State of California
DEPARTMENT OF TRANSPORTATION

California State Transportation Agency

Memorandum

Tab 34

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: March 20, 2014

Reference No.: 3.5
Information Item

From: NORMA ORTEGA
Chief Financial Officer

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Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING DECEMBER 31, 2013**

SUMMARY:

As of December 31, 2013, about $133 million, or 15 percent, of the $857 million that has been allocated by the California Transportation Commission (Commission) for Federal Fiscal Year (FFY) 2014 has been sub-allocated to 141 local projects. The majority of the sub-allocations (approximately $118 million) are for 77 projects in the following four categories:

- Regional Surface Transportation Program (RSTP) – 23 projects, $59 million
- Congestion Mitigation and Air Quality Program (CMAQ) – 22 projects, $29 million
- Freeway Service Patrol – 11 projects, $18 million
- Highway Bridge Program – 21 projects, $12 million

The remaining $15 million was sub-allocated for 64 projects in other categories (as referenced with an asterisk on the attachment).

BACKGROUND:

The California Department of Transportation's (Department) Division of Local Assistance (DLA) administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Commission Resolution G-01-08.

The Surface Transportation Program State Match and Exchange is typically sub-allocated later in the year once Congress authorizes the entirety of the annual obligation limitation. Railroad Grade Crossing Maintenance and Railroad Grade Separation currently have no sub-allocations until the Department receives applicant projects, which sometimes does not occur until after the federal fiscal year ends.

Consistent with historical trends, the Department anticipates using all funds allocated by the Commission for FFY 2014.

Attachment

LOCAL ASSSISTANCE LUMP SUM ALLOCATIONS
Period Ending December 31, 2013
(Dollars in Thousands)

Reference No.: 3.5
March 20, 2014
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations				Allocation Balance			Percent Sub- Allocated	Number of
	State	Federal	Total	State	Federal	Total		State	Federal	Total	Total	Total
Local Administered & Miscellaneous Programs												
Regional Surface Transportation Program (RSTP)[1]		230,103	230,103		58,964	58,964		0	171,139	171,139	26%	23
Surface Transportation Program State Match and Exchange	57,849		57,849	0		0		57,849	0	57,849	0%	0
Congestion Mitigation & Air Quality Program		224,596	224,596		28,711	28,711		0	195,885	195,885	13%	22
Freeway Service Patrol	25,479		25,479	17,619		17,619		7,860	0	7,860	69%	11
High Priority Projects/Demonstration Projects/Emergency Relief		113,136	113,136		9,725	9,725	*	0	103,411	103,411	9%	35
Miscellaneous	3,000		3,000	1,000		1,000	*	2,000	-	2,000	33%	2
Bridge Programs												
Bridge Inspection	735		735	0		0		735	0	735	0%	0
National Highway Performance Program & RSTP Bridge[2]		147,954	147,954		12,413	12,413		0	135,541	135,541	8%	21
Rail Programs												
Railroad Grade Crossing Maintenance	2,000		2,000	0		0		2,000	0	2,000	0%	0
Railroad Grade Separation	15,000		15,000	0		0		15,000	0	15,000	0%	0
Safety Programs												
Highway Safety Improvement Program		37,000	37,000		4,234	4,234	*	0	32,766	32,766	11%	27
Total Local Assistance Subvention Funds	104,063	752,789	856,852	18,619	114,047	132,666		85,444	638,742	724,186	15%	141

Notes

Allocations for state funds reflect the June 2013 Commission meeting vote, Item 2.5h.
Allocations for federal funds reflect the October 2013 Commission meeting vote, Item 2.5h.
The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.
Total Sub-Allocations are from InfoAdvantage (accounting system).
In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.

Assumptions:

* Indicates programs that were not discussed in Reference 3.5
[1] RSTP consists of the Surface Transportation Program subvented to local agencies, less funding set-aside for off-system bridge projects.
[2] Bridge projects consist of off-system bridge (about $37 million) and bridge funding available to locals from the National Highway Performance Program (about $110 million).