

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: May 7, 2013

Reference No.: 3.3
Information Item

From: Norma Ortega
Chief Financial Officer

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Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING DECEMBER 31, 2012**

SUMMARY:

As of December 31, 2012, about \$110 million, or 7 percent, of the \$1.67 billion that has been allocated by the California Transportation Commission (Commission) for Federal Fiscal Year (FFY) 2013 has been sub-allocated to 128 local projects. The majority of the sub-allocations (approximately \$90 million) are for 90 projects in the following four categories:

- High Priority Projects/Demonstration Projects/Emergency Relief – 43 projects, \$40 million
- Freeway Service Patrol – 15 projects, \$25 million
- Congestion Mitigation and Air Quality Program (CMAQ) – 22 projects, \$13 million
- Bridge projects – 10 projects, \$10 million

BACKGROUND:

The Department of Transportation's (Department's) Division of Local Assistance (DLA) administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Commission Resolution G-01-08.

As of December 31, 2012 (the end of the first quarter of FFY 2013) approximately \$110 million, or 7 percent of the \$1.67 billion allocated, has been sub-allocated for 128 projects.

Local delivery of allocated federal funding is typically low in the first quarter of the federal fiscal year. For the past 13 years in a row, DLA has worked with local partners to deliver 100 percent of federal funding that has been made available. DLA anticipates that local partners will deliver 100 percent of federal funding made available for FFY 2013.

Attachment

LOCAL ASSISTANCE LUMP SUM ALLOCATIONS

Period Ending
December 31, 2012
(Dollars in Thousands)

Reference No.: 3.3
May 7, 2013
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated	Number of Projects
	State	Federal	Total	State	Federal	Total	State	Federal	Total	Total	Total
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program (RSTP) ¹		503,559	503,559		9,854	9,854 *	0	493,705	493,705	2%	13
Surface Transportation Program State Match and Exchange	57,849		57,849			0 *	57,849	0	57,849	0%	0
Congestion Mitigation & Air Quality Program		471,547	471,547		13,380	13,380	0	458,167	458,167	3%	22
Freeway Service Patrol	25,479		25,479	25,479		25,479	0	0	0	100%	15
High Priority Projects/Demonstration Projects/Emergency Relief		171,251	171,251		40,185	40,185	0	131,066	131,066	23%	43
Miscellaneous	3,000		3,000	0		0 *	3,000	-	3,000	0%	0
Bridge Programs											
Bridge Inspection	735		735	0		0 *	735	0	735	0%	0
National Highway Performance Program & RSTP Bridge ²		302,909	302,909		10,244	10,244	0	292,665	292,665	3%	10
Rail Programs											
Railroad Grade Crossing Maintenance	2,000		2,000	0		0 *	2,000	0	2,000	0%	0
Railroad Grade Separation	15,000		15,000	5,141		5,141 *	9,859	0	9,859	34%	2
Safety Programs											
Highway Safety Improvement Program		74,000	74,000		3,693	3,693 *	0	70,307	70,307	5%	14
Safe Routes to School	24,250	21,080	45,330		2,070	2,070 *	24,250	19,010	43,260	5%	9
Total Local Assistance Subvention Funds	128,313	1,544,346	1,672,659	30,620	79,426	110,046	97,693	1,464,920	1,562,613	7%	128

Assumptions:

Allocations for state funds reflect the June 2012 Commission meeting vote, Item 2.5h.

Allocations for federal funds reflect the March 2013 Commission meeting vote, Item 2.5h.

The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.

Total Sub-Allocations data is from InfoAdvantage (accounting system).

In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.

Assumptions:

* Indicates programs that were not discussed in Reference 3.3

¹ RSTP consists of the Surface Transportation Program subvented to local agencies, less funding set-aside for off-system bridge projects and Safe Routes to School.

² Bridge projects consist of off-system bridge (about \$75 million) and bridge funding available to locals from the National Highway Performance Program (about \$228 million).