

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROGRAM BUSINESS
Local Assistance Lump Sum Allocation
Resolution:

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Original Signed By:
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QUARTERLY LOCAL ASSISTANCE LUMP SUM ALLOCATION STATUS REPORT FOR 2001-02 FISCAL YEAR

Background

The Local Assistance Program administers the local assistance subvention budget under authority for the California Transportation Commission (Commission). The Commission provides an annual lump sum allocation consistent with the Budget Act. The commission further delegates to the Department the authority to adjust allocations for local assistance and reports to the Commission if any transfers in or out of an expenditure category exceed 10 percent of the total allocation.

In June 2001, the Commission passed Resolution FM-01-2, the allocation of funds for local assistance for fiscal year 2001-02. The allocation included approximately \$79 million in state funds and \$917 million in federal funds for a total of \$996 million.

In May 2002, the Commission passed Resolution FM-01-04 approving the exchange of state funds for federal funds for the Safe Routes to School Program, cycle two projects. The amount approved was \$20.7 million. The state and federal allocations have been adjusted to reflect the exchange as follows: \$99 million in state funds and \$896 million federal funds for a total of \$996 million.

Local Assistance Lump sum Allocation Status for the Fiscal Year (FY) 2001-2002

As of April 30, 2002, \$661 million or 66.4% of the \$996 million allocated has been expended. These funds were allocated for 915 local projects. The majority of these funds are for:

Surface Transportation Program – 253 projects, \$195.4 million
Congestion Mitigation & Air Quality Program (CMAQ) – 177 projects, \$257.9 million
TEA Program, Regional Share – 90 projects, \$28.9 million

The remaining 395 projects are a summary of all other funds or 43 % of the total projects.

Sixty eight percent of the federal funds allocated have been expended and 56% of the state funds have been used. The increase in the state funds expended is due to the STP match and exchange of \$46.7 million during the month of April.

Three categories have exceeded the original allocation made by the Commission. The Miscellaneous category has expenditures exceeding over 350% of the allocation for this category. However, this represents only \$8.2 million of the \$661 million expended to date. Review of these charges has resulted in a list of projects that have been incorrectly coded. Adjustments will be done for the appropriate projects and categories.

The allocations for the Railroad Grade Crossing Protection and the Hazard Elimination & Safety (HES) were adjusted down to reflect the Safe Routes to Schools Program approved exchange of state funds for federal funds. The allocation adjustments were appropriate since the SR2S Program, Railroad Grade Crossing Protection and HES programs are under the umbrella of safety funds. Prior to the allocation adjustment, these two categories had incurred expenditures and currently exceed the adjusted allocation amount by 182% and 154% respectively. These expenditures are within the total lump sum allocation.

The Demonstration Projects category also exceeded the amount initially allocated. The allocation request for this category was initially zero since specific projects expected to receive funds during this fiscal year were not known. Including a category for Demonstration projects in the allocation from the Commission allows the Department to make allocations for these projects using excess funds from other categories.

The delivery to date for fiscal year 2001-02 (\$661 million) is lower compared to the same period last year (\$860 million). Based on historical trends, expenditures are expected to increase during the last quarter of this fiscal year and next fiscal year.

Attachment

LOCAL ASSISTANCE FUNDS
FY 2001-02
(As of April 30, 2002)

(Dollars in 1,000)

Fund Description	CTC Allocation			Total Expenditures			Allocation Balance			Percent of Allocation Expended	Number of Projects
	State	Federal	Total	State	Federal	Total	State	Federal	Total	Total	Total
Surface Transportation Pgrm (STP)		331,100	331,100		138,070	138,070	0	193,030	193,030	41.7%	242
STP State Match and Exchange	46,000		46,000	46,709		46,709	(709)		(709)	101.5%	85
Congestion Mitigation & Air Qual Pgrm (CMAQ)		350,235	350,235		27,486	27,486	0	322,749	322,749	7.8%	114
Bridge Scour & State Match	900	3,300	4,200	273	1,091	1,364	627	2,209	2,836	32.5%	4
Highway Bridge Rehabilitation & Replacement (HBRR)		98,645	98,645		30,168	30,168	0	68,477	68,477	30.6%	80
Bridge Seismic Retrofit State Match	15,000	54,300	69,300	1,290	5,191	6,481	13,710	49,109	62,819	9.4%	66
RR Grade Crossing Protection		9,394	9,394		17,118	17,118	0	(7,724)	(7,724)	182.2%	27
RR Grade Crossing Maintenance	4,250		4,250	0	0	0	4,250	0	4,250	0.0%	
Railroad Grade Separations	7,500		7,500	0	0	0	7,500	0	7,500	0.0%	
Hazard Elimination & Safety (HES)		8,304	8,304		12,799	12,799	0	(4,495)	(4,495)	154.1%	74
Safe Routes to School	20,665		20,665	0	0	0	20,665	0	20,665	0.0%	
Transportation Enhancement Activities, Regional Share		39,760	39,760	21	27,111	27,132	(21)	12,649	12,628	68.2%	86
Transportation Enhancement Activities Exchange	3,000		3,000	2,925		2,925	75	0	75	97.5%	16
Demonstration Projects		0	0		49,442	49,442	0	(49,442)	(49,442)		20
Miscellaneous	2,100	1,100	3,200	4,009	7,424	11,433	(1,909)	(6,324)	(8,233)	357.3%	23
Total Local Assistance Subvented Funds	99,415	896,138	995,553	55,227	315,900	371,127	44,188	580,238	624,426	37.3%	837
FTA Transfers					289,545	289,545	0	(289,545)	(289,545)		78
Total Local Assistance Including FTA Transfers	99,415	896,138	995,553	55,227	605,445	660,672	44,188	290,693	334,881	66.4%	915

Assumptions:

- The amounts do not include Freeway Service Patrol or Bay Area Ferry Operations.
- FTA transfers are \$57,376,700 for RSTP, \$230,435,922 for CMAQ and \$1,732,000 for TEA. These numbers are through April 2002.
- Misc. expenditures include projects not included elsewhere.
- Balances are based on allocation requests.
- The Allocation balance is the difference between CTC allocation and Total Encumbrances.
- FAU/FAS allotment and expenditures is shown under STP
- Expenditures and Number of Projects is from new LP2000 report - Local Assistance Funds dated May14, 2002.