

# Memorandum

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: September 26-27, 2012

Reference No.: 3.16  
Information Item

From: NORMA ORTEGA  
Chief Financial Officer

Prepared by: Rachel Falsetti  
Division Chief  
Transportation Programming

Subject: **FINAL CLOSE-OUT REPORT ON FY 2011-12 SHOPP MINOR LUMP SUM ALLOCATION**

## **SUMMARY:**

In Fiscal Year (FY) 2011-12, the California Transportation Commission (Commission) approved the State Highway Operations and Protection Program (SHOPP) Minor Program target allocation of \$76,138,000 for capital outlay (CO) and \$74,862,000 for capital outlay support (COS), for a total of \$151,000,000. For the FY 2011-12 end-of-the-year report, the total CO amount awarded was \$72,008,362 (94.6 percent) and the total COS amount expended was \$80,221,737 (107.2 percent), for a total of \$152,230,099. In summary, 100.8 percent of the SHOPP Minor Program target allocation funds were awarded or expended. The \$1,230,099 in overrun in FY 2011-12 will be offset by a corresponding reduction in FY 2012-13 Minor Program allocation.

## **BACKGROUND:**

On July 14, 2005, the Commission approved Resolution G-05-05, delegating to the Department authority to sub-allocate funds, advertise, and award Minor A projects included on the annual Minor Program project list approved by the Commission each year.

The Department submitted allocation requests to the Commission for Minor A projects that were not included on the Commission approved Minor A project list.

For FY 2011-12, the Minor Program project list and CO allocation of \$76,138,000 consisting of \$54,470,000 in Minor A, \$19,077,000 in lump sum Minor B, and \$1,591,000 in lump sum Right of Way was approved by the Commission on June 23, 2011. The California Department of Transportation (Department) awarded contracts totaling \$39,007,800 for Minor A, including \$32,404,500 sub-allocated by the Department and \$6,603,300 allocated by the California Transportation Commission (Commission) for substitute projects. In addition, \$32,579,618 for Minor B projects was awarded and \$420,944 was encumbered for Right of Way. The total amount awarded for the Minor Program CO was \$72,008,362.

The SHOPP Minor program is an annual program in which projects must be awarded in the fiscal year programmed. Minor A projects have a construction capital limit of between \$270,000 and \$1,000,000 and Minor B projects have a construction capital limit of \$270,000 or less.