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CTC Meeting: August 22-23, 2001

Agenda Item: 4.3

*Original Signed By* \_\_\_\_\_

MARK LEJA  
Acting Chief Financial Officer  
August 1, 2001

**DELEGATION OF ALLOCATION AUTHORITY FOR  
TRAFFIC CONGESTION RELIEF PROGRAM (TCRP) AND STATE TRANSPORTATION  
IMPROVEMENT PROGRAM FUNDS FOR TCRP PROJECTS  
RESOLUTION G-01- , AMENDING RESOLUTION G-00-23**

**RECOMMENDATION:**

As requested by the California Transportation Commission (Commission) at its July 2001 meeting, the Department of Transportation (Department) has prepared and recommends that the Commission approve the attached amending resolution. The amending resolution clarifies the existing delegated authority for the allocation of TCRP funds, as authorized under Resolution G-00-23, and further expands this delegation of authority for TCRP projects that are also programmed in the State Transportation Improvement Program (STIP). The Department recommends that the expanded delegated allocation authority take effect starting January 1, 2002. As part of the bi-annual TCRP status report to be presented to the Commission in June and December of each year, the Department will include a list of projects expected to receive allocations (TCRP and/or STIP) within the six-month period following the month of the report. Under this Resolution, the Department will be authorized to allocate funds limited to the TCRP and/or STIP projects on the list that are:

1. Consistent with the scope of work and funding amount in the approved TCRP application;
2. Approved for future consideration of funding, as applicable;
3. Consistent with any approved minor/major change amendments;
4. Consistent and in conformance with the project as programmed in the STIP.

If the allocation request does not meet the above requirements, the request will be presented to the Commission for its approval.

**BACKGROUND:**

The Department currently has delegated authority to approve allocations pursuant to the TCRP guidelines adopted by the Commission through Resolution G-00-23. The Department has broad delegation authority in regards to TCRP funds. In the spirit of utilizing the TCRP as a laboratory for

innovative and streamlining opportunities, the Commission granted delegated authority to provide for the timely start of TCRP project phases. However, many of the TCRP projects are not fully funded and rely on various funding sources to complete the overall funding plan. One major funding source is the STIP, which the Commission currently approves and allocates. The STIP allocation process ("funds vote") typically takes between 30 to 60 days from the time a request is made. With delegated authority, the Department can approve an allocation as soon as a project is ready to proceed. Therefore, for those TCRP projects requiring an allocation of STIP funds, the full benefit of the existing Department delegation may not be realized without this expanded allocation authority.

**CALIFORNIA TRANSPORTATION COMMISSION**  
**Delegation of Allocation Authority for Traffic Congestion Relief Funds and**  
**State Transportation Improvement Program funds associated with**  
**Traffic Congestion Relief Program Projects**

**RESOLUTION G-01-XX**  
**AMENDING RESOLUTION G-00-23**

- 1.1 WHEREAS the Traffic Congestion Relief Act of 2000 (herein after referred to as "statute"), which was established by Chapters 91 (AB 2928) and 656 (SB 1662) of the Statutes of 2000, establishes the Traffic Congestion Relief Program, providing \$5.39 billion for projects throughout the State of California to reduce traffic congestion, provide for safe and efficient movement of goods, and provide system connectivity; and
- 1.2 WHEREAS in accordance with Government Code Section 14556.11, on September 28, 2000, the California Transportation Commission (Commission), in consultation with the Department of Transportation (Department) and regional agencies, adopted Resolution G-00-23, guidelines to implement the Traffic Congestion Relief Program (TCRP); and
- 1.3 WHEREAS the statute and guidelines require applicants to specify full and complete project applications, including scope, cost and schedule, financial plans and funding sources; and
- 1.4 WHEREAS the Commission, with assistance from the Department, is required by statute to review and approve applications for TCRP projects that meet the requirements in statute and guidelines; and
- 1.5 WHEREAS the Commission, with assistance from the Department, reviews submitted TCRP project applications, and subsequent clarifications and revisions, and determines that they comply with the statute and guidelines; and
- 1.6 WHEREAS on July 11, 2001, the Commission approved Resolution G-01-23 adopting the Policy for Approving Minor/Major Amendments to TCRP Projects; and
- 1.7 WHEREAS in accordance with Government Code Section 14556.20, through Resolution G-00-23, the Commission delegated allocation authority to the Department to streamline and reduce the time associated with allocating funds for TCRP projects, and
- 1.8 WHEREAS many TCRP projects include funding from other programs, including the State Transportation Improvement Program (STIP) which is adopted by, and allocated by, the Commission; and
- 1.9 WHEREAS Government Code Section 14533 provides guidance to the Commission for the allocation of funds for transportation purposes; and
- 1.10 WHEREAS General Provision No. 7 of the Streets and Highways Code authorizes the Commission to delegate allocation authority to the Department; and

- 1.11 WHEREAS the allocation of the STIP funds is largely ministerial in nature as the Commission has already recognized that program requirements have been met by adopting each transportation project into the STIP; and
- 1.12 WHEREAS the delegation of STIP allocation authority to the Department for those TCRP projects also programmed in the STIP would provide further streamlining and the timely start of TCRP project phases.
- 2.1 NOW THEREFORE BE IT RESOLVED the Commission does hereby delegate limited allocation authority to the Department, effective January 1, 2002, for programmed STIP projects, in accordance with General Provision #7 of the Streets and Highways Code, for TCRP projects that include both TCRP and STIP funds; and
- 2.2 BE IT FURTHER RESOLVED that the Department, as part of the bi-annual TCRP status report to be presented to the Commission in June and December each year will include a list of projects indicating which ones are anticipated to receive allocations (TCRP and/or STIP) within the six month period following the month of the report; and
- 2.3 BE IT FURTHER RESOLVED that the delegated authority will be limited to TCRP projects indicated in the report where the allocation is consistent with the scope of work and funding amount approved in the TCRP application and/or STIP program document, has been approved for future consideration of funding, as applicable, is consistent with any approved minor/major change amendments, and is subsequent to Commission project funding approval; and
- 2.4 BE IT FURTHER RESOLVED that if the allocation request for either TCRP and/or STIP funds is not consistent with the requirements set forth in Section 2.3 above, the allocation request will be presented to the Commission for action; and
- 2.5 BE IT FURTHER RESOLVED that this resolution acts as clarification and expansion of delegated allocation authority to the Department specified under Resolution G-00-23, TCRP Guidelines; and
- 2.6 BE IT FURTHER RESOLVED that until January 1, 2002, the TCRP delegated allocation authority under Resolution G-00-23, TCRP Guidelines, remains in effect, and the Department will continue to report on a monthly basis those allocations made under the delegated authority; and
- 2.7 BE IT FURTHER RESOLVED that Resolution G-00-23, TCRP Guidelines, is hereby amended, effective January 1, 2002.