

February 13, 2013

Mr. Muhaned Aljabiry
Caltrans Division of Transportation Programming, MS 82
Office of Federal Transportation Management Program
P.O. Box 924874
Sacramento, CA 92474-0001

Attn: Lima Huy

Subject: ADMINISTRATIVE MODIFICATION NO. 3 TO THE 2013 FEDERAL STATEWIDE TRANSPORTATION IMPROVEMENT PROGRAM (FSTIP)

Dear Lima,

Merced County Association of Governments (MCAG) has approved the following administrative modifications to the 2013 Federal Transportation Improvement Program (FTIP) and the 2013 Federal Statewide Transportation Improvement Program (FSTIP).

- **(105-0000-0100): Livingston Widening:** Extending the project limits to include striping of an additional lane in each direction from PM R28.2 to R28.7, as addressed in the PCR that was approved by the HQ Division Chiefs of Program Management & Transportation Programming.

This programming change is consistent with Caltrans' procedures for federal statewide transportation program (FSTIP/FTIP) modifications, Administrative Modification (Type 1), and do not require an air quality conformity analysis or determination.

The following items are applicable to **Administrative Modification No. 3** to the 2013 FTIP:

- The CTIPS database **(105-0000-0100)** for the **Livingston Widening** Project has been modified;
- An updated financial summary is not required for Administrative Modifications (Type 1);
- Conforms to the State Implementation Plan (SIP) for air quality;
- Does not adversely affect the timely implementation of Transportation Control Measures (TCMS);
- Public review is not required by MCAG's Public Participation Plan;

- This state highway project is currently in the 2013 FTIP/FSTIP for informational / environmental approval purposes only, since funds are not programmed in the TIP quadrennial period; and
- Caltrans HQ Programming and FHWA concur with MCAG's employment of this Administrative Modification to make the above-mentioned changes.

With Caltrans' delegation of authority to approve FSTIP Administrative Modifications, which was approved on September 22, 2011, MCAG is approving this **FTIP/FSTIP Administrative Modification No. 3**.

This approved **FTIP/FSTIP Administrative Modification No. 3** is being provided to Caltrans, FHWA, FTA, and other appropriate stakeholders. Caltrans will post the approved administrative modification on the Division of Transportation Programming Website.

If you have any questions regarding this administrative modification, contact Ty Phimmasone of my staff at (209) 723-3153 ext. 309.

Sincerely,



Marjorie Kirn
Interim Executive Director

cc: Scott Carson, Federal Highway Administration (FHWA)
Eric Eidlin, Federal Transit Administration (FTA)
Arvinder S. Bajwa, Caltrans District 10
Parminder Singh, DLAE
Sam Sherman, Caltrans District 10
Liz Berg, Caltrans District 10

Summary of Changes

MCAG 2013 Formal Amendment 3

Existing / New	CTIPS ID	PROJECT TITLE	DESCRIPTION OF CHANGE	Phase	Fund Type	PRIOR CTIPS Amt.	CURRENT CTIPS Amt.	FFY	Fund Source Category	Net Change	%	Comments
Existing	105-0000-0100	Livingston Widening	Update the project scope to reflect the revised extents, as indicated in the PCR (attached) that was approved by the HQ Division Chiefs of Program Management & Transportation Programming.	PE	IIP	0	0	12/13 - 15/16	STIP	\$0	0%	Revise the project scope as approved by the HQ Division Chiefs: * Postmile change R28.8/R37.3 R28.2/R37.3 * Scope limit: "...from 0.3 0.8 mile south of Hammatt Avenue..." Project limits extended to include 0.5 miles of striping an additional lane in each direction from PM R28.2 to R28.7. Also including line-item amounts totaling \$92,594,000 to FFY 2017/18.
		R/W		0		0	\$0					
		CON		0		0	\$0					

Sum of Net Increases/Decreases by Fiscal Year

	12/13	13/14	14/15	15/16
STIP	\$0	\$0	\$0	\$0
Local Funds	\$0	\$0	\$0	\$0
Total	\$0	\$0	\$0	\$0

Totals	\$0
\$0	
\$0	
\$0	



From: Lima Huy <lima.huy@dot.ca.gov>
Sent: Wednesday, February 13, 2013 10:28 AM
To: Phimmasone, Thavone
Cc: Scott.Carson@dot.gov
Subject: MCAG

Greetings Ty,

FHWA, Scott Carson and Jack Lord, agreed that MCAG may prepare an administrative modification to correct the project's postmile since there are no dollars attached and this project is programmed outside the four year element. It is ultimately up to the MPO to decide how the change will be made.

Thanks.

Lima Huy
Senior Transportation Planner
Office of Federal Transportation Management Program
1120 N Street, MS 82 Sacramento, CA 95814
Phone: (916) 651-7411, Email: lima.huy@dot.ca.gov

**Merced County Association of Governments - Federal Transportation Improvement Program
(Dollars in Whole)
State Highway System**

DIST: PPNO: EA: CTIPS ID:	TITLE (DESCRIPTION):	MPO Aprv: 07/19/2012
10 0161 0Q120 105-0000-0100	Livingston Widening (In Livingston, from 0.3 mile south of Hammatt Avenue to Merced/Stanislaus county line. Widen freeway from 4 lanes to 6 lanes.)	State Aprv:
CT PROJECT ID: MPO ID:		Federal Aprv:
10-0002-0401		
COUNTY: ROUTE: PM:		EPA TABLE II or III EXEMPT CATEGORY:
Merced County 99 28.8 / R37.3		

IMPLEMENTING AGENCY: Caltrans

PROJECT MANAGER: Peter Jemerigbe PHONE: (209) 948-7008 EMAIL: peter_e_jemerigbe@dot.ca.gov

PROJECT VERSION HISTORY (Printed Version is Shaded) (Dollars in whole)

Version	Status	Official Date	Updated By	Change Reason	Amend No.	Prog Con	Prog RW	PE
3	Active	02/07/2013	TPHIMMAS	Amendment - Cost/Scope/Sch. Change	3	88,594,000	500,000	6,543,000
2	Official	07/19/2012	TPHIMMAS	Adoption - Carry Over				3,043,000
1	Official	07/15/2010	TPHIMMAS	Adoption - New Project				3,043,000

		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• IIP - Interregional Improvement Program	PE	2,500,000								2,500,000
• Fund Source 1 of 2	RW									
• Fund Type: State Cash	CON									
• Funding Agency: Caltrans	TOTAL	2,500,000								2,500,000
• Local Funds - Locally Generated Funds	PE	543,000								543,000
• Fund Source 2 of 2	RW									
• Fund Type: Local Transportation Funds	CON									
• Funding Agency: Livingston, City of	TOTAL	543,000								543,000
Project Total	PRIOR									
	PE	3,043,000								3,043,000
	RW									
	CON									
	TOTAL	3,043,000								3,043,000

Comments:

***** Version 1 - 05/21/12 *****
 Project data transferred from 2012 STIP.
 ***** Version 4 - 04/12/12 *****
 Carried over from 2010 STIP and updated per 2012 STIP Adoption Resolution G-12-05 - rw
 ***** Version 3 - 06/15/10 *****
 Carried over from 2008 STIP and updated per 2010 STIP Adoption Resolution G-10-13 - rw
 ***** Version 2 - 06/13/08 *****
 Carried over from 2006 STIP per 2008 STIP Adoption Resolution G-08-08 - rw
 ***** Version 1 - 06/28/07 *****
 09/10/07 changed IA to CT, CTCO, originally entered in error-dj
 ***** Version 1 - 6/11/07 *****
 6/11/07 - Updated IIP funding per 6/7/07 CTC errata - rw

 5/29/07 - Updated funding per 5/18/07 F&F Sheet and 5/17/07 CTC staff recs - rw

PRIOR
Included in the FTIP
for Environmental Approval

**Merced County Association of Governments - Federal Transportation Improvement Program
(Dollars in Whole)
State Highway System**

DIST: PPNO: EA: CTIPS ID:	TITLE (DESCRIPTION):	MPO Aprv:
10 0161 0Q120 105-0000-0100	Livingston Widening (In Livingston, from 0.8 mile south of Hammatt Avenue to Merced/Stanislaus county line. Widen freeway from 4 lanes to 6 lanes.)	State Aprv:
CT PROJECT ID: MPO ID:		Federal Aprv:
10-0002-0401		
COUNTY: ROUTE: PM:		EPA TABLE II or III EXEMPT CATEGORY:
Merced County 99 28.2 / R37.3		

IMPLEMENTING AGENCY: Caltrans

PROJECT MANAGER: SAM SHERMAN

PHONE: (209) 948-7765

EMAIL: sam_sherman@dot.ca.gov

PROJECT VERSION HISTORY (Printed Version is Shaded)

Version	Status	Official Date	Updated By	Change Reason	Amend No.	Prog Con	(Dollars in whole) Prog RW	PE
3	Active	02/07/2013	TPHIMMAS	Amendment - Cost/Scope/Sch. Change	3	88,594,000	500,000	6,543,000
2	Official	07/19/2012	TPHIMMAS	Adoption - Carry Over				3,043,000
1	Official	07/15/2010	TPHIMMAS	Adoption - New Project				3,043,000

		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• IIP - Interregional Improvement Program	PE	2,500,000						3,500,000		6,000,000
• Fund Source 1 of 2	RW							500,000		500,000
• Fund Type: State Cash	CON							88,594,000		88,594,000
• Funding Agency: Caltrans	TOTAL	2,500,000						92,594,000		95,094,000
• Local Funds - Locally Generated Funds	PE	543,000						17/18		543,000
• Fund Source 2 of 2	RW									
• Fund Type: Local Transportation Funds	CON									
• Funding Agency: Livingston, City of	TOTAL	543,000								543,000
Project Total	PRIOR							17/18		
	PE	3,043,000						3,500,000		6,543,000
	RW							500,000		500,000
	CON							88,594,000		88,594,000
	TOTAL	3,043,000						92,594,000		95,637,000

Comments:

***** Version 3 - 02/07/2013 *****Extend project limits to include 0.5 miles of striping an additional lane in each direction from PM R28.2 to R28.7. This PCR was approved by HQ Division Chiefs of Project Management & Transportation Programming.

***** Version 1 - 05/21/12 *****

Project data transferred from 2012 STIP.

***** Version 4 - 04/12/12 *****

Carried over from 2010 STIP and updated per 2012 STIP Adoption Resolution G-12-05 - rw

***** Version 3 - 06/15/10 *****

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***** Version 2 - 06/13/08 *****

Carried over from 2006 STIP per 2008 STIP Adoption Resolution G-08-08 - rw

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***** Version 1 - 6/11/07 *****

6/11/07 - Updated IIP funding per 6/7/07 CTC errata - rw

5/29/07 - Updated funding per 5/18/07 F&F Sheet and 5/17/07 CTC staff recs - rw

CURRENT
Included in the FTIP
for Environmental Approval

PROGRAM CHANGE REQUEST

PROJECT ID . 1000020401

DISTRICT/EA 10-0Q120 PPNO 0161 PGM Doc. STIP PGM Del FY 2015 PROG CODE 025.700

Cty - Rte - PM - Description

CURRENT PROJECT (SCOPE) DESCRIPTION: MER 99 R28.8/R37.3 Livingston Widening (In Livingston, from 0.3 mile south of Hammatt Avenue to Merced/Stanislaus county line. Widen freeway from 4 lanes to 6 lanes.)

DOES THIS PROJECT INVOLVE PROPOSITION 1B FUND(S)? NO YES , TYPE(S) (CMIA, Route 99, STIP, SHOPP, Etc.) _____

SCOPE, COST & SCHEDULE CHANGES

TYPE OF REQUEST: PGM COST PGM YEAR SCOPE SPLIT / COMBINE OTHER: _____

COMPONENT	EXISTING (PROGRAMMED)		PROPOSED		CHANGE		
	Value	Fiscal Year	Value	Fiscal Year	Value	Value %	Yrs
Change (\$'s in 1,000's)							
PA&ED Support	\$3,043	2008	\$3,043	2008	\$0	____%	N/A
PS&E Support	\$ _____	_____	\$ _____	_____	\$ _____	____%	_____
R/W Support	\$ _____	_____	\$ _____	_____	\$ _____	____%	_____
Con Support	\$ _____	_____	\$ _____	_____	\$ _____	____%	_____
R/W Capital	\$ _____	_____	\$ _____	_____	\$ _____	____%	_____
Con Capital	\$ _____	_____	\$ _____	_____	\$ _____	____%	_____
Total	\$3,043		\$ _____		\$ _____	____%	

Cty - Rte - PM - Description

New Project Description: MER 99 R28.2/R37.3 Livingston Widening (In Livingston, from ~~0.3~~ 0.8 mile south of Hammatt Avenue to Merced/Stanislaus county line. Widen freeway from 4 lanes to 6 lanes.)
(Only If Revised)

PAED 95 % Complete PS&E 0 % Complete "010" Safety Project ? Yes No

- 1.) **WHAT IS THE PROPOSED CHANGE?** Extend project limits to include 0.5 miles of striping an additional lane in each direction from PM R28.2 To R28.7 The additional work can be done within the existing budget and extended limits can be cleared environmentally with Final Environmental Document.
- 2.) **COMPLETE THE FOLLOWING REGARDING THE LATEST TWO COST ESTIMATES. (\$'s in 1,000's.)**
 1. ESTIMATE DATE: 01/07 Con Capital \$57,802 RW Capital \$0. (PSR)
 2. ESTIMATE DATE: 08/12 Con Capital \$60,478 RW Capital \$191. (DPR)
- 3.) **WHAT WAS THE REASON FOR THE CHANGE?** To restripe the existing section (currently striped for 2 lanes in each direction, but widened for 3 lanes in each direction with previous Contract 10-3169E4 and completed in 2008) to 3 lanes in each direction from PM R28.2 to R28.7 and match the proposed 3 lane widening section in each direction from PM R28.7 to R37.3.

- 4.) WHEN WAS THE CHANGE DISCOVERED? During PA&ED, June 2012.
- 5.) WHAT HAS BEEN DONE TO MINIMIZE ANY CHANGE? N/A
- 6.) WHAT CAN BE CONSTRUCTED FOR THE PROGRAMMED FUNDS? Extend 3 lanes in each direction an additional 0.5 miles.
- 7.) IF THE SCOPE IS REDUCED OR SPLIT, WOULD THE REMOVED WORK NEED TO BE REPROGRAMMED OR ADDED TO ANOTHER PROJECT? N.A
- 8.) IS A SUPPLEMENTAL SCOPING DOCUMENT NEEDED? IF YES, STATUS? Will address in final Project Report
- 9.) WAS A VALUE ANALYSIS STUDY CONDUCTED? EXPLAIN THE RESULTS OF THE STUDY OR WHY A STUDY WAS NOT CONDUCTED? No
- 10.) COST - WHERE WILL THE REQUIRED FUNDS COME FROM? N/A-No cost change
- 11.) PRIOR PCR'S - LIST OTHER PCR'S PREVIOUSLY APPROVED. None

PROJECT CONCURRENCE

- 12.) (A) (STIP-RIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER AND THE RTPA OR COUNTY TRANSPORTATION COMMISSIONS STAFF? EXPLAIN THEIR REACTION. Caltrans staff discussed the proposed limit changes with MCAG in June 2012. It was agreed that MCAG would update the proposed project limit changes through a technical correction of the RTP.
- (B) (STIP-IIP) WHEN DID THE DISTRICT DISCUSS THIS WITH HEADQUARTERS STIP PROGRAM MANAGER? EXPLAIN THEIR REACTION. _____
- (C) (SHOPP) WHEN DID THE DISTRICT DISCUSS THIS WITH THE HEADQUARTERS PROGRAM MANAGER? EXPLAIN THEIR REACTION. _____
- 13.) LESSONS LEARNED, NEW STRATEGIES (What new information pertaining to this project could be beneficial to others?)

14.) District Project Manager Signature

Sam Sherman
District Project Manager

11/6/12
Date

(209) 948-7765
Phone Number (Public)

Dinah Portner
Deputy District Director
Program/Project Management

11/6/12
Date

APPROVAL - COMMENTS - CONCERNS

- DPM Concurrence*
- DPM Objections (detail concerns):*

15.) Comments - Concerns:

Paul N. Summers
 HQs Project Management Coordinator

11/6/12
 Date

[Signature]
 HQs Design Coordinator

11-6-12
 Date

APPROVAL

for *Dual Portue*
 DISTRICT DIRECTOR

11/6/12
 Date

	Approve	Deny	No HO Action
Cost	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Scope	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Split / Combine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Revise & Resubmit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Paul N. Summers
 HQs DIVISION CHIEF
 PROJECT MANAGEMENT

11/19/12
 Date

Kurt Scher
 HQs DIVISION CHIEF
 TRANSPORTATION PROGRAMMING

11-19-12
 Date

REQUIRED ATTACHMENTS

- (a) Attach 1 page copy (PMCS; PYRS screenprint) of project workplan/status schedule.
 - (b) Attach current CTIPS project information.
 - (c) PCR Dam Worksheet for all splits & combines
- Note: Except for a summary cost estimate, if/when needed, **DO NOT** attach anything else. (No 6 page reports; amendments; etc.)

DISTRICT/EA 10-0Q120

DEPARTMENT OF TRANSPORTATION
DIVISION OF TRANSPORTATION PROGRAMMING
P.O. Box 942873, MS-82
SACRAMENTO, CA 94273-0001
PHONE (916) 654-2983
FAX (916) 654-2738
TTY-711



*Flex your power!
Be energy efficient!*

September 22, 2011

Mr. Jesse B. Brown
Executive Director
Merced County Association of Governments
369 West 18th Street
Merced, CA 95340

Dear Mr. Brown:

The California Department of Transportation (Caltrans) received the Merced County Association of Governments' (MCAG) request for delegated authority from Caltrans to approve Administrative Modifications to the Federal Statewide Transportation Improvement Program (FSTIP). The board action attached to your request indicates that the MCAG Executive Director is delegated authority to approve the approval of Administrative Modifications to the Federal Transportation Improvement Program (FTIP) and the FSTIP.

With the acknowledgement of your board action, Caltrans hereby delegates to MCAG the authority to approve Administrative Modifications to the FSTIP in accordance with the revised FSTIP/FTIP Amendments and Administrative Modification Procedures dated June 7, 2011.

The following procedures apply to all MPOs that receive Caltrans' delegation:

1. Prior to approving the FTIP/FSTIP administrative modifications, the MCAG may consult with Caltrans on proposed changes.
2. The MCAG may request Caltrans to provide a cursory review of the administrative modification prior to MCAG's approval.
3. The MCAG shall send copies of the approved administrative modifications to Caltrans, Federal Highway Administration, Federal Transit Administration, and other stakeholders. Caltrans will post the approved administrative modifications on the Division of Transportation Programming Website.
4. Caltrans will routinely review the MCAG's approved administrative modifications and will reject changes that do not comply with the attached procedures. In such cases MCAG must correct all items of noncompliance.
5. Caltrans will withdraw its delegation from MCAG if it is found to be consistently noncompliant with the revised FSTIP/FTIP Amendments and Administrative Modification Procedures.

Mr. Jesse B. Brown
September 22, 2011
Page 2

Thank you for requesting this delegation that will undoubtedly streamline your region's programming process and thus expedite projects. My staff is looking forward to working with your staff to ensure a successful implementation of this delegation. If you have any questions, please call Muhaned Aljabiry at (916) 654-2983.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Rachel Falsetti', with a stylized flourish at the end.

RACHEL FALSETTI
Chief
Division of Transportation Programming

Attachments

- c: Scott Carson, Federal Highway Administration (w/attachments)
- Eric Eidlin, Federal Transit Administration (w/attachments)