

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: February 25-26, 2004

Reference No.: 3.10
Information Item

From: ROBERT L. GARCIA
Chief Financial Officer

Prepared by: Terry Abbott
Division Chief
Local Assistance

Ref: **LOCAL ASSISTANCE QUARTERLY LUMP SUM ALLOCATION STATUS REPORT
FOR FISCAL YEAR 2003-04**

SUMMARY:

In June 2003, the California Transportation Commission (Commission) passed Resolution FM-02-05, the allocation of funds for the Local Assistance Program for Fiscal Year 2003-04. The allocation included approximately \$75 million in state funds and \$891 million in federal funds for a total of approximately \$966 million. As of December 31, 2003, \$180.7 million or approximately 19% of the \$966 million allocated has been expended.

BACKGROUND:

The Local Assistance Program administers the local assistance subvention budget under authority from the Commission. The Commission provides an annual lump sum allocation consistent with the Budget Act. The Commission further delegates to the Department of Transportation (Department) the authority to adjust allocations for local assistance and the Department reports to the Commission if any transfers in or out of an expenditure category exceed 10 percent of the total allocation.

Local Assistance Lump Sum Allocation Status for the Fiscal Year (FY) 2003-2004:

As of December 31, 2003, \$180.7 million or 19% of the \$966 million of Local Assistance funds allocated has been expended. These funds were allocated for 40 local projects. Most of these expenditures, \$172.2 million or 18 percent and 25 projects, are for:

Surface Transportation Program (STP) – 5 projects, \$41.7 million
Congestion Mitigation & Air Quality Program (CMAQ) – 19 projects, \$115.5 million
Transportation Enhancement Activities (TEA) Program – Regional Share – 1 project, \$15 million

The remaining fifteen projects are in categories that represent less than 1% of the total funds allocated. Seven categories have not encumbered funds to date. These categories are STP State Match and Exchange, Local Bridge Inspection and Scour, Highway Bridge Rehabilitation and Replacement, Local Bridge Seismic Retrofit, Railroad (RR) Grade Separations, Safe Routes to School, and Demonstration Projects. These categories represent approximately 24% of the total funds allocated.

The allocation for Demonstration Projects is zero since specific projects expected to receive funds during this fiscal year were not known. Including a category for Demonstration Projects in the allocation from the Commission allows the Department to make allocations for these projects using the excess funds from other categories and prevents delays in allocating funds.

Delays in the authorization of the federal appropriations, the limited obligation authority (OA) provided through continuous resolution by the Federal Highway Administration, and the \$200 million loan of local federal OA to the state have contributed to the slower use of the allocated funds.

Attachment

LOCAL ASSISTANCE FUNDS
FY 2003-04
(As of December 31, 2003)

Reference: 3.10
Attachment

(Dollars in 1,000)

Fund Description	CTC Allocation			Total Expenditures			Allocation Balance			Percent of Allocation Expended	Number of Projects
	State	Federal	Total	State	Federal	Total	State	Federal	Total	Total	Total
Surface Transportation Pgrm (STP)		372,945	372,945	0	41,704	41,704	0	331,241	331,241	11%	5
STP State Match and Exchange	46,000		46,000	0	0	0	46,000		46,000	0%	
Congestion Mitigation & Air Qual Pgrm (CMAQ)		277,000	277,000	0	115,538	115,538	0	161,462	161,462	42%	19
Bridge Scour & State Match	900	3,300	4,200	0	0	0	900	3,300	4,200	0%	
Highway Bridge Rehabilitation & Replacement (HBRR)		98,640	98,640	0	0	0	0	98,640	98,640	0%	0
Bridge - Seismic Retrofit	0	52,490	52,490	0	0	0	0	52,490	52,490	0%	3
RR Grade Crossing Protection		10,000	10,000	0	1,485	1,485	0	8,515	8,515	15%	4
RR Grade Crossing Maintenance	4,250		4,250	4,250	0	4,250	0	0	0	100%	1
Railroad Grade Separations	15,000		15,000	0	0	0	15,000	0	15,000	0%	
Hazard Elimination & Safety (HES)		10,000	10,000	0	135	135	0	9,865	9,865	1%	2
Safe Routes to School	0	20,000	20,000	0	0	0	0	20,000	20,000	0%	
Transportation Enhancement Activities, Regional Share		45,000	45,000	0	15,000	15,000	0	30,000	30,000	33%	1
Transportation Enhancement Activities Exchange	6,440		6,440	200	0	200	6,240	0	6,240	3%	1
Demonstration Projects		0	0	0	0	0	0	0	0		
Miscellaneous	2,000	1,625	3,625	1,000	1,471	2,471	1,000	154	1,154	68%	4
Total Local Assistance Subvented Funds	\$74,590	\$891,000	\$965,590	\$5,450	\$175,333	\$180,783	\$69,140	\$715,667	\$784,807	19%	40

Assumptions:

- ? The amounts do not include Freeway Service Patrol or Bay Area Ferry Operations.
- ? FTA transfers are \$32,337,000 for RSTP, \$106,426,000 for CMAQ and \$15,000,000 for TEA.
- ? Misc. expenditures include projects not included elsewhere.
- ? Balances are based on allocation requests.
- ? The Allocation balance is the difference between Commission allocation and Total Expenditures.
- ? Expenditures and Number of Projects is from LP2000 report.