

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: June 27-28, 2012

Reference No.: 3.13
Information Item

From: Norma Ortega
Chief Financial Officer

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Local Assistance

Subject: **QUARTERLY REPORT ON LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR PERIOD ENDING MARCH 31, 2012**

SUMMARY:

For Federal Fiscal Year (FFY) 2012, the California Transportation Commission (Commission) allocated approximately \$842 million to the Department of Transportation (Department). Of the \$842 million allocated and administered by the Department, approximately \$128 million is state funds and \$713 million is federal funds.

As of March 31, 2012, about \$412 million, or 50 percent, of the \$842 million has been sub-allocated to 432 local projects. The majority of the sub-allocations (approximately \$266 million) are for 367 projects in the following categories:

- Regional Surface Transportation Program (RSTP) - 46 projects, \$63 million
- Freeway Service Patrol - 14 projects, \$25 million
- Congestion Mitigation and Air Quality Program (CMAQ) - 64 projects, \$63 million
- High Priority Projects/Demonstration Projects/ Emergency Relief - 73 projects, \$55 million
- Highway Bridge Program - 86 projects, \$48 million
- Highway Safety Improvement Program (HSIP) - 84 projects, \$12 million

The remaining \$144 million was sub-allocated for 65 projects in other categories (as referenced with an asterisk on the attachment). This includes \$127 million in Federal Transit Administration transfers consisting of five RSTP funded transit projects and 11 CMAQ funded transit projects.

BACKGROUND:

The Department's Division of Local Assistance administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed ten percent of its allocation, per Commission Resolution G-01-08.

Local Assistance Lump Sum Allocation Status for FFY 2011:

As of March 31, 2012 (the end of the 2nd quarter of FFY 2012) approximately \$456 million, or 54 percent of the \$842 million allocated, has been sub-allocated for 560 projects. Some programs have low sub-allocations, but this is not unusual as local agencies deliver the majority of projects in the latter half of the federal fiscal year.

Railroad Grade Crossing Protection, Railroad Grade Crossing Maintenance, and Railroad Grade Separation currently have no sub-allocations. These programs are not sub-allocated until the Department receives applicant projects, which does not occur until later in the federal fiscal year.

The federal Bridge Inspection Program is over-allocated. However, overruns may occur for the subcategories of the Bridge Inspection Program, Local Bridge Seismic Retrofit, and Highway Bridge Program, as all sub programs are applied to the federal major program, the Highway Bridge Program.

Consistent with historical trends, the Department anticipates using all funds allocated by the Commission for FFY 2012.

Attachment

LOCAL ASSISTANCE FUNDS

Period Ending

March 31, 2012

(Dollars in Thousands)

Reference No.: 3.13

June 27-28, 2012

Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated	Number of Projects
	State	Federal	Total	State	Federal	Total	State	Federal	Total	Total	Total
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program (RSTP)		207,821	207,821		62,958	62,958	-	144,863	144,863	30%	46
Surface Transportation Program (STP) State Match and Exchange	57,849		57,849	0		0 *	57,849	-	57,849	0%	0
Congestion Mitigation & Air Quality Program (CMAQ)		215,062	215,062		63,011	63,011	-	152,051	152,051	29%	64
Freeway Service Patrol	25,479		25,479	25,479		25,479	0	0	0	100%	14
High Priority (HPP)/Demonstration (DEMO) Projects/Emergency Relief (ER)		104,085	104,085		55,474	55,474	-	48,611	48,611	53%	73
Miscellaneous	3,000	850	3,850	0	1,679	1,679 *	3,000	(829)	2,171	44%	6
Bridge Programs											
Bridge Inspection		1,320	1,320	0	2,439	2,439 *	0	(1,119)	(1,119)	185%	1
Highway Bridge Program	735	53,581	54,316	277	48,429	48,706	458	5,152	5,610	90%	86
Local Bridge Seismic Retrofit		84,823	84,823		7,057	7,057 *	-	77,766	77,766	8%	19
Rail Programs											
Railroad Grade Crossing Protection		5,858	5,858		0	0 *	-	5,858	5,858	0%	0
Railroad Grade Crossing Maintenance	2,000		2,000	0		0 *	2,000	-	2,000	0%	0
Railroad Grade Separations	15,000		15,000	0		0 *	15,000	-	15,000	0%	0
Safety Programs											
Highway Safety Improvement Program (HSIP)		25,276	25,276		12,222	12,222	-	13,054	13,054	48%	84
High Risk Rural Roads (HRRR)		4,113	4,113		3,037	3,037 *	-	1,076	1,076	74%	5
Safe Routes to School	24,250	10,476	34,726	0	2,953	2,953 *	24,250	7,523	31,773	9%	18
Total Local Assistance Subvention Funds	128,313	713,265	841,578	25,756	259,259	285,015	102,557	454,006	556,563	34%	416
Federal Transit Administration (FTA) Transfers				0	127,099	127,099 *					16
Total Local Assistance Including FTA Transfers	128,313	713,265	841,578	25,756	386,358	412,114	102,557	454,006	556,563	49%	432

Assumptions:

* Allocations for state funds reflect the June 2011 Commission meeting vote, Item 2.5h.

* Allocations for federal funds reflect the September 2011 Commission meeting vote, Item 2.5h.

* The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.

* FTA Transfers are \$101,543,560 for RSTP and 25,555,536 for CMAQ.

* Total Sub-Allocations data is from InfoAdvantage (accounting system).

* In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.