

Memorandum

TAB 85

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: May 7, 2013

Reference No.: 3.5
Action Item

From: NORMA ORTEGA
Chief Financial Officer

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Subject: **STATUS UPDATE ON TRADE CORRIDOR IMPROVEMENT FUND PROJECTS**

SUMMARY

The California Department of Transportation (Department) is providing the status of the Trade Corridor Improvement Fund (TCIF) projects remaining to be delivered by the implementing agencies and to be allocated by the California Transportation Commission (Commission). The Department has compiled an assessment of each unallocated project, identified critical issues, and assigned a risk level.

As of May 2013, there are 29 TCIF projects totaling approximately \$854 million of bond funds that have yet to be allocated by the Commission. Of the 29 projects yet to be allocated, 17 are considered to have a “medium high-to-high risk” for delivery. Projects 6, 50, and 85 are considered “high risk”. Project 6 is currently evaluating schedule delays due to recirculation of the environmental document. Projects 50 and 85 have a large number of Right of Way (RW) issues. The list of projects is sorted by Corridor Coalition and identifies the expected month of allocation. A risk assessment summary for each project is also included.

BACKGROUND

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006, passed by the voters in November 2006, included \$2.0 billion for the Proposition 1B TCIF Program. The Commission recognized that the needs far exceeded the amount authorized in the Proposition 1B TCIF program and increased the TCIF funding by approximately \$500 million from the State Highway Account. The Commission adopted guidelines established programming targets, which included an additional overprogramming for each corridor and a requirement to award contracts no later than December 31, 2013.

The assessment shows the TCIF remaining need of \$854 million, including the allowed overprogramming. As of May 2013, \$956 million is available for allocation. Although the overall program is no longer overprogrammed, two of the Corridor Coalitions are still working to address their overprogramming and are planning to be at available revenue levels by June 2013.

The risk levels are color coded as follows:

| |
|-------------|
| Low |
| Medium Low |
| Medium High |
| High |

| TCIF ID# | Risk | Co | Rte | Project | CTC Meeting |
|---|-------------|-----|-----|--|-------------|
| San Diego Border Region | | | | | |
| 68 | Medium Low | SD | 11 | SR 11/Otay Mesa East Port of Entry [Segment 1 - SR 11/SR 905 Freeway to Freeway Connectors] | May 2013 |
| 70 | Medium Low | SD | 5 | 10th Avenue Grade Separated Improvements | May 2013 |
| 75 | Low | SD | | Southline Rail Improvements - Mainline Improvements [Phase 4 - Final Palomar Siding and System Upgrades] | May 2013 |
| 93 | Low | SD | | Sorrento Valley Double Track | May 2013 |
| Southern California Trade Corridor Coalition | | | | | |
| 20 | Low | LA | 110 | C Street Access Ramps Improvements | May 2013 |
| 21 | Medium High | LA | | Washington Boulevard Widening & Reconstruction | Jun 2013 |
| 35 | Medium High | ORA | | State College Boulevard Grade Separation | May 2013 |
| 40 | Medium High | ORA | | Lakeview Avenue Overcrossing | May 2013 |
| 46 | Medium High | RIV | | Sunset Avenue Grade Separation | Jun 2013 |
| 48 | Medium High | RIV | | Avenue 56 Grade Separation on Yuma Subdivision of UPR Mainline | Jun 2013 |
| 50 | High | RIV | | Grade Separation at Clay Street Railroad Grade Crossing | Jun 2013 |
| 51 | Medium Low | RIV | | Riverside Avenue Grade Separation | May 2013 |
| 53 | Medium High | RIV | | Grade Separation at Magnolia Avenue Railroad Grade Crossing - BNSF | Jun 2013 |
| 61 | Medium High | SBD | | ACE South Milliken Avenue Grade Separation at UP Los Angeles | Jun 2013 |
| 64 | Medium Low | SBD | | Lenwood Road Grade Separation | May 2013 |
| 65 | Medium Low | SBD | | Vineyard Avenue Grade Separation | Jun 2013 |
| 84 | Medium High | SBD | | Laurel Street/BNSF Grade Separation | Jun 2013 |
| 85 | High | RIV | | Avenue 52 Grade Separation | Jun 2013 |
| 86 | Medium High | LA | | Alameda Corridor West Terminus Intermodal Railyard - West Basin Railyard Extension | Jun 2013 |
| 87 | Medium High | LA | | Cargo Transportation Improvement Emission Reduction Program - Phase 2 | Jun 2013 |
| 90 | Medium High | VEN | | Hueneme Road Widening | May 2013 |
| 91 | Medium High | VEN | 101 | Route 101 Improvements from Los Angeles County Line to Moorepark Road | Jun 2013 |

| Northern California Trade Corridor Coalition | | | | | |
|--|-------------|-----|-------------------|---|----------|
| 2 | Medium High | CC | | Richmond Rail Connector | Jun 2013 |
| 3 | Low | ALA | | Outer Harbor Intermodal Terminals (OHIT) [City Site Prep Work and Backbone Infrastructure, Segment 3] | May 2013 |
| 4 | Medium High | ALA | 880 | I-880 Reconstruction, 29th & 23rd Avenues, Oakland [SHOPP/TCIF] | Jun 2013 |
| 6 | High | KER | | Tehachapi Trade Corridor Rail Improvement Project | Oct 2013 |
| 10 | Medium High | SJ | 4 | State Route 4 West Crosstown Freeway Extension Stage 1 | Jun 2013 |
| 89 | Medium High | SOL | 80/ 680/ 12 | Solano I-80/680/12 Connector | May 2013 |
| Other Corridor | | | | | |
| 92 | Medium High | YOL | | West Sacramento Rail Plan- Segment 5 Pioneer Bluff Bridge | Jun 2013 |

Definition of risk

Low - Projects within this category contain no known issues that will affect delivery.

Medium Low - Projects within this category have minor challenges that are being actively managed to stay on approved schedules.

Medium High - Projects within this category have numerous challenges or are scheduled for a June 2013 allocation. Projects are being aggressively managed to stay on the approved schedule.

High - Without change, these projects cannot be delivered and funded consistent with the program requirements.

Risk Assessment Summary

The Department has performed a project-by-project review to assess projects and identify critical items that remain to deliver each project and the level of risk associated with the remaining risk. The items have been listed here for commission information and identified as either as RW, environmental permitting, or design related risk. Risk is a judgment call at a point in time based on known issues. Issues have the ability to be resolved quickly or to turn into lengthy negotiations. Project risks change over time.

San Diego Border Region

TCIF #68 – SR 11/Otay Mesa East Port of Entry [Segment 1 - SR 11/SR 905 Freeway to Freeway Connectors]

Allocation: May Delivery Agency: Caltrans Con Bond: \$75,000 Total Con: \$76,500

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--------------------------|--|----------|------------------------------|--|----------|--------------|--------|
| Apv End RW Date: 4/30/13 | | Complete | Apv End Design Date: 4/30/13 | | Complete | None | |
| 7 commercial parcels | | Complete | | | | | |
| 5 Utility relocations | | Complete | | | | | |

Overall Risk: Medium Low
E76 Required: No
Critical Items: None. This project is a Design Sequencing project. RW will be Certified as a 3-DS with all parcels included in a workaround. Allocation request pending for the May 2013 CTC meeting along with a concurrent Baseline amendment.

TCIF #70 – 10th Avenue Grade Separated Improvements

Allocation: May Delivery Agency: Port of San Diego Con Bond: \$1,550 Total Con: \$3,166

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--------------------------|--|----------|------------------------------|--|----------|--------------|--------|
| Apv End RW Date: 5/23/13 | | Complete | Apv End Design Date: 5/23/13 | | Complete | None | |

Overall Risk: Medium Low
E76 Required: No
Critical Items: None. Road Improvements only, no RW or permits needed. Allocation request pending for the May 2013 CTC meeting.

TCIF #75 – Southline Rail Improvements - Mainline Improvements [Phase 4 - Final Palomar Siding and System Upgrades]

Allocation: May Delivery Agency: SANDAG Con Bond: \$66,660 Total Con: \$66,660

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|----------------------|--|--------|-----------------------------|--|----------|--------------|--------|
| Apv End RW Date: N/A | | N/A | Apv End Design Date: 6/1/13 | | Complete | None | |

Overall Risk: Low
E76 Required: No
Critical Items: None. Allocation request pending for the May 2013 CTC meeting along with a concurrent Baseline amendment.

TCIF #93 – Sorrento Valley Double Track

Allocation: May Delivery Agency: SANDAG Con Bond: \$14,313 Total Con: \$32,350

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|-------------------------|--|--------|------------------------------|--|----------|--------------|----------|
| Apv End RW Date: 4/1/13 | | 5/1/13 | Apv End Design Date: 4/30/13 | | Complete | 401 | Complete |
| | | | | | | 404 | 4/30/13 |

Overall Risk: Low
E76 Required: No
Critical Items: None. Allocation request pending for the May 2013 CTC meeting along with a concurrent Baseline Agreement approval.

Southern California Trade Corridor Coalition

TCIF #20 – 110 - C Street Access Ramps Improvements

Allocation: May Delivery Agency: POLA Con Bond: \$8,300 Total Con: \$29,884

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|----------------------|--|---------|------------------------------|--|----------|--------------|--------|
| Apv End RW Date: N/A | | 4/30/13 | Apv End Design Date: 1/31/13 | | Complete | None | |
| 7 Utilities | | 4/30/13 | Encroachment Permit | | Complete | | |
| | | | City B-Permit | | Complete | | |
| | | | Freeway Agreement | | Complete | | |
| | | | LADWP plans | | Complete | | |

Overall Risk: Low
E76 Required: No
Critical Items: Although Baseline Agreement does not include RW milestone, Caltrans is required to certify. RW package with Caltrans for review. The project is being reviewed for Buy America impacts. Allocation request pending for May 2013 CTC meeting along with a concurrent Baseline amendment.

TCIF #21 – Washington Boulevard Widening & Reconstruction

Allocation: June Delivery Agency: City of Commerce Con Bond: \$5,800 Total Con: \$26,239

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--------------------------|--|---------|------------------------------|--|---------|--------------|--------|
| Apv End RW Date: 4/30/12 | | 5/30/13 | Apv End Design Date: 4/30/13 | | 5/30/13 | None | |
| 1 Parcel | | 5/30/13 | Encroachment Permit | | 5/30/13 | | |

Overall Risk: Medium High
E76 Required: No
Critical Items: RW Cert 2 is anticipated. City working with District on RW certification needs. The new Buy America requirements do not apply. First round of PSE comments provided to City, anticipate final by end of May.

TCIF #35 – State College Boulevard Grade Separation

Allocation: May Delivery Agency: Fullerton Con Bond: \$35,890 Total Con: \$51,652

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|-------------------------|--|--------|-----------------------------|--|---------|--------------|---------|
| Apv End RW Date: 5/1/13 | | 5/1/13 | Apv End Design Date: 3/1/13 | | 3/1/13 | PUC | 4/30/13 |
| 20 parcels/TCE | | 5/1/13 | BNSF C&M Agreement | | 4/30/13 | | |

Overall Risk: Medium High
E76 Required: Yes Status: Anticipate approval by Aug 2013.
Critical Items: RW Cert 3W is pending BNSF's approval of the C&M. PUC to be approved after C&M agreement is executed. The project is impacted by the new Buy America requirements under MAP 21. Allocation request pending for May 2013 CTC meeting along with a concurrent Baseline amendment.

TCIF #40 – Lakeview Avenue Overcrossing

Allocation: May Delivery Agency: OCTA Con Bond: \$39,519 Total Con: \$51,577

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|-------------------------|--|---------|------------------------------|--|----------|--------------|----------|
| Apv End RW Date: 1/1/13 | | 4/30/13 | Apv End Design Date: 1/14/13 | | Complete | 404 | Complete |
| 27 Parcels | | 4/30/13 | BNSF C&M Agreement | | 4/30/13 | 401 | Complete |
| | | | | | | PUC | 4/30/13 |

Overall Risk: Medium High
E76 Required: Yes Status: Anticipate approval in June 2013.
Critical Items: RW Cert 3W is pending BNSF's approval of the C&M. Expect PUC approval after C&M is executed. Allocation request pending for the May 2013 CTC meeting.

TCIF #46 – Sunset Avenue Grade Separation

Allocation: June Delivery Agency: City of Banning Con Bond: \$10,000 Total Con: \$31,563

| RW Risks | | Target | Design Risks | | Target | Permit Risks | | Target |
|--------------------------|--|--------|------------------------------|--|----------|--------------|--|----------|
| Apv End RW Date: 2/28/13 | | 6/1/13 | Apv End Design Date: 1/31/13 | | Complete | PUC | | Complete |
| 8 parcels | | 6/1/13 | UPRR C&M Agreement | | Complete | | | |

Overall Risk: ● Medium High
E76 Required: Yes Status: Working with District Local Assistance office.
Critical Items: RW Cert 2 package has been reviewed by Caltrans. One utility agreement needs amended with the new Buy America requirements under MAP 21.

TCIF #48 – Avenue 56 Grade Separation on Yuma Subdivision of UPR Mainline

Allocation: June Delivery Agency: Riverside Co. Con Bond: \$10,000 Total Con: \$29,352

| RW Risks | | Target | Design Risks | | Target | Permit Risks | | Target |
|---------------------------|--|--------|------------------------------|--|----------|--------------|--|----------|
| Apv End RW Date: 12/27/12 | | 6/1/13 | Apv End Design Date: 12/7/12 | | Complete | PUC | | Complete |
| 5 parcels | | 6/1/13 | UPRR C&M Agreement | | Complete | | | |

Overall Risk: ● Medium High
E76 Required: No
Critical Items: RW Cert 3W expected by end of May 2013. Order of Possession hearing scheduled for May 22, 2013. One additional RON will be needed as the lender foreclosed on the parcel after the owner and county executed an agreement. RON approval expected early June 2013.

TCIF #50 – Grade Separation at Clay Street Railroad Grade Crossing

Allocation: June Delivery Agency: Riverside Co. Con Bond: \$12,500 Total Con: \$31,025

| RW Risks | | Target | Design Risks | | Target | Permit Risks | | Target |
|-------------------------|--|---------|-------------------------------|--|----------|--------------|--|----------|
| Apv End RW Date: 3/1/13 | | 5/30/13 | Apv End Design Date: 12/27/12 | | Complete | WQCB | | Complete |
| 12 parcels | | 5/30/13 | UPRR C&M Agreement | | 5/30/13 | PUC | | 5/30/13 |
| 16 easement | | 5/30/13 | | | | | | |
| 12 TEC | | 5/30/13 | | | | | | |

Overall Risk: ☒ High
E76 Required: Yes Status: Working with District Local Assistance office.
Critical Items: RW Cert 3W. RONs approved for 10 parcels by the Board of Directors on April 23, 2013. Anticipate having Order of Possession by first part of June. The project is impacted by the new Buy America requirements under MAP 21. High risk based on number of parcels.

TCIF #51 – Riverside Avenue Grade Separation

Allocation: May Delivery Agency: City of Riverside Con Bond: \$8,500 Total Con: \$31,607

| RW Risks | | Target | Design Risks | | Target | Permit Risks | | Target |
|---------------------------|--|----------|-----------------------------|--|----------|--------------|--|----------|
| Apv End RW Date: 12/31/12 | | Complete | Apv End Design Date: 4/1/13 | | Complete | PUC | | Complete |
| 11 parcels | | Complete | Encroachment Permit | | 7/1/13 | | | |
| | | | UPRR C&M Agreement | | Complete | | | |

Overall Risk: ◆ Medium Low
E76 Required: Yes Status: Expect approval in June 2013.
Critical Items: Encroachment Permit is required prior to advertisement of bids, which is scheduled for July 2013. Allocation request pending for the May 2013 CTC meeting along with a concurrent Baseline amendment.

TCIF #53 – Grade Separation at Magnolia Avenue Railroad Grade Crossing - BNSF

Allocation: June Delivery Agency: Riverside Co. Con Bond: \$13,700 Total Con: \$44,566

| RW Risks | | Target | Design Risks | | Target | Permit Risks | | Target |
|--------------------------|--|---------|-------------------------------|--|----------|--------------|--|---------|
| Apv End RW Date: 3/29/13 | | 5/30/13 | Apv End Design Date: 11/30/12 | | Complete | PUC | | 5/30/13 |
| 9 parcels | | 5/30/13 | BNSF C&M Agreement | | 5/30/13 | | | |

Overall Risk: ● Medium High
E76 Required: Yes Status:
Critical Items: RW Cert 2. RON's approved on October 16, 2012. Order of Possession received on all parcels. Board to approval the C&M on April 30th, after which BNSF will need to approve. The project is impacted by the new Buy America requirements under MAP 21.

TCIF #61 – South Milliken Avenue Grade Separation at UP Los Angeles

Allocation: June Delivery Agency: City of Ontario Con Bond: \$14,521 Total Con: \$66,100

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|---|--|--------|-----------------------------|--|----------|--------------|----------|
| Apv End RW Date: 3/7/13 | | 6/4/13 | Apv End Design Date: 3/7/13 | | Complete | PUC | Complete |
| 10 Parcels | | 6/4/13 | UPRR C&M Agreement | | 5/16/13 | | |
| Overall Risk: ● Medium High E76 Required: No Critical Items: RW Cert 3W with Caltrans. C&M with UPRR for approval, City has already approved. | | | | | | | |

TCIF #64 – Lenwood Road Grade Separation

Allocation: May Delivery Agency: City of Barstow Con Bond: \$6,694 Total Con: \$31,500

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--|--|---------|-----------------------------|--|---------|--------------|---------|
| Apv End RW Date: 4/19/13 | | 4/30/13 | Apv End Design Date: 9/1/12 | | 3/6/13 | PUC | 4/30/13 |
| 5 parcels | | 4/30/13 | BNSF C&M Agreement | | 4/30/13 | | |
| Overall Risk: ● Medium High E76 Required: Yes Status: Request package submitted to Caltrans. Critical Items: RW Cert 3, which must be upgraded to a Cert 2 prior to awarding construction contract. C&M pending BNSF approval. The project is impacted by the new Buy America requirements under MAP 21. Allocation request pending for the May 2013 CTC meeting along with a concurrent Baseline amendment. | | | | | | | |

TCIF #65 – Vineyard Avenue Grade Separation

Allocation: June Delivery Agency: City of Ontario Con Bond: \$6,884 Total Con: \$47,400

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|---|--|--------|-----------------------------|--|---------|--------------|----------|
| Apv End RW Date: 3/7/13 | | 5/3/13 | Apv End Design Date: 3/6/13 | | 3/7/13 | 404 | 3/15/13 |
| 4 parcels | | 5/3/13 | UPRR C&M Agreement | | 4/16/13 | 408 | 3/15/13 |
| | | | | | | PUC | Complete |
| Overall Risk: ◆ Medium Low E76 Required: No Project will be removed from the TCIF program in June 2013. | | | | | | | |

TCIF #84 – Laurel Street/BNSF Grade Separation

Allocation: June Delivery Agency: SANBAG Con Bond: \$11,917 Total Con: \$41,367

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|---|--|--------|------------------------------|--|----------|--------------|----------|
| Apv End RW Date: 7/16/12 | | 6/1/13 | Apv End Design Date: 7/16/12 | | Complete | PUC | Complete |
| 4 parcels | | 6/1/13 | BNSF C&M Agreement | | 6/1/13 | | |
| Overall Risk: ● Medium High E76 Required: No Critical Items: RW Cert 3W package with Caltrans. Order of possession received. C&M agreement pending BNSF's approval. | | | | | | | |

TCIF #85 – Avenue 52 Grade Separation

Allocation: June Delivery Agency: City of Coachella Con Bond: \$10,000 Total Con: \$24,366

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--|--|---------|-----------------------------|--|----------|--------------|--------|
| Apv End RW Date: 4/1/13 | | 5/31/13 | Apv End Design Date: 4/1/13 | | Complete | None | |
| 15 parcels (5 residential 10 commercial) | | 5/31/13 | UPRR C&M Agreement | | 5/22/13 | | |
| Overall Risk: ☒ High E76 Required: Yes Status: Meeting with Caltrans and FHWA scheduled for mid March. Critical Items: RW Cert 3W with Caltrans for review. City to approve C&M agreement by May 8 th , with UPRR approving by May 22 nd . The project is impacted by the new Buy America requirements under MAP 21. | | | | | | | |

TCIF #86 – Alameda Corridor West Terminus Intermodal Railyard -West Basin Railyard Extension
Allocation: June Delivery Agency: POLA Con Bond: \$20,712 Total Con: \$41,424

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|---|--|----------|------------------------------|--|---------|--------------|----------|
| Apv End RW Date: 6/30/13 | | 5/31/13 | Apv End Design Date: 6/30/13 | | 5/30/13 | PUC | Complete |
| Utility Relocation | | Complete | City B-Permit | | N/A | | |
| Overall Risk: ● Medium High E76 Required: No Critical Items: Allocation is pending for the June 2013 CTC meeting. | | | | | | | |

TCIF #87 – Cargo Transportation Improvement Emission Reduction Program - Phase 2
Allocation: June Delivery Agency: POLA Con Bond: \$23,164 Total Con: \$77,544

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--|--|---------|------------------------------|--|---------|--------------|--------|
| Apv End RW Date: 6/30/13 | | 5/30/13 | Apv End Design Date: 6/30/13 | | 5/30/13 | None | |
| 4 Utility Relocation | | 5/30/13 | | | | | |
| Overall Risk: ● Medium High E76 Required: No Critical Items: None. Allocation is pending for the June 2013 CTC meeting. Utility relocations included in the construction contract. | | | | | | | |

TCIF #90 – Hueneme Road Widening
Allocation: May Delivery Agency: City of Oxnard Con Bond: \$1,462 Total Con: \$2,924

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--|--|----------|-----------------------------|--|----------|--------------|----------|
| Apv End RW Date: 7/1/10 | | Complete | Apv End Design Date: 2/1/12 | | Complete | PUC | Complete |
| 2 parcel | | | | | | | |
| 1 Easement | | | | | | | |
| Overall Risk: ● Medium High E76 Required: Yes Status: Approval anticipated by end of May 2013. Critical Items: None. Allocation is pending for the May 2013 CTC meeting. | | | | | | | |

TCIF #91 – Route 101 Improvements
Allocation: June Delivery Agency: Thousand Oaks Con Bond: \$13,118 Total Con: \$42,000

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--|--|----------|-----------------------------|--|---------|--------------|--------|
| Apv End RW Date: 2/28/13 | | Complete | Apv End Design Date: 3/1/13 | | 5/30/13 | None | |
| 4 Utility Relocations | | Complete | | | | | |
| 7 TCE | | Complete | | | | | |
| Overall Risk: ● Medium High E76 Required: Yes Status: Request will be approved by Project Management. Critical Items: RW Cert 2 completed. PSE package submitted to OE on April 18 th for review. RTL by May 30, 2013. The new Buy America requirements under MAP 21 may apply. | | | | | | | |

Northern California Trade Corridor Coalition

TCIF #2 – Richmond Rail Connector
Allocation: June Delivery Agency: Caltrans/BNSF Con Bond: \$10,880 Total Con: \$14,060

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--|--|--------|-------------------------------|--|----------|--------------|--------|
| Apv End RW Date: 8/1/12 | | 6/1/13 | Apv End Design Date: 02/01/12 | | Complete | 401 | 6/1/13 |
| 1 Parcel | | 6/1/13 | Cooperative Agreement | | 6/1/13 | 404 | 6/1/13 |
| | | | Encroachment Permit | | 6/1/13 | 1602 | 6/1/13 |
| Overall Risk: ● Medium High E76 Required: Yes Status: FHWA approval of federal funds is required for Construction. Critical Items: BNSF in negotiations with property owner. Started condemnation proceeding to ensure timely delivery. Cooperative Agreement has been reviewed by Caltrans Legal and is back with MTC to finalize the construction estimate. Baseline amendment and allocation is targeted for the June 2013 CTC meeting. | | | | | | | |

TCIF #3 – Outer Harbor Intermodal Terminals (OHIT) [City Site Prep Work and Backbone Infrastructure, Segment 3]

Allocation: May Delivery Agency: City of Oakland Con Bond: \$176,341 Total Con: \$216,841

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--|--|---------|------------------------------|--|---------|--------------|--------|
| Apv End RW Date: 03/31/13 | | 3/31/13 | Apv End Design Date: 3/31/13 | | 3/31/13 | | |
| Overall Risk: <input checked="" type="checkbox"/> Low | | | | | | | |
| E76 Required: No | | | | | | | |
| Critical Items: Project moving forward under Design Build contract. Allocation request pending for the May 2013 CTC meeting. | | | | | | | |

TCIF #4 – I-880 Reconstruction, 29th & 23rd Avenues, Oakland [SHOPP/TCIF]

Allocation: June Delivery Agency: Caltrans Con Bond: \$73,000 Total Con: \$80,000

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|---|--|--------|------------------------------|--|---------|--------------|--------|
| Apv End RW Date: 1/31/13 | | 6/1/13 | Apv End Design Date: 1/31/13 | | 6/1/13 | None | |
| 1 parcel (Donation) | | 6/1/13 | Freeway Agreement | | 5/10/13 | | |
| 3 utility relocations | | 6/1/13 | Maintenance Agreement | | 5/10/13 | | |
| Overall Risk: <input type="checkbox"/> Medium High | | | | | | | |
| E76 Required: No | | | | | | | |
| Critical Items: Final approval of the Freeway Agreement scheduled for the City of Oakland's May 7, 2013 Board. RW cert 3W package with HQ for review. The project is impacted by the new Buy America requirements under MAP 21. | | | | | | | |

TCIF #6 – Tehachapi Trade Corridor Rail Improvement Project

Allocation: October Delivery: Agency Caltrans/BNSF Con Bond: \$54,000 Total Con: \$108,000

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--|--|--------|------------------------------|--|--------|--------------|--------|
| Apv End RW Date: N/A | | N/A | Apv End Design Date: 12/1/11 | | 9/1/13 | 401 | 9/1/13 |
| | | | | | | 404 | 9/1/13 |
| Overall Risk: <input checked="" type="checkbox"/> High | | | | | | | |
| E76 Required: No | | | | | | | |
| Critical Items: A Baseline amendment is pending for the May 2013 CTC meeting to down-scope the project, which will allow environmental clearance and allocation by October 2013. Advertisement of the construction contract is not required as BNSF will be completing all work with own forces. Definitive Agreements are executed. | | | | | | | |

TCIF #10 – State Route 4 West Crosstown Freeway Extension Stage 1

Allocation: June Delivery Agency: SJCOG Con Bond: \$96,820 Total Con: \$134,040

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|---|--|---------|-----------------------------|--|---------|--------------|----------|
| Apv End RW Date: 5/1/13 | | 5/23/13 | Apv End Design Date: 6/1/13 | | 5/24/13 | RWCB | Complete |
| 1 RON | | 5/23/13 | C&M agreement | | 5/23/13 | 7A/7B | Complete |
| Overall Risk: <input type="checkbox"/> Medium High | | | | | | | |
| E76 Required: No | | | | | | | |
| Critical Items: RW Cert 3W. C&M agreement with HQ for review, expect approval with RW certification. Working with OE on PSE comments. The project is impacted by the new Buy America requirements under MAP 21. | | | | | | | |

TCIF #89 – Solano I-80/680/12 Connector

Allocation: May Delivery Agency: Caltrans Con Bond: \$24,000 Total Con: \$64,860

| RW Risks | | Target | Design Risks | | Target | Permit Risks | Target |
|--|--|---------|------------------------------|--|---------|--------------|---------|
| Apv End RW Date: 3/13/13 | | 4/30/13 | Apv End Design Date: 3/15/13 | | 4/15/13 | 401 | 4/30/13 |
| 15 parcels | | 4/30/13 | | | | 404 | 4/30/13 |
| 9 Utility relocations | | 4/30/13 | | | | | |
| Overall Risk: <input type="checkbox"/> Medium High | | | | | | | |
| E76 Required: Yes Status: FHWA required to approve Financial Plan prior to approving E76. | | | | | | | |
| Critical Items: RW Cert 3W package with HQ for review, FHWA approval required. The project is impacted by the new Buy America requirements under MAP 21. | | | | | | | |

Other Corridor

TCIF #92 – West Sacramento Rail Plan – Segment Five Pioneer Bluff Bridge

Allocation: June Delivery Agency: Port of West Sac. Con Bond: \$9,678 Total Con: \$9,678

| RW Risks | | Target | Design Risks | | Target | Permit Risks | | Target |
|------------------|---------|---------|----------------------|---------|---------|--------------|---------|--------|
| Apv End RW Date: | 5/15/13 | 5/15/13 | Apv End Design Date: | 5/15/13 | 5/15/13 | 404 | 5/15/13 | |
| TCE | | 5/15/13 | | | | 401 | 5/15/13 | |
| | | | | | | 1602 | 5/15/13 | |
| | | | | | | 402 | 5/15/13 | |
| | | | | | | Flood EP | 5/24/13 | |

Overall Risk: ● Medium High
 E76 Required: No
 Critical Items: RW certification package with Caltrans. Design is at 95%. All permit applications have been filed.

| Acronym Legend | | | |
|----------------|--|------|------------------------------------|
| BNSF | Burlington Northern Santa Fe | PSE | Plans, Specification and Estimates |
| C & M | Construction and Maintenance Agreement | PUC | Public Utility Company |
| Cert | Certification | RON | Resolution of Necessity |
| CTC | California Transportation Commission | RR | Rail Road |
| E76 | Federal Authorization to Proceed | RTL | Ready to List |
| FHWA | Federal Highway Administration | RW | Right of Way |
| HQ | Head Quarters | TCE | Temporary Construction Easement |
| LONP | Letter of No Prejudice | UPRR | Union Pacific Rail Road |
| OE | Office Engineers | | |