and switches.

The Total System Loss shall be recorded on line 2G of the Link Loss Budget Worksheet.

The Design System Gain Margin shall be calculated by subtracting the Total System Loss (line 2G) from the Total System Gain (line 1C). The resulting difference shall be recorded on line 3A. The Contractor's attention is directed to "F/O System Design Criteria," elsewhere in these special provisions.

**Power Meter and Light Source.--**At the conclusion of the final OTDR testing, 100 percent of all fiber links shall be tested end to end with a power meter and light source, in accordance with EIA Optical Test Procedure 171 and in the same wavelengths specified for the OTDR tests. These tests shall be conducted in both directions. Test results shall be recorded, compared and proven to be within the design link loss budgets, and filed with the other recordings of the same links. Test results shall be submitted to the Engineer.

**Test Failures.--**If during any of these system verification tests, the results prove to be unsatisfactory, the F/O cable will not be accepted. The unsatisfactory segments of cable shall be replaced with a new segment of cable at the Contractor's expense. The new segment of cable shall undergo the same testing procedure to determine acceptability. Copies of the test results shall be submitted to the Engineer. The removal and replacement of a segment of cable shall be interpreted as the removal and replacement of a single contiguous length of cable connecting 2 splices, 2 connectors or a splice and a connector. The removal of only the small section containing the failure and therefore introducing new unplanned splices, will not be allowed.

**APPENDIX A**

**Cable Verification Worksheet**
*End-to-End Attenuation (Power Meter and Light Source) Testing*
*and OTDR Testing*

Contract No. _____ Contractor: _____

Operator: _____ Date: _____

Link Number: _____ Fiber Number: _____

Expected Location of fiber ends: End 1: _____ End 2: _____

| Power Meter and Light Source Test Results: | 1310 nm | 1550 nm | |
|---|---|---|---|
| Power In: | | | |
| Output Power: | _____ dBm | _____ dBm | 1A |
| Insertion Loss [1A - 1B]: | _____ dBm | _____ dBm | 1B |
| | _____ dB | _____ dB | 1C |

| OTDR Test Results: | | | |
|---|---|---|---|
| Forward Loss: | _____ dB | _____ dB | 2A |
| Reverse Loss: | _____ dB | _____ dB | 2B |
| Average Loss [(2A + 2B)/2]: | _____ dB | _____ dB | 2C |

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To Be Completed by Caltrans:
Resident Engineer's Signature: _____
Cable Link Accepted: _____

**APPENDIX B**
**Fiber System Performance Margin Calculations Worksheet**

## A. Calculate the Passive Cable Attenuation

| | | |
|---|---|---|
| 1. Calculate Fiber Loss at Operating Wavelength: _____ nm | Cable Distance (times)<br>Individual Fiber Loss (equal)<br>@ 1310 nm (0.4 dB/km)<br>@ 1550 nm (0.3 dB/km) | _____ km x ___ dB/km = |
| | **Total Fiber Loss:** | _____ dB |

## B. Calculate the Total Connector/Splice Loss

| | | |
|---|---|---|
| 2. Calculate Connectors/couplers Loss:<br>(exclude Tx and Rx connectors) | Individual Connector Loss (times)<br>Number of Connector Pairs (equal)<br>**Total Connector Loss:** | 0.4 dB x ____ =<br>_____ dB |
| 3. Calculate Splice Loss: | Individual Splice Loss (times)<br>Number of Splices (equal)<br>**Total Splice Loss:** | 0.1 dB x ____ =<br>_____ dB |
| 4. Calculate Other Components Loss: | **Total Components:** | _____ dB |
| 5. Calculate Total Losses: | Total Connector Loss (plus)<br>Total Splice Loss (plus)<br>Total Components (equal) | + dB<br>+ dB<br>+ dB = |
| | **Total Connector/Splice Loss:** | _____ dB |

## C. Calculate Active Component Link Loss Budget

| | | |
|---|---|---|
| System Wavelength:<br>Fiber Type:<br>Average Transmitter Output (Launch Power): | | _____ nm<br>singlemode<br>_____ dBm |
| Receiver MAX Sensitivity ($10^9$ BER) (minus)<br>Receiver MIN Sensitivity (equal) | **Receiver Dynamic Range:** | _____ dBm<br>- _____ dBm =<br>_____ dB |
| 6. Calculate Active Component Link Loss Budget: | Average Transmitter Output (Launch Power) (minus)<br>Receiver MAX Sensitivity (equal) | _____ dBm<br>- _____ dBm = |
| | **Active Component Link Loss Budget:** | _____ dB |

## D. Verify Performance

| | | |
|---|---|---|
| 7. Calculate System Performance Margin to Verify Adequate Power: | Active Component Link Loss Budget **[C]** (minus)<br>Passive Cable Attenuation **[A]** (minus)<br>Total Connector/Splice Lost **[B]** (equal) | _____ dB<br>- _____ dB<br>- _____ dB = |
| | **System Performance Margin:** | _____ dB |

**10-5.13 PAYMENT**

The contract price paid per linear foot for fiber optic cable of the types listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing fiber optic cable of the types involved, including fiber optic labeling, splice closures, splice trays, and splicing, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

**VAULTS**

**VAULT LOCATION AND QUANTITY**

Complete and incomplete vaults are placed at the locations shown on the plans.

**VAULTS IN PAVEMENT**

Some locations along the roadway may have existing conduits, pipes, or drains parallel to the roadway and next to the shoulder, which may make it impossible to route conduits to the vault. At other locations, buried objects, steep drop-offs, or other object may make installation of a vault outside the pavement impossible. At these locations or as directed by the engineer, vaults may be installed in the pavement of the shoulder or in the pavement of chain on/off areas. Vaults placed inside the pavement shall be installed with the centerline of the vault not more than 900 mm (3 feet) from the edge of pavement or back of dike, and with the entire top of vault lid 2.5 mm (.1 inch) +/- 1.25 mm (.05 inch) below the surface of the pavement, when all pavement work specified in this job is completed.

**VAULT CONSTRUCTION**

Vaults shall meet ASSTHO H20-44 (designed for heavy truck traffic with a cast iron cover and lid), and be constructed of Fiberglass Reinforced Polymer resin (FRP), and fiberglass reinforced polymer concrete (FRPC). The materials used for making vaults shall be non-bio-degradable when buried in the ground, exposed to water, and when exposed to ambient temperatures of -20 to +50 degrees Centigrade. All resins shall be commercial grade unsaturated polyester resin. Reinforcing materials shall be commercial grade "E" type glass in the form of roving, continuous strand mat and chop strand mat, having a coupling agent that will provide a suitable bond between the glass reinforcement and the resin. Chopped FRP construction is not acceptable.

Polymer Concrete shall be made from the same polyester resin as the FRP with suitable fillers and aggregates to produce a workable mix and high quality finishes. Materials used in manufacture shall have a PC Flexural Modulus of 1.22 million, as described in ASTM C790.

**VAULT SIZE**

Vaults shall have an inside volume of 2.0 cubic meter, with outside dimensions not less than 1200 mm wide by 1200 mm long by 1500 mm deep (4 ft. by 4 ft. by 5 ft.). Alternate shaped vaults, such as round or rectangular may be used at the discretion of the engineer if the total inside volume exceeds 2.0 cubic meter and the completely installed product meets the ASSTHO H20-44 standard for heavy truck traffic.

**VAULT SPACER RINGS**

A minimum of one FRP spacer ring, with a minimum thickness of 150mm (6 inches) shall be used for each vault. The design of the vault shall accommodate a total of up to six 75mm rings or three 150 mm (6 inch) rings to accommodate future roadwork or lane additions without requiring removal or relocation of the vault.

**VAULT INTERIOR SURFACES**

The interior surface shall be formed against a solid mold with no exposed fibers. The surface shall be free of crazing, delamination, blistersVAULT COVER AND FRAME

Frames and covers are state furnished and are class 30B cast iron according to ASSHTO M 105 and meet all applicable requirements of Federal Specification RR-F-612E. Iron castings shall be free from pouring faults, sponginess, cracks, blowholes, and other defects in positions affecting their strength and value for the service intended. Castings shall be boldly filleted at angles and the arises shall be sharp and perfect. All castings must be sandblasted or otherwise effectively cleaned of scale and sand so as to present a clean, smooth surface. All covers shall have a CALTRANS FIBER OPTIC cast into the top surface along with the manufacturer’s name and logo. Covers shall be 37 mm (1.5 inches) thick at the outer edges. Covers shall retard the entrance of sheet free flow run-off water.

**VAULT BOTTOMS**

Vaults shall have an integral bottom, attached to the sidewalls by casting into place with PC to form a substantial and rigid foundation for the vault. The bottom shall be of FRP and shall be at least 8 mm (.3 inch) thick.

**VAULT CABLE RACKS**

Vaults have eight (8) equally spaced galvanized cable racks for hanging fiber and splice enclosures. Each rack is not less than 900-mm (3 ft.) long, bolted vertically to the wall, and spaced nominally 300 mm (1-ft.) from the center of each wall. The lower end of each rack shall be 150 mm (6 inches) from the bottom of the vault.

**VAULT BACKFILL**

Excavations for incomplete vaults shall be backfilled with 110Kg slurry cement or commercial quality concrete containing not less than 350Kg of cement as shown on the plans. Backfill will be used on all sides, from the bottom of the excavation to the top of the encasement ring with a minimum of 300-mm (12-inch) thickness. Acceptable materials include PCC, cement, pea gravel, crushed stone, or sand. If sand, crushed stone, or pea gravel is used, the backfill shall be placed in layers of not more than 12 loose measure inches and mechanically tamped to 95% Standard Proctor Density. Flooding will not be permitted. Backfill shall be placed in such a manner as to prevent any wedging action against the fiberglass vault structure. If cement is used, cement having a 2-sack mix shall be used.

**TRENCHES**

**INTERNAL TRENCH MARKERS**

Fiber optic trench marker tape shall be placed in all trenches containing fiber optic cable. The tape shall be at least 75 mm (3 inches) wide shall be placed inside the cement or PCC backfill, approximately halfway between the uppermost conduit and the top of the trench.

**EXTERNAL TRENCH MARKERS**

Vertical fiber optic cable markers, at least 1200 mm (4 feet) tall and buried at least 300 mm (12 inches) in the soil, shall be placed along the roadway as close as possible to any trench wherever fiber optic conduits have been installed. Markers shall be placed at not less than 100-meter increments and the warning label shall face the oncoming traffic. The markers shall be not less than 1 meter or more than 3 meters from outside edge of the pavement if the conduits are under the pavement. A similar marker shall be placed at each vault, within 1 meter of the lid of the vault.

**TRENCHES IN PAVEMENT TO BE OVERLAID WITH NEW SURFACES**

A majority of the fiber optic conduit consisting of eight (8) each 32c HDPE, has been placed in the paved shoulder in a slurry cement trench 600 mm deep on a previous project. This project will complete the system by connecting the gaps in the conduit system, by installing State furnished 32c HPDE conduit. At seven (7) of these locations the new conduit is to be placed in a trench cut into the paved shoulder. The trench shall be cut or dug into the existing asphalt shoulder and backfilled with concrete and slurry, as shown on the plans. At other locations the new conduits shall be installed by directional boring. Trenches cut into existing paved shoulder that will be overlaid with new pavement shall be cut into the existing asphalt shoulder at least 900 mm (18 inches) deep, and at least 150 mm (6 inches) wide. The centerline of the trench shall be located not more than 1 meter (39 inches) from the outside edge of the pavement. The top of the uppermost conduit shall be at least 150 mm (6 inches) below the existing surface, and the entire trench back-filled with PCC or Portland cement having a 6 sack or better mix.

## SECTION 10-6. CLOSED CIRCUIT TELEVISION SYSTEM

### 10-6.01 CCTV CAMERA SYSTEM

The furnishing and installation of the Closed Circuit Television (CCTV) Camera System shall be done by the Contractor as shown on the plans and as detailed in these Special Provisions.

### 10-6.02 GENERAL REQUIREMENTS

The contractor shall be responsible for providing any mounting adapter and/or attachment required for installation of the CCTV Camera System. All materials furnished, assembled, fabricated or installed under this item shall be new, corrosion resistant and in strict accordance with the details shown on the plans and in the specifications.

The CCTV Camera System shall comply with all rules and regulations of the Federal Communications Commission and these special provisions.. The CCTV System shall cover the installation and testing of all components of the CCTV System (Camera, Weatherproof Outdoor Enclosures, Positioning Unit, Camera Control Unit, and associated cables). All items of the CCTV System shall be Contractor-furnished. All elements of the CCTV Camera System shall be tested by the Caltrans Laboratory, 5900 Folsom Blvd., Sacramento, CA 95819.

The Contractor shall be responsible for all deliveries. Working drawings, material lists, and descriptive data specified in these special provisions shall be submitted for approval in accordance with the provisions to the Engineer and a copy sent to:

TMC Office of Electrical Systems
3165 Gold Valley Dr., Rancho Cordova, CA 95742.

The CCTV Camera System shall be installed as a complete and operational system.

All components of the CCTV Camera System, except the cameras, shall have a minimum one year manufacturer's warranty for parts and labor. The cameras shall have a minimum of a one year manufacturer's warranty for parts and labor to begin from installation acceptance. The Resident Engineer and the CCTV Camera System vendor representative shall verify the installation acceptance date.

**10-6.03 FUNCTIONAL REQUIREMENTS**

For the purposes of this specification the CCTV Camera System shall be broken up into functional blocks. The Contractor may at his discretion combine the required functionality into one or more units.

**10-6.04 CAMERA**

The CCTV cameras shall output video, compliant with the NTSC color line standard. No interlace jitter or line pairing on the viewing monitor shall be discernible. The frame frequency shall be 30 frames per second. The width to height aspect ratio shall be 4:3. The system shall be capable of providing clear, low-bloom and low-lag video pictures under all conditions from bright sunlight to nighttime scene illumination.

The Camera and Camera Lens shall meet the following requirements:
Imager: CCD
Resolution: NTSC Color - 460 TV lines
Sensitivity: 3 lux, (color day)
Optical Zoom Range: 23X
Digital Zoom Range: 1X (Off) through 10X
Horizontal Angle of View: Optical: 45°
Auto Focus: Selectable Auto/Manual
Zoom & Focus Presets: 6 preset positions
Manual Shutter: Selectable shutter speeds
Auto Shutter: Automatically controls shutter speed to maintain correct video level output.
Auto Iris: Iris automatically adjusts to compensate for changes in scene illumination to maintain constant video level output.
Manual Iris: In the manual iris mode the iris opens and closes in incremental steps
Signal to Noise Ratio: 49 dB
Video Output: NTSC 1 V p-p @ 75 ohms, unbalanced.
Synchronization: Internal

Unless otherwise shown on the plans, all field equipment installed shall be operational in all weather conditions and shall be able to withstand a wind load of 80 mph without permanent damage to mechanical and electrical equipment.

Equipment used shall be identical at each field location and shall be completely interchangeable.

**10-6.05 CAMERA CONTROL UNIT**

The Camera Control Unit shall be capable of remote communication using a third party interface that emulates the COHU 392x Rev2.1 communications protocol. All camera and pan/tilt functions are operable remotely via serial communications. The firmware used shall be programmable via the serial port (no UV-EPROMS). As a minimum the system shall comply with the following serial communication protocol.

The unit shall provide the following remote control functions as a minimum:

Pan left

Pan right
Tilt up
Tilt down
Zoom in
Zoom out
Focus near
Focus far
Manual and auto iris control
Iris open/Iris close
Pan/tilt/zoom position preset
Programmable power-up "home" position
Azimuth, Elevation and Zoom positional feedback data

**Communication Protocol:** RS-422 or 232 Serial Communication 8 data, parity none, 1 stop with adjustable data rate from 1200 to 9600 BPS.

The protocol shall be compatible with the COHU 392x Revision 2.1 communications protocol.

**10-6.06 POSITIONING UNIT**

The Positioning Unit shall receive and decode the digital command data, perform error checking and act on valid data to drive the pan/tilt unit and the camera controls. The communications transmission interface shall be terminated in a DB-9F at the CCTV Camera Systems cabinet.

The Positioning Unit shall provide the following:

Standard Pan/Tilt functions:
Pan range of 360°
Elevation range of +30° to –80°
Programmable power-up "home" position
Azimuth, Elevation and Zoom position feedback data in degrees

Each unit shall have a unique identification value programmable via the serial interface.

The Positioning Unit shall communicate asynchronously (via EIA-422 or EIA-232 at a minimum of 9600 baud, 8-bit data, 1 stop-bit, and no-parity. Each block of data shall include an identifier and be accompanied by a checksum calculated on the entire block. Data blocks with a bad checksum shall be NAK. Data block with a good checksum shall be ACK. Complete hardware interface and protocol description shall be supplied to the State as part of the required documentation.

If not housed in the environmental enclosure, the Positioning Unit shall be sealed watertight to withstand the effects of sand, dust, snow and rain.

The protocol and message structure for camera control shall be common for all cameras. The protocol shall be public domain.

**10-6.07 ENVIRONMENTAL ENCLOSURE**

The Camera shall be installed in a corrosion resistant, tamperproof sealed and pressurized environmental enclosure. The enclosure shall be designed to withstand the effects of sand, dust, snow, and hose-directed water. All connections shall be watertight.

Wiring to external connectors shall be sealed with a potting compound.

If needed, a sun shroud shall be provided to shield the entire housing from direct sunlight. It shall be constructed in such a way as to allow the free passage of air between the housing and the shield, but shall not form a “sail” to place an excessive load on the pan/tilt unit in high winds.

The enclosure shall be provided with an internal low temperature heater with its own thermostat control.

Unless otherwise shown on the plans, all field equipment installed shall be operational in all weather conditions and shall withstand wind loading to 80 mph without damage.

**ENVIRONMENTAL SPECIFICATIONS**

The equipment shall meet all its specified requirements during and after subjecting to any combination of the following conditions:

Operate in an ambient temperature range of -20 to 140 F.

Temperature shock not to exceed 30F per hour, during which the relative humidity shall not exceed 95%.
Relative humidity range not to exceed 95% over the temperature range of 40 to 110 F.
Moisture condensation on all surfaces caused by temperature changes.

The camera and environmental housing assembly shall perform to stated specifications over an ambient temperature range of –40° C to +60° C and a humidity range of 0% to 100% condensing. The camera shall operate without sustaining damage over temperature range of -40°C to 70°C

The equipment shall meet all its specified requirements during and after subjecting to any combination of the following conditions:

**Ambient Temperature Limits (Operating):** -20°C to 50°C (-4°F to 122°F)
Temperature shock not to exceed 30F per hour, during which the relative humidity shall not exceed 95%.

**Humidity:** Up to 100% relative humidity (per MIL-E-5400T, paragraph 3.2.24.4)
Relative humidity range not to exceed 95% over the temperature range of 20° C to 50° C

**Other:** Withstands exposure to sand, dust, fungus, and salt atmosphere per MIL-E-5400T, paragraph 3.2.24.7, 3.2.24.8, and 3.2.24.9.

The camera and environmental housing assembly shall perform to the stated specifications over an ambient temperature range of –20° C to +50° C and a humidity range of 0% to 100% condensing.

**10-6.08 CONNECTORS AND HARNESSES**

All external connections shall be made by means of a pressure tight multi-conductor Mil-Spec type connectors. The connectors shall be keyed to preclude improper hookups. All wires to and from the connectors shall be color-coded and/or appropriately marked. In order to assure compatibility and performance compliance, the cables from the camera unit shall be assembled by the camera manufacturer. Connecting harnesses of appropriate length and terminated with matching connectors shall be provided for interconnection with the communications system equipment.

All pins and mating connectors shall be plated to provide good electrical connection and resist corrosion. Connectors utilizing solder type connections shall have each soldered connection covered by a piece of heat shrink tubing securely shrunk to insure that it protects the connection.

All connectors and cable assemblies shall be potted with a silicon-based, non-hardening sealant, and weatherproofed. A potting sleeve shall be used with all cables. All conductors and connectors shall adhere to the manufacturers recommended pin configurations.

The camera conductor cables shall be terminated as follows:

120VAC power conductors terminated in the CCTV Camera Cabinet shall be terminated with a NEMA 15P plug.
The video cable shall be terminated with a crimp type BNC male connector.
At the camera pole top, the camera interface cable shall be terminated with a PT06A-14-18P Mil-Spec connector. The cable configured as follows:

| PIN | FUNCTION |
|---|---|
| A | N/C |
| B | N/C |
| C | 115VAC CAMERA POWER LO |
| D | 115VAC CAMERA POWER HI |
| E | TX+ |
| F | TX- |
| G | DATA GND |
| H | VIDEO GND |
| J | P/T TX+ |
| K | 24 VAC HI |
| L | 24VAC LO |
| M | RXD+ (RX+ FOR RS422) |
| N | RXD- (RX- FOR RS422) |
| P | AC GND |
| R | LOW PRESSURE ALARM |
| S | VIDEO OUT |
| T | P/T TX- |
| U | OVERALL SHIELD |

**10-6.09 CCTV CAMERA SYSTEM TESTING**

Upon installation of the Camera System in the field, the Contractor shall perform the following testing in the presence of an engineer.

The following tests shall be performed locally using a Contractor provided controller. If a manual controller is used, the Contractor shall also furnish a laptop computer to perform the following tests with the CCTV Camera System DB-9F control cable connector plugged into the laptop computer and using the latest version of the vendor supplied camera control software.

**IRIS AUTO/MANUAL OPERATION**

With IRIS Auto/Manual switch in Manual, open Iris and verify that the video image lightens.

Close the Iris and verify that the video image darkens.

Open the Iris to lighten the image and then switch IRIS Auto/ Manual switch to auto. Verify that the camera iris closes to produce the original video image.

Close the Iris to darken the image and then switch IRIS Auto/ Manual switch to auto. Verify that the camera iris opens to produce the original video image.

**FOCUS AUTO/MANUAL OPERATION**

With FOCUS Auto/Manual switch in Manual, demonstrate that the camera can focus on objects both near and far in the field of view.

Focus near, then switch FOCUS Auto/ Manual switch to auto and demonstrate that the camera focus adjusts automatically to bring the image back in focus.

Focus far, then switch FOCUS Auto/ Manual switch to auto and demonstrate that the camera focus adjusts automatically to bring the image back in focus.

**ZOOM TELEPHOTO/WIDE OPERATION**

With the IRIS and FOCUS Auto /Manual switches in Auto the contractor shall demonstrate that the auto IRIS & FOCUS adjustments operate with a focused picture present in the video image and that the picture zooms in and out.

With IRIS and FOCUS Auto /Manual switch in Manual and operating the Zoom from wide angle to Telephoto the Contractor shall demonstrate that all IRIS & FOCUS adjustments do not operate as if in Auto and that picture still zooms in and out.

Demonstrate that the Digital zoom functions through 10 times the focal length.

**TILT OPERATION**

The Contractor shall demonstrate that with Iris and Focus in Auto & Zoom in wide mode that the camera has free movement with a minimum of +30° to – 80° Elevation range travel.

**PAN RIGHT / LEFT OPERATION**

The Contractor shall demonstrate that with Iris and Focus in Auto, and Zoom in wide mode and with the camera tilted at +30° to -80° the camera will rotate with free movement, with a minimum of 360° pan travel range.

**10-6.10 CAMERA PRESET OPERATION**

Using camera vendor control software the Contractor shall demonstrate that the camera system will execute a minimum of 6 various preset positions employing various degrees of zoom, pan and tilt. The camera must move freely from on preset position to the next. The camera system shall not take more than 4 seconds to go to a preset position. Once in the preset position the camera shall not move unless directed by another command.

**10-6.11 ID GENERATION**

Using camera ID Generator and vendor supplied camera control software the contractor shall demonstrate the insertion of 20 text characters into the video image.

The Contractor shall demonstrate that the text can be cleared using the control software.

The Contractor shall provide a controller and software that will automatically and continuously test each preset position in successive order for a minimum of one hour.

**10-6.12 TRAINING**

The Contractor shall provide a minimum of 8 hours of training to State personnel. The Contractor shall provide enough documentation for up to 15 Caltrans Personnel. The Contractor shall provide two weeks notice prior to the start of training. The State shall make facilities available for training. A training area will be provided by the State at the District 03 RTMC, 3165 Gold Valley Drive, Rancho Cordova, CA 95742.

**10-6.13 ID GENERATOR**

The Camera System shall be capable of inserting one text line, 20 characters minimum, on the final camera system video image. The ID shall be changed using the camera control unit serial communications interface.

**10-6.14 VIDEO TIME INSERTER (VTI)**

A VTI shall be provided to overlay time onto the video signal. The location of the time insertion on the video image shall be user programmable. The time resolution shall also be programmable. User to select date, minutes, seconds and milliseconds. The VTI shall be rackmountable or sit on a shelf provided by the Contractor.

**10-6.15 LOGO INSERTER**

A logo insertion device (character generator) shall be provided to insert a color graphic logo on the final camera image. The electronic logo data file shall be provided to the Contractor by the State. The logo inserter shall be EIA 482mm(19”) rack mountable and no more than 2 rack units in height.

**10-6.16 VIDEO ENCODER**

The Contractor shall furnish two (2) video encoders as specified in these special provisions. The Contractor shall deliver the encoders to the engineer for testing, configuration and installation.

**SYSTEM CONFIGURATION**

1. The encoder shall be based on the following.
   - 1.1 The encoder shall be EIA 19" rack mountable.
   - 1.2 The Operating System shall be Microsoft® Window XP Professional, running the latest version of Microsoft® Windows Media™ Encoder.
   - 1.3 The network interface shall be 10/100 Base-T.
   - 1.4 A one-year warranty shall cover defects in materials and workmanship.
   - 1.5 No proprietary external hardware limiting/locking devices.
   - 1.6 The Video Encoder shall meet or exceed the following specifications.

| System Requirements | |
|---|---|
| Central Processing Unit | Intel P4 3.0GHz or better |
| RAM | 512Mbytes DDR |
| Hard Drive | 62.5mm(2.5 inch) 20Gbyte |
| Graphics port | VGA compatible support 800x600 |
| Operating System | Windows XP Professional |
| Network | 10/100 Base-T |
| Network Interface Connector | RJ-45 |
| Serial (RS232) Port Control | Remote TCP/IP control of 2 serial ports |
| Serial USB | USB 2.0 |
| UPS Control | EIA-232 Serial Port |
| **Video Capture and Output Performance** | |
| Video Input Card | Winnov Videum 4400 or better |
| Video Graphics | Image Overlay |
| CODEC Algorithm | Windows Media Encoder: MPEG-4 |
| Video Formats | 160x120, 176x144 (QCIF), 320X240, 352X288 (CIF), 640X480 |
| Data Rates | 28.8Kbs, 56Kbs, 64Kbs, 128Kbs, 192Kbs, 384Kbs, 512Kbs, 784Kbs |
| JPEG Image Dimensions | 80x60, 160x120, QCIF, 320x240, CIF, 640x480 |
| JPEG Sample Rate | Selectable from 30sec to 24hour |
| Video Input Connector | RCA or BNC |
| **Physical Requirements** | |
| Enclosure | Industrial 2U size, 482mm(19") rack mountable |
| Operating Temperature | -20 to +70˚C |

**OUTPUT REQUIREMENTS**

2. The video source shall be encoded and delivered as follows.
   - 2.1 Two video streams delivered simultaneously at various display sizes and data rates.
   - 2.2 Provide a JPEG image in various size, resolution, and sample rate.
   - 2.3 The JPEG image file naming convention shall be user definable using a single file name (rewritten) or an auto incremented file name using date and time.
   - 2.4 The JPEG image storage destination shall be selected to local disk and/or remote disk.
3. Image overlay shall be possible on all video output.
   - 3.1 The image overlay software must allow the image to be "keyed". This has the appearance of the background showing through the fill or logo.

**SERIAL PORT INTERFACE**

4. The two RS232 ports shall be capable of the following:
   - 4.1 Speed shall range from 110bps to 115kbps bits per second
   - 4.2 Parity shall be selected from even, odd, none
   - 4.3 Data bits shall be selected from 7 or 8
   - 4.4 Stop bits shall be selected from 1 or 2
   - 4.5 Flow Control shall be selected from Xon/Xoff, Hardware, None

**PERFORMANCE**

5. The encoder shall behave as follows:
   - 5.1 Upon power-up, reset, or power interrupt the encoder shall automatically initialize and begin encoding the current video profiles. e.g. the following two streaming outputs: 640x480@15FPS, 176x144@6FPS, and a 320x240-JPEG image pushed to a file every minute.
   - 5.2 A thermal monitor may be enabled to maintain the processor within the published specification.

**ACCEPTANCE TEST PROCEDURE**

6. Caltrans shall test encoders to operate at +70°C for 4 hours under the following configuration.
   - 6.1 The encoder shall be configured to encode a 640x480 stream and archive to local disk.

6.2 The frame rate will such that 90% CPU usage will be maintained.
6.3 This video file shall be contiguous and without error when played back through the decoder.

7. If a failure occurs the complete lot shall be individually tested and any failed units returned in warranty exchange for a new unit to test. This testing shall continue until the order is complete.

**10-6.17 CCTV UNINTERRUPTABLE POWER SUPPLY**

The Contractor shall furnish two (2) Uninterruptible Power Supplies (UPS). The Contractor shall deliver the encoders to the engineer for testing, configuration and installation. The UPS shall meet or exceed the following specifications.

**UPS SPECIFICATIONS**

| | |
|---|---|
| **PHYSICAL REQUIREMENTS** | |
| Enclosure | EIA 482mm(19”) Rack Mount - 1U (H) |
| Operating Temperature | 0 - 40 °C (32 - 104°F) |
| Audible Alarm | Alarm when on battery |
| Network Port | Ethernet (network management) |
| Interface Port | DB-9 RS-232 |
| Power Down | Intelligent interface allows safe shut down |
| **ELECTRICAL CHARACTERISTICS** | |
| Input Voltage | 120V 60Hz |
| Rated Power | 450VA |
| Output Power | 270 Watts |
| Nominal Output Voltage | 120V |

**10-6.18 MULTIPLE OUTLET STRIP-RACK MOUNT**

One multiple outlet strip-rack mount shall be furnished and installed in each camera controller cabinet (State-furnished Model 334C cabinet or Contractor Furnished ITS/ATC Cabinet). The multiple outlet strip-rack mount shall conform to the following requirements:

Mounting: 482mm(19-inch) rack mount
Number of outlets: 6 or greater
Electrical rating: 15A, 125 VAC. 60 Hz
Circuit Breaker: 12A, 125 VAC
Maximum Surge Current (8x20 Microsecond Surge Pulse): 6500 A or greater
Maximum Energy Dissipation: 210 J or greater for total combined Hot-to-Neutral, Hot-to-Ground and Neutral-to-Ground
Modes of Surge Protection: Hot-to-Neutral
Clamping Response Time: less than 1 nanosecond
Modes of Noise Protection: Transverse and Common
Noise Attenuation: 20 to 40 dB
Noise Frequency range: 150 kHz-to-100 Mhz
UL 1449 Rating: 400 V minimum
Type of cordset: SJT 14/3

**10-6.19 PAYMENT**

The contract lump sum prices paid for closed circuit television system at the various locations shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing closed circuit television systems , complete in place, including CCTV camera assembly, any ancillary or incidental items required to provide full equipment operation at each location, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## SECTION 10-7. COMMUNICATION EQUIPMENT

**10-7.01 GLOSSARY**

ADPCM Adaptive Differential Pulse Code Modulation

| | |
|---|---|
| ALBO | Automatic Line Buildout. ALBO provides automatic cable equalization in T1 span-line interface equipment. |
| AMI | Alternate Mark Inversion |
| AT&T | American Telephone and Telegraph Company |
| BER | Bit error rate |
| BERTS | Bit error rate test set |
| bps | bits per second |
| CCITT | Consultative Committee on International Telegraphy and Telephony |
| CGA | Carrier Group Alarm. A service alarm showing out-of-frame (OOF) conditions in the multiplexer. |
| CRC | Cyclic Redundancy Check |
| DS0 | Digital Signal, level zero. A 64 kbps signal. It is equal to one voice conversation digitized under pulse code modulation. |
| DS1 | Digital Signal, level one. A 1.544 Mbps digital signal carried on a T1 transmission facility. |
| DSP | Digital Signal Processor |
| DSU | Data Service Unit |
| DSX-1 | Digital Signal Cross-connect Level 1. A set of standard electrical parameters for cross-connecting DS1 lines. |
| DSX | Digital System Cross-connect frame. A bay or panel to which T1 lines and DS1 circuit packs are wired and that permits cross-connections by patch cords and plugs. |
| DTMF | Dual Tone Multi-Frequency |
| E & M | Ear and Mouth |
| ERL | Echo Return Loss |
| ESF | Extended Super Frame. A T1 format that uses the 193rd bit as a framing bit. ESF provides frame synchronization, cyclic redundancy checking and data link bits. |
| FXO | Foreign Exchange Office |
| FXS | Foreign Exchange Subscriber |
| GS | Ground Start |
| HAR | Highway Advisory Radio |
| I/O | Input / Output |
| IRE | An IRE is 1/100 part of the luminance (blanking to reference white) range. The zero IRE shall be at the blanking level and 100 IRE at reference white level. IRE below blanking level shall be referred to as negative values. |
| ISDN | Integrated Services Digital Network |
| LBO | Electrical Line Build Out |
| LGS | Loop Ground Start |
| LS | Loop Start |
| OCU | Office Channel Unit |
| MVDS | Microwave Vehicle Detection System |
| NTSC | National Television Systems Committee |
| PCM | Pulse Code Modulation |
| PLAR | Private line, Automatic Ringdown |
| PLR | Pulse Link Repeater |
| ppm | periodic pulse metering |
| QRSS | Quasi Random Signal Source |
| SRL | Signal Return Loss |
| Switched 56 | A switched line digital service provided by the Private Telephone Network. The service supports 56,000 bits per second data rate only. |
| T1 | A standard digital transmission link with a capacity of 1.544 Mbps normally handles 24-voice channels at 64 kbps. |
| TLP | Transmission Level Point |
| VF | Voice Frequency |
| μ-law | PCM coding and companding standard used in North America. |

**10-7.02 GENERAL**

Communication equipment shall conform to all rules and regulations of the Federal Communications Commission (FCC) and shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions.

The Contractor shall arrange to have a technician, qualified to work on the communication equipment and employed by the communications equipment manufacturer or his representative, present at the time the equipment is turned on.

Prototype equipment is not acceptable. All equipment shall be current standard production units and shall have been in use for a minimum of 6 months. Rebuilt or reconditioned equipment will not be allowed.

All rack mounted equipment and card cage assemblies shall have metal filler plates to cover any unused channel slots or card slots.

**10-7.03 COMMUNICATION HUB**

The communication hub shall consist of the following:

| Qty (EA) | Description |
|---|---|
| 1 | 482mm (19”) EIA RACK AND CABINET SYSTEM |
| 2 | Fiber Distribution Frame (4 FDUs Minimum Per Frame) |
| 1 | Cable Ladder System |
| 24 | SMFO Jumpers |
| 1 | Media Converter Chassis Assembly |
| 15 | UTP to SMFO Media Converter |
| 24 | CAT5e UTP Jumper Cables |
| 1 | 10/100 Ethernet Switch |
| 1 | Ethernet Router |
| 2 | Uninterruptible Power Supply |
| 2 | Portable Air Cooling Unit |
| 1 | Ethernet Telephone Extender |
| 1 | Network Management Workstation |

All communications hub assembly equipment shall be rack mounted and located in a 482mm (19”) EIA Rack and Cabinet System.

All electrical work for the 482mm (19”) EIA Rack and Cabinet System shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions.

The 482mm (19”) EIA Rack and Cabinet System shall be wired for a 100 A, 60-cycle, 3-wire, 120/240-volt single phase service.

The fiber distribution frame and fiber distribution unit (FDU) are specified in Section 10-4, "Fiber Optic Communication System" elsewhere in these special provisions.

**482mm (19”) EIA RACK AND CABINET SYSTEM**

All communications hub equipment shall be rack mounted and located in a 482mm (19”) EIA Rack and Cabinet System. All electrical work for the 482mm (19”) EIA Rack and Cabinet System shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions.

Alarm features shall be provided in the 482mm (19”) EIA Rack and Cabinet System shall provide local audio and visional indicators and remotely be connected to an alarm terminal port for connection to the transportation management center, as shown on the plans and described in "Communication Equipment" elsewhere in these special provisions. The following is a list of alarms and conditions for the alarms, that shall be provided showing the status of the 482mm (19”) EIA Rack and Cabinet System:

High Humidity Alarm - activated over an adjustable humidity range, set between 20 to 85 percent.

High and Low Temperature Alarm - activated over an adjustable high temperature range between 80 to 140º F. and an adjustable low temperature range between 30 to 75º F.

Commercial Power Alarm (failure) - activated whenever commercial power is interrupted for more than 2 cycles.

The EIA Rack and Cabinet covers the furnishing and installation of one (1) 482mm (19”) EIA Racks and Cabinet. The Contractor shall provide one (1) standard 482mm (19”) EIA racks in the cabinet with all hardware and necessary equipment. The 482mm (19”) EIA Rack and Cabinet shall meet the following specifications:

The cabinet shall be designed with vertical extrusions that incorporate integral front and rear flanges for 482mm (19”) mounting. This shall provide a fixed 482mm (19”) mounting plane recessed 60 mm (2.36”) from the front of the cabinet.

The side covers shall be installed on the right and left side of the outer cabinets.

Grounding terminals shall be available on each rack.

The 482mm (19”) EIA racks shall be standard 38U x 600mm x 800mm frame.

The cabinet shall have a top fan tray and 115 AC fans installed that allow airflow at a minimum of 550 CFM. Fans shall be powered by rack mounted surge suppressors.

The cabinet shall have a full length lockable tempered glass front and back door.

The Contractor shall provide 6 full size cabinet shelves and 1 keyboard pullout shelves.

The cabinet shall have access for the installation of various types of cables from the top. All power and data access holes shall be separated.

The cabinet shall be securely attached to the floor at its base.

The cabinet shall be securely attached to the cabinet beside it using manufacturer hardware.

The Contractor shall provide all necessary hardware, screws, nuts, washers and auxiliary materials required to assemble the 482mm (19") EIA Rack and Cabinet.

The Contractor shall furnish the following rack mountable items:

3-482mm (19") x 2134mm (84") racks.

2 rack mounted surge suppressors for each rack.

6-Five-ring, 482mm (19") wide, 44mm (1.75") high low profile cord manager.

2-48 port RJ-45, Category 5e, T568B, 16 gauge steel black painted finish patch panel with white write-on areas silk-screened below each connector (front has 48 ports RJ-45 four pair connectors; rear has 110 IDC punch downs). Printed circuit boards and plastic components are fire-retardant materials rated UL 94V-O.

Two keyboard pull out trays.

24-3M (10') CAT 5, 4 pair, 24 AWG, network patch cables, terminated on T568B terminal.

24-1.8M (6') CAT 5, 4 pair, 24 AWG, network patch cables, terminated on T568B terminal.

**CABLE LADDER SYSTEM**

The Contractor shall provide a cable ladder system suspended 80 mm (3") above the cabinet and shall run from the incoming fiber optic conduits to the EIA Rack and Cabinet as shown.

Ladder system shall be grounded at the nearest wall outlet.

Ladder system shall be a minimum of 305mm (12") wide and 51mm (2") deep. The Contractor shall furnish all brackets and shall install ladder in accordance with the manufacturer specifications.

**UNINTERRUPTIBLE POWER SUPPLY**

One uninterruptible power supply shall be furnished and installed by the Contractor in the 482mm (19") EIA Rack and Cabinet System. The uninterruptible power supply shall meet the following requirements:

Input: 108-132 VAC, 55-65 Hz minimum range without battery drain
Output: 120 +/- 3% VAC, 60 Hz
Output Waveform: sinewave 2 percent THD maximum
Design type: double conversion, on-line
Backup Capacity: 1400 watts/2000 VA for 0.5 hours minimum at full load
Operating Temperature: 0-60$^{\circ}$ C minimum range
Mounting: 482mm(19-inch) rack

**10/100 ETHERNET ROUTER**

The 10/100 Ethernet Router shall be a Cisco 2620XM or equivalent and meet the following requirements:

482mm(19") Rack Mountable on a chassis,
Flash Memory: 16MB,
System Memory: 32MB,
Integrated WIC Slots 1DSU – T1 1port T1 DSU/CSU: 2,
Network Module – 2 WAN Card Slot: 2,
Router Operating System: 1,
Onboard LAN Ports: 2,
Redundant Power Supply: 2,
Power Supply: 50W Maximum (+5V, +12V, -12V) AC,
Power Output: 5V @ 9.5A, +12V @ 1.2A, -12V @ 0.5A)'
AC Input Voltage: 100 to 240VAC,
AC Frequency: 47 – 63 Hz,
DC Input Voltage: -38 to -75VDC,
DC Input Current: 2.0 amps,

Power Dissipation: 75W maximum,
Operating Temperature: 0 to 60 C.

Technical support for the 10/100 Ethernet Router shall be provided for a period of one (1) year. Technical support contracts include:
Major Router Operating System software updates in protocol, security, bandwidth, and feature improvements,
Full access rights to technical libraries for technical assistance, electronic commerce, and product information,
24-hour-a-day access to technical support staff.

**10/100 24 PORT ETHERNET SWITCH**

The 10/100 24-port Ethernet Switch shall be a Cisco C2950-24 24-port 10/100 Switch with standard image or equivalent and meet the following requirements:

**Performance**

8.8-Gbps switching fabric
Cisco Catalyst 2950-24: 4.8 Gbps maximum forwarding bandwidth
Cisco Catalyst 2950-24: 3.6-Mpps wire-speed forwarding rate
8-MB packet buffer memory architecture shared by all ports
16 MB DRAM and 8 MB Flash memory
Configurable up to 8000 MAC addresses

**Standards**

IEEE 802.1x support
IEEE 802.3x full duplex on 10BASE-T and 100BASE-TX ports
IEEE 802.1D Spanning-Tree Protocol
IEEE 802.1p CoS prioritization
IEEE 802.1Q VLAN
IEEE 802.3 10BASE-T specification
IEEE 802.3u 100BASE-TX specification
IEEE 802.3ad
IEEE 802.3z 1000BASE-X specification

**Connectors and cabling**

10BASE-T ports: RJ-45 connectors, two-pair Category 3, 4, or 5 unshielded twisted-pair (UTP) cabling;
100BASE-TX ports: RJ-45 connectors, two-pair Category 5 UTP cabling;
Management console port: 8-pin RJ-45 connector, RJ-45-to-DB9 adapter cable for PC connections; for terminal connections, RJ-45-to-DB25 female data-terminal-equipment (DTE) adapter.

**Power connectors**

Internal power supply connector.
The internal power supply shall be an autoranging unit. The internal power supply shall support input voltages between 100 and 240 VAC. A supplied AC power cord shall connect the AC power connector to an AC power outlet.

**Redundant Power System Connector, 675-watt**

The connector shall have a connection for an option to use AC input and supply DC output to the switch. The connector shall have a 675-watt redundant power system that can support six external network devices and provides power to one failed device at a time. The connector shall automatically sense when the internal power supply of a connected device fails and shall provide power to the failed device, preventing loss of network traffic.

**Redundant Power System Connector, 300-watt**

The connector shall have a connection for an option to use AC input and supply DC output to the switch. The connector shall have a 300-watt redundant power system that can support six external network devices and provides power to one failed device at a time. The connector shall automatically sense when the internal power supply of a connected device fails and shall provide power to the failed device, preventing loss of network traffic.

**Indicators**

Per-port status LEDs: link integrity, disabled, activity, speed, and full-duplex indications.
System status LEDs: system, RPS, and bandwidth utilization indications.

**Environmental ranges**
Operating temperature: 32 to 113°F (0 to 45°C)
Storage temperature: –13 to 158°F (–25 to 70°C)
Operating relative humidity: 10 to 85 percent (noncondensing)
Operating altitude: Up to 10,000 ft (3000 m)
Storage altitude: Up to 15,000 ft (4500 m)

**Power requirements**
Power consumption: 30W (maximum), 102 BTUs per hour

**AC input voltage/frequency: 100 to 127 or 200 to 240 VAC (autoranging), 50 to 60 Hz**
DC input voltages for Cisco RPS 300: +12V @ 4.5A
Technical support for the 10/100 24-port Ethernet Switch shall be provided for a period of one (1) year. Technical support contracts include:
Major 10/100 24-port Ethernet Switch software updates in protocol, security, bandwidth, and feature improvements,
Full access rights to technical libraries for technical assistance, electronic commerce, and product information,
24-hour-a-day access to technical support staff.

**MEDIA CONVERTER CHASSIS ASSEMBLY.**—The media converter chassis assembly shall have capacity for 19 stand-alone UTP to SMFO media converters. Overall dimensions for the media converter chassis assembly shall be 89mm(3.5") (H) x 432mm(17.15") (W) x 352mm(14.0") (D) made from an aluminum chassis, and having an unloaded weight of under 21 pounds. Operating temperature shall have a range of 0 º C. to 60 º C. Media converter chassis assembly shall have two (2) power supplies for the UTP to SMFO media converters with line cord with input power range of 115 VAC ± 15 percent, 60 Hz.

**UTP TO SMFO MEDIA CONVERTER**.—The UTP to SMFO media converter shall have an SC type connector for single mode fiber, UTP port with 10/100 and half/full duplex auto-negotiation and a crossover switch, backplane Ethernet ports for connectivity to adjacent modules, graphical SNMP-based network management, hot-swappable mounting in a 19-Unit chassis, LED displays for immediate visual status of each port, conform to IEEE 802.3, 10Base-T, 100Base-Tx and 100Base-Fx specifications. The UTP to SMFO media converter shall meet the following requirements:

Protocols: 100Base-Fx, 10Base-T , 100Base-Tx
Copper Connectors: RJ-45
Fiber Connectors: SC
Controls: UTP X-over, LS/LP, RFD, Auto/Man, 10/100, FD/HD
LED Displays: Power, FO link, UTP link, BP link, Auto, FD/HD, 10/100
Compliance: UL, CE, FCC Class A
Operating Temperature: 0 to 50 C, Certified
Distance: 60km
Wavelength: 1310nm
Minimum Transmit Power: -5 dBm
Minimum Receive Sensitivity: -31 dBm
Link Budget: 26 dBm

**PORTABLE AIR COOLING UNIT**.—The Portable Air Cooling Unit shall be a portable, compact and self contained air cooling unit for localized cooling of the communications room. The Portable Air Cooling Unit shall have energy saving, programmable on/off feature that shall allow setting the unit power schedule. The Portable Air Cooling Unit shall have an auto restart feature eliminating the need for to tend the unit after unexpected power outages. The Portable Air Cooling Unit shall have redundancy through standard dual condensate pumps to prevent unit shutdown if one condensate pump failures. The warm air discharge shall be vented out of the communications room using a ducting system that meets the manufacturer's requirements. The Portable Air Cooling Unit shall meet the following requirements:

Cooling capacity: 4kW
Nominal input voltage: 120 V
Input Power: 700 watts
Input frequency: 60 Hz +/- 3 Hz
Air Flow: 500.0 CFM
UL Approved

**CAT5e UTP CABLE**.--CAT5e UTP Cable shall meet requirements as specified in the TIA/EIA-568B standards. CAT5e UTP Cable shall be 2 meters long and terminated with RJ-45 connectors.

### 10-7.04 NETWORK MANAGEMENT WORKSTATION

The network management workstation shall be capable of managing and monitoring network elements consisting routers, switches, video encoders, Ethernet telephone extenders, UTP to SMFO media converters and device servers using a standard management protocol such as SNMP or CMIP. The network management workstation shall run an ISO compliant network management program to collect, process and display information from all managed network elements. The network management program shall have features as listed below:

Fault Management: Detect, isolate, notify, and correct faults encountered in the network.

Configuration Management: Configuration aspects of network devices such as configuration file management, inventory management, and software management.

Performance Management: Monitor and measure various aspects of performance so that overall performance can be maintained at an acceptable level.

Security Management: Provide access to network devices authorized individuals.

Accounting Management: Usage information of network resources.

The Network Management Workstation shall meet the following requirements:

CPU: Pentium 4, 3.0GHz,
Memory: 512DDR RAM,
Hard drive: 80GB,
CD-RW: 52X24X52,
Video: 8X AGP Graphics card with 128DDR memory,
Network interface: 10/100 Ethernet,
Mouse: three button mouse,
Keyboard: 101 keyboard,
Floppy drive: 1.44MB, 89mm(3.5”),
Rack mount: 482mm(19”), 2U maximum,
Power: 350 watt, ATX type,

Monitor: 428mm(17”) Flat Panel LCD color monitor, small footprint, minimum rack space, low-energy consumption, maximum resolution of up to 1280 x 1024 pixels, tested and validated to work with the Network Management Workstation.

Operating system: Windows 2000 or Unix with X-windows

### 10-7.05 TRANSPORTATION MANAGEMENT CENTER (TMC) EQUIPMENT

New equipment added to the existing TMC on this project shall consist of the following:

| Qty (EA) | Description |
|---|---|
| 2 | Device Server |
| 2 | RS-232 Serial Cable |
| 1 | Ethernet Telephone Extender |
| 1 | Power Strip |

All equipment shall be rack mounted and located in the TMC. The TMC is located at 3165 Gold Valley Drive, Rancho Cordova, California..

The Contractor shall deliver the TMC equipment to the engineer for testing, configuration and installation by others.

#### 10-7.05A DEVICE SERVER

The Device Server shall connect the Front End Processor to the Caltran Wide Area Network using the IP protocol family as required through a TCP data channel or through a Telnet connection to computers or a remote Device Server attached to a Model 170 Controller. Provisions shall be made so datagrams can also be sent by UDP. The device server shall pass all RS-232 signals and shall drop the carrier without delay after the last byte sequence is transmitted. The Device Server shall meet the following requirements:

Controllers: Ethernet Controller
Serial Interface: RS-232C DB25 connector (DCE pinout)
Speed: software selectable (300 to 115 kBaud)

Network Interface: 10Base-T (RJ45 connector)
Power Supply: External adapter included 110VAC
Power Input: 9-30VDC (3 W maximum)
Temperature Operating range: 0° to 60°
Temperature Storage range: -40° to 66° C
Relative Humidity Operating: 10% to 90% noncondensing, 40% to 60% recommended
Relative Humidity Storage: 10% to 90% noncondensing

Another Device Server shall connect a CMS RS-232 serial port on the ATMS Server to the Caltrans Wide Area Network using the same IP protocols and technical requirements as described above.

**10-7.05B RS-232 SERIAL CABLE**

The RS-232 serial cable shall consist of 6 No. 22, stranded tinned copper conductors. Each conductor shall be insulated with 0.25mm(0.010-inch), minimum nominal thickness, color coded polypropylene material. Conductors shall be in twisted pairs. Each pair shall be wrapped with an aluminum polyester shield and shall have a No. 22 or larger, stranded, tinned copper drain wire inside the shielded pair. The cable jacket shall be polyvinyl chloride, rated for a minimum of 300 volts and 60° C., and shall have a nominal wall thickness of 1.0mm(0.040-inch), minimum. The cable shall be 0.9 meter (3 feet) long with a connector for termination to the Front End Processor or the CMS RS-232 serial port on the AMTS Server, and a DB25 termination to the device server with pinouts as required by the device server manufacturer .

**10-7.05C ETHERNET TELEPHONE EXTENDER**

The Ethernet telephone extender shall be connected to the HAR control computer. The Ethernet Telephone Extender shall enable HAR telephone service over the Caltrans Wide Area Network via 10Base-T Ethernet connections. It shall have a built-in Codec that digitizes voice and converts it to packets for transmission between the HAR and the HAR computer at the TMC with designated IP addresses. It shall be compatible with PBX and Key Systems (POTS). A Web-based Configuration user interface (most browsers including Microsoft Windows Explorer, etc.) is to be provided to view network settings or change IP addresses from the factory default.

The Ethernet telephone extender shall have a minimum of two (2) RJ-11 ports for analog voice grade telephone to full communications functionality with existing Caltrans field elements. The Ethernet telephone extender shall support standard analog modems capable of functioning as voice-over-Ethernet gateway to existing PBXs. Multiple Ethernet telephone extenders distributed across the Ethernet network shall behave as a single system providing 100% feature transparency between remote field element sites and the TMC. All Ethernet telephone extenders shall have the capability to be extension dialed, and calling parties be routed throughout the network making every site seem like a part of the system. The Ethernet telephone extender shall be configured for voice encoding of linear G.711, ADPCM, and G.729a to optimize system performance and network bandwidth. The Ethernet telephone extender shall have dynamic echo cancellation, dynamic jitter buffering and lost packet handling to deliver low latency and toll quality voice performance. The Ethernet telephone extender shall have SNMP capabilities.

The Ethernet telephone extender shall meet the following minimum technical requirements:

**Audio Bandwidth:** Telephone 300Hz to 3.4Khz
Ethernet Bandwidth with ADPCM 32Kbit/s compression 113K bps, With ADPCM 16Kbit/s compression 57K bps
**Electrical:** Phone Connector RJ-11 Female (2-wire), Ring voltage 80Vrms at 20Hz, FXO Input Impedance 600 Ohm, FXS output Impedance 600 Ohm, Ethernet (10Base-T) RJ-45 Female, Baud Rate 10Mbps
**Alarm/Ring:** Dry Contact Normal OPEN
**Indicators:**
System status ALARM, PWR A, PWR B, VccA, VccB
Telephone Signal Status Tx and Rx Volume, Off-hook, FXO, FXS, Ring, Hot Link
Ethernet Signal Status Sync, Link Status, Activity, DHCP, Master/Slave
**Power Source:** Standard 12VDC @ 600mA (Max), Optional 24VDC, or 115/230VAC with an external power cube
**Temperature:** Operating –20 C to 70 C, Storage –40 C to 90 C, Humidity 95% non-condensing
**Physical: 482mm(**19”) rack mount unit

**10-7.06 CMS/TMS INTERCONNECT**

The CMS/TMS Interconnect shall consist of the following equipment located in the 334 controller cabinet:

| Qty (EA) | Description |
|---|---|
| 1 | 170 Interface Cable |
| 1 | Device Server |
| 1 | CAT5e UTP Jumper Cable |
| 1 | UTP to SMFO media converter |
| 2 | SMFO Jumpers |
| 1 | Interconnect/Termination Unit |
| 1 | Power Strip |

The Contractor shall furnish and install CMS/TMS Interconnect to the engineer for testing, configuration and installation as shown on the plans.

**10-7.06A 170 INTERFACE CABLE**

Interface cable shall consist of 6 No. 22, stranded tinned copper conductors. Each conductor shall be insulated with 0.25mm(0.010-inch), minimum nominal thickness, color coded polypropylene material. Conductors shall be in twisted pairs. Each pair shall be wrapped with an aluminum polyester shield and shall have a No. 22 or larger, stranded, tinned copper drain wire inside the shielded pair.

The cable jacket shall be polyvinyl chloride, rated for a minimum of 300 volts and 60° C., and shall have a nominal wall thickness of 1.0mm(0.040-inch), minimum. The cable shall be 0.9 meter (3 feet) long with a connector for termination to the Model 170 controller and a DB25 termination to the device server with pinouts as required by the manufacturer. The connector at the Model 170 controller shall meet the following requirements:

| Amphenol or Equivalent | |
|---|---|
| Part | Number |
| Shield | 201378-2 |
| Block | 201298-1 |
| Guide Pin | 200390-4 |
| Socket | 200389-4 |

The cable shall have the following pin configuration:

| | Model 170 Controller | |
|---|---|---|
| Function | Pin No. | Function |
| Ground | N | DC Ground |
| Carrier Detect | H | DCD |
| Data Out | L | Rx Data |
| Data Ground | N | DC Ground |
| Data In | K | Tx Data |
| Ready To Send | J | RTS |
| Clear To Send | M | CTS |

**10-7.06B POWER STRIP**

One power strip-rack mount shall be furnished and installed. The power strip-rack mount shall conform to the following requirements:

Mounting: 482mm(19-inch) rack mount
Number of outlets: 6 or greater
Electrical rating: 15A, 125 VAC. 60 Hz
Circuit Breaker: 12A, 125 VAC
Maximum Surge Current (8x20 Microsecond Surge Pulse): 6500 A or greater
Maximum Energy Dissipation: 210 J or greater for total combined Hot-to-Neutral, Hot-to-Ground and Neutral-to-Ground
Modes of Surge Protection: Hot-to-Neutral

Clamping Response Time: less than 1 nanosecond
Modes of Noise Protection: Transverse and Common
Noise Attenuation: 20 to 40 dB
Noise Frequency range: 150 kHz-to-100 Mhz
UL 1449 Rating: 400 V minimum
Type of cordset: SJT 14/3

**10-7.06C INTERCONNECT/TERMINATION UNIT (ITU)**

The Contractor shall furnish and install all related equipment to interface the interconnect/termination unit to the incoming fiber optic communications cable and the patchcord fiber optic cable.

The ITU shall be a modular enclosure that provides interconnect capability of one multi-fiber cable to a minimum of 12 single-fiber cables. The ITU shall be environmentally sealed and contain grommets at the cable entrances to prevent any ingress of dirt or moisture. Strain relief shall be provided for the fiber optic cable. The ITU shall have 2 splice trays. All unused fibers shall be terminated on the connector panel even though the cables are not to be used at this time. Brackets shall be provided to spool the incoming fiber optic cable a minimum of 3 turns before separating out individual fibers to the connector panel.

The ITU shall meet the following physical requirements:
482mm(19-inch) rack mountable
Size (DxH): 305mmX45mm(12" x 1.75"), maximum
Style: Metal enclosure with hinged door. Door shall have a latch or thumbscrew to hold the door in the closed position.

An opening shall be provided on the back side for the incoming fiber optic communications cable. Connector panels (for up to 12 SC connectors) shall be provided inside the enclosure. Strain relief shall be provided for the incoming fiber optic cable. A guard shall be provided to protect the patchcord fiber optic cables plugged into this enclosure.

**10-7.07 EMS INTERCONNECT**

The EMS Interconnect shall consists of the following equipment located in a Model 334 controller cabinet without the 170 controller, input files or input file chassis:

| Qty (EA) | Description |
|---|---|
| 1 | Ethernet Power Switch |
| 1 | CAT5e UTP Cable |
| 1 | UTP to SMFO media converter |
| 2 | SMFO Jumpers |
| 1 | Interconnect/Termination Unit |
| 1 | Power Strip |

The Contractor shall deliver three (3) EMS Interconnect to the engineer for testing, configuration and installation.

**10-7.07A ETHERNET POWER SWITCH**

The Ethernet power switch shall provide Remote Power Management and Control using two methods for accessing configuration and switching functions. The Web Browser Interface method shall consists of a series of simple, easy-to-use web page menus that allows the selection of configuration parameters or initiation of switching operations using the Ethernet network. The Command Line Interface shall be an ASCII menu system, which allows configuration and operation of the Ethernet power switch via telnet over TCP/IP network, via modem connection or via local PC using a terminal program such as Hyperterminal or TeraTerm. The Ethernet power switch shall meet the following minimum technical requirements:

Features:
Web Browser Access for Easy Setup and Operation
Encrypted Password Security
Expandable to Five (5) Individual Outlets
Each Outlet can Switch a 15 Amp Load
On / Off / Reboot Switching
IP Addressed, 10Base-T Interface
RS232 Modem / Console Port
Network Security Features
Manual Power Control Button
Power Input/Output: (115VAC)

AC Inputs: 15 Amps Max
Voltage: 105 - 120 VAC, 60 Hz
Connectors: IEC-320 Inlet, Line Cord
Supplied
AC Outputs: One (1), Expandable to Five
Connector: NEMA 5-15 Outlet
Load: 15 Amps Total
Console / Modem Port Interface:
Connector: DB9M, RS232C, DTE
(9-to-9 Pin null Cable Provided)
Coding: Serial ASCII, 8 Bits, No Parity
Physical / Environmental
Size:
Height: 4.5cm(1.75")
Depth: 17.8cm(7.00")
Operation Temperature: 0°C to 60°C (32°F to 122°F)
Humidity: 10 - 90% RH

**10-7.08 HAR INTERCONNECT**

The HAR Interconnect shall consists of the following equipment in the existing HAR cabinet:

| Qty (EA) | Description |
|---|---|
| 1 | RJ-11 Telephone Cable |
| 1 | Ethernet Telephone Extender |
| 1 | CAT5e UTP Cable |
| 1 | UTP to SMFO media converter |
| 2 | SMFO Jumpers |
| 1 | Interconnect/Termination Unit |
| 1 | Power Strip |

The Contractor shall furnish three (3) HAR Interconnect to be delivered to the engineer for testing, configuration and installation.

**10-7.08A RJ-11 TELEPHONE CABLE**

RJ-11 Telephone Cable shall meet USOC specifications and shall be 28 AWG, 22 pF/ft. and 45 ohms/100ft.

**10-7.09 RWIS INTERCONNECT**

The RWIS Interconnect shall consist of the following equipment located in a NEMA 4X cabinet with slide out trays as required:

| Qty (EA) | Description |
|---|---|
| 1 | RWIS Interconnect Cabinet |
| 1 | RWIS Interface Cable |
| 1 | Device Server |
| 1 | CAT5e UTP Cable |
| 1 | UTP to SMFO media converter |
| 2 | SMFO Jumpers |
| 1 | Interconnect/Termination Unit |
| 1 | Power Strip |

The Contractor shall furnish one (1) RWIS Interconnect to be delivered to the engineer for testing, configuration and installation.

### 10-7.09A RWIS INTERCONNECT CABINET

The RWIS Interconnect Cabinet shall be mounted to the existing RWIS support pole and is an outdoor cabinet with a 482mm(19-inch) equipment rack and thermostat controlled dual fan that contains all of the equipment listed under RWIS Interconnect. The RWIS Interconnect Cabinet shall be installed as shown on the plans at each RWIS location. The RWIS Interconnect Cabinet shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions:

Electrical: The RWIS Interconnect Cabinet shall be wired for a 5 A, 60-cycle, 120/240-volt single phase service from the RWIS cabinet power supply.

Housing: includes enclosure, doors, latches/locks, hinges, and door catches, ventilation, gasketing, and cage supports and mounting.

Cabinet Cage: standard EIA 482mm(19-inch) equipment rack to be mounted inside of the RWIS Interconnect Cabinet.

Cabinet size: Outside: 907mm(36") H x 604mm(24") W x 504mm(20") D +/-1.26mm(0.5")

Openings with doors open: 737mm(29") H x 546mm(21.5") W +/-1.26mm(0.5")

Available inside rack space: 692mm(27.25") H x 504mm(20") W x 400mm(15.75") D +/- 1.26mm(0.5")

### 10-7.09B RWIS INTERFACE CABLE

The RWIS Interface cable shall consist of 6 No. 22, stranded tinned copper conductors. Each conductor shall be insulated with 0.25mm(0.010-inch), minimum nominal thickness, color coded polypropylene material. Conductors shall be in twisted pairs. Each pair shall be wrapped with an aluminum polyester shield and shall have a No. 22 or larger, stranded, tinned copper drain wire inside the shielded pair.

The cable jacket shall be polyvinyl chloride, rated for a minimum of 300 volts and 60° C., and shall have a nominal wall thickness of 1.0mm(0.040-inch), minimum. The cable shall be 1m(3 feet) long with a connector for termination to the RWIS controller and a DB25 termination to the device server with pinouts as required by the device server manufacturer. The connector at the RWIS controller shall be terminated with pinouts for the RWIS controller.

### 10-7.10 PAYMENT

The contract lump sum price paid for CMS/TMS Interconnect shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing CMS/TMS Interconnect, complete in place, including all ancillary or incidental items required to provide full equipment operation at each site, as shown on plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for EMS Interconnect shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing EMS Interconnect, complete in place, including all ancillary or incidental items required to provide full equipment operation at each site, as shown on plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for HAR Interconnect shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing HAR Interconnect, complete in place, including all ancillary or incidental items required to provide full equipment operation at each site, as shown on plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for communication hub shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing communication hub assemblies, complete in place, including all ancillary or incidental items required to provide full equipment operation at each site, as shown on plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for RWIS Interconnect shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing RWIS Interconnect, complete in place, including all ancillary or incidental items required to provide full equipment operation at each site, as shown on plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract lump sum price paid for transportation management center equipment shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing the traffic management center, complete in place, including all ancillary or incidental items required to provide full equipment operation, as shown on plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## SECTION 10-8. SYSTEM TESTING AND DOCUMENTATION

### 10-8.01 DESCRIPTION

This specification covers the system integration testing which is required to validate the operational performance of the communications system.

The Contractor shall be responsible for testing and shall provide documentation of State-furnished equipment and material installed by others that are part of the communications system. The Contractor shall not be responsible for the performance of State-furnished material or material installed by others beyond verifying its performance, isolating inferior performance to State-furnished material or material installed by others when applicable, and reporting detailed findings to the Engineer. In no way does this relieve the Contractor of his responsibility to install properly and correct at his expense any damage to State-furnished material caused by his operations.

### 10-8.02 PRE-INSTALLATION TESTING

This section relates to the tests of all material, equipment, and cable in a laboratory environment prior to delivery to the project site. All material, except test equipment and special tools, shall be bench tested in accordance with these special provisions and with the "Pre-Installation Testing" sections elsewhere in these special provisions for each individual item where applicable.

All active equipment shall be connected to normal operating power, energized and subjected to normal operating conditions for a continuous period of time in the laboratory of not less than 48 hours.

Functional Testing shall be performed by the manufacturer on all material prior to delivery to the site. The functional tests shall be performed in accordance with an approved test plan. Any material or equipment which fails to meets the requirements of the Contract shall be repaired or replaced and the test shall be repeated until satisfactory. All functional test results, including results of failed tests or retests, shall be submitted and delivered with all material and equipment delivered to the site.

Full performance test shall be performed by the manufacturer or by the Contractor on not less than 5 percent or at least one unit of material selected at random from the normal production run. The full performance test shall be performed in accordance with an approved test plan. The tests shall demonstrate that the design and production of material and equipment meets the requirements of the Contract. Full environmental conditions shall be tested as part of the functional tests for field equipment.

### 10-8.03 PHYSICAL

The Contractor shall provide documentation to prove delivery of all material, equipment, cable, and documentation. If any material or documentation is outstanding or have been replaced under pre-acceptance warranty a physical inspection and documentation shall be provided for this material. The physical inspection shall consist of inspecting all installed material to ensure workmanship satisfies the specified requirements.

### 10-8.04 SUBSYSTEM TESTING

**General.--**The Contractor shall test all material, equipment, and cable after installation prior to acceptance tests. These tests shall be done in accordance with the "Performance Testing" sections elsewhere in these special provisions for each individual item where applicable.

The Contractor shall supply all test equipment required.

The Contractor shall submit an installation and test plan which details the method of installation and site testing for all material, equipment, and cable and the associated schedule of activities. Five copies of the installation and test plan shall be submitted to the Engineer for approval.

This equipment and hardware shall be installed in accordance with the plans and special provisions. All material, equipment and cable shall be tested upon delivery and after installation at the site. Tests and inspections shall include:

Visual inspection for damaged or incorrect installation;
Adjustments and alignment; and
Measurement of parameters and operating conditions.

These tests shall be performed in accordance with the approved installation and test plan.

The Contractor shall notify the Engineer of his intent to proceed with installation and testing 48 hours prior to commencement of each test.

Installation documentation and test results shall be provided for all material, equipment and cable prior to submission of the acceptance test plan and commencement of acceptance tests. This documentation shall be in accordance with the Contract and shall include the following as appropriate:

Model and part number for all material.
Test equipment model number, serial number, settings, and date of last calibration.
All strap and switch settings.
Record of all adjustments and levels.
Alignment measurements.

Identification of interconnections.
All factory, laboratory and site test results.

**Fiber Optic Cable Testing.--**Fiber optic cable testing shall conform to the provisions in Section 10-4, "Fiber Optic Communication System" of these special provisions.

**Data Link Testing.--**This section is for the testing of the data system. The activities shall include verification of all data circuits.

The test shall be conducted in accordance to an approved installation and test plan.

The Contractor shall adjust levels required for the data system to operate.

## 10-8.05 ACCEPTANCE TESTING

This section relates to the requirements for acceptance tests and includes the preparation of an acceptance test plan, conducting acceptance tests and subsequent retests, and documentation of the results.

Final acceptance tests shall be conducted after the Site test results have been reviewed and accepted by the Engineer. These tests include the complete system in normal operations.

The Contractor shall submit 5 copies of the acceptance test plan to the Engineer for approval prior to commencement of acceptance testing. The acceptance test plan shall address the full testing requirements of the specifications. The acceptance test plan shall detail all tests to be performed, the test results which are expected and the test schedule. The acceptance test plan will include the following major test and acceptance categories:

Physical Inspection
Functional tests
Performance tests

The Contractor shall test the communications system according to the approved acceptance test plan and shall provide all test equipment, labor and ancillary items required to perform the testing. The test equipment shall be certified to be calibrated to the manufacturers' specifications. The model and part numbers and date of last calibration of all test equipment shall be included with the test results.

Acceptance testing shall not commence until all material required by the contract is delivered, installed, and aligned and all production test and site test documentation and results have been approved by the Engineer.

All acceptance test results shall be fully documented and such documentation provided as a condition of acceptance.

## 10-8.06 FUNCTIONAL TESTS

The Contractor shall test all system functions to demonstrate that all circuits, cameras, camera control, CMS, TMS, EMS and all equipment satisfies the functional requirements of the specifications.

This testing shall include subjective testing of each camera image and verification of camera control from the camera control unit. The connectivity of each data channel shall be demonstrated.

The Contractor shall document all functional test results.

In the event that any aspect of the functional tests are determined by the Engineer to have failed, the Contractor shall cease all acceptance testing and determine the cause of the failure and make repairs to the satisfaction of the Engineer. Acceptance testing shall, at the discretion of the Engineer, be repeated from the start of functional tests.

**Performance Tests.--**The Contractor shall conduct operational performance tests on the following:

All data circuits operational from the communications hub assembly to the field element equipment.

## 10-8.07 FINAL ACCEPTANCE

The system will not be accepted until all of the following conditions have been met as follows:

Physical, functional, subsystem, and performance tests have been completed and the results are approved by the Engineer.

All documentation has been completed and submitted to the Engineer.

All connections that were changed to perform acceptance tests are restored and tested.

## 10-8.08 SYSTEM DOCUMENTATION

The Contractor shall submit a draft copy of all documentation for review and approval prior to production of documentation. The Engineer will review and approve or reject the draft documentation within 4 weeks of submittal.

The Contractor shall modify the documentation if required and submit provisional documentation. The Engineer will approve or reject the provisional documentation within 3 weeks of submittal. The Contractor shall arrange for resubmission in a timely manner to meet the schedule in the case that the documents are being rejected.

Draft documentation shall be submitted 8 weeks prior to the start of installation. The draft documentation shall show the general approach in preparing the final manuals.

Upon approval of the draft documentation, provisional documentation shall be supplied 3 weeks prior to the start of site testing. The provisional documentation shall be of the same format as the final manuals but with temporary insertion for items which cannot be finalized until the system is completed, tested and accepted. Final documentation shall be submitted no later than 4 weeks after completion of the acceptance tests and shall incorporate all comments made during the approval stages. The Contractor shall be responsible for all delay caused by non-compliance to the specified requirements.

Final documentation shall be approved prior to its production.

Twenty copies of all final documents shall be delivered. The copies shall be 8.5" x 11" and bound in 3-ring, hard-covered binders, complete with dividers.

Documentation shall consist of the following types of manuals and drawings and shall include the information described:

The operations and maintenance (O and M) manual shall provide all the information necessary to operate, maintain, and repair the equipment and cable to the lowest module or component level. The manual shall contain as a minimum the following:

**Master Items Index**

This shall be the first section of the O and M manual. The section shall describe the purpose of each manual and brief description to the directory of the manual. It shall also reference equipment manuals as required for additional and support material.

**System Description and Technical Data**

This section shall contain an overall description of the system and associated equipment and cables with illustrative block diagrams. This section shall identify all equipment and cables in the system stating the exact module and option number that are employed in the system. Technical data, specification and settings for every type of equipment or cable shall be provided. Any modification that has been done on the equipment shall be clearly described.

**Theory of Operation**

The manual shall contain a functional description of each element of the system, explaining how each function is being achieved separately and how each element works together to form the complete system.

**Software Documentation**

Proper documentation for all software shall be provided. The software documentation shall include a clear description of the system's functionalities and specifications. Description on each software modules and programs shall be provided. The Contractor shall supply related programming and system user manuals, application and utilities software user manual and all associated proprietary software manuals. Software listing of all custom programs shall also be provided.

**Operations**

The manual shall describe how to operate the system and each particular type of equipment and software. Equipment layout, layout of controls, displays, software operating procedures, and all other information required to correctly operate the system and each functional unit shall be provided. Procedures shall be provided for initial tune-up of the system and adjustment and checkout required to ensure that the system is functioning within the performance requirements. Warning of special procedures shall be given. The functions and setting of all parameters shall be explained.

**Corrective Maintenance**

The manual shall include fault diagnostic and repair procedures to permit the location and correction of faults to the level of each replaceable modules. Procedures shall include alignment and testing of the equipment following repair, the test equipment, tools, diagnostic software required and the test set up.

**Preventative Maintenance**

The manual shall include procedures for preventative maintenance in order to maintain the performance parameters of the system, equipment and cables within the requirements of the specifications.

**Parts List**

The manual shall include a list of all replaceable parts with exact parts description and number and a directory of recommended suppliers with correspondence address, telephone, and fax numbers.

**Test Results**

This section shall include a copy of the results for all the tests that has been conducted for the contract.

System schematic drawings shall be provided to identify the type of equipment at each location and the function of all equipment. The drawings shall also show how the system is interconnected. A comprehensive list of cabling and wiring shall be provided to clearly identify the interconnection and labeling of all equipment supplied under this contract, State-furnished or existing.

### 10-8.09 PAYMENT

The contract lump sum price paid for system testing and documentation shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in performing the system testing and documentation, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## SECTION 10-9. TRAINING FOR FIBER OPTIC OPERATION AND MAINTENANCE

### 10-9.01 DESCRIPTION

A training course shall be developed by the Contractor and shall be given to the Engineer and designate personnel. A training area will be provided by the State at the District 03 RTMC, 3165 Gold Valley Drive, Rancho Cordova, CA 95742.

The contractor shall notify the engineer two (2) weeks prior to start of training. The course shall provide training for technical personnel and shall follow a training outline prepared by the Contractor. The Contractor shall provide all materials and instructors for the course. The course shall be not less than four 8-hour (excluding lunch and breaks) days in duration. No more than 25 State employees with technical backgrounds will attend this course. Each person shall receive a training manual. The training manual shall be written especially for the freeway CCTV and communications system and shall provide complete procedures for operating, maintaining, and troubleshooting the cable plant, CCTV assembly, communication hub assembly, TMS cabinet assembly, and Model 170 controller interface. The maintenance section of the training course shall cover preventive, routine, and emergency maintenance procedures. The emergency maintenance discussion shall provide recommendations for the provisioning and use of emergency repair kits to assist maintenance crews. Ten copies of the project manual shall be given to the Engineer.

A maintenance training manual shall also be provided following the 4-day course and shall be written so that maintenance personnel will be able to use the manual in trouble shooting, installing, preventive maintenance, and designing future fiber optic systems. Each student shall be given a manual. A copy of the manual shall be given to the Design Engineer 90 days after the job has started and 90 days before the class is to be held for approval. Nine extra copies shall be provided (4 copies for disign, 3 copies for construction, and 2 copies for operations).

The 4-day part of the training course shall consist of 20 hours of class work and 12 hours of hands-on lab work.

Classroom shall consist of the following:

| | |
|---|---|
| Introduction of Fiber Optics | Fiber Optics Installations |
| Applications | Optical Testing |
| Fiber Optic Theory | Fiber Optics Maintenance |
| Optical Fiber | Fiber Optics Restoration |
| Connectors | Fiber Optic Safety |
| Patch Panels | Advance Technologies Review |
| Splices/Splicing | Light Sources |
| Detectors | Repeators |
| Physical Plant Systems Design | Design Examples |

Classroom Lab shall consist of the following (Hands-On):

| | |
|---|---|
| Acceptance Testing | Optical Testing |
| Cable Preparation | Patch Panel Prepartion |
| Connectorization | OTDR Testing |
| Splicing | Test Documentation |

The lab groups or stations shall consist of not more then 3 people per station.

The course shall also include field training using operational equipment at the communication hub, camera locations, CMS, and TMS locations. The field training shall include operational checkout of the camera site and shall discuss the location of access to the various system field elements. The field training shall also cover how the different systems were installed, problems with the installation, and trouble shooting and maintenance tips for the different systems.

The field training shall be in groups not larger then 5 but may be performed in more than one day upon choice of the Contractor.

The training course shall be designed to assist maintenance and other personnel in trouble shooting and understanding not only the system that was installed but also existing and future projects.

The Engineer will notify the Contractor of the number of State personnel who will attend. The course shall be completed prior to the acceptance of the contract.

**10-9.02 PAYMENT**

The contract lump sum price paid for fiber optic operation and maintenance training shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in training State personnel for fiber optic operation and maintenance, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

## SECTION 11. (BLANK)

## SECTION 12. (BLANK)

## SECTION 13. (BLANK)

## SECTION 14 FEDERAL REQUIREMENTS FOR FEDERAL-AID CONSTRUCTION PROJECTS

**GENERAL**.—The work herein proposed will be financed in whole or in part with Federal funds, and therefore all of the statutes, rules and regulations promulgated by the Federal Government and applicable to work financed in whole or in part with Federal funds will apply to such work. The "Required Contract Provisions, Federal-Aid Construction Contracts, "Form FHWA 1273, are included in this Section 14. Whenever in said required contract provisions references are made to "SHA contracting officer," "SHA resident engineer," or "authorized representative of the SHA," such references shall be construed to mean "Engineer" as defined in Section 1-1.18 of the Standard Specifications.

**PERFORMANCE OF PREVIOUS CONTRACT**.—In addition to the provisions in Section II, "Nondiscrimination," and Section VII, "Subletting or Assigning the Contract," of the required contract provisions, the Contractor shall comply with the following:

The bidder shall execute the CERTIFICATION WITH REGARD TO THE PERFORMANCE OF PREVIOUS CONTRACTS OR SUBCONTRACTS SUBJECT TO THE EQUAL OPPORTUNITY CLAUSE AND THE FILING OF REQUIRED REPORTS located in the proposal. No request for subletting or assigning any portion of the contract in excess of $10,000 will be considered under the provisions of Section VII of the required contract provisions unless such request is accompanied by the CERTIFICATION referred to above, executed by the proposed subcontractor.

**NON-COLLUSION PROVISION**.—The provisions in this section are applicable to all contracts except contracts for Federal Aid Secondary projects.

Title 23, United States Code, Section 112, requires as a condition precedent to approval by the Federal Highway Administrator of the contract for this work that each bidder file a sworn statement executed by, or on behalf of, the person, firm, association, or corporation to whom such contract is to be awarded, certifying that such person, firm, association, or corporation has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with the submitted bid. A form to make the non-collusion affidavit statement required by Section 112 as a certification under penalty of perjury rather than as a sworn statement as permitted by 28, USC, Sec. 1746, is included in the proposal.

**PARTICIPATION BY MINORITY BUSINESS ENTERPRISES IN SUBCONTRACTING**.—Part 23, Title 49, Code of Federal Regulations applies to this Federal-aid project. Pertinent sections of said Code are incorporated in part or in its entirety within other sections of these special provisions.

Schedule B—Information for Determining Joint Venture Eligibility

(This form need not be filled in if all joint venture firms are minority owned.)

1. Name of joint venture _____
2. Address of joint venture _____
3. Phone number of joint venture _____
4. Identify the firms which comprise the joint venture. (The MBE partner must complete Schedule A.) _____
   _____

   a. Describe the role of the MBE firm in the joint venture. _____
      _____
   b. Describe very briefly the experience and business qualifications of each non-MBE joint venturer: _____
      _____

5. Nature of the joint venture's business _____
   _____
6. Provide a copy of the joint venture agreement.
7. What is the claimed percentage of MBE ownership? _____
8. Ownership of joint venture: (This need not be filled in if described in the joint venture agreement, provided by question 6.).

   a. Profit and loss sharing.
   b. Capital contributions, including equipment.
   c. Other applicable ownership interests.

9. Control of and participation in this contract. Identify by name, race, sex, and "firm" those individuals (and their titles) who are responsible for day-to-day management and policy decision making, including, but not limited to, those with prime responsibility for:

   a. Financial decisions _____
   b. Management decisions, such as:

      (1) Estimating _____
      (2) Marketing and sales _____
      (3) Hiring and firing of management personnel _____
      _____
      (4) Purchasing of major items or supplies _____
      _____

   c. Supervision of field operations _____

Note.—If, after filing this Schedule B and before the completion of the joint venture's work on the contract covered by this regulation, there is any significant change in the information submitted, the joint venture must inform the grantee, either directly or through the prime contractor if the joint venture is a subcontractor.

**Affidavit**

"The undersigned swear that the foregoing statements are correct and include all material information necessary to identify and explain the terms and operation of our joint venture and the intended participation by each joint venturer in the undertaking. Further, the undersigned covenant and agree to provide to grantee current, complete and accurate information regarding actual joint venture work and the payment therefor and any proposed changes in any of the joint venture arrangements and to permit the audit and examination of the books, records and files of the joint venture, or those of each joint venturer relevant to the joint venture, by authorized representatives of the grantee or the Federal funding agency. Any material misrepresentation will be grounds for terminating any contract which may be awarded and for initiating action under Federal or State laws concerning false statements."

| | |
|---|---|
| Name of Firm | Name of Firm |
| Signature | Signature |
| Name | Name |
| Title | Title |
| Date | Date |

Date _____

State of _____

County of _____

On this ____ day of _____, 20___, before me appeared (Name) _____, to me personally known, who, being duly sworn, did execute the foregoing affidavit, and did state that he or she was properly authorized by (Name of firm) _____ to execute the affidavit and did so as his or her free act and deed.

Notary Public _____

Commission expires _____

[Seal]

Date _____

State of _____

County of _____

On this ___ day of _____, 20___, before me appeared (Name) _____ to me personally known, who, being duly sworn, did execute the foregoing affidavit, and did state that he or she was properly authorized by (Name of firm) _____ to execute the affidavit and did so as his or her free act and deed.

Notary Public _____

Commission expires _____

[Seal]

## REQUIRED CONTRACT PROVISIONS
## FEDERAL-AID CONSTRUCTION CONTRACTS

### I. GENERAL

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.

3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.

4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

   Section I, paragraph 2;
   Section IV, paragraphs 1, 2, 3, 4, and 7;
   Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.

6. Selection of Labor - During the performance of this contract, the contractor shall not:

   a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or

   b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

### II. NONDISCRIMINATION

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $10,000 or more.)

1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

   a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.

   b. The contractor will accept as his operating policy the following statement:

      "It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action

shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

2. **EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.

3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

   a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

   b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

   c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.

   d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

   e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

   a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.

   b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)

   c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.

5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

   a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

6. **Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

7. **Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.

b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the SHA.

8. **Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

   a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.

   b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.

   c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

9. **Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

   a. The records kept by the contractor shall document the following:

      (1) The number of minority and non-minority group members and women employed in each work classification on the project;

      (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;

      (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and

      (4) The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.

   b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

## III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $10,000 or more.)

a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.

b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, time clocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).

c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of $10,000 or more and that it will retain such certifications in its files.

## IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding $2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. **General:**

a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3)] issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c) the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.

c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

2. **Classification:**

a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.

b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:

(1) the work to be performed by the additional classification requested is not performed by a classification in the wage determination;

(2) the additional classification is utilized in the area by the construction industry;

(3) the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and

(4) with respect to helpers, when such a classification prevails in the area in which the work is performed.

c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be

sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

3. **Payment of Fringe Benefits:**

a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.

b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

4. **Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:**

a. Apprentices:

(1) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.

(2) The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

(3) Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in

the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

(4) In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

(1) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.

(2) The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(3) Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.

(4) In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c**.** Helpers**:**

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under an approved definition, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.

5. **Apprentices and Trainees (Programs of the U.S. DOT):**
Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

6. **Withholding:**
The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage

requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

7. **Overtime Requirements:**
No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

8. **Violation:**
Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of $10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

9. **Withholding for Unpaid Wages and Liquidated Damages:**
The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

## V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding $2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

1. **Compliance with Copeland Regulations (29 CFR 3):**

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

2. **Payrolls and Payroll Records:**

a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.

b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show

that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.

c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted shall set out accurately and completely all of the information required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029-005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.

d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;

(2) that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;

(3) that each laborer or mechanic has been paid not less that the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.

e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.

f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.

g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

## VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

1. On all Federal-aid contracts on the National Highway System, except those which provide solely for the installation of protective devices at railroad grade crossings, those which are constructed on a force account or direct labor basis, highway beautification contracts, and contracts for which the total final construction cost for roadway and bridge is less than $1,000,000 (23 CFR 635) the contractor shall:

a. Become familiar with the list of specific materials and supplies contained in Form FHWA-47, "Statement of Materials and Labor Used by Contractor of Highway Construction Involving Federal Funds," prior to the commencement of work under this contract.

b. Maintain a record of the total cost of all materials and supplies purchased for and incorporated in the work, and also of the quantities of those specific materials and supplies listed on Form FHWA-47, and in the units shown on Form FHWA-47.

c. Furnish, upon the completion of the contract, to the SHA resident engineer on Form FHWA-47 together with the data required in paragraph 1b relative to materials and supplies, a final labor summary of all contract work indicating the total hours worked and the total amount earned.

2. At the prime contractor's option, either a single report covering all contract work or separate reports for the contractor and for each subcontract shall be submitted.

## VII. SUBLETTING OR ASSIGNING THE CONTRACT

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).

   a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.

   b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

## VIII. SAFETY - ACCIDENT PREVENTION

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of

compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

## IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

### Notice To All Personnel Engaged On Federal-Aid Highway Projects

18 U.S.C. 1020 READS AS FOLLOWS:

"Whoever being an officer, agent, or employee of the United States, or any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined not more that $10,000 or imprisoned not more than 5 years or both."

## X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 et seq., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.

2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.

3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.

4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

## XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

1. **Instructions for Certification - Primary Covered Transactions:**
(Applicable to all Federal-aid contracts - 49 CFR 29)

a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.

f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

2. **Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion — Primary Covered Transactions:**

a. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

(2) Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and

(4) Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

3. **Instructions for Certification - Lower Tier Covered Transactions:**
(Applicable to all subcontracts, purchase orders and other lower tier transactions of $25,000 or more - 49 CFR 29)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

4. **Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion — Lower Tier Covered Transactions:**

a. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

b. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

## XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed $100,000 - 49 CFR 20)

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed $100,000 and that all such recipients shall certify and disclose accordingly.

## FEDERAL-AID FEMALE AND MINORITY GOALS

In accordance with Section II, "Nondiscrimination," of "Required Contract Provisions Federal-aid Construction Contracts" the following are the goals for female utilization:

Goal for Women (applies nationwide).....(percent) 6.9

The following are goals for minority utilization:

### CALIFORNIA ECONOMIC AREA

| | | Goal (Percent) |
|---|---|---|
| **174** | **Redding, CA:** | |
| | Non-SMSA Counties | 6.8 |
| | CA Lassen; CA Modoc;CA Plumas;CA Shasta; CA Siskiyou; CA Tehama. | |
| **175** | **Eureka, CA** | |
| | Non-SMSA Counties | 6.6 |
| | CA Del Norte; CA Humboldt; CA Trinity. | |
| **176** | **San Francisco-Oakland-San Jose, CA:** | |
| | SMSA Counties: | |
| | 7120 Salinas-Seaside-Monterey, CA | 28.9 |
| | CA Monterey. | |
| | 7360 San Francisco-Oakland | 25.6 |
| | CA Alameda; CA Contra Costa; CA Marin; CA San Francisco; CA San Mateo. | |
| | 7400 San Jose, CA | 19.6 |
| | CA Santa Clara. | |
| | 7485 Santa Cruz, CA. | 14.9 |
| | CA Santa Cruz. | |
| | 7500 Santa Rosa, CA | 9.1 |
| | CA Sonoma. | |
| | 8720 Vallejo-Fairfield- Napa, CA | 17.1 |
| | CA Napa; CA Solano | |
| | Non-SMSA Counties | 23.2 |
| | CA Lake; CA Mendocino; CA San Benito | |
| **177** | **Sacramento, CA**: | |
| | SMSA Counties: | |
| | 6920 Sacramento, CA | 16.1 |
| | CA Placer; CA Sacramento; CA Yolo. | |
| | Non-SMSA Counties | 14.3 |
| | CA Butte; CA Colusa; CA El Dorado; CA Glenn; CA Nevada; CA Sierra; CA Sutter; CA Yuba. | |
| **178** | **Stockton-Modesto, CA:** | |
| | SMSA Counties: | |
| | 5170 Modesto, CA | 12.3 |
| | CA Stanislaus. | |
| | 8120 Stockton, CA | 24.3 |
| | CA San Joaquin. | |
| | Non-SMSA Counties | 19.8 |
| | CA Alpine; CA Amador; CA Calaveras; CA Mariposa;CA Merced; CA Tuolumne. | |

| | | Goal (Percent) |
|---|---|---|
| **179** | **Fresno-Bakersfield, CA** | |
| | SMSA Counties: | |
| | 0680 Bakersfield, CA | 19.1 |
| | CA Kern. | |
| | 2840 Fresno, CA | 26.1 |
| | CA Fresno. | |
| | Non-SMSA Counties | 23.6 |
| | CA Kings; CA Madera; CA Tulare. | |
| **180** | **Los Angeles, CA:** | |
| | SMSA Counties: | |
| | 0360 Anaheim-Santa Ana-Garden Grove, CA | 11.9 |
| | CA Orange. | |
| | 4480 Los Angeles-Long Beach, CA | 28.3 |
| | CA Los Angeles. | |
| | 6000 Oxnard-Simi Valley-Ventura, CA | 21.5 |
| | CA Ventura. | |
| | 6780 Riverside-San Bernardino-Ontario, CA. | 19.0 |
| | CA Riverside; CA San Bernardino. | |
| | 7480 Santa Barbara-Santa Maria-Lompoc, CA | 19.7 |
| | CA Santa Barbara. | |
| | Non-SMSA Counties | 24.6 |
| | CA Inyo; CA Mono; CA San Luis Obispo. | |
| **181** | **San Diego, CA:** | |
| | SMSA Counties | |
| | 7320 San Diego, CA. | 16.9 |
| | CA San Diego. | |
| | Non-SMSA Counties | 18.2 |
| | CA Imperial. | |

In addition to the reporting requirements set forth elsewhere in this contract the Contractor and subcontractors holding subcontracts, not including material suppliers, of $10,000 or more, shall submit for every month of July during which work is performed, employment data as contained under Form FHWA PR-1391 (Appendix C to 23 CFR, Part 230), and in accordance with the instructions included thereon.