

Memorandum

TAB 28

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: March 28-29, 2012

Reference No.: 3.6
Action Item

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Chief Financial Officer

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Subject: **STATUS UPDATE ON STATE ROUTE 99 (SR 99) PROJECTS**

SUMMARY:

The California Department of Transportation (Department) is providing an update on the State Route 99 (SR 99) projects remaining to be delivered and not yet allocated by the California Transportation Commission (Commission). The Department has performed an assessment on each project, and identified critical issues and then assigned a risk level.

As of February 2012, there are eight SR 99 projects totaling approximately \$285 million of bond funds yet to be allocated by the Commission. Of the remaining eight projects yet to be allocated, six are considered to have a medium risk for delivery. A risk assessment summary for each project is also included.

BACKGROUND:

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 passed by the voters in November 2006 included \$1.0 billion for the State Route 99 Corridor. The Commission adopted guidance stating the SR 99 projects shall be awarded not later than December 31, 2012. The attachment is a status update for the remaining \$285 million yet to be allocated.

#	Risk	Co	Rte	Project Title	CTC Meeting Allocation
1	Low	SUT	99	SR 99/Riego Road Interchange	Mar-12
2	Medium Low	SUT	99	State Route 99/113 Interchange	Jun-12
3	Medium High	FRE	99	Island Park 6-Lane	Apr-12
4	Medium Low	MAD	99	Ave 12 Interchange	Jun-12
5	Low	TUL	99	Goshen to Kingsburg Landscape	Jun-12
6	Medium Low	TUL	99	Tulare to Goshen 6-Lane	June-12
7	Medium Low	SJ	99	Manteca Phase 3	Jun-12
8	Medium Low	SJ	99	SR 99 (South Stockton) Widening	Jun-12

Definition of Risk

Low - Projects within this category contain no known issues that will affect delivery.

Medium Low - Projects within this category have identified delivery challenges that could still affect on-time delivery. Projects within this group are being actively managed to stay on approved schedules.

Medium High - Projects within this category have numerous challenges. Projects are being aggressively managed to stay on the approved schedule.

High - Without change, these projects cannot be delivered and funded consistent with the program requirements.

SR99 Program Assessment

The Department has performed a project by project review to assess projects and identify critical items that remain to deliver each project and the level of risk associated with the remaining projects. The items have been listed here for Commission information and identified as either right of way, environmental permitting, or design related risk. "Risk" is a judgment call at a point in time based on known issues. These issues have the ability to be resolved quickly or to turn into lengthy negotiations, and project risks change over time.

CALTRANS DISTRICT 3

SACRAMENTO COUNTY SUTTER COUNTY Riego Road Interchange

Allocation March Delivery Agency Caltrans Con Bond \$17,610 Total Con \$22,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	9/1/11	completed	Apv End Design Date		4/1/12	ACOE		3/5/12
RWC#2						RWQCB		3/5/12
								Received
								Received
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Target March allocation.								

SR 99/113 Interchange

Allocation June Delivery Agency Caltrans Con Bond \$15,205 Total Con \$15,205

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	6/1/12	6/11/12	Apv End Design Date	6/1/12	6/18/12	None		
RWC#3W								
1 residential fee,								
2 TCE's, 3 PTE's								
Anticipate 1 uncontested RON								
Overall Risk: <input checked="" type="checkbox"/> Medium Low Critical Items: Risk is related to the late delivery schedule.								

CALTRANS DISTRICT 6

FRESNO COUNTY

Island Park 6-Lane

Allocation April Delivery Agency Caltrans Con Bond \$54,000 Total Con \$54,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 5/1/12 RWC#3W		Approved	Apv End Design Date 3/1/12		3/1/12	F&G, ACOE, RWQCB		3/1/12 2/17/12
2 residential, 5 commercial parcels		9/2012				Flood Protection		3/23/12
4 uncontested RON's anticipated.		2/2012				State Lands		3/1/12
UPRR agreement		1/17/12(A)						
Overall Risk: ● Medium High Critical Items: Risk is due to permits negotiations.								

MADERA COUNTY

Ave 12 Interchange

Allocation June Delivery Agency Caltrans Con Bond \$43,600 Total Con \$50,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 7/1/12 RWC#3W		5/1/12	Apv End Design Date 5/1/12		5/1/12	F&G, ACOE		5/1/12
7 residential, 10 commercial parcels		5/1/12	C&M agreement		5/1/12	RWQCB		5/1/12
Anticipate 2 contested RONs (May CTC mtg)		5/1/12	Freeway Agreement resolution of change		5/1/12			
10 uncontested RONs (8 March CTC mtg, 2 April CTC mtg.)								
8 utility agreements.		5/1/12						
Overall Risk: ◆ Medium Low Critical Items: Risk is associated with securing necessary right of way. March and April RONs.								

TULARE COUNTY

Tulare to Goshen 6 Lane

Allocation June Delivery Agency Caltrans Con Bond \$40,000 Total Con \$40,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 4/20/12 RWC#2		4/20/12	Apv End Design Date 5/3/12		5/3/12	F&G, RWQCB		5/ 2/12
1 utility agreement		4/20/12	Design Exception		3/15/12	BO amendment		3/15/12
RR C&M Agmt		4/20/12	Longitudinal utility exception approval.		3/30/12			
Overall Risk: ◆ Medium Low Critical Items: The risk is associated with obtaining permits.								

Goshen to Kingsburg Landscape

Allocation June Delivery Agency Caltrans Con Bond \$5,000 Total Con \$5,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 8/1/13 RWC#2		6/7/12	Apv End Design Date 9/16/13		6/7/12	None		
Overall Risk: ☑ Low Critical Items: This project is scheduled for a June allocation, no known issues.								

CALTRANS DISTRICT 10

SAN JOAQUIN COUNTY

Manteca Phase 3

Allocation June Delivery Agency SJCOG Con Bond \$6,010 Total Con \$85,471

RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	3/1/12	RWC#	3/30/12	Apv End Design Date	7/2/12	6/2/12	None
3W							
2 residential, 1 mixed use parcels							
6 agricultural, 4 commercial parcels							
6 utility agreements							
Overall Risk: ♦ Medium Low Critical Items: The risk is in the efforts to accelerate delivery. Cert 3W is in process for approval.							

South Stockton Widening

Allocation June Delivery Agency Caltrans Con Bond \$101,100 Total Con \$154,000

RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	3/1/12	RWC#	3/30/12	Apv End Design Date	2/1/12	3/30/12	ACOE
3W							11/2/11 (A)
3 residential, 13 commercial parcels		Rec'd		C&M Agreement letter	Pending	F&G	12/28/11 (A)
2 public use, 1 railroad parcel							
All RONS		Completed		Plans approved, CPUC, Railroad clearance letter	Approved/Rec'd	RWQCB	2/15/12 (A)
		2/25/12		12 utility agreements	3/30/12	CVFP	5/25/12
Overall Risk: ♦ Medium Low Critical Items: Risk is related to the number of parcels and obtaining permits, flood protection board hearing scheduled for May. Received all utility plans finalizing agreements. Cert 3W is in process for approval.							

Dollars are in \$1,000's.