

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: September 26-27, 2012

Reference No.: 3.7
Information Item

From: Norma Ortega
Chief Financial Officer

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Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING JUNE 30, 2012**

SUMMARY:

For Federal Fiscal Year (FFY) 2012, the California Transportation Commission (Commission) allocated approximately \$1.61 billion to the Department of Transportation (Department). Of the \$1.61 billion allocated and administered by the Department, approximately \$130 million is state funds and \$1.48 billion is federal funds.

As of June 30, 2012, about \$926 million, or 58 percent, of the \$1.61 billion has been sub-allocated to 1,072 local projects. The majority of the sub-allocations (approximately \$590 million) are for 653 projects in the following categories:

- Regional Surface Transportation Program (RSTP) - 141 projects, \$180 million
- Congestion Mitigation and Air Quality Program (CMAQ) - 141 projects, \$140 million
- High Priority Projects/Demonstration Projects/Emergency Relief -142 projects, \$110 million
- Highway Bridge Program - 229 projects, \$160 million

The remaining \$336 million was sub-allocated for about 419 projects in other categories (as referenced with an asterisk on the attachment). This includes \$150 million in Federal Transit Administration transfers consisting of five RSTP funded transit projects and 25 CMAQ funded transit projects.

BACKGROUND:

The Department's Division of Local Assistance administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Commission Resolution G-01-08.

Local Assistance Lump Sum Allocation Status for FFY 2011:

As of June 30, 2012 (the end of the 3rd quarter of FFY 2012) approximately \$926 million, or 58 percent of the \$1.6 billion allocated, has been sub-allocated for 1,072 projects. Some programs have low sub-allocations, but this is not unusual as majority of projects are not delivered until the last quarter of the federal fiscal year.

Railroad Grade Crossing Maintenance and Railroad Grade Separation currently have no sub-allocations. These programs are not sub-allocated until the Department receives and approves applicant projects, which does not occur until later in the federal fiscal year.

The federal Highway Bridge Program is over-allocated. However, overruns may occur for the subcategories of the Bridge Inspection, Highway Bridge, and Seismic Bridge Retrofit Program, as all sub programs are applied to the federal major program, the Highway Bridge Program.

Consistent with historical trends, the Department anticipates using all funds allocated by the Commission for FFY 2012.

Attachment

LOCAL ASSISTANCE LUMP SUM ALLOCATIONS

**Period Ending
June 30, 2012**
(Dollars in Thousands)

Reference No.: 3.7
September 26-27, 2012
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated	Number of Projects
	State	Federal	Total	State	Federal	Total	State	Federal	Total	Total	Total
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program (RSTP)		431,486	431,486		179,708	179,708	-	251,778	251,778	42%	141
Surface Transportation Program (STP) State Match and Exchange	57,849		57,849	45,639		45,639 *	12,210	-	12,210	79%	130
Congestion Mitigation & Air Quality Program (CMAQ)		445,969	445,969		143,079	143,079	-	302,890	302,890	32%	141
Freeway Service Patrol	25,479		25,479	25,479		25,479 *	-	-	0	100%	14
High Priority (HPP)/Demonstration (DEMO) Projects/Emergency Relief (ER)		208,170	208,170		111,937	111,937	-	96,233	96,233	54%	142
Miscellaneous	3,000	1,700	4,700		0	0	3,000	1,700	4,700	0%	0
Bridge Programs											
Bridge Inspection		2,640	2,640		2,439	2,439 *	0	201	201	92%	1
Highway Bridge Program	735	127,878	128,613	277	163,762	164,039	458	(35,884)	(35,426)	128%	229
Seismic Bridge Retrofit		169,646	169,646		58,193	58,193 *	-	111,453	111,453	34%	33
Rail Programs											
Railroad Grade Crossing Protection		11,716	11,716		911	911 *	-	10,805	10,805	8%	4
Railroad Grade Crossing Maintenance	2,000		2,000	0		0 *	2,000	-	2,000	0%	0
Railroad Grade Separations	15,000		15,000	0		0 *	15,000	-	15,000	0%	0
Safety Programs											
Highway Safety Improvement Program (HSIP)		50,552	50,552		26,546	26,546 *	-	24,006	24,006	53%	131
High Risk Rural Roads (HRRR)		8,226	8,226		5,823	5,823 *	-	2,403	2,403	71%	11
Safe Routes to School	24,250	20,953	45,203	66	13,986	14,051 *	24,184	6,967	31,152	31%	65
Total Local Assistance Subvention Funds	128,313	1,478,936	1,607,249	71,460	706,382	777,843	56,853	772,554	829,406	48%	1,042
Federal Transit Administration (FTA) Transfers				0	148,118	148,118 *					30
Total Local Assistance Including FTA Transfers	128,313	1,478,936	1,607,249	71,460	854,501	925,961	56,853	772,554	829,406	58%	1,072

Assumptions:

- * Allocations for state funds reflect the June 2011 Commission meeting vote, Item 2.5h.
- * Allocations for federal funds reflect the May 2012 Commission meeting vote, Item 2.5h.
- * The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.
- * FTA Transfers are \$102,060,575 for RSTP and 46,057,835 for CMAQ
- * Total Sub-Allocations data is from InfoAdvantage (accounting system).
- * In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.