

# Memorandum

**TAB 21**

**To:** CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

**CTC Meeting:** January 25, 2012

**Reference No.:** 3.6  
Action Item

**From:** NORMA ORTEGA  
Chief Financial Officer

**Prepared by:** Rachel Falsetti  
Division Chief  
Transportation Programming

**Subject:** STATUS UPDATE ON STATE ROUTE 99 (SR 99) PROJECTS

## SUMMARY:

The California Department of Transportation (Department) is providing an update on the State Route 99 (SR 99) projects remaining to be delivered and not yet allocated by the California Transportation Commission (Commission). The Department has performed an assessment on each project, and identified critical issues and then assigned a risk level.

As of January 2012, there are twelve SR 99 projects totaling approximately \$458 million of bond funds yet to be allocated by the Commission. Of the remaining twelve projects yet to be allocated, half are considered to have a medium risk for delivery. A risk assessment summary for each project is also included.

## BACKGROUND:

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 passed by the voters in November 2006 included \$1.0 billion for the State Route 99 Corridor. The Commission adopted guidance stating the SR 99 projects shall be awarded not later than December 31, 2012. The attached is a status update for the remaining \$458 million yet to be allocated.

#	Risk	Co	Rte	Project Title	CTC Meeting Allocation
1	Low	Teh	99	Los Molinos Stage 2	Jan-12
2	Low	SAC	99	SR 99/Elverta Rd. Interchange	Feb-12
3	Low	SUT	99	SR 99/Riego Road Interchange	Feb-12
4	Medium Low	SUT	99	State Route 99/113 Interchange	Jul-12
5	Medium High	FRE	99	Island Park 6-Lane	Mar-12
6	Medium High	MAD	99	Ave 12 Interchange	Jun-12
7	Low	TUL	99	Goshen to Kingsburg Landscape	Jun-12
8	Medium High	TUL	99	Tulare to Goshen 6-Lane	June-12
9	Low	MER	99	Fwy Upgrade & Plainsburg I/C	Feb-12
10	Low	SJ	99	Manteca Phase 2	Jan-12
11	Medium Low	SJ	99	Manteca Phase 3	Jun-12
12	Medium High	SJ	99	SR 99 (South Stockton) Widening	Apr-12

Definition of Risk

Low  - Projects within this category contain no known issues that will affect delivery.

Medium Low  - Projects within this category have identified delivery challenges that could still affect on-time delivery. Projects within this group are being actively managed to stay on approved schedules.

Medium High  - Projects within this category have numerous challenges. Projects are being aggressively managed to stay on the approved schedule.

High  - Without change, these projects cannot be delivered and funded consistent with the program requirements.

## SR99 Program Assessment

The Department has performed a project by project review to assess projects and identify critical items that remain to deliver each project and the level of risk associated with the remaining projects. The items have been listed here for Commission information and identified as either as right of way, environmental permitting, or design related risk. "Risk" is a judgment call at a point in time based on known issues. These issues have the ability to be resolved quickly or to turn into lengthy negotiations, and project risks change over time.

### CALTRANS DISTRICT 2

#### Los Molinos Phase 2

Allocation Jan.                      Delivery Agency Caltrans                      Con Bond \$                      \$2,566                      Total Con \$                      \$2,646

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 10/1/11 RWC#2	Completed	Apv End Design Date 11/1/11	Completed	None	
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Allocation request in January 2012.					

### CALTRANS DISTRICT 3

#### SACRAMENTO COUNTY

#### Elverta Road Interchange

Allocation Feb.                      Delivery Agency Sac County                      Con Bond \$                      \$23,110                      Total Con \$                      \$28,400

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 12/1/10 RWC#2	1/5 /12	Apv End Design Date 12/1/10	1/13/12	None	
1 residential parcel					
3 utilities to be relocated					
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: It anticipated that the project will be ready for allocation in February 2012. The project was delayed due to need to condemn for right of way and utility work, which is nearing completion. Physical possession RWC #1 anticipated by 1/27/12.					

#### SUTTER COUNTY

#### Riego Road Interchange

Allocation Feb.                      Delivery Agency Caltrans                      Con Bond \$                      \$17,610                      Total Con \$                      \$22,000

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 9/1/11 RWC#2	12/1/11	Apv End Design Date 4/1/11	3/1/12	ACOE	3/1/12
				RWQCB	
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Delivery on target for February allocation.					

**SR 99/113 Interchange**

Allocation July Delivery Agency Caltrans Con Bond \$ \$15,205 Total Con \$ \$15,205

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 6/1/12 RWC#3W		6/11/12	Apv End Design Date 6/1/12		6/18/12	None		
1 residential, 3 agricultural parcels								
2 TCE's, 5 PTE's								
Anticipate 1 uncontested RON								
Overall Risk: ◆ Medium Low Critical Items: Risk is related to the late delivery schedule.								

**CALTRANS DISTRICT 6**

**FRESNO COUNTY**

**Island Park 6-Lane**

Allocation March Delivery Agency Caltrans Con Bond \$ \$54,000 Total Con \$ \$54,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 5/1/12 RWC#3W		3/1/12	Apv End Design Date 3/1/12		3/1/12	F&G, ACOE, RWQCB		3/1/12
2 residential, 5 commercial parcels		9/2012				Flood Protection		3/1/12
4 uncontested RON's anticipated.		2/2012				State Lands		3/1/12
UPRR agreement		1/17/12(A)						
Overall Risk: ● Medium High Critical Items: Risk is due to the number of RONs and permit negotiations.								

**MADERA COUNTY**

**Ave 12 Interchange**

Allocation June Delivery Agency Caltrans Con Bond \$ \$43,600 Total Con \$ \$50,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 7/1/12 RWC#3W		5/1/12	Apv End Design Date 5/1/12		5/1/12	F&G, ACOE		5/1/12
7 residential, 10 commercial parcels		5/1/12	C&M agreement		5/1/12	RWQCB		5/1/12
Anticipate 2 contested RON's.		5/1/12	Freeway Agreement		5/1/12			
8 utility agreements.		5/1/12						
Overall Risk: ● Medium High Critical Items: Risk is associated with securing necessary right of way. Additional funds are need for Right of way capital and support which is proposed to be funded with STIP.								

**TULARE COUNTY**

**Tulare to Goshen 6 Lane**

Allocation June Delivery Agency Caltrans Con Bond \$ \$40,000 Total Con \$ \$40,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 4/20/12 RWC#2		4/20/12	Apv End Design Date 5/3/12		5/3/12	F&G, RWQCB		5/ 2/12
1 utility agreement		4/20/12	Design Exception		1/31 /12	BO amendment		2/29 /12
RR C&M Agmt		4/20/12	Longitudinal utility exception approval.		3/1/12			
Overall Risk: ● Medium High Critical Items: The risk is associated with railroad C&M agreement and permits.								

**Goshen to Kingsburg Landscape**

Allocation June Delivery Agency Caltrans Con Bond \$ \$5,000 Total Con \$ \$5,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	8/1/13	6/7/12	Apv End Design Date	9/16/13	6/7/12	None		
RWC#2								
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: This project is schedule for a June allocation, no known issues.								

**CALTRANS DISTRICT 10**

**MERCED COUNTY**

**Plainsburg Interchange & Freeway** Delivery Agency Caltrans Con Bond \$ \$94,700 Total Con \$ \$94,700

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	9/1/10	Complete	Apv End Design Date	9/1/10	Complete	None		
RWC#2								
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: The project is ready to be allocated at February CTC meeting.								

**SAN JOAQUIN COUNTY**

**Manteca Phase 2**

Allocation Jan. Delivery Agency SJCOG Con Bond \$ \$59,290 Total Con \$ \$59,290

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	9/1/11	01/07/12	Apv End Design Date	9/1/11	01/07/12	None		
3W								
2 agricultural parcels		C #3W						
2 service contracts, 2 utility agreements			C&M Agreement					
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: This project is ready for allocation at the January 2012 meeting.								

**Manteca Phase 3**

Allocation June Delivery Agency SJCOG Con Bond \$ \$6,010 Total Con \$ \$85,471

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	3/1/12	3/1/12	Apv End Design Date	7/2/12	7/2/12	None		
3W								
2 residential, 1 mixed use parcels								
6 agricultural, 4 commercial parcels								
6 utility agreements								
Overall Risk: <input type="checkbox"/> Medium Low Critical Items: The risk is in the efforts to accelerate delivery.								

**South Stockton Widening**

Allocation April Delivery Agency Caltrans Con Bond \$ \$101,100 Total Con \$ \$154,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	1/1/12	3/1/12	Apv End Design Date	2/1/12	3/30/12	ACOE		2/15/12
RWC#3W								
3 residential, 13 commercial parcels			C&M Agreement			F&G		2/15/12
2 public use, 1 railroad parcel						RWQCB		2/15/12
4 uncontested RON's anticipated			12 utility agreements		4/1/12	CVFP		3/1/12
Overall Risk: <input type="checkbox"/> Medium High Critical Items: Risk is related to the number of parcels and agreements in addition to obtaining permits.								

Dollars are in \$1,000's.