

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROJECT BUSINESS MATTERS
Second Cycle AB 1012 Unobligated RSTP,
CMAQ and Regional TEA
“Use it or Lose It” Balance Report:

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CTC Meeting: June 13, 2002
Reference No.: 3.5

Original Signed By:
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June 3, 2002

**SECOND CYCLE AB 1012 UNOBLIGATED RSTP CMAQ AND REGIONAL TEA
“USE IT OR LOSE IT”BALANCE REPORT**

ISSUE

Sections 182.6 and 182.7 of the Streets and Highways Code stipulate that Regional Surface Transportation Program (RSTP) and Congestion Mitigation and Air Quality (CMAQ) funds apportioned for programming by regional planning agencies be obligated within three years of the start of the federal fiscal year (FFY) for which the funds are received. The California Transportation Commission (Commission) may reprogram funds not obligated within the three-year period.

BACKGROUND

The Department provided the 2nd cycle notification to regional agencies on December 5, 2000. The deadline to obligate funds prior to potential reprogramming by the Commission was December 5, 2001. Some agencies required extensions of the obligation deadline for their CMAQ, RSTP, and Regional TEA funds. The Department submitted extension requests to the Commission at the December and January meetings. The Commission granted extensions of the obligation deadline to June 5, 2002 for all requests except Orange County. Orange County was granted an extension to December 3, 2002.

UPDATED AB 1012 CYCLE 2 UNOBLIGATED BALANCE REPORT

The Department submitted extension requests as follows:

- CMAQ – extensions requested for \$13 million from 4 agencies, with a total of \$8.5 million subject to reprogramming.
- RSTP – extension requested for \$24.8 million from Orange, with the same amount subject to reprogramming.
- R-TEA – extensions requested for \$22.5 million from 15 agencies with a total of \$7.3 million subject to reprogramming.

At the time of the Cycle 2 notice, approximately \$277 million was subject to programming. As of April 30, 2002, that balance has been reduced to \$25.8 million. More than \$21 million of this amount belongs to Orange County Transportation Authority (OCTA), which was granted an extension to obligate these funds until December 3, 2002. Removing OCTA’s balance leaves only \$4.4 million, or

less than two percent, remaining to be obligated by the other regions. Seven regions have completely cleared all Cycle 2 balances subject to reprogramming. Four regions are awaiting final approval of environmental documents. When obtained, an additional \$1.3 million will be obligated. The Department is continuing its efforts to assist regions obligate all remaining Cycle 2 balances. Additional information will be presented at the August Commission meeting.

The attached chart shows specific CMAQ, RSTP, and R-TEA Cycle 2 amounts subject to reprogramming by region.

Attachment

**AB 1012 Cycle 2
Extension Requests
Status Report
(As of 4/30/02)**

REGION	Fund Type	Amount Subject to Reprogramming as of 4/30/02	Comments
Butte	CMAQ	378,112	No additional input received from region.
Riverside	CMAQ	971,840	Riverside has made great strides in reducing their balance subject to reprogramming. The initial amount of Cycle 2 funds subject to reprogramming was \$7,824,607. The region anticipates all funds will be obligated or in process by the June 5 deadline
San Benito	CMAQ	0	All Cycle 2 balances have been obligated
Tahoe	CMAQ	90,598	Tahoe did not submit an extension request even though there was a balance subject to reprogramming. The balance has decreased from \$126,398 to \$90,598. No additional information has been received from the agency.
Total	CMAQ	\$1,440,550	
Orange	RSTP	21,352,131	Orange was granted an extension until 12/3/2002.
Del Norte	R-TEA	135,148	Paperwork has been submitted to the District to obligate these funds.
Humboldt	R-TEA	377,516	Amount subject to Cycle 2 reprogramming was been reduced from \$431,516. The region has identified 4 projects for these funds. However, obligation of these funds has been delayed due to DBE and environmental requirements. The district is confident that 3 out of the 4 projects should be cleared by the June 5 deadline.
Inyo	R-TEA	755,400	Project was delayed due to weather conditions. Progress is now being made and \$74,586 has been obligated out of a projected cost of \$646,269.
Lassen	R-TEA	195,000	Balance is a result of incorrect project coding and improper fund use. DLA is currently working with Accounting to resolve this issue. Corrections should result in a zero balance subject to Cycle 2 reprogramming.
Los Angeles	R-TEA	0	All Cycle 2 balances subject to reprogramming have been obligated
Mariposa	R-TEA	0	All Cycle 2 balances subject to reprogramming have been obligated

**AB 1012 Cycle 2
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Mono	R-TEA	168,005	Mono has reduced their Cycle 2 balance subject to reprogramming from \$251,000 to \$168,005. Projects had been delayed due to transition to a new Federal database for authorizing projects.
Monterey	R-TEA	0	All Cycle 2 balances subject to reprogramming have been obligated
Plumas	R-TEA	120,000	Environmental studies are currently undergoing final review. Two historical sites were found, and appear to be eligible for the National Register of Historic Places. This may cause further project delays.
Riverside	R-TEA	0	All Cycle 2 balances subject to reprogramming have been obligated
San Benito	R-TEA	0	All Cycle 2 balances subject to reprogramming have been obligated
Santa Barbara	R-TEA	490,614	Region is awaiting final approval of Historic Structural Survey & Archaeological Survey reports. As soon as approval is obtained, funds will be obligated.
Siskiyou	R-TEA	346,497	Region is awaiting final approval of the APE map for one project, and the SHPO report for another project.
Tahoe	R-TEA	0	All Cycle 2 balances subject to reprogramming have been obligated
Tulare	R-TEA	398,160	Tulare has reduced their Cycle 2 balance subject to reprogramming from \$964,586 to \$398,160. The two projects for which extensions were requested have been obligated. However, the amounts were not enough to completely offset their Cycle 2 balance subject to reprogramming. The only project remaining in house is not yet ready to obligate. Therefore, it is likely that the remaining funds will be available for reprogramming.
Total	R-TEA	\$2,986,340	