

# Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: December 11-12, 2002

Reference No.: 3.7  
Information Item

From: ROBERT L. GARCIA  
Chief Financial Officer

Prepared by: Terry Abbott  
Division Chief  
Local Assistance

Ref: **QUARTERLY LOCAL ASSISTANCE LUMP SUM ALLOCATION STATUS REPORT  
FOR 2002-03 FISCAL YEAR**

## **SUMMARY**

As of October 30, 2002, \$166.7 million or 15.8% of the \$1 billion allocated has been expended. These funds were allocated for 154 local projects. The majority of these expenditures including FTA transfers are for:

Surface Transportation Program – 27 projects, \$77.6 million  
Congestion Mitigation & Air Quality Program (CMAQ) – 39 projects, \$55.3 million  
Highway Bridge Rehabilitation and Replacement – 17 projects, \$10.3 million

The remaining 71 projects are a summary of all other funds or 46 % of the total projects. Five categories have not encumbered funds to date. These categories involve STP State Match and Exchange, Local Bridge Inspection, RR Grade Crossing Protection, RR Grade Crossing Maintenance and the RR Grade Separations. These categories represent 7.5% of the total funds allocated. This trend is not unusual for these categories.

Approximately 17 percent of federal funds allocated have been expended and 3 percent of the state funds have been used. The delivery to date for fiscal year 2002-03 (\$166.7 million or 15.8% of the funds allocated) is lower compared to the same period last year (\$262 million or 26% of the funds allocated). Lower expenditure trends are typical at the beginning of each the fiscal year. However, limited obligation authority provided through continuous resolutions by the Federal Highway Administration and the late passage of the FY 2002-03 budget have contributed to the slower use of the allocated funds.

**BACKGROUND**

The Local Assistance Program administers the local assistance subvention budget under authority from the California Transportation Commission (Commission). The Commission provides an annual lump sum allocation consistent with the Budget Act. The Commission further delegates to the Department the authority to adjust allocations for local assistance and report to the Commission if any transfers in or out of an expenditure category exceed 10 percent of the total allocation.

In June 2002, the Commission passed Resolution FM-01-07, the allocation of funds for local assistance for fiscal year 2002-03. The allocation included approximately \$88 million in state funds and \$966 million in federal funds for a total of approximately \$1 billion.

Attachment

**LOCAL ASSISTANCE FUNDS  
FY 2002-03  
(As of October 30, 2002)**

(Dollars in 1,000)

Fund Description	CTC Allocation			Total Expenditures			Allocation Balance			Percent of Allocation Expended	Number of Projects
	State	Federal	Total	State	Federal	Total	State	Federal	Total	Total	Total
Surface Transportation Pgrm ( STP)		372,945	372,945		27,741	27,741	0	345,204	345,204	7.4%	23
STP State Match and Exchange	46,000		46,000	0		0	46,000		46,000	0.0%	0
Congestion Mitigation & Air Qual Pgrm (CMAQ)		352,000	352,000		3,020	3,020	0	348,980	348,980	0.9%	22
Local Bridge Inspection	900	3,300	4,200	0	0	0	900	3,300	4,200	0.0%	0
Highway Bridge Rehabilitation & Replacement (HBRR)		98,640	98,640		10,336	10,336	0	88,304	88,304	10.5%	17
Bridge - Seismic Retrofit	13,000	52,490	65,490	1,041	5,006	6,047	11,959	47,484	59,443	9.2%	39
RR Grade Crossing Protection		10,000	10,000		0	0	0	10,000	10,000	0.0%	0
RR Grade Crossing Maintenance	4,250		4,250	0	0	0	4,250	0	4,250	0.0%	0
Railroad Grade Separations	15,000		15,000	0	0	0	15,000	0	15,000	0.0%	0
Hazard Elimination & Safety (HES)		10,000	10,000		1,344	1,344	0	8,656	8,656	13.4%	9
Safe Routes to School	0	20,000	20,000	0	0	0	0	20,000	20,000	0.0%	
Transportation Enhancement Activities, Regional Share		45,000	45,000	0	3,118	3,118	0	41,882	41,882	6.9%	7
Transportation Enhancement Activities Exchange	6,440		6,440	363		363	6,077	0	6,077	5.6%	4
Demonstration Projects		0	0		7,470	7,470	0	(7,470)	(7,470)		6
Miscellaneous	2,000	1,625	3,625	1,385	2,848	4,233	615	(1,223)	(608)	116.8%	4
<b>Total Local Assistance Subvented Funds</b>	<b>87,590</b>	<b>966,000</b>	<b>1,053,590</b>	<b>2,789</b>	<b>60,883</b>	<b>63,672</b>	<b>84,801</b>	<b>905,117</b>	<b>989,918</b>	<b>6.0%</b>	<b>131</b>
FTA Transfers					103,055	103,055	0	(103,055)	(103,055)		23
<b>Total Local Assistance Including FTA Transfers</b>	<b>87,590</b>	<b>966,000</b>	<b>1,053,590</b>	<b>2,789</b>	<b>163,938</b>	<b>166,727</b>	<b>84,801</b>	<b>802,062</b>	<b>886,863</b>	<b>15.8%</b>	<b>154</b>

**Assumptions:**

- The amounts do not include Freeway Service Patrol or Bay Area Ferry Operations.
- FTA transfers are \$49,834,952 for RSTP, \$52,275,279 for CMAQ and \$945,000 for TEA. These numbers are through September 2002.
- Misc. expenditures include projects not included elsewhere.
- Balances are based on allocation requests.
- The Allocation balance is the difference between CTC allocation and Total Expenditures.
- FAU/FAS allotment and expenditures is shown under STP
- Expenditures and Number of Projects is from new LP2000 report - Local Assistance Funds dated November 1, 2002.