



SAN JOAQUIN COUNCIL OF GOVERNMENTS

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STOCKTON,
TRACY,
AND
THE COUNTY OF
SAN JOAQUIN

September 18, 2014

Mr. Muhaned Aljabiry

ATTN: Lima Huy

California Department of Transportation
Division of Transportation Programming
Office of Federal Transportation Management Program
P.O. Box 942874
Sacramento, CA 94274-0001

**SUBJECT: Administrative Modification #32 to the 2013 Federal
Transportation Improvement Program**

Dear Mr. Aljabiry:

Please be advised that the San Joaquin Council of Governments (SJCOC) made changes to the 2013 Federal Transportation Improvement Program (FTIP). Previously, Modification #32 to the 2013 FTIP was presented as a formal amendment. However, following input received from Caltrans suggesting that modifications that do not alter the overall project scope do not require a formal amendment, Modification #32 to the 2013 FTIP is now being resubmitted as an administrative amendment.

The SJCOC Executive Director has the authority to approve all administrative modifications. The proposed modifications are consistent with the 2011 Regional Transportation Plan (as amended) and Public Participation Plan (as amended) adopted by the SJCOC Board of Directors.

SJCOC FTIP Administrative Modification #32 would split the existing City of Tracy Operating Assistance project into two separate projects (i.e., the original project, and a new project). The original project would be modified to reflect only the non-capitalized cost of operations for the City of Tracy, and the new project would reflect only the capitalized cost of operations. Such an amendment allows the City of Tracy to claim the capitalized cost of operations at an 80/20 match ratio, while total funding to the City of Tracy for Operating Assistance would remain unchanged. These adjustments will be reflected for FY 12/13 through FY 15/16. Notations in CTIPS for the 2013 FTIP are modified as follows:

- CTIPS ID 212-0000-0149: Operating Assistance: \$355,525 is removed from project listing (associated with Capitalized Costs), including \$177,551 from FTA 5307 fund type, and \$177,974 from TDA fund type.

September 18, 2014
Mr. Muhaned Aljabiry
SJCOG 2013 FTIP Amendment #32
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- CTIPS ID 212-0000-0648: Operating Assistance – Capitalized Costs: The \$355,525 associated with Capitalized Costs is applied to this new project, including \$284,420 under the FTA 5307 fund type, and \$71,105 under the TDA fund type.

The following required documentation is enclosed:

Attachment 1: Summary of Changes
Attachment 2: CTIPS Reports

With the modifications identified in this document the SJCOG 2013 FTIP remains financially constrained. SJCOG certifies that this modification contains an exempt project and does not require a conformity re-determination. SCJOG also certifies that this modification does not impact the timely implementation of any TCMs and that no project included in this modification is included in any other amendment or modification to the FTIP currently out for public review or approval.

Under the delegated authority received from Caltrans, I approve this administrative modification to the Federal Statewide Transportation Improvement Program (FSTIP). This revision is consistent with the approved Administrative Modifications/Amendments Guidelines and Procedures.

If you have any questions regarding this modification, please contact Ryan Niblock of my staff at (209) 235-0588.

Sincerely,



ANDREW T. CHESLEY
Executive Director

cc: Mr. Parminder Singh, Caltrans D-10
Mr. Tom Dumas, Caltrans D-10
Mr. Ken Baxter, Caltrans D-10
Mr. Abhijit Bagde, Caltrans HQ
Mr. Joseph Vaughn, FHWA
Mr. Jerome Wiggins, FTA
Mr. Ted Matley, FTA
Mr. Brian Schmidt, SJRRC
Ms. Tanisha Taylor, SJCOG
Ms. Nicole Gorham, SJCOG

Summary of Changes
SJCOG Administrative Modification Number #32

Existing / New	CTIPS ID	PROJECT TITLE	DESCRIPTION OF CHANGE	Phase	CTIPS Fund Type	PRIOR CTIPS Amt.	CURRENT CTIPS Amt.	FFY	Financial Table Fund Source Type	Net Increase/ Decrease	Total Project Cost Change	%	Comments
Existing	212-0000-0149	Operating Assistance (Costs associated with the delivery of Tracer fixed route and comparable paratransit services. This includes salaries, contracting of services, equipment, etc)	Split project in two. This project will include non-capital cost of operation	CON	FTA 5307	\$803,500	\$1,009,625	13/14	FTA Funds	\$206,125	(\$355,525)	-2%	Funding associated with Capitalized Cost of operations for the City of Tracy's Tracer service moved to new project 212-0000-0648.
					FTA 5307	\$835,961	\$452,074	15/16	FTA Funds	(\$383,887)			
					TDA	\$803,500	\$1,009,625	13/14	Local Funds	\$206,125			
					TDA	\$835,961	\$452,073	15/16	Local Funds	(\$383,888)			
New	212-0000-0648	Operating Assistance - Capitalized Costs (Costs associated with the delivery of Tracer fixed route and comparable paratransit services. This includes salaries, contracting of services, equipment, etc)	Project includes capital cost of operations	CON	FTA 5307	\$0	\$284,420	13/14	FTA Funds	\$284,420	\$355,525	2%	Funding represents the Capitalized Cost of operations for the City of Tracy's Tracer service.
					TDA	\$0	\$71,105	13/14	Local Funds	\$71,105			

Sum of Net Change by Federal Fiscal Year and Fund Source

	12/13	13/14	14/15	15/16	Totals
FTA 5307	\$0	\$490,545	\$0	(\$383,887)	\$106,658
TDA	\$0	\$277,230	\$0	(\$383,888)	(\$106,658)
Total	\$0	\$767,775	\$0	(\$767,775)	\$0

**San Joaquin Council of Governments - Federal Transportation Improvement Program
(Dollars in Whole)
Transit System**

DIST: PPNO: EA: CTIPS ID: 10 CT PROJECT ID: COUNTY: ROUTE: PM: San Joaquin County	TITLE (DESCRIPTION): Operating Assistance (Costs associated with the delivery of Tracer fixed route and comparable paratransit services. This includes salaries, contracting of service, equipment, etc.)	MPO Aprv: 12/17/2012 State Aprv: 11/05/2012 Federal Aprv: EPA TABLE II or III EXEMPT CATEGORY: Transit operating assistance.
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IMPLEMENTING AGENCY: Tracy, City of

PROJECT MANAGER: ED LOVELL PHONE: (209) 831-6204 EMAIL: ed.lovell@ci.tracy.ca.us

PROJECT VERSION HISTORY (Printed Version is Shaded)

Version	Status	Official Date	Updated By	Change Reason	Amend No.	Prog Con	(Dollars in whole) Prog RW	PE
15	Active	08/21/2014	RNIBLOCK	Amendment - Cost/Scope/Sch. Change	32	17,873,000		
14	Official	12/17/2012	AZEPEDA	Adoption - Carry Over		18,229,000		
13	Official	07/30/2012	SKAUR	Amendment - Cost/Scope/Sch. Change	33	14,971,000		
12	Official	07/22/2010	SKAUR	Adoption - Carry Over		14,617,000		
11	Official	05/01/2009	SKAUR	Amendment - Cost/Scope/Sch. Change	9	11,732,000		
10	Official	07/24/2008	WRIDDER	Adoption - Carry Over		11,684,000		
9	Official	05/08/2008	JSWANSON	Amendment - Cost/Scope/Sch. Change	19	7,553,000		
8	Official	01/24/2008	JSWANSON	Amendment - Cost/Scope/Sch. Change	17	7,553,000		
7	Official	06/27/2007	JSWANSON	Amendment - Cost/Scope/Sch. Change	9	7,470,000		

	PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• FTA Funds -									
• Fund Source 1 of 2	PE								
• Fund Type: FTA5307 - Urbanized Area Formula Program	RW								
• Funding Agency:	CON	5,890,520	789,000	803,500	819,570	835,961			9,138,551
	TOTAL	5,890,520	789,000	803,500	819,570	835,961			9,138,551

	PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Local Funds -									
• Fund Source 2 of 2	PE								
• Fund Type: TDA	RW								
• Funding Agency:	CON	5,841,943	789,000	803,500	819,570	835,961			9,089,974
	TOTAL	5,841,943	789,000	803,500	819,570	835,961			9,089,974

Project Total	PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
	PE								
	RW								
	CON	11,732,463	1,578,000	1,607,000	1,639,140	1,671,922			18,228,525
	TOTAL	11,732,463	1,578,000	1,607,000	1,639,140	1,671,922			18,228,525

Comments:

FFY 12/13 Funds = \$75,000 (09/10)
 FFY 13/14 = \$44506 (09/10)+\$30,494 (10/11)
 FFY 13/14 = \$728,500 out of \$1,354,900 assumed 5307 apportionment
 Remaning 5307 13/14 used on Hybrid Bus Expansion for Tracy .

PRIOR

***** DFTIP Version 1 - 05/09/2012 *****

Project is carry over from 2009 FTIP

***** Version 12 - 03/29/2010 *****

***** Version 11 - 04/30/2009 *****

***** Version 10 - 05/08/2008 *****

***** Version 9 - 04/29/2008 *****

EPSP used to advance \$65,563 from FY 08/09 to FY 07/08.

***** Version 8 - 03/24/2008 *****

***** Version 7 - 06/27/2007 *****

Shifted \$41,379.80 from FY 07/08 to FY 06/07 using Expedited Project Selection Procedures.

***** Version 6 - 07/24/2006 *****

***** Version 5 - 06/29/2006 *****

***** Version 4 - 07/27/2004 *****

***** Version 3 - 06/16/2004 *****

Addition of FTA 5307 funding for FY03/04 in the amount of \$450,000. Total project cost of \$900,000.

***** Version 2 - 04/11/2003 *****

Change in funding source due to Tracy's designation as a new small urban area. Funding source change is from FTA 5311 to FTA 5307 funding. Cost for operation of the transit service has been appropriately adjusted.

***** Version 1 - 04/24/2002 *****

**San Joaquin Council of Governments - Federal Transportation Improvement Program
(Dollars in Whole)
Transit System**

DIST: PPNO: EA: CTIPS ID: 10 CT PROJECT ID: COUNTY: ROUTE: PM: San Joaquin County	TITLE (DESCRIPTION): Operating Assistance (Costs associated with the delivery of Tracer fixed route and comparable paratransit services. This includes salaries, contracting of service, equipment, etc.)	MPO Aprv: State Aprv: Federal Aprv: EPA TABLE II or III EXEMPT CATEGORY: Transit operating assistance.
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PROJECT MANAGER: ED LOVELL

PHONE: (209) 831-6204

EMAIL: ed.lovell@ci.tracy.ca.us

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14	Official	12/17/2012	AZEPEDA	Adoption - Carry Over			18,229,000	
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12	Official	07/22/2010	SKAUR	Adoption - Carry Over			14,617,000	
11	Official	05/01/2009	SKAUR	Amendment - Cost/Scope/Sch. Change	9		11,732,000	
10	Official	07/24/2008	WRIDDER	Adoption - Carry Over			11,684,000	
9	Official	05/08/2008	JSWANSON	Amendment - Cost/Scope/Sch. Change	19		7,553,000	
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	PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• FTA Funds -									
• Fund Source 1 of 2	PE								
• Fund Type: FTA5307 - Urbanized Area Formula Program	RW								
• Funding Agency:	CON	5,890,520	789,000	1,009,625	819,570	452,074			8,960,789
	TOTAL	5,890,520	789,000	1,009,625	819,570	452,074			8,960,789

	PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Local Funds -									
• Fund Source 2 of 2	PE								
• Fund Type: TDA	RW								
• Funding Agency:	CON	5,841,943	789,000	1,009,625	819,570	452,073			8,912,211
	TOTAL	5,841,943	789,000	1,009,625	819,570	452,073			8,912,211

Project Total

	PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
	PE								
	RW								
	CON	11,732,463	1,578,000	2,019,250	1,639,140	904,147			17,873,000
	TOTAL	11,732,463	1,578,000	2,019,250	1,639,140	904,147			17,873,000

Comments:

***** Version 165 - 08/21/2014 *****

Amendment splits project in two. This project reflects non-capitalized costs of operations.

\$560,019 federal / \$560,019 TDA funding apportioned from FFY 11/12

\$449,606 federal / \$449,606 TDA funding apportioned from FFY 12/13

***** Version 15 - 08/21/2014 *****

FFY 12/13 Funds = \$75,000 (09/10)

FFY 13/14 = \$44506 (09/10)+\$30,494 (10/11)

FFY 13/14 = \$728,500 out of \$1,354,900 assumed 5307 apportionment

Remaning 5307 13/14 used on Hybrid Bus Expansion for Tracy .

***** DFTIP Version 1 - 05/09/2012 *****

Project is carry over from 2009 FTIP

***** Version 12 - 03/29/2010 *****

***** Version 11 - 04/30/2009 *****

***** Version 10 - 05/08/2008 *****

***** Version 9 - 04/29/2008 *****

EPSP used to advance \$65,563 from FY 08/09 to FY 07/08.

***** Version 8 - 03/24/2008 *****

***** Version 7 - 06/27/2007 *****

Shifted \$41,379.80 from FY 07/08 to FY 06/07 using Expedited Project Selection Procedures.

***** Version 6 - 07/24/2006 *****

***** Version 5 - 06/29/2006 *****

***** Version 4 - 07/27/2004 *****

***** Version 3 - 06/16/2004 *****

Addition of FTA 5307 funding for FY03/04 in the amount of \$450,000. Total project cost of \$900,000.

Modification #32:
Capital and non-capital cost of operations split into two projects. This project listing now only represents the non-capital costs. Also, \$1,009,625 for FTA and \$1,009,625 for Local Match funding are now shown for FY 13/14, and \$452,074 for FTA and \$452,073 for Local Match funding are now shown for FY 15/16.

**San Joaquin Council of Governments - Federal Transportation Improvement Program
(Dollars in Whole)**

***** Version 2 - 04/11/2003 *****

Change in funding source due to Tracy's designation as a new small urban area. Funding source change is from FTA 5311 to FTA 5307 funding. Cost for operation of the transit service has been appropriately adjusted.

***** Version 1 - 04/24/2002 *****

**San Joaquin Council of Governments - Federal Transportation Improvement Program
(Dollars in Whole)
Transit System**

DIST: PPNO: EA: CTIPS ID: 10 CT PROJECT ID: MPO ID: SJ07-5055 COUNTY: ROUTE: PM: San Joaquin County	TITLE (DESCRIPTION): Operating Assistance - Capitalized Costs (Capitalized costs associated with the delivery of Tracer fixed route and comparable paratransit services. This includes salaries, contracting of service, equipment, etc.)	MPO Aprv: State Aprv: Federal Aprv: EPA TABLE II or III EXEMPT CATEGORY: Transit operating assistance.
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Version	Status	Official Date	Updated By	Change Reason	Amend No.	Prog Con	(Dollars in whole) Prog RW				PE	
1	Active	09/29/2014	RNIBLOCK	<None>		356,000						

		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• FTA Funds -	PE									
• Fund Source 1 of 2	RW									
• Fund Type: FTA5307 - Urbanized Area Formula Program	CON			284,420						284,420
• Funding Agency:	TOTAL			284,420						284,420
• Local Funds -	PE									
• Fund Source 2 of 2	RW									
• Fund Type: TDA	CON			71,105						71,105
• Funding Agency:	TOTAL			71,105						71,105
Project Total										
	PE									
	RW									
	CON			355,525						355,525
	TOTAL			355,525						355,525

Comments:

***** Version 1 - 08/21/2014 *****

Capital costs of operations to be claimed at an 80/20 match ratio.

**New Project listing,
representing the Capital
Cost of operations, at
80/20 match ratio.**